

# MAT 449 : Problem Set 1

Due Thursday, September 20

In this problem set,  $\mathbb{N}$  is the set of nonnegative integers.

## Examples of topological groups

1. Let  $V$  be a Banach space over  $\mathbb{C}$ . (That is,  $V$  is a normed  $\mathbb{C}$ -vector space which is complete for the metric given by its norm.) We denote by  $\mathcal{L}(V)$  the space of bounded linear operators from  $V$  to itself, equipped with the operator norm. Remember that, if  $\|\cdot\|$  is the norm on  $V$ , then the operator norm  $\|\cdot\|_{op}$  is defined by : for every  $f \in \mathcal{L}(V)$ ,

$$\|f\|_{op} = \inf\{c \in \mathbb{R}_{\geq 0} \mid \forall v \in V, \|f(v)\| \leq c\|v\|\} = \sup_{v \in V, \|v\|=1} \|f(v)\|$$

Let  $\mathbf{GL}(V)$  be the group of invertible elements in  $\mathcal{L}(V)$ , with the topology induced by that of  $\mathcal{L}(V)$ .

You can do this problem assuming that  $V$  is finite-dimensional. You'll get one point of extra credit for every question where you treat the general case (i.e. without any assumption on the dimension of  $V$ ).

- a) (1) Show that  $\mathbf{GL}(V)$  is an open subset of  $\mathcal{L}(V)$ .
  - b) (2) Show that  $\mathbf{GL}(V)$  is a topological group.
  - c) (1) Show that  $\mathbf{GL}(V)$  is locally compact if and only if  $V$  is finite-dimensional.
2. Let  $(G_i)_{i \in I}$  be a family of topological groups.
    - a) (2) Show that  $\prod_{i \in I} G_i$  is a topological group (for the product topology).
    - b) (2, extra credit) If all the  $G_i$  are locally compact, is  $\prod_{i \in I} G_i$  always locally compact ? (Give a proof or a counterexample.)
  3. Let  $(I, \leq)$  be an ordered set. Consider a family  $(X_i)_{i \in I}$  of sets and a family  $(u_{ij} : X_i \rightarrow X_j)_{i \geq j}$  of maps such that :
    - For every  $i \in I$ , we have  $u_{ii} = \text{id}_{X_i}$ ;
    - For all  $i \geq j \geq k$ , we have  $u_{ik} = u_{ij} \circ u_{jk}$ .

This is called a *projective system of sets indexed by the ordered set  $I$* . The *projective limit* of this projective system is the subset  $\varprojlim_{i \in I} X_i$  of  $\prod_{i \in I} X_i$  defined by :

$$\varprojlim_{i \in I} X_i = \{(x_i)_{i \in I} \in \prod_{i \in I} X_i \mid \forall i, j \in I \text{ such that } i \geq j, u_{ij}(x_i) = x_j\}.$$

- a) (1) If all the  $X_i$  are Hausdorff topological spaces and all the  $u_{ij}$  are continuous maps, show that  $\varprojlim_{i \in I} X_i$  is a closed subset of  $\prod_{i \in I} X_i$ . From now on, we will always put the induced topology on  $\varprojlim_{i \in I} X_i$ .

- b) (1) If all the  $X_i$  are compact Hausdorff topological spaces and all the  $u_{ij}$  are continuous maps, show that  $\varprojlim_{i \in I} X_i$  is also compact Hausdorff. (Hint : Tychonoff's theorem.)
- c) (2) If all the  $X_i$  are groups (resp. rings) and all the  $u_{ij}$  are morphisms of groups (resp. of rings), show that  $\varprojlim_{i \in I} X_i$  is a subgroup (resp. a subgroup) of  $\prod_{i \in I} X_i$ .
- d) (2) If all the  $X_i$  are topological groups and all the  $u_{ij}$  are continuous group morphisms, show that  $\varprojlim_{i \in I} X_i$  is a topological group.
- e) (2) Let  $p$  be a prime number. Take  $I = \mathbb{N}$ , with the usual order,  $X_n = \mathbb{Z}/p^n\mathbb{Z}$  and  $u_{nm} : \mathbb{Z}/p^n\mathbb{Z} \rightarrow \mathbb{Z}/p^m\mathbb{Z}$  be the reduction modulo  $p^m$  map. Show that  $\mathbb{Z}_p := \varprojlim_{i \in I} X_i$  is a ring, and a compact topological group for the addition.

4. Let  $p$  be a prime number. We define the  $p$ -adic norm  $|\cdot|_p$  on  $\mathbb{Q}$  in the following way :

- $|0|_p = 0$ ;
  - if  $x$  is a nonzero rational number, we write  $x = p^n y$  with  $y$  a rational number whose numerator and denominator are prime to  $p$ , and we set  $|x|_p = p^{-n}$ .
- a) (2) Show that we have, for every  $x, y \in \mathbb{Q}$  :
- $|x + y|_p \leq \max(|x|_p, |y|_p)$ , with equality if  $|x|_p \neq |y|_p$ ;
  - $|xy|_p = |x|_p |y|_p$ .

In particular, the  $p$ -adic distance function  $d(x, y) = |x - y|_p$  is a metric on  $\mathbb{Q}$ . We denote by  $\mathbb{Q}_p$  the completion of  $\mathbb{Q}$  for this metric.

- b) (4) Show that the  $p$ -adic norm  $|\cdot|_p$ , the addition and the multiplication of  $\mathbb{Q}$  extend to  $\mathbb{Q}_p$  by continuity, that  $\mathbb{Q}_p$  is a field (called the *field of  $p$ -adic numbers*), and that the statements of (a) extend to  $\mathbb{Q}_p$ .
- c) (1) Show that the additive group of  $\mathbb{Q}_p$  is a topological group.
- d) (1) Calculate the subset  $|\mathbb{Q}_p|_p$  of  $\mathbb{R}$ .
- e) (1) Show that every open ball in  $\mathbb{Q}_p$  is also a closed ball, and that every closed ball of positive radius in  $\mathbb{Q}_p$  is also an open ball.
- f) (1) Show that  $\mathbb{Q}_p$  is totally disconnected (i.e. its only nonempty connected subsets are the singletons) but not discrete.
- g) (1) Show that a series  $\sum_{n \geq 0} x_n$  is convergent if and only if  $\lim_{n \rightarrow +\infty} |x_n|_p = 0$ .
- h) (1) If  $m \in \mathbb{Z}$  and  $(c_n)_{n \geq m}$  is a family of integers, show that the series  $\sum_{n \geq m} c_n p^n$  converges in  $\mathbb{Q}_p$ , and that its  $p$ -adic absolute value is  $\leq p^{-m}$ , with equality if  $c_m$  is prime to  $p$ .
- i) (2) Let  $x \in \mathbb{Q}_p - \{0\}$ . Show that there exists a unique  $m \in \mathbb{Z}$  and a unique family  $(c_n)_{n \geq m}$  of elements of  $\{0, 1, \dots, p-1\}$  such that  $x_m \neq 0$  and  $x = \sum_{n \geq m} c_n p^n$ , and that  $|x|_p = p^{-m}$ .
- j) (2) Let  $B = \{x \in \mathbb{Q}_p \mid \|x\|_p \leq 1\}$ . Show that this is a subring of  $\mathbb{Q}_p$ , and the closure of  $\mathbb{Z}$  in  $\mathbb{Q}_p$ .
- k) (2) We define a map  $u$  from  $B$  to  $\prod_{n \geq 0} \mathbb{Z}/p^n\mathbb{Z}$  in the following way : If  $x \in B$ , then, by question (e), we can find a Cauchy sequence  $(x_n)_{n \geq 0}$  of elements of  $\mathbb{Z}$  converging to  $x$ . After replacing it by a subsequence, we may assume that  $|x - x_n|_p \leq p^{-n}$  for every  $n$ . We set  $u(x) = (x_n \bmod p^n \mathbb{Z})_{n \geq 0}$ .

Show that  $u$  is well-defined, a homeomorphism from  $B$  to  $\mathbb{Z}_p$ , and that it is also a morphism of rings. We will use this to identify  $B$  and  $\mathbb{Z}_p$ .

- l) (2) We identify  $M_n(\mathbb{Q}_p)$  with  $\mathbb{Q}_p^{n^2}$ , we put the product topology on it, and we use the induced topology on  $\mathbf{GL}_n(\mathbb{Q}_p)$ . Show that  $\mathbf{GL}_n(\mathbb{Q}_p)$  is a locally compact topological group.
- m) (2) Show that  $\mathbf{GL}_n(\mathbb{Z}_p)$  is an open compact subgroup of  $\mathbf{GL}_n(\mathbb{Q}_p)$ . (Hint : Show that  $\mathbb{Z}_p^\times$  is closed in  $\mathbb{Z}_p$ .)

### Examples of Haar measures

5. (6) Let  $G$  be a topological group. Suppose that we have a homeomorphism of  $G$  with an open subset of some  $\mathbb{R}^N$  (not necessarily compatible with any groups structures), such that left translations on  $G$  are given by affine maps. That is, if we identify  $G$  with its image in  $\mathbb{R}^N$  (as a topological space only !), then, for every  $x \in G$ , there is a  $N \times N$  matrix  $A(x) \in M_N(\mathbb{R})$  and an element  $b(x) \in \mathbb{R}^N$  such that, for every  $y \in G$ , we have  $xy = A(x)y + b(x)$ .

Show that  $|\det A(x)|^{-1}dx$  is a left Haar measure on  $G$ , where  $dx$  denotes the Lebesgue measure on  $\mathbb{R}^N$ . (Hint : The change-of-variable formula. Also, start by proving that  $x$  uniquely determines  $A(x)$  and  $b(x)$ , and that  $x \mapsto A(x)$  is a morphism of groups from  $G$  to  $\mathbf{GL}_N(\mathbb{R})$ .)

6. In this problem,  $dx$  will always be the Lebesgue measure on  $\mathbb{R}$ .
- a) (1) Show that  $\frac{dx}{|x|}$  is a Haar measure on the multiplicative group  $\mathbb{R}^\times$ .
- b) (1) Show that  $\frac{dx dy}{x^2 + y^2}$  is a Haar measure on the multiplicative group  $\mathbb{C}^\times$ , with coordinates  $z = x + iy$ .
- c) (3) Let  $dT$  be the Lebesgue measure on  $M_n(\mathbb{R})$ . Show that  $|\det T|^{-n}dT$  is a left and right Haar measure on  $\mathbf{GL}_n(\mathbb{R})$ .
- d) (2) Let  $G = \left\{ \begin{pmatrix} x & y \\ 0 & z \end{pmatrix} \mid x, z \in \mathbb{R}^\times, y \in \mathbb{R} \right\}$ . Show that  $\frac{dx dy dz}{x^2 |z|}$  is a left Haar measure on  $G$ . Is it a right Haar measure ?

7. (extra credit) Consider the group  $G = (\mathbb{Z}/2\mathbb{Z})^\mathbb{N}$ .

- a) (1) Show that there exists a Haar measure  $\mu$  on  $G$  such that  $\mu(G) = 1$ .
- b) (2) Show that every open subset of  $G$  is a countable union of set of the form  $U = V \times (\mathbb{Z}/2\mathbb{Z})^{\mathbb{N}_{\geq n+1}}$ , with  $n \in \mathbb{N}$  and  $V \subset (\mathbb{Z}/2\mathbb{Z})^{\{0, \dots, n\}}$ , and that we have  $\mu(U) = \frac{|V|}{2^{n+1}}$ .
- c) (4) Consider the map  $u : G \rightarrow [0, 1]$  sending  $(x_n)_{n \in \mathbb{N}} \in G$  to  $\sum_{n \geq 0} x_n 2^{-n-1}$ . (We identify  $\mathbb{Z}/2\mathbb{Z}$  with  $\{0, 1\}$  in the definition of  $u$ .) Show that  $u$  is measurable and maps  $\mu$  to Lebesgue measure  $\lambda$  on  $[0, 1]$ . That is, show that, if  $B \subset [0, 1]$  is a Borel set, then  $u^{-1}(B)$  is a Borel set and  $\lambda(B) = \mu(u^{-1}(B))$ . (Hint : Show that the half-open intervals of the form  $[j2^{-k}, (j+1)2^{-k}]$  generate the Borel  $\sigma$ -algebra on  $[0, 1]$ , and calculate their inverse images by  $u$ .)

8. For  $x \in \mathbb{Q}_p$  and  $r \in \mathbb{R}$ , write  $B(x, r) = \{y \in \mathbb{Q}_p \mid |x - y|_p \leq r\}$  (the closed ball of center  $x$  and radius  $r$ ). Let  $\lambda$  be the Haar measure on  $\mathbb{Q}_p$  such that  $\lambda(\mathbb{Z}_p) = 1$ .
- a) (1) If  $x \in \mathbb{Q}_p$  and  $m \in \mathbb{Z}$ , show that  $\lambda(B(x, p^m)) = p^m$ .
- b) (2) For every Borel set  $X \subset \mathbb{Q}_p$ , show that

$$\lambda(X) = \inf \left\{ \sum_{i \geq 0} p^{m_i} \mid \exists x_0, x_1, \dots \in \mathbb{Q}_p \text{ with } X \subset \bigcup_{i \geq 0} B(x_i, p^{m_i}) \right\}.$$