

## The cerebellum on the rise in human emotion

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### Abstract

For decennia the cerebellum has largely been excluded from scientific enquiry beyond motor function. However, the intimate afferent and efferent connections to the midbrain and limbic system provide for the neuroanatomical foundation of cerebellar involvement in emotion and emotional disorders. Moreover, an increasing body of empirical evidence indicates that the cerebellum may be involved in emotion regulation. Both functional and structural abnormalities of the cerebellum have been demonstrated in emotional disorders, including depression and schizophrenia. Research shows that the functional repertoire of the cerebellum is broader than previously thought and its involvement in emotion is noteworthy.

**Key words:** *Cerebellum, clinical, emotion, homeostasis, motivation, neuroscience, pacemaker, psychopathology, universal cerebellar transform*

### Introduction

The cerebellum is located in the posterior fossa and occupies approximately 10% of total intracranial volume and contains more than half of the total number of neurons in the human brain (1). The cerebellum is comprised of a three-layered cortex, white matter and cerebellar nuclei (CN). The outermost layer is made up of input stellate and basket cells (lamina molecularis), and the intermediate layer is composed of output inhibitory Purkinje cells (PC). The most internal layer consists of input granule and Golgi cells (lamina granularis). The DCN consist of the dentate nucleus, nucleus emboliformus, globose nucleus and fastigial nucleus, which distribute PC input to non-cerebellar brain structures. Information is conveyed back into the lamina molecularis and granularis of the cerebellar cortex by excitatory afferent input through climbing and mossy fibres that mainly originate from the inferior olive and pontine nucleus respectively. The cerebellum is known for its unique folial surface and well-established involvement in motor function. Traditionally, the cerebellum has not received much attention from researchers studying non-motoric aspects of human behaviour, such as cognition and emotion. Nevertheless some scientists in the late 1970s acknowledged the importance of the cerebellum in emotion and emotional disorders (2,3). It was however the innovative work of researchers such as Jeremy

Schmahmann that extended the functional role of the cerebellum into the domain of cognition and emotion (4).

Further support for the cerebellum-emotion link was provided by clinical studies that yielded strong evidence for cerebellar abnormalities in emotional disorders, including schizophrenia and depression (5). Table I depicts an overview of important contributions from different lines of research illustrating the role of the cerebellum in emotion and emotional disorders. However, one of the more difficult issues that needs to be tackled in future research relates to the specific role of the cerebellum in emotion. Is the cerebellum, for example, part of the brain circuitry involved in the regulation of affective processes or does it merely function as a relay station in the loops connecting cortical and subcortical regions?

Motivation and emotion represent facets of a common core phenomenon, the motivational-emotional system, that interacts with general purpose processing systems of conditioning, learning and higher order cognition (6). Motivation can be defined as the internal drive of an organism, whereas emotions can be considered as the readout of this internal drive when activated by a challenging stimulus (6,7).

The intimate afferent and efferent connection to the brainstem and limbic system are a neuroanatomical foundation for cerebellar involvement in

Table I. Brain research showing the involvement of the cerebellum in emotion and emotional disorders.

Research domain	Authors (Refs)	Main finding
Functional neuroanatomy	Heath et al. (3,7)	Limbic system responses and mood improvement to electric stimulation of cerebellum
	Supple et al. (8)	Relationship between vermis and fear learning
	Schutter et al. (37)	Transcranial magnetic stimulation over cerebellum induces positive affect
	Sachetti et al. (9)	Induction of long term potentiation of the cerebellum by fear conditioning
Brain damage	Schmahmann & Sherman (15)	Posterior fossa lesions: CCAS; Behavioural disinhibition, blunting of affect, psychosis and cognitive dysmetria
	Levisohn et al. (16)	CCAS after cerebellar tumour resection in children: Vermal damage resulted in dysregulation of affect
	Duggal (17)	Olivopontocerebellar atrophy: Behavioural disinhibition, sadness, fear and auditory hallucinations
Functional neuroimaging	Liotti et al. (10)	Cerebellum activity during sadness and anxiety in healthy volunteers
	Habel et al. (12)	Cerebellum activity during positive mood states
	Wiech et al. (11)	Positive correlation between cerebellum activity and experience of chronic pain
Psychiatry	Ichimiya et al. (26)	Reduced volumes of vermis in neuroleptic naïve schizophrenic patients
	Loeber et al. (24)	Reduced volumes of inferior cerebellum in schizophrenia
	Kyosseva (23)	Cerebellar abnormalities in schizophrenic patients
	Okugawa et al. (21)	White matter abnormalities in the middle cerebellar peduncles of schizophrenic patients
	Okugawa et al. (25)	Smaller volumes of vermis in chronic schizophrenia
	Soares & Mann (27)	Depression linked to reduced volumes of the cerebellum
	Beyer et al. (29)	Volume reductions of vermis associated with depression
	Leroi et al. (28)	Degenerative cerebellar diseases associated with depression
	Neil et al. (30)	Vermal volume reductions in manic-depressive disorder

CCAS: Cerebellar Cognitive Affective Syndrome.

emotion and emotional disorders. The cerebellum has not only mono-synaptic projections to the hypothalamus, septum, hippocampus, amygdala and basal ganglia, but cerebellar projections also extend to brainstem nuclei where they stimulate dopamine and noradrenalin release by innervating the substantia nigra and locus coeruleus. Earlier animal studies already showed reduced aggressive behaviour after isolated lesions of the cerebellum in monkeys (8). Furthermore, neuronal responses in limbic brain structures, including septum, hippocampus and amygdala were observed after electrically stimulating the cerebellum of cats and rats (9). Decisive evidence for cerebellar involvement in human emotion was provided by Heath's pacemaker studies that showed positive effects on mood in mentally disturbed patients who received electric cerebellar stimulation via subdurally implanted electrodes (3).

A link between the cerebellum and fear was demonstrated during a Pavlovian fear conditioning study in which extra-cellular single-unit responses of Purkinje cells in the anterior cerebellar vermis varied as a function of learning (10). Additionally, the induction of long-term potentiation in the cerebellum to cued fear conditioning provides corroborating evidence for involvement in fear learning (11). Furthermore, a human functional neuroimaging study in healthy volunteers showed that cerebellar

activity was associated with anxiety and sad mood (12). Moreover, involvement of the cerebellum in negative affective states was again evidenced by a relationship between tonic pain and neuronal activity in regions of the medial prefrontal cortex and cerebellum. Interestingly, both the activity in the cerebellum and prefrontal activity decreased as a function of cognitive workload, which was interpreted as an integrative process of motivational and cognitive factors in relation to physical injury and coping (13). The argument for a specific role of the cerebellum in the experience of negative emotional states has however been compromised by a recent study that showed cerebellar activation after the induction of positive mood in healthy male subjects (14). Although both positive and negative mood were associated with common activity in several cortical and limbic structures, cerebellar activity seemed to relate to positive, but not negative mood. In sum, the relationship between the cerebellum and emotion finds increasing support, but whether or not the cerebellum is specialized in particular forms of emotional processes remains an open question.

The involvement of the cerebellum in motivation and emotion may at least partially be established through its interactions with the endocrine system. In particular, the cerebellar-hypothalamic connection yields an important neuroanatomical pathway

for humoral interaction. A recently discovered cerebellar peptide, called cerebellin seems to stimulate the hypothalamic-pituitary-adrenal (HPA) axis, as evidenced by increases in its end-product cortisol (15), the major stress hormone that is associated with both anxiety and depression. The reciprocal relationship between the cerebellum and HPA-axis was recently established by the discovery of dense glucocorticoid binding sites on the vermis (16).

In addition to the functional neuroanatomical evidence, lesion studies provide a valuable source for investigating the relationship between the cerebellum and emotion. A syndrome resulting from cerebellar damage has been termed the *cerebellar cognitive-affective syndrome* (CCAS) and involves both emotional and cognitive impairments (17). Executive dysfunction, behavioural disinhibition and blunting of affect are among the characteristic signs and symptoms associated with particularly posterior and vermal lesions (17).

This notion is supported by a paediatric study that showed CCAS in children who had undergone cerebellar tumour resection. In particular, damage to the vermis has been associated with disturbances in emotion regulation (18). Interestingly, the CCAS shares many commonalities with the classical frontal lobe syndrome, which is demonstrated by a patient with sporadic olivopontocerebellar atrophy who displayed behavioural disinhibition and unprovoked laughter (19), disturbances that are not uncommon in patients with frontal damage.

Furthermore, cerebellar abnormalities have also been observed in schizophrenia, a 'dysconnectivity' syndrome characterized by uncoordinated affective and cognitive processing, collectively termed cognitive dysmetria (20). Anatomical evidence for disruptions of neural connectivity was provided by a diffusion tensor imaging (DTI) study showing white matter abnormalities in the middle cerebellar peduncles of schizophrenic patients (21). Parallel to the traditional role of the cerebellum in the modulation of motor activity, it has been argued that the cerebellum also holds a central position in the regulation of mental activity. Although no convincing evidence for cerebellar abnormalities were found for adolescent-onset schizophrenia (22), recent post-mortem and neuroimaging studies do indicate cerebellar abnormalities in schizophrenia (23). Again the vermis seems to be involved; several structural neuroimaging studies in schizophrenia have provided evidence for distinct volume reductions involving the vermis, but not the cerebellar hemispheres (24,25). Deleterious effects of antipsychotic medication on brain morphology cannot be ruled out in this research, but a study involving 20 neuroleptic-naïve schizophrenic males also showed reductions of the cerebellar vermis (26). The dysregulation of emotion has also been argued to be involved in several other forms of psychopathology.

For example, depression has not only been associated with volumetric reductions of the frontal lobes, but also of the cerebellum (27). Further evidence for the relationship between the cerebellum and depression was demonstrated in degenerative cerebellar disease. In a patient study, comprehensive psychiatric assessment revealed that depression was associated with cerebellar degeneration (28). This relationship was recently confirmed by a systematic review of the literature that indicated abnormalities in the frontal lobes, basal ganglia and cerebellum (29). It is probably no coincidence that depression is often associated with psychomotor disturbances involving gait, posture and coordination of movements, disturbances which are typically found in cerebellar ataxia. Finally, evidence is now accumulating that vermal abnormalities are also present in bipolar disorders (30).

The cerebellum might hold a central position and act as a convergence zone for the different information streams. Indeed, the highly topographically organized information flow from and to the cerebellum in so-called micro-complexes suggests that the cerebellum plays an important role in the regulation of adaptive emotional and motivational behaviour. The morphological uniformity of the cerebellar cortex and the concept of a cerebellar regulatory system has been deemed the universal cerebellar transform (UCT) hypothesis (31). According to the UCT hypothesis the cerebellum acts as a pacemaker establishing and maintaining organism's behaviour at an optimum level. The notion that the cerebellum is equipped with internal and external representations, which provide a neuronal foundation for monitoring and integrating intero- and exteroceptive information is substantiated by findings of cerebellar involvement in error monitoring (32). In this sense, the function of the cerebellum is analogous to a supramodal internal timing unit. Hypothetically, when the cerebellar timing function is disrupted, information processing streams become desynchronized, providing a breeding ground for a diverse range of psychopathological conditions. Recent studies have established a reciprocal anatomical pathway between the cerebellum and prefrontal cortex (33), and thus the neocortical area is importantly involved in emotion and emotional disorders. The cerebellar connections to the brainstem, limbic system and prefrontal cortex in the UCT model (31) predict an important role for the cerebellum in emotion processing. However, at present the exact functional contribution of the cerebellum in emotion remains unclear. A possible way of gathering insights into the functional role of the human cerebellum in the regulation of emotion may be provided by transcranial magnetic stimulation (TMS). TMS can be considered as the modern non-invasive analogue to Heath's electric cerebellar stimulation technique. TMS is a simple, though

ingenious method of passing electric currents through the scalp into the brain by means of magnetic fields (34) and can be utilized to investigate brain function more directly by applying brief magnetic pulses to either disrupt or facilitate cortical information processing (35). An electric current is conveyed into the brain through a brief, but strong magnetic field. Near nerve cells the magnetic field is subsequently transformed back into an electric current causing a transmembrane potential, which induces neuronal depolarization and an action potential. Interestingly, in a recent patient study TMS was applied over the cerebellum and support was provided for dysfunctional cerebello-cortical connectivity in schizophrenia (36). Furthermore, in another study it was shown that twenty minutes of cerebellar TMS in healthy volunteers modified neuroelectric recordings over the prefrontal cortex and increased positive mood and alertness (37). These data concur with animal studies wherein activation of the mesencephalic reticular formation through cerebellar stimulation resulting in cortical arousal and alertness (38). In sum, the exploration of cerebellar TMS in the treatment of emotional disorders may well be worthwhile (39).

The involvement of the cerebellum in affective processing was until recently neglected, but currently there is a flourishing interest in studying the link between the cerebellum and emotion. An important role in the regulation of emotion seems reserved for the cerebellar vermis. It has even been suggested that the vermis together with the fastigial nucleus and flocculonodular lobe are an extension of Papez's emotion circuit; the limbic cerebellum (1,30). Further research is however needed to establish the exact role of the cerebellum in 'normal' and pathological forms of emotion processing.

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