

# Disrupted cerebellar development in preterm infants is associated with impaired neurodevelopmental outcome

Agnes Messerschmidt · Renate Fuiko · Daniela Prayer · Peter C. Brugger · Eugen Boltshauser · Gerlinde Zoder · Walter Sterniste · Michael Weber · Robert Birnbacher

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**Abstract** The unfavorable impact of prematurity on the developing cerebellum was recently recognized, but the outcome after impaired cerebellar development as a prematurity-related complication is hitherto not adequately documented. Therefore we compared 31 preterm patients with disrupted cerebellar development to a control group of 31 gender and gestational age matched premature infants with normal cerebellar development. Supratentorial brain injuries during the neonatal period were comparable between the groups. At a minimum age of 24 months motor and mental development was assessed by standardized tests. Disrupted cerebellar development was associated with significantly poorer scores both in the subtests for

neuromotor ( $p < 0.001$ ) and mental development ( $p < 0.001$ ), respectively. Mixed CP was diagnosed in 48% of affected patients, whereas none of the patients of the control group had mixed CP. Microcephaly and epilepsy were significantly related to disrupted cerebellar development. Preterm patients with disrupted cerebellar development exhibit poorer outcome results in all investigated variables. The role of the cerebellum in neurodevelopment after prematurity seems to be underestimated so far.

**Keywords** Cerebellum · Disrupted development · Prematurity · Brain injury · Neurodevelopmental outcome

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A. Messerschmidt · R. Fuiko · R. Birnbacher  
Division of General Pediatrics and Neonatology,  
Department of Pediatrics and Adolescent Medicine,  
Medical University of Vienna,  
Vienna, Austria

D. Prayer  
Department of Neuroradiology, University Clinics of  
Radiodiagnostics, Medical University of Vienna,  
Vienna, Austria

P. C. Brugger  
Department of Anatomy and Cell Biology,  
Medical University of Vienna,  
Vienna, Austria

E. Boltshauser  
Department of Neurology, University Children's Hospital,  
Zurich, Switzerland

G. Zoder  
Kinderklinik der Stadt Wien, Glanzing im Wilhelminenspital,  
Vienna, Austria

W. Sterniste  
Pediatric Department of the Danube-Hospital/SMZO,  
Vienna, Austria

M. Weber  
Department of Radiology,  
University Clinics of Radiodiagnostics,  
Medical University of Vienna,  
Vienna, Austria

A. Messerschmidt (✉)  
Department of Neonatology and Pediatric Intensive Care,  
University Children's Hospital,  
Medical University of Vienna,  
Vienna, Austria  
e-mail: agnes.messerschmidt@meduniwien.ac.at

## Introduction

Brain injury and its implications on the neurodevelopment are a major problem in the treatment of premature infants. Survivors of preterm birth are at high risk for neurodevelopmental delay, cognitive dysfunction, and behavioral and emotional disturbances [22, 24, 27, 44]. Hitherto, supratentorial brain lesions such as intraventricular hemorrhage (IVH) and periventricular leukomalacia (PVL) are considered to be the main underlying pathomorphological damage, whereas the impact of injury to the developing cerebellum is relatively underestimated [26].

Several recent papers outline both cerebellar injury [8, 17, 25], and cerebellar growth failure [1, 4, 21, 26, 31] as possible prematurity-related complications. Nevertheless, our understanding of the relationship between premature birth, brain injury, and cerebellar development remains limited. Preliminary analysis revealed supratentorial white matter injury [35, 37] to be the determining risk factor for impaired cerebellar development after preterm birth. We choose the term “disruption of cerebellar development” to emphasize the combination of acquired damage after preterm birth and subsequent developmental failure [26].

As the role of the cerebellum was recently acknowledged to extend to motor learning, adjusting motor operations, and also cognitive functions [7, 12, 23, 29], a prematurity-related impairment of cerebellar development may be of major concern in terms of cognitive and neuromotor long-term outcome of preterm patients [1, 22].

So far, little is known about the clinical impact of disruption of cerebellar development on the neurodevelopmental outcome of preterm patients. Cerebral palsy, mental retardation, and microcephaly [8, 17, 19] were mentioned in association with infratentorial brain injury, but these studies were limited by small numbers of patients and interfering supratentorial brain injuries. A recent paper by Limperopoulos et al. reported a high prevalence of long-term pervasive neurodevelopmental disabilities in preterm infants with cerebellar hemorrhagic injury [22]. However, Shah et al. found only a weak correlation of cerebellar volumes with cognitive and motor development at 2 years of age [35]. The neurodevelopmental outcome of preterm infants with disrupted cerebellar development was never investigated systematically in comparison to preterm infants with normal cerebellar development.

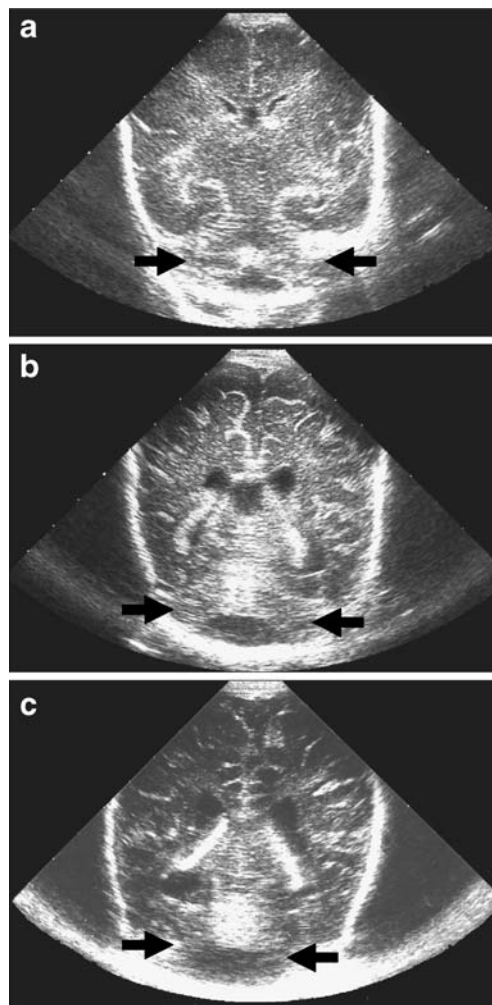
Therefore, the purpose of our study was to state more precisely the impact of disrupted cerebellar development on the neurodevelopmental outcome of preterm patients by comparing gender and gestational age-matched pairs of former preterm infants with disrupted cerebellar development in one group and with normal cerebellar development in the other group.

We hypothesized that disrupted cerebellar development would be associated with impairment of neurodevelopmental outcome in preterm infants independent from supratentorial brain injury.

## Patients and methods

In our retrospective analysis we included 62 preterm born infants. Patients born between 1988 and 2004 were treated in three different level III neonatal intensive care units (NICU) in Vienna.

Group 1 consisted of 31 preterm patients in whom—after a normal initial cerebellar ultrasound within the first 2 days of life—a severe reduction of cerebellar volume was diagnosed during the first 12 weeks of life (Fig. 1). A morphological classification of the disruption of cerebellar development was the subject of our recent report [26].



**Fig. 1** Preterm infant born at 27+5 gestational weeks. Coronar ultrasound scan shows a normal cerebellum at day 6 (a), cerebellar reduction at day 65 (b), and severe cerebellar reduction with nearly empty posterior fossa at day 99 of life (c)

The age at birth varied between 24 and 31 gestational weeks (mean 27.0 wks $\pm$ 1.6 wks), and the birthweight ranged from 633 g to 1490 g (mean 969 g $\pm$ 212 g).

Our control group (group 2) consisted of patients enrolled in our follow-up program for premature born infants. Inclusion criteria for group 2 were occurrence of prematurity-related supratentorial brain injury (IVH or PVL) and a normal cerebellar ultrasound scan. Thirty-one gender and gestational age matched preterm infants were selected. Differences between the groups concerning birth weight, grading of supratentorial brain injuries, occurrence of posthemorrhagic hydrocephalus, and need for neurosurgical intervention (external ventricular drainage and/or ventriculo-peritoneal shunt insertion) were analyzed.

### Ultrasound scans

Routine cranial ultrasound scans were obtained through the anterior fontanelle bedside with an Acuson device (Mountainview 128 XP) using a 7.5 MHZ sector transducer. Scans were made initially on days 1, 3, 5, and 7 of life, and at least once per week thereafter. Supratentorial hemorrhagic lesions included low grade intraventricular hemorrhage (grades I and II IVH) and high grade IVH (grade III IVH with ventriculomegaly and grade III IVH with periventricular hemorrhagic infarction) according to Volpe and Papile [30, 43] and de Vries [14]. Both hemispheres were evaluated by ultrasound, and the higher degree was taken for analysis. Diagnosis of PVL implicated only cystic lesions in two planes (c-PVL grades II, III, IV) [14]. All ultrasound scans performed from birth up to the third month of adjusted age as part of the routine follow-up program were used for analysis.

### Neurodevelopmental follow-up

Outcome data concerning major morbidity and neurodevelopmental outcome were available in all patients of groups 1 and 2. All patients were evaluated at least twice a year in a neurodevelopmental follow-up program for premature infants, and in our analysis we refer to the results obtained between 24 and 36 months of age. Occurrence of microcephaly and epilepsy was assessed. Microcephaly was defined as a head circumference below the third centile in children. Epilepsy was diagnosed in children with recurrent clinical seizures and pathologic EEG recordings. A detailed clinical neurologic examination was performed by pediatricians specialized in pediatric neurology. Motor and mental developmental milestones were documented with a standardized questionnaire. Cerebral palsy (CP) was defined as a nonprogressive disorder of

movement and posture due to a nonprogressive defect or lesion of the immature brain [2]. Both groups were evaluated for the occurrence of CP at a minimum age of 24 months. The following subtypes of CP were distinguished: spastic CP, ataxic CP, dyskinetic CP, and mixed CP (spastic–ataxic CP, spastic–dyskinetic CP, dyskinetic–ataxic CP) [10]. All investigation results were evaluated and classified by one experienced neuropediatrician (R.B.).

All patients underwent psychological testing, except those children not testable due to significant developmental and/or neurosensory delay who were assigned with verbal description. The Developmental Indices of the Bayley Scales were used as testing method [6]. All test results were evaluated and classified by one experienced psychologist (R.F.). Neuromotor and mental development were graded between score 0 and 3 according to the results of the neurodevelopmental tests and the neurological examination.

Neuromotor development was graded as normal (score 0) if no impairment was detected, or patients were classified in the normal range  $\pm$ 1 SD (Psychomotor Developmental Index 85–114). Score 1 defined mild to moderate motor disability with ambulatory status at least at the age of 3 years, equivocal to range  $\leq$ 3 SD below the standard (Psychomotor Developmental Index 55–84). Severe motor disability (score 2) classified patients with absent ambulatory status at the age of 3 years and motor developmental scales  $>$ 3 SD below the standard (Psychomotor Developmental Index  $<$ 54). Score 3 of neuromotor development was assigned to patients without any targeted motor activity during the neurologic examination and without anticipation of any further motor development.

Mental development was graded in the normal range  $\pm$ 1 SD (Mental Developmental Index 85–114) (score 0), mild to moderate mental delay ranged  $\leq$ 3 SD below the standard (Mental Developmental Index 55–84) (score 1), and severe mental delay for patients  $>$ 3 SD below the standard (Mental Developmental Index  $<$ 54) (score 2). Score 3 of mental development included only severely impaired patients whose expressive communication was limited to undifferentiated sounds without using words and who showed no constructive play behavior.

### Statistical analysis

Statistical analysis was performed by using SPSS 15.0 (SPSS Inc., Chicago, IL, USA). For the comparison of matched groups with respect to continuous variables (e.g. age, birth weight), paired *t* tests were used. To compare the percentage of dichotomous variables (e.g. PVL yes/no) exact versions of McNemar tests were used. To estimate the difference of IVH a Wilcoxon test was conducted. Additionally, the correlation between developmental scores

and IVH was calculated with Spearman rank correlation. Logistic regression was used to assess risk factors for epilepsy. A  $p$  value less than 0.05 was considered to indicate a significant result.

## Results

In our study we compared 31 gender and gestational age matched pairs of former preterm infants. Birthweight did not differ significantly between the groups (group 1 mean 969 g $\pm$ 212 g, group 2 mean 937 g $\pm$ 208 g,  $p=0.352$ ). No difference concerning severity of IVH ( $p=0.884$ ) was found. In groups 1 and 2 low grade IVH was diagnosed in 17/20 patients and high grade IVH was diagnosed in 14/11 patients, respectively (Table 1). We found a trend towards a higher frequency of posthemorrhagic hydrocephalus in group 1 (22/14,  $p=0.077$ ), and neurosurgical interventions were needed significantly more often in group 1 (20/9,  $p=0.019$ ). Frequency of PVL (4/2,  $p=0.625$ ) was similar between the two groups (Table 1).

The frequency of microcephaly was significantly higher among the patients of group 1 (27/9,  $p<0.001$ ). Epilepsy was found significantly more often in group 1 ( $n=17$ ) than in group 2 ( $n=4$ ,  $p=0.002$ ). In a correlation analysis for possible risk factors for the occurrence of epilepsy including gestational age, gender, IVH, PVL, posthemorrhagic hydrocephalus, and neurosurgical interventions no factor was found to be statistically significant.

The overall frequency of CP was significantly different between the groups. In group 1, 100% of the patients were diagnosed with CP, whereas in group 2, 39% of the patients developed CP. In particular, the distribution of CP subtypes showed significant differences between the groups. Spastic CP was distributed equally between the groups (16/12,  $p=0.629$ ). No cases of “pure” ataxic or dyskinetic CP were found. Mixed (spastic–ataxic, spastic–dyskinetic) CP was

**Table 1** Characteristics of cerebral pathologies (*ns* not significant)

	Group	Group	Significance
	1	2	
PVL grades II/III/IV	4	2	ns
IVH grade I	2	0	ns
IVH grade II	15	20	
IVH grade III	7	6	ns
IVH with parenchymal involvement	7	5	
Posthemorrhagic hydrocephalus	22	14	ns; $p=0.077$
External ventricular drainage	17	9	ns; $p=0.077$
Ventriculo–peritoneal shunt insertion	13	8	ns; $p=0.332$
Neurosurgical interventions (external ventricular drainage and ventriculo–peritoneal shunt insertion)	20	9	$p=0.019$

**Table 2** Neuromotor and mental developmental outcome

		Group 1	Group 2
Neuromotor development	Score 0	0	12
	Score 1	2	8
	Score 2	17	9
	Score 3	12	2
Mental development	Score 0	0	13
	Score 1	2	8
	Score 2	17	8
	Score 3	12	2

Score 0: normal neuromotor or mental development

Score 1: minor to moderate motor disability or mental retardation

Score 2: severe motor disability or mental retardation

Score 3: neuromotor: no ambulatory status, no vectored motor activity; mental: unable to formulate any meaningful word, no personal autonomy

diagnosed in 15 patients of group 1 and no patient of group 2 ( $p<0.001$ ).

The scores for both neuromotor and mental development revealed significant differences between the groups. Patients of group 1 had significantly inferior scores both in the subtests for neuromotor development ( $p<0.001$ ) and in the subtests for mental development ( $p<0.001$ ) (Table 2). No correlation between motor and mental scores and the staging of IVH was found in group 1 (correlation  $r=0.19$ ;  $p=0.31$ ). In group 2 both motor ( $r=0.466$ ;  $p=0.008$ ) and mental ( $r=0.376$ ;  $p=0.037$ ) development were significantly correlated with the staging of IVH.

## Discussion

Despite improvements in survival for preterm infants, motor, cognitive, and behavioral deficits are still major problems in the long-term outcome of these patients. Recent studies report constant rates of significant neurodevelopmental impairment [41], reaching up to 49% in the third year of life [44]. Among extremely preterm children at school age, the rates of severe, moderate, and mild disability were 22%, 24%, and 34%, respectively [24].

The most important predictors for cerebral alterations and following neurodevelopmental impairment are the degree of immaturity at birth and the concomitant cerebral injuries [16]. The correlation between neonatal ultrasound scans and neurodevelopmental outcome has been reported extensively [13, 36, 39, 42].

We excluded these confounding factors with influence on neurodevelopment outcome by choosing gender and gestational age matched pairs for our analysis. The supratentorial brain injuries detected by neonatal ultrasound scans were comparable between the groups (Table 1). Under provision of this comparable prematurity-related



initial situation, patients with additional cerebellar disrupted development had worse results in all variables investigated. The higher frequency of neurosurgical interventions we found in our affected patients confirm previous data [17] that complications after supratentorial hemorrhagic brain injuries are significantly related to the onset of cerebellar disrupted development.

The microcephalic appearance of our patients with disrupted cerebellar development reflects the absence of the normal increase in brain volumen after brain injury and is considered a predictor of neurodevelopmental impairment and mental retardation [3, 11]. As white matter loss is mostly responsible for impaired brain growth we suppose this could also be the reason for microcephaly in our patients. The frequency of cystic PVL was comparable between the groups; nevertheless, noncystic white matter lesions could be missed by the ultrasound scan.

The frequency of epilepsy was significantly higher in group 1, a fact which was only referred to in one more paper [25]. The hemosiderin deposition we found in our preliminary analysis [26] would be the only reasonable cause for the occurrence of epilepsy. The destructive processes after hemosiderin deposition are known pathophysiologic mechanisms of epileptogenesis in supratentorial brain structures [18], and surgical removal of hemosiderin-stained brain was found to improve seizure outcome [5]. On the other hand, no similar data are available for infratentorial brain structures. Therefore we may only suppose that comparable mechanisms on the cerebellar surface contribute to the high frequency of epilepsy in patients with disrupted cerebellar development. However, the higher frequency of epilepsy in group 1 could also be related to the higher frequency of neurosurgical interventions in this group.

Spastic CP as the characteristic long-term motor feature after supratentorial brain injury in preterm born infants [43] occurred in a similar frequency in both groups (51% vs 39%). The high percentage of patients with mixed CP in group 1 quite met our expectations as cerebellar dysfunction is known to be associated with ataxic movements [15, 28]. Preterm patients with cerebellar affections were reported to present with a mixture of motor abnormalities, including parts of spasticity, dystonia, and ataxia, resulting in a considerable motor handicap [8, 9, 17]. Recent work suggests that the cerebellum helps to generate appropriate patterns of limb movements, to regulate dynamically upright posture and balance, and to adjust the feedforward control of locomotor output through error–feedback learning [28]. However, in those patients presenting with spastic CP, either early cerebellar injuries are compensated regarding motor signs or, to some extent, the severity of motor and mental disability makes a detailed investigation impossible to detect subtle signs of cerebellar dysfunction.

The greater motor handicap in group 1 patients is also reflected by the poorer scores in the subtests for neuromotor

development (Table 2). As no correlation with IVH could be detected, factors apart from supratentorial brain injury seem to be of particular interest for motor development in these patients. With growing evidence, cerebellar involvement in the learning of movement sequences is studied. Cerebellar functions in the area of establishment of motor set and movement initiation as well as spatial and temporal regulation of motor control [7, 12, 23, 29] may be a relevant factor for the serious impairment of neuromotor development in our patients.

In addition to significant motor problems, cognitive impairment and academic failure occur commonly in preterm survivors [22, 40]. Peterson et al. could pro- and retrospectively demonstrate a correlation between altered regional supratentorial brain volumes and later cognitive outcome in preterms [31]. Accordingly, in group 2 a significant correlation between mental scores and the extent of supratentorial brain injury could be found—a correlation that was again missing in our patients with disrupted cerebellar development. Preterm patients with disrupted cerebellar development exhibited significantly poorer mental scores in comparison to preterm patients with normal cerebellar development (Table 2).

Our vision of the cerebellum has been gradually transformed through recent years from only “coordinator of movements” to “a multitask neuronal machine” [33]. The major implications in nonmotor functions are documented in children surgically treated for benign cerebellar tumors [20, 32, 34, 38], data which support the recently attributed role of the cerebellum as a modulator of higher mental and social functions.

In preterm infants with increased risk of neurodevelopmental delay, cognitive dysfunction, and behavioral disturbances, the role of the cerebellum for these adverse outcomes has been unclear. Mental retardation in former preterm born infants with cerebellar affections was also reported by other authors [17, 19], and Allin and colleagues even demonstrated a significant correlation between cerebellar volume and various cognitive variables [1]. Recently, significant deficits in cognition, communication, and social-behavioral function were reported in preterm infants with isolated cerebellar hemorrhagic injury without supratentorial brain injury [22]. To summarize our results, cerebellar disrupted development was accompanied by a substantial cognitive impairment, by far more than we would expect from the degree of prematurity and supratentorial brain injury.

## Conclusion

Disrupted cerebellar development in preterm patients was associated with a severe impairment both in motor and mental development after 24–36 months. Furthermore,

mixed cerebral palsy, microcephaly, and epilepsy were found in those patients. As prematurity-related cerebellar complications occur more often than hitherto expected, cerebellar development in preterm infants will require more attention in the future, especially considering this major negative impact on neurodevelopmental outcome.

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