

AN ANTICYCLOTOMIC EULER SYSTEM FOR ADJOINT MODULAR GALOIS REPRESENTATIONS

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ABSTRACT. Let K be an imaginary quadratic field and p a prime split in K . In this paper we construct an anticyclotomic Euler system for the adjoint representation attached to elliptic modular forms base changed to K . We also relate our Euler system to a p -adic L -function deduced from the construction by Eischen–Wan and Eischen–Harris–Li–Skinner of p -adic L -functions for unitary groups. This allows us to derive new cases of the Bloch–Kato conjecture in rank zero, and a divisibility towards an Iwasawa main conjecture.

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1. INTRODUCTION

The goal of this paper is to study the Bloch–Kato conjecture and the anticyclotomic Iwasawa theory of certain twists of the adjoint Galois representation attached to elliptic modular forms base changed to an imaginary quadratic field.

Our main result is the construction of an anticyclotomic Euler system in this setting, which we relate to an analogue of the Hida–Schmidt p -adic L -function for the symmetric square. By Kolyvagin’s methods, as developed by Jetchev–Nekovář–Skinner in the anticyclotomic setting, our results yield new cases of the Bloch–Kato conjecture in rank zero and a divisibility towards an Iwasawa main conjecture.

1.1. The set-up. Let $g \in S_l(N_g, \chi_g)$ be a newform of weight $l \geq 2$, level N_g , and nebentypus χ_g . Let K/\mathbb{Q} be an imaginary quadratic field, and let ψ be a Hecke character of K of infinity type $(1 - k, 0)$ for some even integer $k \geq 2$. We assume that the associated theta series $\theta_\psi \in S_k(N_\psi)$ has trivial nebentypus. Fix an odd prime $p \nmid 2N_g N_\psi$ and an embedding $\iota_p : \overline{\mathbb{Q}} \hookrightarrow \overline{\mathbb{Q}}_p$, and for simplicity in this Introduction assume that the Hecke field of g and the values of ψ are contained in a number field L with a prime \mathfrak{P} above p such that $L_{\mathfrak{P}} = \mathbb{Q}_p$. We assume that p splits in K and is a prime of ordinary reduction for g and, again for simplicity, that $p \nmid h_K$, the class number of K . We will also assume that g is not of CM-type.

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Let V_g be the (dual to Deligne's) p -adic Galois representation attached to g , and consider the conjugate self-dual G_K -representation

$$V := \mathrm{ad}^0(V_g)(\psi^{-1})(1 - k/2),$$

where $\mathrm{ad}^0(V_g) \subset \mathrm{End}_{\mathbb{Q}_p}(V_g)$ is the adjoint representation on the trace 0 endomorphisms of V_g .

1.2. Euler systems and p -adic L -functions. In this paper we construct an anticyclotomic Euler system for V and relate it to an associated anticyclotomic p -adic L -function.

For each integer m , denote by $K[m]$ the maximal p -extension inside the ring class field of K of conductor m , and denote by \mathcal{S}' the set of all squarefree products of primes q in the positive density set \mathcal{P}' of Definition 5.2. For any p -adic G_K -representation W and a prime \mathfrak{q} of K , put

$$P_{\mathfrak{q}}(W; X) = \det(1 - \mathrm{Fr}_{\mathfrak{q}}^{-1} X | W^{\vee}(1)),$$

where $\mathrm{Fr}_{\mathfrak{q}}$ denotes an arithmetic Frobenius element for the prime \mathfrak{q} . A natural lattice $T_g \subset V_g$ described in §2.1 defines a lattice in V denoted by T .

Theorem A (Theorem 5.4). *Assume that $H^1(K[mp^s], T)$ is torsion-free for all $m \in \mathcal{S}'$ and $s \geq 0$. There exists a collection of classes*

$$\left\{ \kappa_{\psi, \mathrm{ad}^0(g), m, \infty} \in H_{\mathrm{Iw}}^1(K[mp^{\infty}], T) : m \in \mathcal{S}' \right\}$$

such that whenever $m, mq \in \mathcal{S}'$ with q a prime, we have

$$\mathrm{cor}_{K[mq]/K[m]}(\kappa_{\psi, \mathrm{ad}^0(g), mq, \infty}) = P_{\mathfrak{q}}(V; \mathrm{Fr}_{\mathfrak{q}}^{-1}) \kappa_{\psi, \mathrm{ad}^0(g), m, \infty},$$

where \mathfrak{q} is any of the primes of K above q .

We obtain the Euler system classes $\kappa_{\psi, \mathrm{ad}^0(g), m, \infty}$ from a suitable modification of the diagonal classes for

$$V_{\mathrm{ad}(g)}^{\psi} := V_g \otimes V_{g^*}(\psi^{-1})(1 - c)$$

constructed in [ACR21], where $g^* = g \otimes \chi_g^{-1}$ is the form dual to g , and $c = (k + 2l - 2)/2$. It follows from our construction (and the results of [BSV21] that it builds upon) that $\kappa_{\psi, \mathrm{ad}^0(g), m, \infty}$ lands in the balanced Selmer groups $\mathrm{Sel}_{\mathrm{bal}}(K[mp^{\infty}], T)$ introduced in §2.3.

Next we are interested in the non-triviality of our Euler system in terms of L -values. To this end, in §4 we use some basic instances of Langlands functoriality to deduce from the work of Eischen–Harris–Li–Skinner [EHLS20] the construction of a p -adic L -function

$$L_p(\mathrm{ad}^0(g_K) \otimes \psi) \in \mathrm{Frac} \Lambda^{\mathrm{ac}}$$

interpolating the central L -value $L(V, 0)$ twisted by anticyclotomic Hecke characters, where Λ^{ac} is the Iwasawa algebra for the anticyclotomic \mathbb{Z}_p -extension K_{∞}/K . Denoting by $\kappa_{\psi, \mathrm{ad}(g), \infty}$ the image of $\kappa_{\psi, \mathrm{ad}(g), 1, \infty}$ in $\mathrm{Sel}_{\mathrm{umb}}(K_{\infty}, T)$, we can then prove the following.

Write $(p) = \mathfrak{p}\bar{\mathfrak{p}}$, with \mathfrak{p} the prime of K above p induced by ι_p .

Theorem B (Corollary 5.7). *Under some technical hypotheses on ψ , there is a Perrin-Riou big logarithm map $\mathfrak{L}\mathfrak{og}$ such that*

$$\mathfrak{L}\mathfrak{og}(\mathrm{res}_{\bar{\mathfrak{p}}}(\kappa_{\psi, \mathrm{ad}^0(g), \infty}))^2 = L_p(\mathrm{ad}^0(g_K) \otimes \psi) \cdot \mathcal{L}_{\mathfrak{p}}^{\mathrm{Katz}}(\psi)^{-, \iota}$$

up to multiplication by an element in $\overline{\mathbb{Q}}_p^{\times}$, where $\mathcal{L}_{\mathfrak{p}}^{\mathrm{Katz}}(\psi)^{-, \iota}$ is an anticyclotomic projection of Katz's p -adic L -function.

The proof of this result builds on the explicit reciprocity law of [BSV21] and a factorization formula for Hsieh's triple product p -adic L -function (see Theorem 4.9). This factorization is a p -adic manifestation of the Artin formalism arising from the decomposition

$$(1.1) \quad V_{\mathrm{ad}(g)}^{\psi} \simeq V \oplus V',$$

where $V' = \mathbb{Q}_p(\psi^{-1})(1 - k/2)$, and may be seen as an anticyclotomic analogue of Dasgupta's factorization [Das16]. However, the proof in our case is largely simplified by the fact that the p -adic L -functions involved have overlapping ranges of p -adic interpolation. Indeed, in our case the weight ranges for which $s = 0$ is a central critical value of the L -function attached to V and V' is given by the following table:

	V	V'
$k \geq 2l$	critical	critical
$k < 2l$	noncritical	critical

while the p -adic L -function for $V_{\text{ad}(g)}^\psi$ interpolates the central critical values $L(V_{\text{ad}(g)}^\psi, 0)$ in the range $k \geq 2l$.

The technical hypotheses on ψ are used to ensure that the congruence ideal of a Hida family attached to ψ is generated by a second anticyclotomic projection of Katz's p -adic L -function, which in turn interpolates the ratio between two different types of periods.

1.3. Applications. Using Kolyvagin's methods, as developed by Jetchev–Nekovář–Skinner [JNS] in the anticyclotomic setting, we can deduce bounds on Selmer groups from the non-triviality of our Euler system. Our main result in this direction is the proof of new cases of the Bloch–Kato conjecture [BK90] in rank zero.

For the statement, we denote by ε_ℓ the epsilon factor attached to the Weil–Deligne representation associated with the restriction of $\text{Ind}_K^\mathbb{Q}(V_{\text{ad}(g)}^\psi)$ to $G_{\mathbb{Q}_\ell}$. It is then known that the sign $\varepsilon(V_{\text{ad}(g)}^\psi)$ in the functional equation for $L(V_{\text{ad}(g)}^\psi, s)$ is given by

$$\varepsilon(V_{\text{ad}(g)}^\psi) = \prod_{\ell \leq \infty} \varepsilon_\ell,$$

where $\varepsilon_\infty = +1$ if $k \geq 2l$ and -1 if $2 \leq k < 2l$. On the other hand, here we say that V has “big image” if it satisfies the explicit conditions in Proposition 6.3.

Theorem C (Theorem 7.4). *In addition to the above hypotheses, assume that:*

- (a) $\varepsilon_\ell = +1$ for all primes $\ell \mid N_g N_\psi$,
- (b) $\gcd(N_g, N_\psi)$ is squarefree,
- (c) g is non-Eisenstein mod p ,
- (d) V has big image,
- (e) $L(\theta_\psi, k/2) \neq 0$.

If $k \geq 2l$ then the following implication holds:

$$L(V, 0) \neq 0 \implies \text{Sel}(K, V) = 0,$$

where $\text{Sel}(K, V)$ is the Bloch–Kato Selmer group.

Note that the hypotheses in Theorem C imply that $L(V, s)$ has sign $+1$ in its functional equation, and so the nonvanishing of $L(V, 0)$ is expected to hold generically.

We can also deduce applications to the Iwasawa main conjecture for V . More precisely, under certain hypotheses, Greenberg's general formulation of the Iwasawa main conjecture for motives [Gre94] leads to the prediction that the unbalanced Selmer group $\text{Sel}_{\text{unb}}(K_\infty, A)$ defined in §2, where $A = V/T$, is Λ^{ac} -cotorsion, with characteristic ideal generated by $L_p(\text{ad}^0(g_K) \otimes \psi)$. In the direction of this conjecture we can prove the following, where we let \mathbb{Z}_p^{ur} denote the completion of the ring of integers of the maximal unramified extension of \mathbb{Q}_p .

Theorem D (Theorem 7.6). *In addition to the above hypotheses, assume that:*

- (a) $\varepsilon_\ell = +1$ for all primes $\ell \mid N_g N_\psi$,
- (b) $\gcd(N_g, N_\psi)$ is squarefree,
- (c) g is non-Eisenstein mod p ,

- (d) V has big image,
- (e) θ_ψ has global root number $\varepsilon(\theta_\psi) = +1$.

If $L_p(\mathrm{ad}^0(g_K) \otimes \psi) \neq 0$, then $\mathrm{Sel}_{\mathrm{unb}}(K_\infty, A)$ is Λ^{ac} -cotorsion, with

$$\mathrm{Char}_{\Lambda^{\mathrm{ac}}}(\mathrm{Sel}_{\mathrm{unb}}(K_\infty, A)^\vee) \supset (L_p(\mathrm{ad}^0(g_K) \otimes \psi) \cdot \mathcal{L}_p^{\mathrm{Katz}}(\psi)^{-,\iota})$$

in $\mathbb{Z}_p^{\mathrm{ur}} \hat{\otimes}_{\mathbb{Z}_p} \Lambda^{\mathrm{ac}}[1/p]$.

Note that the presence of $\mathcal{L}_p^{\mathrm{Katz}}(\psi)^{-,\iota}$ in the divisibility of Theorem D is analogous to the appearance of the Kubota–Leopoldt p -adic L -function in the divisibility towards the Iwasawa main conjecture for the Galois representation attached to the symmetric square of a modular form in [LZ19, Thm. B].

In fact, the present work originated from an attempt to develop anticyclotomic analogues of the results in [op. cit.]. In particular, the idea in of modifying the diagonal cycle classes of [ACR21] to obtain the correct norm relations (see §5.1) was adopted from their work.

1.4. Outline of the paper. We begin by introducing in §2 our set-up and Galois representation of interest, and various Selmer groups associated with it. In §3 we describe in detail the construction of the diagonal cycle class giving rise to the bottom class of our Euler system, and study its behaviour according to a certain sign (given by $\varepsilon(\theta_\psi)$ in the notations of Theorem D). The results of this section, which are developed in a slightly more general setting than the rest of the paper, are unnecessary for the proof of our main results, but they are included here for completeness (in particular, Proposition 3.2 might be of independent interest). In §4 we introduce the different p -adic L -functions that appear in our picture, including an analogue of the Hida–Schmidt p -adic L -function deduced from the work of Eischen *et. al.* on p -adic L -functions for unitary groups, and prove the aforementioned analogue of Dasgupta’s factorization. Finally, in §5 we give the construction of our Euler system by suitably modifying the diagonal cycle Euler system classes constructed in our previous work [ACR21], and in §6 and §7 we apply this to deduce the arithmetic applications highlighted in the Introduction.

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2. GALOIS REPRESENTATIONS AND SELMER GROUPS

In this section we introduce our Galois representations of interest and the Selmer groups associated with them that we shall be studying.

2.1. Galois representations. Let $g = \sum_{n=1}^{\infty} a_n(g)q^n \in S_l(N_g, \chi_g)$ be a newform of weight $l \geq 2$, level N_g , and nebentypus χ_g . Let $p > 2$ be a prime and let $E = L_{\mathfrak{P}}$ be a finite extension of \mathbb{Q}_p with ring of integers \mathcal{O} arising as the completion of the Hecke field L of g at a prime \mathfrak{P} above p . By work of Eichler–Shimura and Deligne, there is a two-dimensional representation

$$\rho_g : G_{\mathbb{Q}} \longrightarrow \mathrm{GL}_E(V_g) \simeq \mathrm{GL}_2(E)$$

unramified outside pN_g and characterized by the property that

$$\mathrm{trace} \rho_g(\mathrm{Fr}_q) = a_q(g)$$

for all primes $q \nmid pN_g$, where Fr_q denotes an arithmetic Frobenius element at q . As in [ACR21], we shall work with the geometric realization of V_g arising as the maximal quotient of

$$H_{\mathrm{et}}^1(Y_1(N_g)_{\overline{\mathbb{Q}}}, \mathcal{L}_{l-2}(1)) \otimes_{\mathbb{Z}_p} E$$

on which the dual Hecke operators T'_q and $\langle d \rangle'$ act as multiplication by $a_q(g)$ and $\chi_g(d)$ for all primes $q \nmid N_g$ and all $d \in (\mathbb{Z}/N_g\mathbb{Z})^\times$. We also let $T_g \subset V_g$ be the \mathcal{O} -lattice defined by the natural image of

$$H_{\text{et}}^1(Y_1(N_g)_{\overline{\mathbb{Q}}}, \mathcal{L}_{l-2}(1)) \otimes_{\mathbb{Z}_p} \mathcal{O}$$

under the quotient map $H_{\text{et}}^1(Y_1(N_g)_{\overline{\mathbb{Q}}}, \mathcal{L}_{l-2}(1)) \otimes_{\mathbb{Z}_p} E \twoheadrightarrow V_g$.

Throughout the following, we shall assume that g is not of CM-type.

2.2. The adjoint representation. Let K be an imaginary quadratic field of discriminant $-D_K < 0$. Let ψ be a Hecke character of K of infinity type $(1 - k, 0)$ for some even integer $k \geq 2$ and central character equal to ε_K , the quadratic character attached to K/\mathbb{Q} (thus the associated theta series θ_ψ has trivial nebentypus). We assume that ψ has conductor $\mathfrak{c} \subset \mathcal{O}_K$ prime to p and, upon enlarging \mathcal{O} if necessary, that its p -adic avatar $\psi_{\mathfrak{p}}$ takes values in \mathcal{O} .

Definition 2.1. Let V be the E -valued G_K -representation given by

$$V := \text{ad}^0(V_g)(\psi_{\mathfrak{p}}^{-1})(1 - k/2),$$

where $\text{ad}^0(V_g) \subset \text{End}_E(V_g)$ is the adjoint representation on the trace 0 endomorphisms of V_g .

Let $g^* = g \otimes \chi_g^{-1}$ be the form dual to g . We shall study the arithmetic of V by exploiting the decomposition

$$(2.1) \quad V_{\text{ad}(g)}^\psi := V_g \otimes V_{g^*}(\psi_{\mathfrak{p}}^{-1})(1 - c) \simeq V \oplus V',$$

where $c = (k + 2l - 2)/2$ and $V' = E(\psi_{\mathfrak{p}}^{-1})(1 - k/2)$.

2.3. Selmer groups. From now on, we assume that p is a prime of good ordinary reduction for g such that

$$(2.2) \quad (p) = \mathfrak{p}\overline{\mathfrak{p}} \text{ splits in } K,$$

with \mathfrak{p} the prime of K above p determined by our fixed embedding $\iota_p : \overline{\mathbb{Q}} \hookrightarrow \overline{\mathbb{Q}}_p$.

By p -ordinarity, the Galois representation V_g is equipped with a $G_{\mathbb{Q}_p}$ -stable filtration

$$0 \longrightarrow V_g^+ \longrightarrow V_g \longrightarrow V_g^- \longrightarrow 0$$

with V_g^\pm one-dimensional and the $G_{\mathbb{Q}_p}$ -action on V_g^- given by the unramified character sending an arithmetic Frobenius Fr_p to α_g , the p -adic unit root of $x^2 - a_p(g)x + \chi_g(p)p^{l-1}$. Of course, twisting these by χ_g^{-1} we obtain $V_{g^*}^\pm = V_g^\pm \otimes \chi_g^{-1}$.

Let F/K be any finite extension and, for $v \mid p$ any prime of F above p , define

$$(2.3) \quad \mathcal{F}_v^{\text{bal}}(V_{\text{ad}(g)}^\psi) := \begin{cases} (V_g^+ \otimes V_{g^*} + V_g \otimes V_{g^*}^+)(\psi_{\mathfrak{p}}^{-1})(1 - c) & \text{if } v \mid \mathfrak{p}, \\ V_g^+ \otimes V_{g^*}^+(\psi_{\mathfrak{p}}^{-1})(1 - c) & \text{if } v \mid \overline{\mathfrak{p}}, \end{cases}$$

and

$$(2.4) \quad \mathcal{F}_v^{\text{unb}}(V_{\text{ad}(g)}^\psi) := \begin{cases} V_{\text{ad}(g)}^\psi & \text{if } v \mid \mathfrak{p}, \\ \{0\} & \text{if } v \mid \overline{\mathfrak{p}}, \end{cases}$$

and, for $? \in \{\text{bal}, \text{unb}\}$, put $\mathcal{F}_v^?(V) = \mathcal{F}_v^?(V_{\text{ad}(g)}^\psi) \cap V$ and $\mathcal{F}_v^?(V') = \mathcal{F}_v^?(V_{\text{ad}(g)}^\psi) \cap V'$.

Fix Σ any finite set of places of K containing ∞ and the primes dividing pN_gN_ψ . With a slight abuse of notation, for any finite extension of F/K we also denote by Σ the set of places of F lying over the places in Σ , and denote by $G_{F,\Sigma}$ the Galois group of the maximal extension of F unramified outside Σ .

Definition 2.2. Let F/K be a finite extension, and for $M \in \{V_{\text{ad}(g)}^\psi, V, V'\}$ and $? \in \{\text{bal}, \text{unb}\}$ define the Selmer group $\text{Sel}_?(F, M)$ by

$$\text{Sel}_?(F, M) = \ker \left(H^1(G_{F,\Sigma}, M) \longrightarrow \prod_{v|\mathfrak{p}} \frac{H^1(F_v, M)}{H^1_?(F_v, M)} \times \prod_{v \in \Sigma, v \nmid \mathfrak{p}} H^1(F_v^{\text{nr}}, M) \right),$$

where

$$H^1_?(F_v, M) = \text{im}(H^1(F_v, \mathcal{F}_v^?(M)) \longrightarrow H^1(F_v, M)).$$

We call $\text{Sel}_{\text{bal}}(F, M)$ (resp. $\text{Sel}_{\text{unb}}(F, M)$) the *balanced* (resp. *unbalanced*) Selmer group.

Remark 2.3. Let $f = \theta_\psi$ be the weight k eigenform associated with ψ , and denote by $V_{f,gg^*} := V_f \otimes V_g \otimes V_{g^*}(1-c)$ the Kummer self-dual twist of the Galois representation attached to (f, g, g^*) . Then one can easily check that the isomorphism from Shapiro's lemma

$$H^1(\mathbb{Q}, V_{f,gg^*}) \simeq H^1(K, V_{\text{ad}(g)}^\psi)$$

identifies the Selmer groups $\text{Sel}_{\text{bal}}(\mathbb{Q}, V_{f,gg^*})$ and $\text{Sel}_f(\mathbb{Q}, V_{f,gg^*})$ considered in [ACR21, Def. 7.5] with the above $\text{Sel}_{\text{bal}}(K, V_{\text{ad}(g)}^\psi)$ and $\text{Sel}_{\text{unb}}(K, V_{\text{ad}(g)}^\psi)$, respectively.

Put $T_{\text{ad}(g)}^\psi = T_g \otimes T_{g^*}(\psi_{\mathfrak{p}}^{-1})(1-c)$. Then the decomposition (2.1) induces a decomposition

$$T_{\text{ad}(g)}^\psi \simeq T \oplus T',$$

where T and T' are lattices in V and V' , respectively. We also set

$$A_{\text{ad}(g)}^\psi = V_{\text{ad}(g)}^\psi / T_{\text{ad}(g)}^\psi, \quad A = V/T, \quad A' = V'/T'.$$

Then, for $? \in \{\text{bal}, \text{unb}\}$ and $M \in \{T_{\text{ad}(g)}^\psi, T, T', A_{\text{ad}(g)}^\psi, A, A'\}$, we define the local conditions $H^1_?(F_v, M)$ from the local conditions above by propagation, and use them to define the Selmer groups $\text{Sel}_?(K, M)$ using the same recipe as in Definition 2.2. Finally, for $M_1 \in \{T_{\text{ad}(g)}^\psi, T, T'\}$ and $M_2 \in \{A_{\text{ad}(g)}^\psi, A, A'\}$, we put

$$\text{Sel}_?(K_\infty, M_1) := \varprojlim_n \text{Sel}_?(K_n, M_1), \quad \text{Sel}_?(K_\infty, M_2) := \varinjlim_n \text{Sel}_?(K_n, M_2),$$

where the limits are with respect to corestriction and restriction, respectively.

To help orient the reader, we note the following simple relation between the different Selmer groups introduced above.

Proposition 2.4. *The decomposition $V_{\text{ad}(g)}^\psi = V \oplus V'$ induces isomorphisms*

$$\text{Sel}_{\text{bal}}(K_\infty, T_{\text{ad}(g)}^\psi) \simeq \text{Sel}_{\text{bal}}(K_\infty, T) \oplus \text{Sel}(K_\infty, T'),$$

$$\text{Sel}_{\text{unb}}(K_\infty, T_{\text{ad}(g)}^\psi) \simeq \text{Sel}_{\text{unb}}(K_\infty, T) \oplus \text{Sel}(K_\infty, T'),$$

where $\text{Sel}(K_\infty, T')$ is the usual Selmer group for T' .

Proof. It suffices to show that for any finite extension F/K we have

$$\text{Sel}_{\text{bal}}(F, V') \simeq \text{Sel}_{\text{unb}}(F, V') \simeq \text{Sel}(F, V'),$$

where $\text{Sel}(F, V')$ is the Bloch–Kato Selmer group of $V' = E(\psi_{\mathfrak{p}}^{-1})$, which is given by

$$\text{Sel}(F, V') = \ker \left(H^1(G_{F,\Sigma}, V') \longrightarrow \prod_{v|\bar{\mathfrak{p}}} H^1(F_v, V') \times \prod_{v \in \Sigma, v \nmid \bar{\mathfrak{p}}} H^1(F_v, V') \right)$$

(see [AH06, §1.1] or [Arn07, §1.2]). For $\text{Sel}_{\text{unb}}(F, V')$ this is clear from (2.4); for $\text{Sel}_{\text{bal}}(F, V')$ it follows by noting that the subspace $\mathcal{F}_v^{\text{bal}}(V_{\text{ad}(g)}^\psi) \subset V_{\text{ad}(g)}^\psi$ in (2.3) contains V' for $v | \mathfrak{p}$ and intersects trivially with it for $v | \bar{\mathfrak{p}}$. \square

3. CONSTRUCTION OF THE BOTTOM CLASS

In this section, we recall the construction of a Λ -adic cohomology class associated with the triple product of three modular forms as explained in [BSV21]. We follow the exposition in [op. cit.] with slight modifications and specializing the discussion to the case of interest in this paper. At the end of this section we analyse the behaviour of this cohomology class depending on the sign of one of the modular forms.

This section is independent of the rest of the paper, and the reader solely interested in the results stated in the Introduction, can proceed to Section 4.

Let f and g be newforms of weight $k = r_1 + 2$ and $l = r_2 + 2$, level N_f and N_g and character $\chi_f = 1$ and χ_g , respectively. We assume that $p \nmid 2N_f N_g$ and that both f and g are ordinary at p . We denote by g^* the newform obtained by conjugating the Fourier coefficients of g . Let L be a finite extension of \mathbb{Q} containing the Fourier coefficients of f and g and let $E = L_{\mathfrak{P}}$ be its completion at a prime \mathfrak{P} above p , with ring of integers \mathcal{O} . Define $N = \text{lcm}(N_f, N_g)$.

Consider the Iwasawa algebra $\Lambda = \mathbb{Z}_p[[1 + p\mathbb{Z}_p]]$. There exist finite flat Λ -modules $\Lambda_{\mathbf{f}}$ and $\Lambda_{\mathbf{g}}$ and primitive Hida families $\mathbf{f} \in \Lambda_{\mathbf{f}}[[q]]$ and $\mathbf{g} \in \Lambda_{\mathbf{g}}[[q]]$ passing through the ordinary p -stabilizations f_α and g_α of f and g , respectively. Let \mathbf{g}^* be the Hida family $\mathbf{g} \otimes \chi_g^{-1}$, which passes through the ordinary p -stabilization g_α^* of g^* . Our conventions for Hida families are the same as those in [op. cit., §5].

Let $\text{Cont}(\mathbb{Z}_p, \Lambda)$ be the Λ -module of continuous functions on \mathbb{Z}_p with values in Λ . To make notation less cumbersome, we denote by $[z]$ the group-like element $[\langle z \rangle]$ in Λ . For each integer i , let $\kappa_i : \mathbb{Z}_p^\times \rightarrow \Lambda^\times$ be the character defined by $z \mapsto \omega^i(z)[z]$. We also define the sets $\mathbb{T} = \mathbb{Z}_p^\times \times \mathbb{Z}_p$ and $\mathbb{T}' = p\mathbb{Z}_p \times \mathbb{Z}_p^\times$. Then, we can define the Λ -modules

$$\begin{aligned} \mathcal{A}_i &= \{f : \mathbb{T} \rightarrow \Lambda \mid f(1, z) \in \text{Cont}(\mathbb{Z}_p, \Lambda) \text{ and } f(a \cdot t) = \kappa_i(a) \cdot f(t) \text{ for all } a \in \mathbb{Z}_p^\times, t \in \mathbb{T}\}, \\ \mathcal{A}'_i &= \{f : \mathbb{T}' \rightarrow \Lambda \mid f(pz, 1) \in \text{Cont}(\mathbb{Z}_p, \Lambda) \text{ and } f(a \cdot \gamma) = \kappa_i(a) \cdot f(\gamma) \text{ for all } a \in \mathbb{Z}_p^\times, \gamma \in \mathbb{T}'\}, \\ \mathcal{D}_i &= \text{Hom}_{\text{cont}, \Lambda}(\mathcal{A}_i, \Lambda), \quad \mathcal{D}'_i = \text{Hom}_{\text{cont}, \Lambda}(\mathcal{A}'_i, \Lambda). \end{aligned}$$

We define in addition characters $\kappa_f^*, \kappa_g^*, \kappa_h^*, \kappa^* : \mathbb{Z}_p^\times \rightarrow \Lambda \hat{\otimes} \Lambda \hat{\otimes} \Lambda$ by

$$\begin{aligned} \kappa_f^*(z) &= \omega^{r_2 - r_1/2}(z)[z]^{-1/2} \otimes [z]^{1/2} \otimes [z]^{1/2} \\ \kappa_g^*(z) &= \omega^{r_1/2}(z)[z]^{1/2} \otimes [z]^{-1/2} \otimes [z]^{1/2} \\ \kappa_h^*(z) &= \omega^{r_1/2}(z)[z]^{1/2} \otimes [z]^{1/2} \otimes [z]^{-1/2} \\ \kappa^*(z) &= \omega^{r_1/2 + r_2}(z)[z]^{1/2} \otimes [z]^{1/2} \otimes [z]^{1/2}. \end{aligned}$$

We denote by $\boldsymbol{\kappa}^*$ the character of the Galois group $G_{\mathbb{Q}}$ defined by $\boldsymbol{\kappa}^* = \kappa^* \circ \epsilon_{\text{cyc}}$, and similarly for the other characters introduced above.

Let $Y = Y_1(N, p)$ denote the same modular curve as in [BSV21, §8.1] and let $\Gamma = \Gamma_1(N, p)$ be the corresponding modular group. The function

$$\mathbf{Det} : \mathbb{T}' \times \mathbb{T} \times \mathbb{T} \longrightarrow \Lambda \hat{\otimes} \Lambda \hat{\otimes} \Lambda,$$

defined as in [loc. cit.], yields an element in the group

$$H_{\text{et}}^0(Y, \mathcal{A}'_{r_1} \otimes \mathcal{A}_{r_2} \otimes \mathcal{A}_{r_2}(-\kappa^*)).$$

Then, with essentially the same notations as in [loc. cit.], we define the class

$$\kappa^{(1)} = \frac{1}{a_p(\mathbf{f})} \mathbf{s}_{\mathbf{fgh}} \circ (e_{\text{ord}} \otimes e_{\text{ord}} \otimes e_{\text{ord}}) \circ (w_p \otimes 1 \otimes 1) \circ \mathbf{K} \circ \mathbf{HS} \circ d_*(\mathbf{Det})$$

inside the group

$$H^1(\mathbb{Q}, H^1(\Gamma, \mathcal{D}'_{r_1})^{\text{ord}} \hat{\otimes} H^1(\Gamma, \mathcal{D}'_{r_2})^{\text{ord}} \hat{\otimes} H^1(\Gamma, \mathcal{D}'_{r_2})^{\text{ord}}(2 - \boldsymbol{\kappa}^*)).$$

For a \mathbb{Z}_p -algebra A , let $L_{r_2}(A)$ be defined as in [op. cit., p. 17]. We will sometimes denote $L_{r_2}(\mathbb{Z}_p)$ simply by L_{r_2} . According to [op. cit., eq. (90)], the Λ -module $H^1(\Gamma, \mathcal{D}'_{r_2})^{\text{ord}}$ specializes to $H^1(\Gamma, L_{r_2})^{\text{ord}}$ at weight $l = r_2 + 2$. Therefore, the class $\kappa^{(1)}$ yields a class

$$\kappa^{(2)} \in H^1(\mathbb{Q}, H^1(\Gamma, \mathcal{D}'_{r_1})^{\text{ord}} \otimes H^1(\Gamma, L_{r_2})^{\text{ord}} \otimes H^1(\Gamma, L_{r_2})^{\text{ord}}(2 - r_2 - \kappa_f^{1/2})),$$

where $\kappa_f^{1/2} : \mathbb{Z}_p^\times \rightarrow \Lambda_{\mathbf{f}}^\times$ is defined by $z \mapsto \omega^{r_1/2}(z)[z]^{1/2}$ and $\kappa_f^{1/2} = \kappa_f^{1/2} \circ \epsilon_{\text{cyc}}$.

We define Hecke operators acting on group cohomology as in [ACR21, §5.3]. Let $\mathbb{V}_{\mathbf{f}}(N)$ be the maximal quotient of $H^1(\Gamma, \mathcal{D}'_{r_1})^{\text{ord}}(1) \otimes_{\Lambda} \Lambda_{\mathbf{f}}$ on which the Hecke operators T'_q for primes $q \nmid N$ act as multiplication by $a_q(\mathbf{f})$ and the diamond operators $[d]'_N$ act as multiplication by $\chi_f(d)$ (actually, the character χ_f is trivial in our case). We define $T_g(N)$ and $T_{g^*}(N)$ in a similar way as quotients of $H^1(\Gamma, L_{r_2}(\mathcal{O}))^{\text{ord}}(1)$. Also, let $\mathbb{V}_{\mathbf{f}}$ be the maximal quotient of $H^1(\Gamma_1(N_f, p), \mathcal{D}'_{r_1})^{\text{ord}}(1) \otimes_{\Lambda} \Lambda_{\mathbf{f}}$ on which the Hecke operators T'_q act as multiplication by $a_q(\mathbf{f})$ and the diamond operators $[d]'_N$ act as multiplication by $\chi_f(d)$ and define T_g and T_{g^*} in a similar way as quotients of $H^1(\Gamma_1(N_g, p), L_{r_2}(\mathcal{O}))^{\text{ord}}(1)$.

To shorten notation, we define

$$\begin{aligned} \mathbb{V}(\mathbf{f}, g, g^*) &= \mathbb{V}_{\mathbf{f}} \otimes T_g \otimes T_{g^*}(-1 - r_2 - \kappa_f^{1/2}), \\ \mathbb{V}(\mathbf{f}, g, g^*)(N) &= \mathbb{V}_{\mathbf{f}}(N) \otimes T_g(N) \otimes T_{g^*}(N)(-1 - r_2 - \kappa_f^{1/2}), \\ \mathbb{V}(\mathbf{f}, g, g^*)_f &= \mathbb{V}_{\mathbf{f}}^-(N) \otimes T_g^+ \otimes T_{g^*}^+(-1 - r_2 - \kappa_f^{1/2}), \\ \mathbb{V}(\mathbf{f}, g, g^*)_f(N) &= \mathbb{V}_{\mathbf{f}}^-(N) \otimes T_g^+(N) \otimes T_{g^*}^+(N)(-1 - r_2 - \kappa_f^{1/2}). \end{aligned}$$

We also introduce

$$\begin{aligned} M(\mathbf{f}, g, g^*)_f &= \mathbb{V}_{\mathbf{f}}^- \hat{\otimes} T_g^+ \hat{\otimes} T_{g^*}^+(-2 - 2r_2) \hat{\otimes} \Lambda(\kappa^{-1})[1/p], \\ M(\mathbf{f}, g, g^*)_f(N) &= \mathbb{V}_{\mathbf{f}}^-(N) \hat{\otimes} T_g^+(N) \hat{\otimes} T_{g^*}^+(N)(-2 - 2r_2) \hat{\otimes} \Lambda(\kappa^{-1})[1/p], \end{aligned}$$

where $\kappa : G_{\mathbb{Q}} \rightarrow \Lambda^\times$ is defined by $\kappa(\sigma) = \omega^{r_1/2 - r_2 - 1}(\epsilon_{\text{cyc}}(\sigma))[\epsilon_{\text{cyc}}(\sigma)]$.

The class $\kappa^{(2)}$ yields a class

$$\kappa^{(2)}(\mathbf{f}, g, g^*) \in H^1(\mathbb{Q}, \mathbb{V}(\mathbf{f}, g, g^*)(N)).$$

This is the class defined in [BSV21, eq. 155] specialized to weight l in the second and third factors. It follows from [op. cit., Cor. 8.2] that the restriction at p of this class belongs to the group

$$H_{\text{bal}}^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)(N)).$$

For a choice of level- N test vectors $\check{\mathbf{f}} = \varpi_{\check{\mathbf{f}}}^*(\mathbf{f})$, $\check{g} = \varpi_{\check{g}}^*(g_{\alpha})$, $\check{h} = \varpi_{\check{h}}^*(g_{\alpha}^*)$, we have a map

$$\mathfrak{L}\text{og}(\check{\mathbf{f}}, \check{g}, \check{h}) : H_{\text{bal}}^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)(N)) \longrightarrow \Lambda_{\mathbf{f}}[1/p]$$

obtained from the map defined in [op. cit., Prop. 7.3] by specializing to weight l the second and third variables. It follows from [op. cit., Thm. A] that the image of $\text{res}_p(\kappa^{(2)}(\mathbf{f}, g, h))$ under the map above is an element $\mathcal{L}_p(\check{\mathbf{f}}, \check{g}, \check{h}) \in \Lambda_{\mathbf{f}}[1/p]$ such that, for all $k' \geq 2l$ satisfying $k' \equiv k \pmod{2(p-1)}$,

$$\mathcal{L}_p(\check{\mathbf{f}}, \check{g}, \check{h})(k') = \frac{\langle \check{\mathbf{f}}_{k'}^w, \delta^t \check{g} \times \check{h} \rangle_{Np}}{\langle \check{\mathbf{f}}_{k'}^w, \check{\mathbf{f}}_{k'}^w \rangle_{Np}}.$$

Let $\bar{\mathcal{L}}_{\check{\mathbf{f}}\check{g}\check{h}}$ be the map defined in [op. cit., Prop. 7.1] specialized to weight l in the second and third variables and let $\eta_{\check{\mathbf{f}}} = \varpi_{\check{\mathbf{f}}}^*(\eta_{\mathbf{f}})$, $\omega_{\check{g}} = \varpi_{\check{g}}^*(g_{\alpha})$, $\omega_{\check{h}} = \varpi_{\check{h}}^*(g_{\alpha}^*)$ be the differentials introduced in [op. cit., eq. (122)] and [op. cit., eq. (30)]. Then, we obtain a map

$$\langle \bar{\mathcal{L}}_{\check{\mathbf{f}}\check{g}\check{h}}(-), \eta_{\check{\mathbf{f}}}\omega_{\check{g}}\omega_{\check{h}} \rangle : H^1(\mathbb{Q}_p, M(\mathbf{f}, g, g^*)_f(N)) \longrightarrow \Lambda_{\mathbf{f}} \hat{\otimes} \Lambda[1/p].$$

The map $\mathfrak{L}\mathfrak{og}(\check{\mathbf{f}}, \check{g}, \check{h})$ is obtained by composing the natural projection

$$H_{\text{bal}}^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)(N)) \longrightarrow H^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)_f(N))$$

with a suitable specialization of the map above.

Now, by adjointness, we have that

$$\langle \bar{\mathcal{L}}_{\check{\mathbf{f}}\check{g}\check{h}}(-), \eta_{\check{\mathbf{f}}}\omega_{\check{g}}\omega_{\check{h}} \rangle = \langle \bar{\mathcal{L}}_{\mathbf{f}g_\alpha g_\alpha^*}((\varpi_{\check{\mathbf{f}},*} \otimes \varpi_{\check{g},*} \otimes \varpi_{\check{h},*})(-)), \eta_{\mathbf{f}}\omega_{g_\alpha}\omega_{g_\alpha^*} \rangle,$$

where the map $\bar{\mathcal{L}}_{\mathbf{f}g_\alpha g_\alpha^*}$ is defined in a way analogous to the way in which the map $\bar{\mathcal{L}}_{\check{\mathbf{f}}\check{g}\check{h}}$ is defined in [op. cit., Prop. 7.1]. Therefore, as before, the composition of the natural projection

$$H_{\text{bal}}^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)) \longrightarrow H^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)_f)$$

with a suitable specialization of the map

$$\langle \bar{\mathcal{L}}_{\mathbf{f}g_\alpha g_\alpha^*}(-), \eta_{\mathbf{f}}\omega_{g_\alpha}\omega_{g_\alpha^*} \rangle : H^1(\mathbb{Q}_p, M(\mathbf{f}, g, g^*)_f) \longrightarrow \Lambda_{\mathbf{f}} \hat{\otimes} \Lambda[1/p]$$

yields a map

$$\mathfrak{L}\mathfrak{og}(\mathbf{f}, g_\alpha, g_\alpha^*) : H_{\text{bal}}^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)) \longrightarrow \Lambda_{\mathbf{f}}[1/p].$$

Moreover, for any choice of test vectors $\check{\mathbf{f}}, \check{g}, \check{h}$ as above, we have

$$\mathfrak{L}\mathfrak{og}(\mathbf{f}, g_\alpha, g_\alpha^*)(\text{res}_p((\varpi_{\check{\mathbf{f}},*} \otimes \varpi_{\check{g},*} \otimes \varpi_{\check{h},*})(\kappa^{(2)}(\mathbf{f}, g, g^*))) = \mathcal{L}_p(\check{\mathbf{f}}, \check{g}, \check{h}).$$

It follows from [Hsi21, §3.5-6] and [op. cit., Thm. 7.1] that there exist level- N test vectors $\check{\mathbf{f}}, \check{g}, \check{h}$ for which, under some technical assumptions, we have a precise formula for the specializations of $\mathcal{L}_p(\check{\mathbf{f}}, \check{g}, \check{h})$ at even weights $k' \geq 2l$. We fix such test vectors. This choice of test vectors determines degeneracy maps

$$\begin{aligned} \varpi_{\check{\mathbf{f}},*} &: H^1(\Gamma, \mathcal{D}'_{r_1})^{\text{ord}} \longrightarrow H^1(\Gamma_1(N_\psi, p), \mathcal{D}'_{r_1})^{\text{ord}}, \\ \varpi_{\check{g},*} &: H^1(\Gamma, L_{r_2})^{\text{ord}} \longrightarrow H^1(\Gamma_1(N_g, p), L_{r_2})^{\text{ord}}, \\ \varpi_{\check{h},*} &: H^1(\Gamma, L_{r_2})^{\text{ord}} \longrightarrow H^1(\Gamma_1(N_g, p), L_{r_2})^{\text{ord}}. \end{aligned}$$

Then, we define

$$\kappa^{(3)} = (\varpi_{\check{\mathbf{f}},*} \otimes \varpi_{\check{g},*} \otimes \varpi_{\check{h},*})\kappa^{(2)}$$

in the group

$$H^1(\mathbb{Q}, H^1(\Gamma_1(N_f, p), \mathcal{D}'_{r_1})^{\text{ord}} \hat{\otimes} H^1(\Gamma_1(N_g, p), L_{r_2})^{\text{ord}} \hat{\otimes} H^1(\Gamma_1(N_g, p), L_{r_2})^{\text{ord}} (2 - r_2 - \kappa_f^{1/2}))$$

and let

$$\kappa^{(3)}(\mathbf{f}, g, g^*) \in H^1(\mathbb{Q}, \mathbb{V}_{\mathbf{f}}(-\kappa_f^{1/2}) \hat{\otimes} \text{ad}(T_g))$$

be the class obtained from $\kappa^{(3)}$ by projection to the isotypic quotients for \mathbf{f}, g and g^* . Then

$$\mathfrak{L}\mathfrak{og}(\mathbf{f}, g_\alpha, g_\alpha^*)(\text{res}_p(\kappa^{(3)}(\mathbf{f}, g, g^*))) = \mathcal{L}_p(\check{\mathbf{f}}, \check{g}, \check{h}).$$

Observe that the map $w_{N_g} : H^1(\Gamma_1(N_g, p), L_{r_2}(\mathcal{O}))^{\text{ord}} \rightarrow H^1(\Gamma_1(N_g, p), L_{r_2}(\mathcal{O}))^{\text{ord}}$ defined in [BSV21, §4.1.2] descends to a map $w_{N_g} : T_g \rightarrow T_{g^*}$. Taking the Galois action into account, this is actually a map $T_g \rightarrow T_{g^*}(\chi_g)$. Similarly, we have a map $w_{N_g} : T_{g^*} \rightarrow T_g(\chi_g^{-1})$. (We are denoting all these maps in the same way in the hope that this will not cause any confusion.)

Let $s : T_g \otimes T_{g^*} \rightarrow T_{g^*} \otimes T_g$ be the map which interchanges the two factors. Then, the composition $\tilde{s} = (-N_g)^{-r_2} s \circ (w_{N_g}, w_{N_g})$ defines an endomorphism of $\text{ad}(T_g) = T_g \otimes T_{g^*}(-1 - r_2)$. This endomorphism is in fact an involution.

Lemma 3.1. *Consider the direct sum decomposition $\text{ad}(T_g) = \text{ad}^0(T_g) \oplus 1$. Then:*

- (1) $\text{ad}^0(T_g)$ is the 1-eigenspace for \tilde{s} ;
- (2) 1 is the -1 -eigenspace for \tilde{s} .

Proof. As in [BSV21, p. 19], there is a bilinear form $L_{r_2}(\mathcal{O}) \otimes L_{r_2}(\mathcal{O}) \rightarrow \mathcal{O} \otimes \det^{-r_2}$. Via cup-product and the isomorphism $H_{\text{par}}^2(\Gamma_1(N_g, p), \mathcal{O}) \simeq \mathcal{O}(1)$, we obtain a pairing

$$H_{\text{par}}^1(\Gamma_1(N_g, p), L_{r_2}(\mathcal{O}))^{\text{ord}} \times H_{\text{par}}^1(\Gamma_1(N_g, p), L_{r_2}(\mathcal{O}))^{\text{ord}} \longrightarrow \mathcal{O}(r_2 + 1).$$

Since cup-product is anti-commutative in degree 1, the pairing above satisfies $\langle \alpha, \beta \rangle = (-1)^{r_2+1} \langle \beta, \alpha \rangle$ for any α, β in $H_{\text{par}}^1(\Gamma_1(N_g, p), L_{r_2}(\mathcal{O}))^{\text{ord}}$. On the other hand, the operator w_{N_g} acting on $H_{\text{par}}^1(\Gamma_1(N_g, p), L_{r_2}(\mathcal{O}))^{\text{ord}}$ satisfies $w_{N_g}^2 = (-N_g)^{r_2}$ and $\langle w_{N_g} \alpha, w_{N_g} \beta \rangle = N_g^{r_2} \langle \alpha, \beta \rangle$ for any elements $\alpha, \beta \in H_{\text{par}}^1(\Gamma_1(N_g, p), L_{r_2}(\mathcal{O}))^{\text{ord}}$. Therefore we have

$$\langle \alpha, w_{N_g} \beta \rangle = \frac{1}{N_g^{r_2}} \langle w_{N_g} \alpha, w_{N_g}^2 \beta \rangle = (-1)^{r_2} \langle w_{N_g} \alpha, \beta \rangle = -\langle \beta, w_{N_g} \alpha \rangle.$$

In particular, we deduce that $\langle \alpha, w_{N_g} \alpha \rangle = 0$.

Recall that we realize T_g (resp. T_{g^*}) as the maximal quotient of $H_{\text{par}}^1(\Gamma_1(N_g, p), L_{r_2}(\mathcal{O}))^{\text{ord}}$ on which the Hecke operators T'_q act as multiplication by $a_q(g)$ (resp. $a_q(g^*)$) and the diamond operators $[d]_{N_g}'$ act as multiplication by $\chi_g(d)$ (resp. $\chi_g(d)^{-1}$). Thus we obtain a commutative diagram

$$\begin{array}{ccc} H_{\text{par}}^1(\Gamma_1(N_g, p), L_{r_2}(\mathcal{O}))^{\text{ord}} \times H_{\text{par}}^1(\Gamma_1(N_g, p), L_{r_2}(\mathcal{O}))^{\text{ord}} & \longrightarrow & \mathcal{O}(r_2 + 1) \\ \downarrow & & \parallel \\ T_g \times T_{g^*} & \longrightarrow & \mathcal{O}(r_2 + 1). \end{array}$$

Therefore, for any elements $\alpha, \beta \in T_g$, we have $\langle \alpha, w_{N_g} \beta \rangle = -\langle \beta, w_{N_g} \alpha \rangle$. The lemma follows easily from this. \square

We will assume in the remaining of this section that $N_g \mid N_f$, so that $N = N_f$. Under this assumption, our test vectors are $\check{\mathbf{f}} = \mathbf{f}$, $\check{g}(q) = \pi_1^*(g_\alpha)$ and $\check{h} = \pi_2^*(g_\alpha^*)$, up to multiplication by some constants in $\text{Frac } \Lambda_{\mathbf{f}}$ which do not affect the discussion that follows.

Let s_N denote the operator which acts on the group

$$H^1(\mathbb{Q}, H^1(\Gamma, \mathcal{D}'_{r_1})^{\text{ord}} \hat{\otimes} H^1(\Gamma_1(N_g, p), L_{r_2})^{\text{ord}} \hat{\otimes} H^1(\Gamma_1(N_g, p), L_{r_2})^{\text{ord}}(2 - r_2 - \kappa_f^{1/2}))$$

by interchanging the second and third factors and define $\tilde{s}_{N_g} = (-N_g)^{-r_2} s_{N_g} \circ (1 \otimes w_{N_g} \otimes w_{N_g})$.

Proposition 3.2. *The class $\kappa^{(3)}(\mathbf{f}, g, g^*)$ satisfies*

$$\tilde{s}_{N_g}(\kappa^{(3)}(\mathbf{f}, g, g^*)) = -[N]^{-1/2} (w_N \otimes 1 \otimes 1) \kappa^{(3)}(\mathbf{f}, g, g^*).$$

In particular, when we consider the direct sum decomposition

$$H^1(\mathbb{Q}, \mathbb{V}_{\mathbf{f}}(-\kappa_f^{1/2}) \hat{\otimes} \text{ad}(T_g)) = H^1(\mathbb{Q}, \mathbb{V}_{\mathbf{f}}(-\kappa_f^{1/2}) \hat{\otimes} \text{ad}^0(T_g)) \oplus H^1(\mathbb{Q}, \mathbb{V}_{\mathbf{f}}(-\kappa_f^{1/2})),$$

the class $\kappa^{(3)}(\mathbf{f}, g, g^)$ lies in the summand*

- (1) $H^1(\mathbb{Q}, \mathbb{V}_{\mathbf{f}}(-\kappa_f^{1/2}) \hat{\otimes} \text{ad}^0(T_g))$, if $\varepsilon(f) = 1$;
- (2) $H^1(\mathbb{Q}, \mathbb{V}_{\mathbf{f}}(-\kappa_f^{1/2}))$, if $\varepsilon(f) = -1$.

Proof. We have the following commutative diagram

$$\begin{array}{ccc} H_{\text{et}}^0(Y, \mathcal{A}'_{r_1} \otimes \mathcal{A}_{r_2} \otimes \mathcal{A}_{r_2}(-\kappa^*)) & \xrightarrow{d_*} & H_{\text{et}}^4(Y^3, \mathcal{A}'_{r_1} \boxtimes \mathcal{A}_{r_2} \boxtimes \mathcal{A}_{r_2}(-\kappa^*) \otimes \mathbb{Z}_p(2)) \\ \downarrow w_N & & \downarrow (w_N, w_N, w_N) \\ H_{\text{et}}^0(Y, \mathcal{A}'_{r_1} \otimes \mathcal{A}_{r_2} \otimes \mathcal{A}_{r_2}(-\kappa^*)) & \xrightarrow{d_*} & H_{\text{et}}^4(Y^3, \mathcal{A}'_{r_1} \boxtimes \mathcal{A}_{r_2} \boxtimes \mathcal{A}_{r_2}(-\kappa^*) \otimes \mathbb{Z}_p(2)), \end{array}$$

where w_N stands here for the operator defined in [BSV21, §2.3.1] and (w_N, w_N, w_N) is defined in a similar way for the cohomology of Y^3 . It follows from the definition of \mathbf{Det} that

$w_N(\mathbf{Det}) = \mathbf{Det}$. Taking into account that $w_p w_N = [p]_N w_N w_p$ and $\mathbf{s}_{\mathbf{fgh}} \circ ([p]_N w_N \otimes w_N \otimes w_N) = [p]'_N (w_N \otimes w_N \otimes w_N) \circ \mathbf{s}_{\mathbf{fgh}}$, it follows that

$$(w_N \otimes w_N \otimes w_N) \kappa^{(1)} = \kappa_{\mathbf{fgh}}^*(N) ([p]_N \otimes 1 \otimes 1) \kappa^{(1)}.$$

Since $(w_N^2 \otimes 1 \otimes 1)$ acts as multiplication by $[-N] \otimes 1 \otimes 1$, we deduce that

$$(1 \otimes w_N \otimes w_N) \kappa^{(1)} = \kappa_f^*(N) ([p]'_N w_N \otimes 1 \otimes 1) \kappa^{(1)}$$

and therefore that

$$N^{-r_2} (1 \otimes w_N \otimes w_N) \kappa^{(2)} = \kappa_f^{-1/2}(N) ([p]'_N w_N \otimes 1 \otimes 1) \kappa^{(2)}.$$

Let s_N denote the operator which acts on the group

$$H^1(\mathbb{Q}, H^1(\Gamma, \mathcal{D}'_{r_1})^{\text{ord}} \hat{\otimes} H^1(\Gamma, L_{r_2})^{\text{ord}} \hat{\otimes} H^1(\Gamma, L_{r_2})^{\text{ord}} (2 - r_2 - \kappa_f^{1/2}))$$

by interchanging the second and third factors. Then, we have that

$$s_N \circ (1 \otimes w_N \otimes w_N) = (1 \otimes w_N \otimes w_N) \circ s_N,$$

and, taking into account the definition of \mathbf{Det} and the fact that the Künneth isomorphism

$$H_{\text{et}}^3(Y_{\overline{\mathbb{Q}}}^3, \mathcal{A}'_{r_1} \boxtimes \mathcal{A}_{r_2} \boxtimes \mathcal{A}_{r_2}) \cong H_{\text{et}}^1(Y_{\overline{\mathbb{Q}}}, \mathcal{A}'_{r_1}) \otimes H_{\text{et}}^1(Y_{\overline{\mathbb{Q}}}, \mathcal{A}_{r_2}) \otimes H_{\text{et}}^1(Y_{\overline{\mathbb{Q}}}, \mathcal{A}_{r_2})$$

is given by cup-product, which is anti-commutative in degree 1 (*cf.* the proof of [LZ19, Prop. 4.1.2]), we deduce that $s_N(\kappa^{(2)}) = (-1)^{r_1/2+r_2+1} \kappa^{(2)}$. Define $\tilde{s}_N = (-N)^{-r_2} s_N \circ (1 \otimes w_N \otimes w_N)$. Then, we have that

$$\tilde{s}_N(\kappa^{(2)}) = (-1)^{r_1/2+1} \kappa_f^{-1/2}(N) ([p]'_N w_N \otimes 1 \otimes 1) \kappa^{(2)}.$$

Since $(1 \otimes \pi_{1*} \otimes \pi_{2*}) \circ \tilde{s}_N = \tilde{s}_{N_g} \circ (1 \otimes \pi_{1*} \otimes \pi_{2*})$, it follows that

$$\tilde{s}_{N_g}(\kappa^{(3)}) = -[N]^{-1/2} ([p]'_N w_N \otimes 1 \otimes 1) \kappa^{(3)}$$

and therefore that

$$\tilde{s}_{N_g}(\kappa^{(3)}(\mathbf{f}, g, g^*)) = -[N]^{-1/2} (w_N \otimes 1 \otimes 1) \kappa^{(3)}(\mathbf{f}, g, g^*).$$

Finally, it follows from [How07, Prop. 2.3.6] that $-[N]^{-1/2} w_N$ acts on $\mathbb{V}_{\mathbf{f}}$ as multiplication by $\varepsilon(f)$, so the last part of the proposition follows from the previous lemma. \square

Remark 3.3. The map

$$\mathfrak{Log}(\mathbf{f}, g_{\alpha}, g_{\alpha}^*) : H_{\text{bal}}^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)) \longrightarrow \Lambda_{\mathbf{f}}[1/p].$$

factors through

$$H_{\text{bal}}^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)) \longrightarrow H^1(\mathbb{Q}_p, \mathbb{V}_{\mathbf{f}}(-\kappa_f^{1/2}) \otimes \text{ad}^0(T_g)) \longrightarrow H^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)_f).$$

Therefore, *without* the need to appeal to the reciprocity law, it follows from Proposition 3.2 that when $\varepsilon(f) = -1$ we have

$$\mathfrak{Log}(\mathbf{f}, g_{\alpha}, g_{\alpha}^*)(\kappa) = 0.$$

Of course, this can also be seen from the reciprocity law, since $\varepsilon(f) = -1$ forces the vanishing of $L(\mathbf{f}_{k'}, k'/2)$ for all $k' \equiv k \pmod{2(p-1)}$, which is a factor of $L(\mathbf{f}_{k'} \otimes g \otimes g^*, c')$, so that it follows from the interpolation formula that $\mathcal{L}_p(\mathbf{f}, \check{g}, \check{h})$ is identically zero.

Remark 3.4. As noted above, the discussion in this section is unnecessary for the applications that we will discuss. Indeed, as observed in the previous remark, the reciprocity law factors through $H^1(\mathbb{Q}_p, \mathbb{V}_{\mathbf{f}}(-\kappa_f^{1/2}) \otimes \text{ad}^0(T_g))$. Therefore, the nonvanishing of the triple product p -adic L -function at some point (necessarily when $\varepsilon(f) = +1$) implies that the image of $\kappa^{(3)}$ in this group is nontrivial, which is what we will actually need. However, it is interesting that we can already see from the geometric construction that the class lies where it is expected.

Remark 3.5. Let us discuss the sign in a little bit more detail. In order to construct the p -adic L -function attached to (f, g, g^*) , it is required in [Hsi21] that the local signs at finite primes of the arithmetic specializations of the representation $\mathbb{V}(\mathbf{f}, \mathbf{g}, \mathbf{g}^*) = \mathbb{V}_{\mathbf{f}} \hat{\otimes}_{\mathbf{g}} \hat{\otimes}_{\mathbf{g}^*} (-1 - \kappa^*)$ are all equal to 1. In particular, in our case, this imposes the condition that $\varepsilon_{\ell}(\mathbf{f}_{k'}) = \varepsilon_{\ell}(\mathbf{f}_{k'} \otimes \text{ad}^0(g))$ for all $\ell \mid N$ and for all $k' \equiv k \pmod{2(p-1)}$. The corresponding signs at infinity can be computed from the Hodge types $\{(p, q), (q, p)\}$ as in [Del79, §5.3]. For the representation $V_{\mathbf{f}_{k'}} \otimes \text{ad}^0(V_g)$, the Hodge types are as follows:

- (i) $\{(k'/2 + l - 2, -k'/2 - l + 1), (-k'/2 - l + 1, k'/2 + l - 2)\}$;
- (ii) $\{(k'/2 - 1, -k'/2), (-k'/2, k'/2 - 1)\}$;
- (iii) $\{(k'/2 - l, -k'/2 + l - 1), (-k'/2 + l - 1, k'/2 - l)\}$.

After that, and following the results of [*loc. cit.*], we get that the sign $\varepsilon_{\infty}(f \otimes \text{ad}^0(g))$ is $(-1)^{k'/2}$ if $k' \geq 2l$ and $(-1)^{1+k'/2}$ if $k' < 2l$. The sign of $\varepsilon_{\infty}(\mathbf{f}_{k'})$, however, is always equal to $(-1)^{k'/2}$. Therefore, in the balanced region (i.e. for $k' < 2l$), the motives attached to $\mathbf{f}_{k'}$ and $\mathbf{f}_{k'} \otimes \text{ad}^0(g)$ have opposite global signs. Since it is in this region that the corresponding specializations of the class $\kappa^{(3)}(\mathbf{f}, g, g^*)$ belong to the Bloch-Kato Selmer group, we expect the behaviour that was shown in Proposition 3.2.

4. THE p -ADIC L -FUNCTION

Let $g \in S_l(N_g, \chi_g)$ and ψ a Hecke character of K of infinity type $(1 - k, 0)$ as introduced in §2, and recall that we assume that $(p) = \mathfrak{p}\bar{\mathfrak{p}}$ splits in K . In addition, from now on for simplicity we assume that $p \nmid h_K$, where h_K is the class number of K . We also assume throughout this section that g is not of CM-type.

4.1. Lifting of automorphic representations. Let π be the cuspidal automorphic representation of $\text{GL}_2(\mathbb{A}_{\mathbb{Q}})$ attached to g . The central character of π is the adelic character ω_g defined by the condition that for any prime $q \nmid N_g$ and any uniformizer ϖ_q we have $\omega_{g,p}(\varpi_q) = \chi_g(q)$. Since g is ordinary at p and $p \nmid N_g$, it follows from [Hsi21, Prop. 2.2] that the local component π_p is isomorphic to the principal series $\pi(\chi, \chi^{-1}\omega_g)$, where χ is the unramified character of \mathbb{Q}_p^{\times} defined by $\chi(p) = \alpha_p(g)p^{(1-l)/2}$.

Since we are assuming that g is not of CM-type, and in particular it does not have CM by K , it follows from [GJ78, Prop. 2.3.3] that π admits, adopting the terminology of [*op. cit.*], a base change lifting to a cuspidal automorphic representation π_K of $\text{GL}_2(\mathbb{A}_K)$. We fix such a lifting. Observe that if $\mathfrak{p}, \bar{\mathfrak{p}}$ are the places of K above p , then $\pi_{K,\mathfrak{p}} \simeq \pi_{K,\bar{\mathfrak{p}}} \simeq \pi_p$.

From the assumption that g is not of CM-type we deduce that there is no non-trivial character η of $K^{\times} \backslash \mathbb{A}_K^{\times}$ such that $\pi_K \simeq \pi_K \otimes \eta$. Indeed, the existence of such a character would imply that there exists a quadratic extension L of K such that, for all prime ℓ , the restriction to G_K of the ℓ -adic Galois representation attached to g is induced from a character of G_L , which is not possible by [Rib85, Thm. 2.1]. Now, it follows from [GJ78, Thm. 9.3] that π_K admits an adjoint lifting to a cuspidal automorphic representation $\Pi_{\text{Ad}^0(g)}$ of $\text{GL}_3(\mathbb{A}_K)$. Fix such a lifting and define

$$\Pi := \Pi_{\text{Ad}^0(g)} \otimes \psi \mid \cdot \mid^{(k-1)/2}.$$

Observe that $\Pi_{\mathfrak{p}} \simeq \Pi_{\bar{\mathfrak{p}}} \simeq \pi(\chi^2\omega_{g,p}^{-1}, 1, \chi^{-2}\omega_{g,p}) \otimes \psi \mid \cdot \mid^{(k-1)/2}$ and it follows from the definition of χ that $\chi^2\omega_{g,p}^{-1} \neq \mid \cdot \mid^{\pm 1/2}$ and therefore that $\pi(\chi^2\omega_{g,p}^{-1}, 1, \chi^{-2}\omega_{g,p}) = \text{Ind}_B^{\text{GL}_3}(\chi^2\omega_{g,p}^{-1}, 1, \chi^{-2}\omega_{g,p})$.

4.2. Descent to unitary groups. Let $U(2, 1)$ be the quasi-split unitary group corresponding to the quadratic extension K/\mathbb{Q} . Let $\Phi \in \text{GL}_3(K)$ be the matrix whose entries are $\Phi_{ij} = (-1)^{i-1}\delta_{i,4-j}$. Then we can describe $U(2, 1)$ by specifying its functor of points:

$$U(2, 1)(R) = \{g \in \text{GL}_3(R \otimes_{\mathbb{Q}} K) : g\Phi {}^t\bar{g} = \Phi\}$$

for any \mathbb{Q} -algebra R .

Let $U(3)$ be the definite unitary group whose functor of points is given by

$$U(3)(R) = \{g \in \mathrm{GL}_3(R \otimes_{\mathbb{Q}} K) : g^t \bar{g} = I_3\}.$$

Given a representation ρ of $\mathrm{GL}_n(\mathbb{A}_K)$, let $\tilde{\rho}$ be the representation defined on the same space by $\tilde{\rho}(x) = \rho({}^t \bar{x}^{-1})$. Then, the representation Π defined above satisfies $\Pi \simeq \tilde{\Pi}$, and so it follows from [Rog90, Thm. 13.3.3] that there exists a cuspidal automorphic representation σ' of $U(2, 1)(\mathbb{A}_{\mathbb{Q}})$ whose base change to K is isomorphic to Π . Fix such a representation σ' . Observe that $\sigma'_p \simeq \pi(\chi^2 \omega_g^{-1}, 1, \chi^{-2} \omega_g) \otimes \psi| \cdot |^{(k-1)/2}$ under the identification $U(2, 1)(\mathbb{Q}_p) = \mathrm{GL}_3(\mathbb{Q}_p)$. Also, from [*op. cit.*, Prop. 13.2.2], the local representation σ'_∞ is square-integrable, so, applying [*op. cit.*, Prop. 14.6.2], we can transfer σ' to a representation σ of $U(3)$. The local components of σ at finite primes agree with those of σ' , so in particular we have that $\sigma_p \simeq \sigma'_p$.

4.3. p -adic L -functions for unitary groups. A construction of p -adic L -functions for unitary groups is given in [EW16], and in great generality [Ehls20]. Here we deduce from their work an anticyclotomic p -adic L -function for our conjugate self-dual representation V in §2.2.

Let \mathfrak{c} be an ideal of \mathcal{O}_K coprime to p , and fix a Hecke character ψ_0 of infinity type $(-1, 0)$ and conductor $\mathfrak{c}p^e$ with $e \in \{0, 1\}$. We assume that the central character ε_{ψ_0} of ψ_0 is of the form

$$(H0) \quad \varepsilon_{\psi_0} = \varepsilon_K \omega^{r_1} \text{ for some even integer } r_1,$$

where ω is the Teichmüller character. Let \mathcal{O} be the ring of integers of a finite extension of \mathbb{Q}_p containing the values of ψ_0 , and write

$$\Lambda^{\mathrm{ac}} = \mathcal{O}[\Gamma^{\mathrm{ac}}]$$

for the anticyclotomic Iwasawa algebra, where Γ^{ac} is the Galois group of the anticyclotomic \mathbb{Z}_p -extension of K .

We will need to consider the following CM periods, as they are introduced in [BDP12], for example:

- $\Omega_\infty \in \mathbb{C}^\times$ is the complex period attached to K defined in [*op. cit.*, eq. (2-15)];
- $\Omega_p \in \mathbb{C}_p^\times$ is the p -adic period attached to K defined in [*op. cit.*, eq. (2-17)].

Theorem 4.1. *There exists an element*

$$L_p(\mathrm{ad}^0(g_K) \otimes \psi_0) \in \mathrm{Frac} \Lambda^{\mathrm{ac}}$$

such that for all characters ξ of Γ^{ac} crystalline at both \mathfrak{p} and $\bar{\mathfrak{p}}$ and corresponding to a Hecke character of infinity type $(-n, n)$ with $n \equiv r_1/2 \pmod{p-1}$ and $n \geq l-1$, we have

$$L_p(\mathrm{ad}^0(g_K) \otimes \psi_0)(\xi) = \left(\frac{\Omega_p}{\Omega_\infty} \right)^{6n+3} \cdot \pi^{3n} \cdot \Gamma(n, l) \cdot \mathcal{E}_p(\mathrm{ad}^0(g), \psi_0 \xi)^2 \cdot L(\mathrm{ad}^0(g_K) \otimes \psi_0^{-1} \xi^{-1} \omega^n, 0),$$

where:

- $\Gamma(n, l) = (n+l-1)! \cdot n! \cdot (n-l+1)!$,
- $\mathcal{E}_p(\mathrm{ad}^0(g), \psi_0 \xi) = \left(1 - \frac{\alpha_g(\psi_0 \xi \omega^{-n})(\mathfrak{p})}{\beta_{gp}} \right) \cdot \left(1 - \frac{(\psi_0 \xi \omega^{-n})(\mathfrak{p})}{p} \right) \cdot \left(1 - \frac{\beta_g(\psi_0 \xi \omega^{-n})(\mathfrak{p})}{\alpha_{gp}} \right)$.

Proof. This follows from the construction of p -adic L -functions for unitary groups in [EW16], using the explicit computations of archimedean zeta integrals carried out in [EL20]. In particular, with the notations of [EL20], $\tau_1 = -l$, $\tau_2 = 0$ and $\tau_3 = l$. Furthermore, note that we may also neglect some of the constants or invertible functions appearing there and the result will still be a function in the fraction field of the Iwasawa algebra. \square

Remark 4.2. Directly from [EW16] one obtains a p -adic L -function in Λ^{ac} interpolating the L -values arising in the previous theorem but with certain Euler factors at primes ℓ away from $p\infty$ removed. These Euler factors can be p -adically interpolated by certain elements $\mathcal{P}_\ell \in \Lambda^{\mathrm{ac}}$, and multiplying by their inverses one obtains a p -adic L -function as presented above.

4.4. CM Hida family. Let Γ_K be the Galois group of the \mathbb{Z}_p^2 -extension K_∞/K and put

$$\Gamma_{\mathfrak{p}} = \text{Gal}(K_{\mathfrak{p}^\infty}/K) \simeq \mathbb{Z}_p,$$

where $K_{\mathfrak{p}^\infty}$ is the maximal subfield of K_∞ unramified outside of \mathfrak{p} , so that $K_{\mathfrak{p}^\infty}$ is the \mathbb{Z}_p -extension of K inside the ray class field $K(\mathfrak{p}^\infty)$. Since we are assuming that $p \nmid h_K$, viewing $1 + p\mathbb{Z}_p$ as a subgroup of $\mathcal{O}_{K,\mathfrak{p}}^\times$, the restriction of the (geometrically normalized) Artin map to $K_{\mathfrak{p}}^\times$ induces an isomorphism $\text{art}_{\mathfrak{p}} : 1 + p\mathbb{Z}_p \simeq \Gamma_{\mathfrak{p}}$. Let $\gamma_{\mathfrak{p}} \in \Gamma_{\mathfrak{p}}$ be the topological generator corresponding to $1 + p$ under this isomorphism and, for the variable S , let $\Psi_S : \Gamma_K \rightarrow \mathbb{Z}_p[[S]]^\times$ be the character given by

$$\Psi_S(\sigma) = (1 + S)^{l(\sigma)},$$

where $l(\sigma) \in \mathbb{Z}_p$ is defined by $\sigma|_{K_{\mathfrak{p}^\infty}} = \gamma_{\mathfrak{p}}^{l(\sigma)}$. Consider the formal q -expansion

$$(4.1) \quad \theta_{\psi_0}(S)(q) := \sum_{(\mathfrak{a}, \mathfrak{p}\mathfrak{c})=1} \psi_0(\sigma_{\mathfrak{a}}) \Psi_S^{-1}(\sigma_{\mathfrak{a}}) q^{\mathbf{N}(\mathfrak{a})} \in \mathcal{O}[[S]][[q]],$$

where $\sigma_{\mathfrak{a}} \in \text{Gal}(K(\mathfrak{c}\mathfrak{p}^\infty)/K)$ is the Artin symbol of \mathfrak{a} . Then, for every $k \geq 2$, the specialization of θ_{ψ_0} at $S = (1 + p)^{k-2} - 1$ is given by the theta series

$$\mathbf{f}_k = \sum_{(\mathfrak{a}, \mathfrak{f}\mathfrak{p})=1} \psi_0(\mathfrak{a}) \lambda^{k-2}(\mathfrak{a}) q^{\mathbf{N}(\mathfrak{a})} \in S_k(D_K \mathbf{N}(\mathfrak{c})p, \omega^{2+r_1-k}),$$

where λ is the unique (since $p \nmid h_K$) Hecke character of infinity type $(-1, 0)$ and conductor \mathfrak{p} whose p -adic avatar factors through $\Gamma_{\mathfrak{p}}$. In particular, \mathbf{f}_2 is the ordinary p -stabilization of θ_{ψ_0} .

Remark 4.3. If ψ is a Hecke character of infinity type $(1 - k, 0)$ as in §2.2, then $\psi_0 := \psi \lambda^{2-k}$ is a Hecke character as above (in particular, satisfying (H0) with e.g. $r_1 = k - 2$), and so the resulting \mathbf{f}_k recovers the p -stabilization of θ_{ψ} . From now on we shall always assume that ψ and ψ_0 are related in this manner, and refer to $\mathbf{f} = \theta_{\psi_0}$ as the CM Hida family attached to ψ (or ψ_0).

4.5. A factorization formula. In this section we prove a factorization formula relating the p -adic L -function attached to V in Theorem 4.1 to anticyclotomic p -adic L -functions attached to the other two representations in the decomposition (2.1).

Put $N = \text{lcm}(N_g, N_\psi)$, where $N_\psi := D_K \mathbf{N}(\mathfrak{c})$. In addition to the previous hypotheses, from now on we shall also assume that:

- (a) $\varepsilon_\ell(V_{\text{ad}(g)}^\psi) = +1$ for all primes $\ell \mid N$,
- (b) $\text{gcd}(N_g, N_\psi)$ is squarefree.

With notations as in Remark 2.3, here $\varepsilon_\ell(V_{\text{ad}(g)}^\psi)$ denotes the epsilon-factor of the Weil–Deligne representation attached to the restriction of V_{fgg^*} to $G_{\mathbb{Q}_\ell}$.

Note that it follows from (H0) that the Galois representation of the Hida family $\mathbf{f} = \theta_{\psi_0}$ attached to ψ is residually irreducible and p -distinguished (see also [LLZ15, Rem. 5.1.3]).

Theorem 4.4. *Under the above hypotheses, there exists an element*

$$\mathcal{L}_p(\text{ad}(g_K) \otimes \psi_0) \in \text{Frac } \Lambda^{\text{ac}}$$

such that for all characters ξ of Γ^{ac} crystalline at both \mathfrak{p} and $\bar{\mathfrak{p}}$ and corresponding to a Hecke character of infinity type $(-n, n)$ with $n \equiv r_1/2 \pmod{p-1}$ and $n \geq l - 1$, we have

$$\mathcal{L}_p(\text{ad}(g_K) \otimes \psi_0)(\xi)^2 = \Gamma(n, l, l) \cdot \frac{\mathcal{E}_p(\text{ad}(g), \psi_0 \xi)^2}{\mathcal{E}_0(\psi_0 \xi)^2 \cdot \mathcal{E}_1(\psi_0 \xi)^2} \cdot \prod_{\ell \mid N} \tau_\ell \cdot \frac{L(\text{ad}(g_K) \otimes \psi_0^{-1} \xi^{-1} \omega^n, 0)}{(2\pi i)^{2n+2} \cdot \langle \theta_{\psi_0 \xi_n}, \theta_{\psi_0 \xi_n} \rangle^2},$$

where:

- $\Gamma(n, l, l) = (n + l - 1)! \cdot (n!)^2 \cdot (n - l + 1)!$,

- $\mathcal{E}_p(\mathrm{ad}(g), \psi_0\xi) = \left(1 - \frac{\alpha_g(\psi_0\xi\omega^{-n})(\mathfrak{p})}{\beta_g p}\right) \cdot \left(1 - \frac{(\psi_0\xi\omega^{-n})(\mathfrak{p})}{p}\right)^2 \cdot \left(1 - \frac{\beta_g(\psi_0\xi\omega^{-n})(\mathfrak{p})}{\alpha_g p}\right),$
- $\mathcal{E}_0(\psi_0\xi) = \left(1 - \frac{(\psi_0\xi\omega^{-n})(\mathfrak{p})}{(\psi_0\xi\omega^{-n})(\bar{\mathfrak{p}})}\right), \mathcal{E}_1(\psi_0\xi) = \left(1 - \frac{(\psi_0\xi\omega^{-n})(\mathfrak{p})}{p(\psi_0\xi\omega^{-n})(\bar{\mathfrak{p}})}\right),$
- τ_ℓ is an explicit nonzero rational number independent of n ,
- $\theta_{\psi_0\xi_n}$ is the theta series of weight $2n + 2$ attached to $\psi_0\xi_n := \psi_0\xi\omega^{-n} \cdot |^{-n}$.

Moreover, if \mathcal{H} is any generator of the congruence ideal of θ_{ψ_0} , then $\mathcal{H} \cdot \mathcal{L}_p(\mathrm{ad}(g_K) \otimes \psi_0)$ belongs to Λ^{ac} .

Proof. This is essentially a reformulation of [Hsi21, Thm. A] specialized to our setting. Let $\mathbf{f} = \theta_{\psi_0}$ be the Hida family attached to ψ_0 as in (4.1), with associated big Galois representation $\mathbb{V}_{\mathbf{f}}$, and denote by $\mathbb{V}(\mathbf{f}, g, g^*)$ the Kummer self-dual twist of the triple tensor product $\mathbb{V}_{\mathbf{f}} \hat{\otimes}_{\mathcal{O}} T_g \otimes_{\mathcal{O}} T_{g^*}$ introduced in [ACR21, §7.1] (and recalled in §3 above). Since $\mathbb{V}_{\mathbf{f}} \simeq \mathrm{Ind}_K^{\mathbb{Q}}(\psi_0^{-1}\Psi_S)$, we immediately find that

$$\mathbb{V}(\mathbf{f}, g, g^*) \simeq \mathrm{ad}(T_g) \otimes \mathrm{Ind}_K^{\mathbb{Q}}(\psi_0^{-1}\omega^{r_1/2}\Psi_S^{(1-\tau)/2}),$$

where for a character χ of G_K we denote by χ^τ the composition of χ with the action of the non-trivial automorphism τ of K/\mathbb{Q} , and put $\chi^{1-\tau} := \chi(\chi^\tau)^{-1}$.

Attached to (\mathbf{f}, g, g^*) (and a specific choice of level- N test vectors for this triple), by [Hsi21, Thm. A] there is an “unbalanced” triple product p -adic L -function $\mathcal{L}_p(\mathbf{f}, g, g^*) \in \mathrm{Frac} \mathcal{O}[[\Gamma_{\mathfrak{p}}]]$ interpolating, for all $k' \equiv r_1 + 2 \pmod{2(p-1)}$ with $k' \geq 2l$, the (central) values at $s = 0$ of the triple product L -function

$$L(\mathbb{V}(\mathbf{f}_k, g, g^*), s) = L(\mathrm{ad}(g_K) \otimes \psi_0^{-1}\xi^{-1}\omega^{r_1/2}, s),$$

where we put ξ to denote the specialization of $\Psi_S^{(\tau-1)/2}$ at $S = (1+p)^{k'-2} - 1$, so ξ^{-1} is a character of Γ^{ac} crystalline at both \mathfrak{p} and $\bar{\mathfrak{p}}$ corresponding to a Hecke character of infinity type $-(k'/2 - 1, k'/2 - 1)$. Taking $\mathcal{L}_p(\mathrm{ad}(g_K) \otimes \psi_0)$ to be the image of $\mathcal{L}_p(\mathbf{f}, g, g^*)$ under the map $\mathrm{Frac} \mathcal{O}[[\Gamma_{\mathfrak{p}}]] \rightarrow \mathrm{Frac} \Lambda^{\mathrm{ac}}$ determined by $\gamma_{\mathfrak{p}} \mapsto \gamma_{\mathfrak{p}}^{\tau-1}$, we thus see that the result follows from [Hsi21, Thm. A]. \square

We next discuss an anticyclotomic p -adic L -function associated with V' , arising from a suitable restriction of Katz’s p -adic L -function.

Denote by Σ the set of algebraic Hecke characters ξ of K for which $s = 0$ is a critical point for $L(\xi, s)$ in the sense of Deligne. This set can be written as the disjoint union $\Sigma = \Sigma_{\mathfrak{p}} \cup \Sigma_{\bar{\mathfrak{p}}}$, where

$$\begin{aligned} \Sigma_{\mathfrak{p}} &= \{\xi \in \Sigma \text{ of infinity type } (a, b), \text{ with } a \geq 1, b \leq 0\}, \\ \Sigma_{\bar{\mathfrak{p}}} &= \{\xi \in \Sigma \text{ of infinity type } (a, b), \text{ with } a \leq 0, b \geq 1\}. \end{aligned}$$

Note that the involution $\xi \mapsto \xi^\tau$ takes characters in $\Sigma_{\mathfrak{p}}$ to characters in $\Sigma_{\bar{\mathfrak{p}}}$, and vice versa.

Let $G_{\mathfrak{c}} = \mathrm{Gal}(K(\mathfrak{c}p^\infty)/K)$ be the Galois group of the ray class field of K of conductor $\mathfrak{c}p^\infty$, and denote by $\mathbb{Z}_p^{\mathrm{ur}}$ the completion of the ring of integers of the maximal unramified extension of \mathbb{Q}_p . The following result is originally due to Katz.

Theorem 4.5. *There exists an element $\mathcal{L}_{\mathfrak{p}, \mathfrak{c}}^{\mathrm{Katz}}(K) \in \mathbb{Z}_p^{\mathrm{ur}}[[G_{\mathfrak{c}}]]$ uniquely characterized by the property that for every character of $\Gamma_{\mathfrak{c}}$ corresponding to a Hecke character $\xi \in \Sigma_{\mathfrak{p}}$ of infinity type (k_1, k_2) and conductor dividing \mathfrak{c} we have*

$$\mathcal{L}_{\mathfrak{p}, \mathfrak{c}}^{\mathrm{Katz}}(K)(\xi) = \left(\frac{\Omega_p}{\Omega_\infty}\right)^{k_1 - k_2} (k_1 - 1)! \cdot \left(\frac{\sqrt{D_K}}{2\pi}\right)^{k_2} \cdot (1 - p^{-1}\xi^{-1}(\mathfrak{p})p^{-1})(1 - \xi(\bar{\mathfrak{p}})) \cdot L_{\mathfrak{c}}(\xi, 0),$$

where $L_{\mathfrak{c}}(\xi, s)$ is the L -function of ξ with the Euler factors at the primes $\mathfrak{l}|\mathfrak{c}$ removed. Moreover, we have the functional equation

$$\mathcal{L}_{\mathfrak{p}, \mathfrak{c}}^{\mathrm{Katz}}(K)(\xi) = \mathcal{L}_{\mathfrak{p}, \bar{\mathfrak{c}}}^{\mathrm{Katz}}(K)(\xi^{-\tau} \mathbf{N}^{-1}),$$

where the equality is up to a p -adic unit.

Proof. See [dS87, Thm. II.4.14] for a construction of $\mathcal{L}_{p,c}^{\text{Katz}}(K)$ (corresponding to the measure on G_c denoted by $\mu(\mathfrak{c}\bar{\rho}^\infty)$ in [loc. cit.]), and [dS87, Thm. II.6.4] for the functional equation. \square

Let Δ_c be the torsion subgroup of G_c , and put $\Gamma_K := G_c/\Delta_c \simeq \mathbb{Z}_p^2$, which is identified with the Galois group of the unique \mathbb{Z}_p^2 -extension K_∞/K . We fix a decomposition

$$(4.2) \quad G_c \simeq \Delta_c \times \Gamma_K.$$

Put $\bar{\psi}_0 := \psi_0|_{\Delta_c}$, and denote by $\mathcal{L}_{p,\psi_0}^{\text{Katz}}(K)^-$ the image of $\mathcal{L}_{p,c}^{\text{Katz}}(K)$ under the composite map $\mathbb{Z}_p^{\text{ur}}[[G_c]] \rightarrow \mathbb{Z}_p^{\text{ur}}[[\Gamma_K]] \rightarrow \mathbb{Z}_p^{\text{ur}}[[\Gamma^{\text{ac}}]]$, where the first arrow is the projection defined by $\bar{\psi}_0^- := \bar{\psi}_0^{\tau-1}$ and the second is given by $\gamma \mapsto \gamma^{\tau-1}$ for $\gamma \in \Gamma_K$. From now on we shall assume that \mathfrak{c} and ψ_0 satisfy the conditions (H1)–(H3) in the following result.

Proposition 4.6. *In addition to (H0), assume that:*

- (H1) \mathfrak{c} is only divisible by primes that are split in K ;
- (H2) Δ_c has order prime-to- p ;
- (H3) $\bar{\psi}_0^-$ has order at least 3.

Then, as an ideal $\mathbb{Z}_p^{\text{ur}}[[\Gamma^{\text{ac}}]]$, the congruence ideal $C(\boldsymbol{\theta}_{\psi_0})$ is generated by

$$h_K \cdot \mathcal{L}_{p,\psi_0}^{\text{Katz}}(K)^-$$

where h_K is the class number of K .

Proof. A generator of $C(\boldsymbol{\theta}_{\psi_0})$ is given by a congruence power series $H(\boldsymbol{\theta}_{\psi_0})$ attached to $\boldsymbol{\theta}_{\psi_0}$ as in [Hid06]. By our assumptions, this $H(\boldsymbol{\theta}_{\psi_0})$ corresponds to a branch character satisfying the hypotheses (1)–(4) in [Hid06, p. 466], so as noted in p. 469 of [op. cit.], the result follows from the proof of the anticyclotomic Iwasawa main conjecture by Hida–Tilouine [HT93a, HT94] and Hida [Hid06]. \square

Definition 4.7. Put

$$L_p(\text{ad}(g_K) \otimes \psi_0) := (\mathcal{L}_p(\text{ad}(g_K) \otimes \psi_0) \cdot h_K \cdot \mathcal{L}_{p,\psi_0}^{\text{Katz}}(K)^-)^2,$$

which by Theorem 4.4 and Proposition 4.6 defines an element in $\mathbb{Z}_p^{\text{ur}}[[\Gamma^{\text{ac}}]]$.

We can now derive an anticyclotomic analogue of Dasgupta’s factorization [Das16, Thm. 1], relating the p -adic L -function of Theorem 4.4 to the product of the p -adic L -functions in Theorem 4.1 and Theorem 4.5. Similarly as in [loc. cit.], our result is a p -adic analogue of the factorization of complex L -functions

$$L(\text{ad}(g_K) \otimes \chi, s) = L(\text{ad}^0(g_K) \otimes \chi, s) \cdot L(\chi, s)$$

arising from the decomposition of G_K -representations

$$\text{ad}(V_g) \otimes \chi \simeq (\text{ad}^0(V_g) \otimes \chi) \oplus \chi.$$

However, our proof is largely simplified by the fact that the three p -adic L -functions involved have a Zariski dense overlapping set of characters in the range of interpolation.

Our factorization formula will in fact involve the following anticyclotomic projection of the Katz p -adic L -function.

Definition 4.8. Viewing ψ_0 as a character of G_c , write $\psi_0 = \bar{\psi}_0 \cdot \psi_\Gamma$ according to the factorization (4.2), with $\bar{\psi}_0$ (resp. ψ_Γ) a character of Δ_c (resp. Γ_K). We denote by $\mathcal{L}_p^{\text{Katz}}(\psi_0)^{-,\iota} \in \mathbb{Z}_p^{\text{ur}}[[\Gamma^{\text{ac}}]]$ the image of $\mathcal{L}_{p,c}^{\text{Katz}}(K)$ under the composite map

$$\mathbb{Z}_p^{\text{ur}}[[G_c]] \rightarrow \mathbb{Z}_p^{\text{ur}}[[\Gamma_K]] \rightarrow \mathbb{Z}_p^{\text{ur}}[[\Gamma_K]] \rightarrow \mathbb{Z}_p^{\text{ur}}[[\Gamma^{\text{ac}}]] \rightarrow \mathbb{Z}_p^{\text{ur}}[[\Gamma^{\text{ac}}]],$$

where the first arrow is given by the projection defined by $\bar{\psi}_0^{-1}\omega^{r_1/2}$, the second by twisting by ψ_Γ^{-1} , the third is the natural projection, and the last arrow is the involution given by $\gamma \mapsto \gamma^{-1}$ for $\gamma \in \Gamma^{\text{ac}}$. In other words, $\mathcal{L}_p^{\text{Katz}}(\psi_0)^{-,\iota}$ is the element of $\mathbb{Z}_p^{\text{ur}}[[\Gamma^{\text{ac}}]]$ defined by

$$\mathcal{L}_p^{\text{Katz}}(\psi_0)^{-,\iota}(\xi) = \mathcal{L}_{p,c}^{\text{Katz}}(K)(\psi_0^{-1}\xi^{-1}\omega^{r_1/2})$$

for all characters ξ of Γ^{ac} .

Denote by τ_N the product of constants $\prod_{\ell|N} \tau_\ell$ appearing in Theorem 4.4.

Theorem 4.9. *The following equality holds*

$$L_p(\text{ad}(g_K) \otimes \psi_0) = u \cdot L_p(\text{ad}^0(g_K) \otimes \psi_0) \cdot \mathcal{L}_p^{\text{Katz}}(\psi_0)^{-,\iota} \cdot \tau_N$$

where u is a unit in $(\Lambda^{\text{ac}})^\times$.

Proof. Let ξ be a character of Γ^{ac} as in the statement of Theorem 4.1 and Theorem 4.4, hence in particular corresponding to a Hecke character, still denoted by ξ , of infinity type $(-n, n)$ with $n \geq l - 1$. Noting that $\theta_{\psi_0\xi_n}$ has weight $2n + 2$, from Hida's formula for the adjoint L -value (see [HT93b, Thm .7.1]) and Dirichlet's class number formula we obtain (cf. [JSW17, p. 414])

$$(4.3) \quad \langle \theta_{\psi_0\xi_n}, \theta_{\psi_0\xi_n} \rangle = (2n + 1)! \cdot D_K^2 \cdot \frac{1}{2^{4n+4}\pi^{2n+3}} \cdot \frac{2\pi h_K}{w_K \sqrt{D_K}} \cdot L(\psi_0^{1-\tau}\xi^{1-\tau}, 1),$$

where w_K is the number of units in \mathcal{O}_K . Since $L(\psi_0^{1-\tau}\xi^{1-\tau}, 1)$ corresponds to the value at $s = 0$ of the L -function for the Hecke character $\psi_0^{\tau-1}\xi^{\tau-1}\mathbf{N}^{-1}$ of infinity type $(2n + 2, -2n)$, using (4.3) the interpolation formula in Theorem 4.5 can be rewritten as

$$\begin{aligned} \mathcal{L}_{p,c}^{\text{Katz}}(K)(\psi_0^{\tau-1}\xi^{\tau-1}\mathbf{N}^{-1}) &= \left(\frac{\Omega_p}{\Omega_\infty} \right)^{4n+2} \cdot \frac{2^{6n+4}\pi^{4n+2}}{\sqrt{D_K}^{2n+1}} \cdot \frac{w_K}{D_K^2 h_K} \\ &\quad \times \left(1 - \frac{(\psi_0\xi\omega^{-n})(\mathfrak{p})}{(\psi_0\xi\omega^{-n})(\bar{\mathfrak{p}})} \right) \left(1 - \frac{(\psi_0\xi\omega^{-n})(\mathfrak{p})}{p(\psi_0\xi\omega^{-n})(\bar{\mathfrak{p}})} \right) \cdot \langle \theta_{\psi_0\xi_n}, \theta_{\psi_0\xi_n} \rangle. \end{aligned}$$

Thus together with Theorem 4.4 we find that

$$(4.4) \quad \begin{aligned} &L_p(\text{ad}(g_K) \otimes \psi_0)(\xi)^2 \cdot \mathcal{L}_{p,c}^{\text{Katz}}(K)(\psi_0^{\tau-1}\xi^{\tau-1}\mathbf{N}^{-1})^2 \cdot h_K^2 \\ &= \left(\frac{\Omega_p}{\Omega_\infty} \right)^{8n+4} \cdot \frac{2^{8n+4}\pi^{4n}}{\sqrt{D_K}^{4n}} \cdot \Gamma(n, l, l) \cdot \mathcal{E}(\text{ad}(g), \psi_0\xi)^2 \cdot \frac{w_K^2}{D_K^4} \cdot \tau_N \cdot L(\text{ad}(g_K) \otimes \psi_0^{-1}\xi^{-1}\omega^n, 0). \end{aligned}$$

On the other hand, we have the factorization

$$(4.5) \quad L(\text{ad}(g_K) \otimes \psi_0^{-1}\xi^{-1}\omega^n, 0) = L(\text{ad}^0(g_K) \otimes \psi_0^{-1}\xi^{-1}\omega^n, 0) \cdot L(\psi_0^{-1}\xi^{-1}\omega^n, 0).$$

The character $\psi_0^{-1}\xi^{-1}\omega^n$ has infinity type $(n + 1, -n)$, and so is in the range of interpolation for $\mathcal{L}_{p,c}^{\text{Katz}}(K)$. Thus combining Theorem 4.1 and Theorem 4.5 and using (4.5) we find

$$(4.6) \quad \begin{aligned} &L_p(\text{ad}^0(g_K) \otimes \psi_0)(\xi) \cdot \mathcal{L}_{p,c}^{\text{Katz}}(K)(\psi_0^{-1}\xi^{-1}\omega^n) = \left(\frac{\Omega_p}{\Omega_\infty} \right)^{6n+3} \cdot \pi^{3n} \cdot \Gamma(n, l) \cdot \mathcal{E}(\text{ad}^0(g), \psi_0\xi)^2 \\ &\quad \times \left(\frac{\Omega_p}{\Omega_\infty} \right)^{2n+1} \cdot n! \cdot \left(\frac{2\pi}{\sqrt{D_K}} \right)^n \cdot (1 - p^{-1}\psi_0\xi(\mathfrak{p}))^2 \cdot L(\text{ad}(g_K) \otimes \psi_0^{-1}\xi^{-1}\omega^n, 0). \end{aligned}$$

Comparing (4.4) and (4.6) we see that their ratio is given by $2^{7n+4} \cdot \sqrt{D_K}^{-3n-8} \cdot \tau_N$; since for varying n the first two factors are interpolated by a unit in $(\Lambda^{\text{ac}})^\times$, applying the functional equation of Theorem 4.5 this gives the result. \square

5. THE EULER SYSTEM

Let $g \in S_l(N_g, \chi_g)$ be a newform as in §2.1, and let ψ be a Hecke character of K of infinity type $(1 - k, 0)$ for some even integer $k \geq 2$, conductor \mathfrak{c} prime to p , and central character ε_K . Recall from §4 that we assume that $(p) = \mathfrak{p}\bar{\mathfrak{p}}$ splits in K and (for simplicity) that p does not divide the class number of K .

5.1. Modified diagonal cycles. For each positive integer m , let

$$(5.1) \quad \kappa_{\psi, \text{ad}(g), m, \infty} \in H_{\text{Iw}}^1(K[mp^\infty], T_{\text{ad}(g)}^\psi)$$

be the class $\kappa_{\psi, g, g^*, m, \infty}$ constructed in [ACR21, Thm. 6.5]. (For $m = 1$, this is essentially the class $\kappa^{(3)}(\mathbf{f}, g, g^*)$ defined in §3, after an application of Shapiro's lemma and twisting by the the inverse of the anticyclotomic character ξ in (5.4) below.) Since we have a direct sum decomposition

$$H_{\text{Iw}}^1(K[mp^\infty], T_{\text{ad}(g)}^\psi) = H_{\text{Iw}}^1(K[mp^\infty], T) \oplus H_{\text{Iw}}^1(K[mp^\infty], T'),$$

we can project the class $\kappa_{\psi, \text{ad}(g), m, \infty}$ to each of the summands. We denote its projection to the first summand as $\kappa_{\psi, \text{ad}^0(g), m, \infty}$.

Theorem 5.1. *Let \mathcal{S} be the set of all squarefree products of primes q split in K and coprime to pN_gN_ψ , and assume that $H^1(K[mp^s], T)$ is torsion-free for every $m \in \mathcal{S}$ and for every $s \geq 0$. There exists a collection of classes*

$$\left\{ \kappa_{\psi, \text{ad}^0(g), m, \infty} \in \text{Sel}_{\text{bal}}(K[mp^\infty], T) : m \in \mathcal{S} \right\}$$

such that whenever $m, mq \in \mathcal{S}$ with q a prime, we have

$$\text{COI}_{K[mq]/K[m]}(\kappa_{\psi, \text{ad}^0(g), mq, \infty}) = P_{\mathfrak{q}}(V_{\text{ad}(g)}^\psi; \text{Fr}_{\mathfrak{q}}^{-1}) \kappa_{\psi, \text{ad}^0(g), m, \infty}.$$

Proof. This is an immediate consequence of [ACR21, Thm. 6.5] and [op. cit., Prop. 6.6]. \square

The Euler factors appearing in the previous theorem are not the ones that we want. Indeed, let $q = \mathfrak{q}\bar{\mathfrak{q}}$ be a prime which splits in K . Then

$$P_{\mathfrak{q}}(V_{\text{ad}(g)}^\psi; X) = \left(1 - \frac{\psi(\mathfrak{q})X}{q^{k/2}} \right) P_{\mathfrak{q}}(V; X),$$

so there is an unwanted extra factor. We now deal with this problem.

Definition 5.2. Let \mathcal{P}' be the set of primes $q \nmid pN_gN_\psi$ split in K such that

- $q \equiv 1 \pmod{p}$,
- $T/(\text{Fr}_q - 1)T$ is a cyclic \mathbb{Z}_p -module,
- $\text{Fr}_q - 1$ is bijective on T' .

Here Fr_q denotes any arithmetic Frobenius element for q . Since $T^\vee(1) \simeq T^c$ and $(T')^\vee(1) \simeq (T')^c$, the definition does not depend on this choice.

Remark 5.3. Let σ be as in Proposition 6.3. Then, any prime q such that Fr_q is conjugate to σ in $\text{Gal}(K(\mu_p, \bar{T}, \bar{T}')/K)$ belongs to \mathcal{P}' .

Theorem 5.4. *Let \mathcal{S}' be the set of squarefree products of primes in \mathcal{P}' , and assume that $H^1(K[mp^s], T)$ is torsion-free for every $n \in \mathcal{S}'$ and for every $s \geq 0$. There exists a collection of classes*

$$\left\{ \kappa_m \in \text{Sel}_{\text{bal}}(K[mp^\infty], T) : m \in \mathcal{S}' \right\}$$

such that $\kappa_1 = \kappa_{\psi, \text{ad}^0(g), 1, \infty}$ and, whenever $m, mq \in \mathcal{S}'$ with q a prime, we have

$$\text{COI}_{K[mq]/K[m]}(\kappa_{mq}) = P_{\mathfrak{q}}(V; \text{Fr}_{\mathfrak{q}}^{-1}) \kappa_m,$$

where \mathfrak{q} is any of the primes of K above q .

Proof. We construct the classes κ_m by modifying the classes $\kappa_{\psi, \text{ad}^0(g), m, \infty}$ in Theorem 5.1 appropriately.

For each $m \in \mathcal{S}'$, let $\Gamma_m = \text{Gal}(K[mp^\infty]/K)$. For each prime $q \mid m$, let $F_q \in \mathcal{S}'$ denote the unique element of Γ_m which acts trivially on $K[q]$ and maps to Fr_q in $\Gamma_{m/q}$. Then, the factor $1 - q^{-k/2}\psi(\mathfrak{q})F_q^{-1}$ is invertible in $\mathbb{Z}_p[[\Gamma_m]]$ (cf. the proof of [LZ19, Thm. 5.3.3]). We now take

$$\kappa_m = \prod_{q \mid m} \left(1 - \frac{\psi(\mathfrak{q})}{q^{k/2}} F_q^{-1} \right)^{-1} \kappa_{\psi, \text{ad}^0(g), m, \infty}.$$

These classes clearly satisfy the required properties. \square

5.2. The explicit reciprocity law. Let

$$\kappa_{\psi, \text{ad}(g), \infty} \in H_{\text{Iw}}^1(K_\infty, T_{\text{ad}(g)}^\psi)$$

be the image of the class $\kappa_{\psi, \text{ad}(g), 1, \infty}$ in (5.1) under the corestriction map for $K[p^\infty]/K_\infty$. By [ACR21, Prop. 6.6] we have $\kappa_{\psi, \text{ad}(g), \infty} \in \text{Sel}_{\text{bal}}(K_\infty, T_{\text{ad}(g)}^\psi)$; in particular, the restriction $\text{res}_{\bar{\mathfrak{p}}}(\kappa_{\psi, \text{ad}(g), \infty})$ lands in the image of the natural map

$$H_{\text{Iw}}^1(K_{\infty, \bar{\mathfrak{p}}}, \mathcal{F}_{\bar{\mathfrak{p}}}^{\text{bal}}(T_{\text{ad}(g)}^\psi)) \longrightarrow H_{\text{Iw}}^1(K_{\infty, \bar{\mathfrak{p}}}, T_{\text{ad}(g)}^\psi)$$

(see (2.3)). Note that this map is an injection under our hypotheses. On the other hand, let

$$(5.2) \quad \kappa_{\psi, \text{ad}^0(g), \infty} \in \text{Sel}_{\text{bal}}(K_\infty, T)$$

the image of the class $\kappa_1 = \kappa_{\psi, \text{ad}^0(g), 1, \infty}$ of Theorem 5.4 under the corestriction map. Thus $\kappa_{\psi, \text{ad}^0(g), \infty}$ is the projection of $\kappa_{\psi, \text{ad}(g), \infty}$ onto the first direct summand in the decomposition

$$\text{Sel}_{\text{bal}}(K_\infty, T_{\text{ad}(g)}^\psi) = \text{Sel}_{\text{bal}}(K_\infty, T) \oplus \text{Sel}_{\text{bal}}(K_\infty, T'),$$

and since $\mathcal{F}_{\bar{\mathfrak{p}}}^{\text{bal}}(T_{\text{ad}(g)}^\psi)$ is contained in T , it is clear that

$$(5.3) \quad \text{res}_{\bar{\mathfrak{p}}}(\kappa_{\psi, \text{ad}(g), \infty}) = \text{res}_{\bar{\mathfrak{p}}}(\kappa_{\psi, \text{ad}^0(g), \infty}).$$

Definition 5.5. Put $\psi_0 = \psi\lambda^{2-k}$ as in Remark 4.3, and define

$$(5.4) \quad L_p(\text{ad}^0(g_K) \otimes \psi) := \text{Tw}_\xi(L_p(\text{ad}^0(g_K) \otimes \psi_0)),$$

where $\text{Tw}_\xi : \Lambda^{\text{ac}} \rightarrow \Lambda^{\text{ac}}$ is the twisting homomorphism for the character $\xi := (\lambda^{1-\tau})^{k/2-1}$. Similarly, define $\mathcal{L}_p(\text{ad}(g_K) \otimes \psi)$, $\mathcal{L}_{\mathfrak{p}, \psi}^{\text{Katz}}(K)^-$, $L_p(\text{ad}(g_K) \otimes \psi)$, and $\mathcal{L}_{\mathfrak{p}}^{\text{Katz}}(\psi)^{-, \iota}$ by twisting the corresponding p -adic L -functions defined for ψ_0 in §4.5.

For the statement of the next result, note that ψ_0 has the same restriction to Δ_c as ψ .

Theorem 5.6. *There exists an injective Λ^{ac} -module map with pseudo-null cokernel*

$$\mathfrak{L}\text{og} : H_{\text{Iw}}^1(K_{\infty, \bar{\mathfrak{p}}}, \mathcal{F}_{\bar{\mathfrak{p}}}^{\text{bal}}(T_{\text{ad}(g)}^\psi)) \longrightarrow \mathbb{Z}_p^{\text{ur}}[[\Gamma^{\text{ac}}]]$$

such that

$$\mathfrak{L}\text{og}(\text{res}_{\bar{\mathfrak{p}}}(\kappa_{\psi, \text{ad}^0(g), \infty})) = h_K \cdot \mathcal{L}_{\mathfrak{p}, \psi}^{\text{Katz}}(K)^- \cdot \mathcal{L}_p(\text{ad}(g_K) \otimes \psi).$$

Proof. Let $\mathbb{V}(\mathfrak{f}, g, g^*)$ and $\mathbb{V}(\mathfrak{f}, g, g^*)_f$ be as in §3 (corresponding to $\mathbb{V}_{\mathfrak{f}gg^*}^\dagger$ and $\mathbb{V}_{\mathfrak{f}}^{gg^*}$, respectively, in the notation of [ACR21, §8.2]). Then, identifying $G_{\mathbb{Q}_p}$ with $G_{K_{\bar{\mathfrak{p}}}}$ via the composition of the embedding $\iota_p : \overline{\mathbb{Q}} \hookrightarrow \overline{\mathbb{Q}_p}$ fixed in the introduction with complex conjugation, we get an isomorphism of $\Lambda_{\mathfrak{f}}[G_{K_{\bar{\mathfrak{p}}}}]$ -modules

$$(5.5) \quad \mathbb{V}(\mathfrak{f}, g, g^*)_f = \mathbb{V}_{\mathfrak{f}}^- \hat{\otimes}_{\mathcal{O}} T_g^+ \otimes T_{g^*}^+(\epsilon_{\text{cyc}}^{1-l} \kappa_f^{-1/2}) \simeq \mathcal{F}_{\bar{\mathfrak{p}}}^{\text{bal}}(T_{\text{ad}^0(g)}^\psi) \otimes \xi \Psi_S^{(\tau-1)/2}.$$

By [ACR21, Thm. 7.4], after extending scalars to \mathbb{Z}_p^{ur} , the composition of the $\Lambda_{\mathbf{f}}$ -linear map

$$H^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)_f) \longrightarrow \Lambda_{\mathbf{f}}$$

of [op. cit., Prop. 7.3] with the isomorphism $\mathbb{Z}_p^{\text{ur}} \hat{\otimes} \Lambda_{\mathbf{f}} \simeq \mathbb{Z}_p^{\text{ur}}[[\Gamma^{\text{ac}}]]$ given by $\gamma \mapsto \gamma^{\tau-1}$ sends the class $\kappa^{(3)}(\mathbf{f}, g, g^*)$ recalled in §3 to the product

$$h_K \cdot \mathcal{L}_{\mathfrak{p}, \psi_0}^{\text{Katz}}(K)^{-} \cdot \mathcal{L}_p(\text{ad}(g_K) \otimes \psi_0),$$

noting that by Proposition 4.6 the first two factors generate the congruence ideal of \mathbf{f} . Taking twists by ξ and using the isomorphism

$$H_{\text{Iw}}^1(K_{\infty, \bar{\mathfrak{p}}}, \mathcal{F}_{\bar{\mathfrak{p}}}^{\text{bal}}(T_{\text{ad}^0(g)}^{\psi}) \otimes \xi) \simeq H^1(\mathbb{Q}_p, \mathbb{V}(\mathbf{f}, g, g^*)_f)$$

induced by (5.5) and using (5.3), the result follows. \square

Corollary 5.7. *The map $\mathfrak{L}\text{og}$ of Theorem 5.6 satisfies*

$$(\mathfrak{L}\text{og}(\text{res}_{\bar{\mathfrak{p}}}(\kappa_{\psi, \text{ad}^0(g), \infty}))^2) = (L_p(\text{ad}^0(g_K) \otimes \psi) \cdot \mathcal{L}_{\mathfrak{p}}^{\text{Katz}}(\psi)^{-, \iota})$$

as ideals in $\mathbb{Z}_p^{\text{ur}}[[\Gamma^{\text{ac}}]] \otimes \mathbb{Q}_p$.

Proof. This is clear from Theorem 5.6 and the factorization in Theorem 4.9. \square

6. VERIFYING THE HYPOTHESES

Let g and ψ be as introduced in §2.1 and §2.2, respectively, and recall that we set

$$V = \text{ad}^0(V_g)(\psi_{\mathfrak{P}}^{-1})(1 - k/2),$$

where $\rho_g : G_{\mathbb{Q}} \rightarrow \text{Aut}_E(V_g) \simeq \text{GL}_2(E)$ is the \mathfrak{P} -adic Galois representation attached to g . The aim of this section is giving conditions under which the hypotheses in the general results of [JNS] are verified in our setting. In particular, and with the notations introduced in [ACR21, §8], the theory builds up on the existence of an element $\sigma_0 \in \text{Gal}(\bar{K}/K(p^\infty)^\circ)$ such that $T/(\sigma_0 - 1)T$ is a free \mathcal{O} -module of rank one. As shown in [Loe17, Prop. 4.1.1], this is not true for $T_g \otimes T_{g^*}$, but we will check that under mild assumptions we can get this condition for the adjoint (three-dimensional) representation T .

As in [Loe17, §3.1] we define an open subgroup $H_g \subseteq G_{\mathbb{Q}}$, a quaternion algebra B_g and an algebraic group G_g . Let $H = H_g \cap G_{K(\mathfrak{f})^\circ}$. Then we have an adelic representation

$$\tilde{\rho}_g : H \longrightarrow G_g(\hat{\mathbb{Q}})$$

and representations

$$\tilde{\rho}_{g,p} : H \longrightarrow G_g(\mathbb{Q}_p)$$

for every rational prime p , and, according to [Loe17, Thm. 2.2.2], for all but finitely many p we can conjugate $\tilde{\rho}_{g,p}$ so that $\tilde{\rho}_{g,p}(H) = G(\mathbb{Z}_p)$.

Let L be a finite extension of K containing the Fourier coefficients of g and the image of the Hecke character ψ . Let \mathfrak{P} be a prime of L above some rational prime p , and let $E = L_{\mathfrak{P}}$.

Definition 6.1. We say that the prime \mathfrak{P} is *good* if the following conditions hold:

- $p \geq 3$;
- p is unramified in B_g ;
- p is coprime to \mathfrak{f} and N_g ;
- $\tilde{\rho}_{g,p}(H) = G(\mathbb{Z}_p)$;
- $E = \mathbb{Q}_p$.

Lemma 6.2. *Assume that there is at least one prime which divides D but not N_g . Then, if \mathfrak{P} is a good prime,*

$$\rho_{g, \mathfrak{P}}(H \cap G_{K(p^\infty)^\circ}) = \text{SL}_2(\mathbb{Z}_p).$$

Proof. This can be proved in a way similar to [ACR21, Lem. 5.9]. \square

Now fix a good prime \mathfrak{P} and define $\mathbb{Z}_p[G_K]$ -modules $T = \text{ad}^0 T_g(\psi_{\mathfrak{P}}^{-1})(1 - k/2)$ and $T' = \mathbb{Z}_p(\psi_{\mathfrak{P}}^{-1})(1 - k/2)$. Let $V = T \otimes \mathbb{Q}_p$ and $V' = T' \otimes \mathbb{Q}_p$.

Proposition 6.3. *Assume that there is at least one prime which divides D but not N_g . Suppose that there exists $\eta \in G_{K(p^\infty)^\circ}$ such that $\chi_g(\eta)\psi_{\mathfrak{P}}(\eta)$ is a square modulo p and $\psi_{\mathfrak{P}}(\eta)^2 \neq 1$ modulo p . Then there exists $\sigma \in G_{K(p^\infty)^\circ}$ such that*

- $T/(\sigma - 1)T$ is free of rank 1 over \mathbb{Z}_p ,
- $\sigma - 1$ acts invertibly on T' .

Proof. We closely follow the proof of [LZ19, Prop. 5.2.1] (see also the proof of [ACR21, Lem 5.10] and [Loe17, Prop. 4.2.1]). By the previous lemma the image of $\eta H \cap G_{K(p^\infty)^\circ}$ under $\rho_{g, \mathfrak{P}}$ contains all the elements of the form

$$\begin{pmatrix} x & 0 \\ 0 & x^{-1}\chi_g(\eta) \end{pmatrix}, \quad x \in \mathbb{Z}_p^\times.$$

Choose x such that $x^2 = \chi_g(\eta)\psi_{\mathfrak{P}}(\eta)$. Choose $\sigma \in \eta H \cap G_{K(p^\infty)^\circ}$ whose image under $\rho_{g, \mathfrak{P}}$ is given by the element above, with the choice of x which we have just specified. Then, the eigenvalues of σ acting on T are 1, $\psi_{\mathfrak{P}}^{-1}(\eta)$ and $\psi_{\mathfrak{P}}^{-2}(\eta)$ and the eigenvalue of σ acting on T' is $\psi_{\mathfrak{P}}^{-1}(\eta)$. The result follows from the assumptions on η . \square

7. APPLICATIONS

Let $g \in S_l(N_g, \chi_g)$ and ψ be a Hecke character of K of infinity type $(1 - k, 0)$ for some even integer $k \geq 2$ as introduced in §2, and recall that we consider the E -valued G_K -representation V in Definition 2.1. We begin by collecting a set of hypotheses for our later reference.

Hypotheses 7.1.

- (h1) p splits in K ,
- (h2) $p \nmid h_K$,
- (h3) the conditions in Proposition 4.6 hold,
- (h4) g is ordinary at p and non-Eisenstein mod p ,
- (h5) g is not of CM type,
- (h6) \mathfrak{P} is a good prime in the sense of Definition 6.1,
- (h7) the conditions in Proposition 6.3 hold.

7.1. The Bloch–Kato conjecture. We begin with a standard lemma, whose proof follows from the same argument as in [ACR21, Lem. 9.1].

Lemma 7.2. *The Bloch–Kato Selmer group of V is given by*

$$\text{Sel}(K, V) \simeq \begin{cases} \text{Sel}_{\text{bal}}(K, V) & \text{if } 2 \leq k < 2l, \\ \text{Sel}_{\text{unb}}(K, V) & \text{if } k \geq 2l. \end{cases}$$

Let $\kappa_{\psi, \text{ad}^0(g), \infty}$ be as in (5.2), and denote by

$$\kappa_{\psi, \text{ad}^0(g)} \in \text{Sel}_{\text{bal}}(K, T)$$

the image of $\kappa_{\psi, \text{ad}^0(g), \infty}$ under the corestriction $H_{\text{Iw}}^1(K_\infty, T) \rightarrow H^1(K, T)$.

Theorem 7.3. *Assume hypotheses (h1)–(h7). Then the following implication holds:*

$$\kappa_{\psi, \text{ad}^0(g)} \neq 0 \implies \dim_E \text{Sel}_{\text{bal}}(K, V) = 1.$$

In particular, if $2 \leq k < 2l$ and $\kappa_{\psi, \text{ad}^0(g)} \neq 0$ then the Bloch–Kato Selmer group $\text{Sel}(K, V)$ is one-dimensional.

Proof. This follows from the general theory of anticyclotomic Euler systems developed in [JNS] (see [ACR21, §8] for a summary) applied to the Euler system constructed in Theorem 5.4. By Proposition 6.3, Hypotheses 7.1 give sufficient conditions for the general results of [JNS] to apply in our case. Note also that for the application of these results it suffices to have an anticyclotomic Euler system consisting of classes indexed by squarefree products of primes q in a positive density set \mathcal{P}' of primes split in K , as is the case for the anticyclotomic Euler system of Theorem 5.4 (see Remark 5.3). \square

The above Theorem 7.3 can be viewed as a result towards the Bloch–Kato conjecture for V in rank one. The next result establishes cases of the same conjecture in rank zero.

Theorem 7.4. *Assume hypotheses (h1)–(h7), and in addition that:*

- $\varepsilon_\ell(V_{\text{ad}(g)}^\psi) = +1$ for all primes $\ell \mid N$,
- $\gcd(N_g, N_\psi)$ is squarefree,
- $L(\theta_\psi, k/2) \neq 0$.

If $k \geq 2l$ then the following implication holds:

$$L(V, 0) \neq 0 \quad \implies \quad \text{Sel}(K, V) = 0.$$

Proof. By Theorem 4.1 and Definition 5.5 we see that

$$L(V, 0) \doteq L_p(\text{ad}^0(g_K) \otimes \psi)(\xi_0),$$

where ξ_0 is the trivial character of Γ^{ac} . Similarly, from Theorem 4.5 and Definition 5.5 we see that

$$L(\theta_\psi, k/2) \doteq \mathcal{L}_p^{\text{Katz}}(\psi)^{-, \iota}(\xi_0).$$

Therefore by the factorization in Theorem 4.9 we thus see that $L_p(\text{ad}(g_K) \otimes \psi)(\xi_0) \neq 0$, and so $\kappa_{\psi, \text{ad}^0(g)} \neq 0$ by the explicit reciprocity law of Corollary 5.7. The result now follows from Theorem 7.3 and global duality by the same argument as in [ACR21, Thm. 9.5]. \square

Remark 7.5. The hypotheses in Theorem 7.4 and the decomposition (2.1) imply that $L(V, s)$ has sign $+1$ in its functional equations, and so the nonvanishing of $L(V, 0)$ is expected to hold generically.

7.2. The Iwasawa main conjecture. Here we deduce our main result towards the anticyclotomic Iwasawa main conjecture for V .

Since ψ has central character ε_K by assumption, its associated theta series θ_ψ has trivial nebentypus. In the following we denote by $\varepsilon(\theta_\psi)$ its global root number.

Theorem 7.6. *Assume hypotheses (h1)–(h7), and in addition that:*

- $\varepsilon_\ell(V_{\text{ad}(g)}^\psi) = +1$ for all primes $\ell \mid N$,
- $\varepsilon(\theta_\psi) = +1$,
- $\gcd(N_g, N_\psi)$ is squarefree.

If $L_p(\text{ad}^0(g_K) \otimes \psi) \neq 0$, then $\text{Sel}_{\text{umb}}(K_\infty, A)$ is Λ^{ac} -cotorsion, with

$$\text{Char}_{\Lambda^{\text{ac}}}(\text{Sel}_{\text{umb}}(K_\infty, A)^\vee) \supset (L_p(\text{ad}^0(g_K) \otimes \psi) \cdot \mathcal{L}_p^{\text{Katz}}(\psi)^{-, \iota})$$

in $\mathbb{Z}_p^{\text{ur}}[[\Gamma^{\text{ac}}]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$.

Proof. The assumption that $\varepsilon(\theta_\psi) = +1$ implies that $\mathcal{L}_p^{\text{Katz}}(\psi)^{-, \iota} \neq 0$ by Greenberg’s nonvanishing results [Gre83]. Since $L_p(\text{ad}^0(g_K) \otimes \psi) \neq 0$ by hypothesis, together with the factorization in Theorem 4.9 it follows that

$$L_p(\text{ad}(g_K) \otimes \psi) \neq 0,$$

and so by Corollary 5.7 the class $\kappa_{\psi, \text{ad}^0(g), \infty}$ is non-torsion. By the general results of [JNS] (see also [ACR21, Thm. 8.5]), we thus conclude that $X_{\text{bal}}(K_{\infty}, A)$ and $\text{Sel}_{\text{bal}}(K_{\infty}, T)$ have both Λ^{ac} -rank one, with

$$\text{Char}_{\Lambda^{\text{ac}}}(X_{\text{bal}}(K_{\infty}, A)_{\text{tors}}) \supset \text{Char}_{\Lambda^{\text{ac}}}\left(\frac{\text{Sel}_{\text{bal}}(K_{\infty}, T)}{\Lambda^{\text{ac}} \cdot \kappa_{\psi, \text{ad}^0(g), \infty}}\right)^2.$$

The result now follows from this by the same argument as in the proof of [ACR21, Thm. 7.15] based on Poitou–Tate duality and the explicit reciprocity law of Corollary 5.7. \square

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