

# Disjoint paths in unions of tournaments

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### **Abstract**

Given  $k$  pairs of vertices  $(s_i, t_i)$  ( $1 \leq i \leq k$ ) of a digraph  $G$ , how can we test whether there exist  $k$  vertex-disjoint directed paths from  $s_i$  to  $t_i$  for  $1 \leq i \leq k$ ? This is NP-complete in general digraphs, even for  $k = 2$  [3], but in [2] we proved that for all fixed  $k$ , there is a polynomial-time algorithm to solve the problem if  $G$  is a tournament (or more generally, a semicomplete digraph). Here we prove that for all fixed  $k$  there is a polynomial-time algorithm to solve the problem when  $V(G)$  is partitioned into a bounded number of sets each inducing a semicomplete digraph (and we are given the partition).

# 1 Introduction

A *linkage* in a digraph  $G$  is a family  $L = (P_i : 1 \leq i \leq k)$  of pairwise vertex-disjoint directed paths of  $G$ . (With a slight abuse of terminology, we call  $k$  the “cardinality” of  $L$ , and  $P_1, \dots, P_k$  its “members”.) Let  $s_1, t_1, \dots, s_k, t_k$  be distinct vertices of a digraph  $G$ . (We call  $(G, s_1, t_1, \dots, s_k, t_k)$  a *problem instance*.) A linkage  $L = (P_i : 1 \leq i \leq k)$  in  $G$  is *for* the problem instance if  $P_i$  is from  $s_i$  to  $t_i$  for each  $i$ . The  *$k$  vertex-disjoint paths problem* is to determine whether there is a linkage for a given problem instance. Fortune, Hopcroft and Wyllie [3] showed that this is NP-complete, even for  $k = 2$ . This motivates the study of subclasses of digraphs for which the problem is polynomial-time solvable.

In this paper, all digraphs are finite, and without loops or parallel edges; thus if  $u, v$  are distinct vertices of a digraph then there do not exist two edges both from  $u$  to  $v$ , although there may be edges  $uv$  and  $vu$ . Also, by a “path” in a digraph we always mean a directed path. A digraph is a *tournament* if for every pair of distinct vertices  $u, v$ , exactly one of  $uv, vu$  is an edge; and a digraph is *semicomplete* if for all distinct  $u, v$ , at least one of  $uv, vu$  is an edge. Bang-Jensen and Thomassen [1] showed:

**1.1** *The  $k$  vertex-disjoint paths problem is NP-complete if  $k$  is not fixed, even when  $G$  is a tournament.*

In an earlier paper [2] we showed:

**1.2** *For all fixed  $k \geq 1$ , the  $k$  vertex-disjoint paths problem is solvable in polynomial time if  $G$  is semicomplete.*

In this paper we extend this to a wider class of digraphs. If  $G$  is a digraph, a set  $C \subseteq V(G)$  is a *clique* of  $G$  if the subdigraph of  $G$  induced on  $C$  is semicomplete. Let us say a *clique-partition* for a digraph  $G$  is a partition  $(C_1, \dots, C_c)$  of  $V(G)$  into cliques (we permit the  $C_i$ 's to be empty). Our main result is:

**1.3** *For all fixed  $k$  and  $c$ , there is a polynomial-time algorithm to solve the  $k$  vertex-disjoint directed paths problem in a digraph  $G$  that is given with a clique-partition  $(C_1, \dots, C_c)$ . Its running time is  $O(|V(G)|^t)$  where  $t$  is about  $4(ck)^5$  for  $c, k$  large.*

The idea of the algorithm for 1.3 is a refinement of that for 1.2, presented in [2]. As before, we will define an auxiliary digraph  $H$  with two special sets of vertices  $S_0, T_0$ , and prove that there is a path in  $H$  from  $S_0$  to  $T_0$  if and only if there is a linkage for  $(G, s_1, t_1, \dots, s_k, t_k)$ . Thus to solve the problem of 1.3 it suffices to construct  $H$  in polynomial time. In the present context, the auxiliary digraph is more complicated than the one in [2], because it needs extra bells and whistles to accommodate the clique-partition of  $G$ .

There were two extensions of 1.2 proved in [2]. First, we were able to determine all the minimal  $k$ -tuples  $(x_1, \dots, x_k)$  such that there is a linkage in which the  $i$ th path has at most  $x_i$  vertices, for  $1 \leq i \leq k$ . We have not been able to do the same in the present context. We can determine the minimum integer  $x$  such that there is a linkage for the problem instance that uses at most  $x$  vertices in total, but we cannot control the individual path lengths.

A second extension in [2] was, the same algorithm works for “ $d$ -path-dominant” digraphs instead of just semicomplete digraphs (these are digraphs in which every  $d$ -vertex minimal path contains a neighbour of every vertex). Again, we were not able to extend this to the present context.

Another natural extension is, what about digraphs with stability number bounded? (A set of vertices is *stable* if no edge has both ends in the set, and the *stability number* is the size of the largest stable set.) For the *edge-disjoint* directed paths problem, the bounded stability number case is solvable in polynomial time [4]. But for the vertex-disjoint problem, this extension remains out of our reach; indeed, we suspect the problem might be NP-complete for digraphs with stability number two.

## 2 The quest for the auxiliary digraph

Our method is to define an auxiliary digraph  $H$ , with two special sets of vertices  $S_0, T_0$ , in such a way that there is a path in  $H$  between  $S_0$  and  $T_0$  if and only if a linkage exists for  $(G, s_1, t_1, \dots, s_k, t_k)$ . To make a polynomial-time algorithm, we need that (a) the number of vertices of  $H$  is at most some polynomial in  $|V(G)|$ , and (b) we can construct  $H$  in polynomial time without knowledge of a linkage in  $G$ .

Here are some attempts, to explain the difficulty and the way we solve it. First, we might try: let  $V(H)$  be the set of all  $k$ -tuples of distinct vertices of  $G$ ; let  $S_0$  contain just the  $k$ -tuple  $(s_1, \dots, s_k)$ , and define  $T_0$  similarly; and say vertex  $(u_1, \dots, u_k)$  of  $H$  is adjacent in  $H$  to vertex  $(v_1, \dots, v_k)$  if  $u_i = v_i$  for all  $i$  except one, and  $v_i$  is adjacent from  $u_i$  for the exceptional value. We can certainly construct this in polynomial time; and it is easy to see that if the desired linkage exists in  $G$  then the path exists in  $H$ ; but the converse is not true. There might be a path from  $S_0$  to  $T_0$  in  $H$ , for which when we trace out the trajectory in  $G$  of the  $i$ th coordinate, we obtain a walk from  $s_i$  to  $t_i$  rather than a path (not a problem, we could short-cut); and worse, the trajectory of one coordinate might use vertices that also have been used by the trajectory of another coordinate. This is the main difficulty; how can we avoid it?

A second attempt: let us try to somehow mark the vertices that have already been used, so that they do not get used twice. Let  $H$  consist of  $k + 1$ -tuples, where the first  $k$  are vertices of  $G$  and the last is a subset of  $V(G)$ . Say  $(v_1, \dots, v_k, D)$  is adjacent to  $(v'_1, \dots, v'_k, D')$  if again  $v_i = v'_i$  for all  $i$  except one, and for the last value of  $i$ ,  $v'_i$  is adjacent from  $v_i$ , and  $v_i \notin D$ , and  $D \cup \{v_i\} \subseteq D'$ . Take  $S_0$  to contain just  $(s_1, \dots, s_k, \{s_1, \dots, s_k\})$  and  $T_0 = \{t_1, \dots, t_k, V(G)\}$ . Then it is true that there is a path in  $H$  if and only if there is a linkage in  $G$ ; but  $H$  has exponential size.

This is of course still naive in many ways. One is that, if the linkage exists, we are tracing it out by walking  $k$ -tuples of vertices along its paths, but not being clever about the sequence of moves of these  $k$ -tuples. We don't need every sequence of moves of  $k$ -tuples that traces out the linkage to correspond to a path in our auxiliary digraph  $H$  – one such sequence giving a path of  $H$  would be enough – so we are being wasteful here. We could afford to remove some parts of  $H$  to make it smaller, as long as we preserve the property that every linkage in  $G$  gives us at least one path in  $H$ . And even this is wasteful – we don't need every linkage for the problem instance to give a path; we might as well just make sure that the linkages  $L$  work that have vertex set  $V(L)$  as small as possible. These “minimum” linkages are nicer than general ones, so this helps.

Suppose we could generate a set  $\mathcal{D}$  of polynomially many subsets of  $V(G)$ , with the following property: that for every minimum linkage  $L$ , there is a way of tracing  $L$  with  $k$ -tuples such that at

each stage, the set of vertices that have been used already is a member of  $\mathcal{D}$ . This would be ideal, because then we make an auxiliary digraph with vertices of the form  $(v_1, \dots, v_k, D)$  and adjacency as before, but only using sets  $D \in \mathcal{D}$ , and this would all work. However, in general there is no such set  $\mathcal{D}$ ; even for  $k = 1$  it is easy to see that there are tournaments in which every set  $\mathcal{D}$  with the property above is exponentially large.

But we are getting closer to an answer. Suppose we could find a polynomially-sized set of subsets  $\mathcal{D}$ , with the property that for every minimum linkage  $L$ , there is a way of tracing out  $L$  with  $k$ -tuples of vertices, such that for every  $k$ -tuple  $(v_1, \dots, v_k)$  used in this tracing, there is a set  $D \in \mathcal{D}$  which includes the vertices already used, and includes none of those in the remainder of  $V(L)$  (and possibly contains some vertices not used by the linkage). This would *not* yet be enough, because the idea is that, as we move through the sequence of  $k$ -tuples tracing the linkage, the corresponding sequence of terms  $(v_1, \dots, v_k, D)$  will be the vertices in order of a path of the auxiliary digraph, and so we need an extra condition that as we move from one  $k$ -tuple to the next tracing the linkage, the set  $D$  for the first  $k$ -tuple is a subset of the set for the second. (This used to be automatic when  $D$  was just the set of vertices that has been used already; but now that  $D$  contains extra vertices, we must impose it as an extra condition.) That then would work. There *is* indeed such a set  $\mathcal{D}$  when  $G$  is a semicomplete digraph, and that was the idea of our algorithm in [2]. Unfortunately, in the present case all we know is that  $G$  admits a clique-partition into a bounded number of cliques, and we have not been able to prove that such a set  $\mathcal{D}$  exists, and suspect that in general it does not.

Let us stop trying to trace out the linkage with  $k$ -tuples of vertices, and trace it out in a different way, suggested by 3.4. That lemma, the key result of the paper, provides, for any minimum linkage  $L$ , an enumeration of the vertices in  $V(L)$ , which has some useful properties. It gives a sequence of subsets of  $V(L)$ , starting from  $\{s_1, \dots, s_k\}$  and growing one vertex at a time until it reaches  $V(L) \setminus \{t_1, \dots, t_k\}$ ; and each path of the minimum linkage winds in and out of any set in this sequence only a bounded number of times. (The enumeration has some other useful properties too that will be introduced later.) We have therefore a sequence of partitions  $(A_h, B_h)$  ( $h = 0, \dots, n$ ) of  $V(L)$ ; and for each  $(A_h, B_h)$ , there are only at most constantly many (at most  $K$  say) “jumping” edges (edges of the linkage paths that pass from  $A$  to  $B$  or from  $B$  to  $A$ ). Let  $J_h$  be the set of jumping edges at stage  $h$ ; then we can regard the sets  $J_h$  ( $0 \leq h \leq n$ ) as tracing out the linkage (albeit not as nicely as before; at a general stage  $h$  we will have traced some disjoint set of subpaths of each member of  $L$ , not just one initial subpath). Let us try to design an auxiliary digraph  $H$  with the sets  $J_h$  replacing the  $k$ -tuples of vertices. When there is a minimum linkage  $L$  in  $G$ , and we take sets of jumping edges  $J_h$  ( $0 \leq h \leq n$ ) tracing it, the corresponding vertex of  $H$  at stage  $h$  will be the pair  $(J_h, D_h)$ . We need  $D_h$  to have three properties:

- $D_h$  must contain the vertices already used by the partial tracing of the linkage  $L$  (that is,  $B_h \subseteq D_h$ ), and must not contain any vertices in  $A_h$  (but it is allowed to contain vertices not in  $V(L)$ );
- as  $h$  increases, each set  $D_h$  must be a subset of the next; and
- there must be a polynomially-size set  $\mathcal{D}$  of subsets of  $V(G)$ , containing all the sets  $D_h$  produced by the chosen tracing of the minimum linkage. The sets  $D_h$  depend on the choice of  $L$ ; but, crucially, we must be able to define  $\mathcal{D}$  without knowledge of  $L$ .

It will follow from the other desirable features of 3.4 that  $\mathcal{D}$  and the sets  $D_h$  exist with these three properties. Then we define  $H$  to be the digraph with vertex set all the pairs  $(J, D)$  where  $J$  is a

set of at most  $K$  edges and  $D \in \mathcal{D}$  define adjacency in the natural way, and it nearly works; the problem is, a path in  $H$  yields a linkage in  $G$  with  $k$  paths, all starting in  $\{s_1, \dots, s_k\}$  and ending in  $\{t_1, \dots, t_k\}$ , but not necessarily linking  $s_i$  to  $t_i$  for  $1 \leq i \leq k$ . This used not to be a problem because we used to have  $k$ -tuples of vertices, so we could tell which vertex was supposed to belong to which path; but now we are tracing the linkage with sets of edges, and we can't tell any more which edge is supposed to be in which path. We can fix this by partitioning each set of edges into  $k$  labelled subsets and redefine the adjacency in  $H$  to respect the partitions; in other words, trace with sets of *coloured* edges, where the colours are  $1, \dots, k$ , and we can tell from the colour of an edge which path it belongs to. Doing all this in detail is the content of the remainder of the paper.

### 3 The key lemma

The reduction of the linkage question to the question about finding one path in a different digraph is thus a more-or-less straightforward consequence of 3.4, and this section is to prove that lemma. We need a few definitions first. If  $P$  is a directed path of a digraph  $G$ , its *length* is  $|E(P)|$  (every path has at least one vertex); and  $s(P), t(P)$  denote the first and last vertices of  $P$ , respectively. If  $F$  is a digraph and  $v \in V(F)$ ,  $F \setminus v$  denotes the digraph obtained from  $F$  by deleting  $v$ ; and if  $X \subseteq V(F)$ ,  $F[X]$  denotes the subdigraph of  $F$  induced on  $X$ , and  $F \setminus X$  denotes the subdigraph obtained by deleting all vertices in  $X$ .

Now let  $L = (P_i : 1 \leq i \leq k)$  be a linkage in  $G$ . We define  $V(L)$  to be  $V(P_1) \cup \dots \cup V(P_k)$ . The linkage  $L$  is *minimum* if there is no linkage  $L' = (P'_i : 1 \leq i \leq k)$  in  $G$  with  $|V(L')| < |V(L)|$  joining the same  $k$  pairs of vertices (that is, such that  $s(P_i) = s(P'_i)$  and  $t(P_i) = t(P'_i)$  for  $1 \leq i \leq k$ ). A vertex  $v$  is an *internal vertex* of  $L$  if  $v \in V(L)$ , and  $v$  is not an end of any member of  $L$ . A linkage  $L$  is *internally disjoint* from a linkage  $L'$  if no internal vertex of  $L$  belongs to  $V(L')$  (note that this does not imply that  $L'$  is internally disjoint from  $L$ ); and we say that  $L, L'$  are *internally disjoint* if each of them is internally disjoint from the other (and thus all vertices in  $V(L) \cap V(L')$  must be ends of paths in both  $L$  and  $L'$ ).

Let  $Q, R$  be paths of a digraph  $G$ . A *planar  $(Q, R)$ -matching* is a linkage  $(M_j : 1 \leq j \leq n)$  for some  $n \geq 0$ , such that

- $M_1, \dots, M_n$  each have at most three vertices;
- $s(M_1), \dots, s(M_n)$  are vertices of  $Q$ , in order in  $Q$ ; and
- $t(M_1), \dots, t(M_n)$  are vertices of  $R$ , in order in  $R$ .

(It is convenient not to insist that  $Q, R$  are vertex-disjoint; but in all our applications, the planar matching will be between subpaths  $Q', R'$  of  $Q, R$  respectively that *are* vertex-disjoint.) If  $X, Y \subseteq V(G)$ , and each  $M_j$  has first vertex in  $X$  and last vertex in  $Y$ , we say this planar  $(Q, R)$ -matching is *from  $X$  to  $Y$* .

If  $P$  is a directed path, a subpath  $Q$  of  $P$  with  $s(Q) = s(P)$  is called an *initial* subpath. Let  $L = (P_1, \dots, P_k)$  be a linkage for a problem instance  $(G, s_1, t_1, \dots, s_k, t_k)$ . Let  $C \subseteq V(G)$  be a clique. A subset  $B \subseteq C$  is said to be  *$C$ -acceptable* (for  $L$ ) if (where  $A = C \setminus B$ ):

- $\{s_1, \dots, s_k\} \cap C \subseteq B$  and  $\{t_1, \dots, t_k\} \cap B = \emptyset$ ;
- for all  $i \in \{1, \dots, k\}$ , there is an initial subpath  $Q$  of  $P_i$  with  $V(Q) \cap C = V(P_i) \cap B$ ; and

- for all  $i, j \in \{1, \dots, k\}$ , there is no planar  $(P_i, P_j)$ -matching  $L'$  from  $B$  to  $A$  of cardinality  $k^2 + k + 2$  internally disjoint from  $L$ .

The next result is a modification of theorem 2.1 of [2].

**3.1** *Let  $(G, s_1, t_1, \dots, s_k, t_k)$  be a problem instance, and let  $L = (P_1, \dots, P_k)$  be a minimum linkage for  $(G, s_1, t_1, \dots, s_k, t_k)$ . Let  $C$  be a clique of  $G$ , and suppose that  $B \subseteq V(L)$  is  $C$ -acceptable for  $L$  and  $B \neq (V(L) \cap C) \setminus \{t_1, \dots, t_k\}$ . Then there exists  $v \in (V(L) \cap C) \setminus (B \cup \{t_1, \dots, t_k\})$  such that  $B \cup \{v\}$  is  $C$ -acceptable for  $L$ .*

**Proof.** Let  $A = C \setminus B$ . For  $1 \leq i \leq k$ , let  $r_i$  be the first vertex of  $P_i$  in  $A \setminus \{t_i\}$ , if there is such a vertex; and let  $q_i$  be the vertex immediately preceding it in  $P_i$ . Since  $L$  is a minimum linkage, we have:

(1) *For  $1 \leq i \leq k$ ,  $P_i$  is a minimal path of  $G$ , and in particular, if  $r_i$  exists then the only edge of  $G$  from  $V(P_i) \cap B$  to  $V(P_i) \cap A$  (if there is one) is  $q_i r_i$ . Moreover, every three-vertex path from  $V(P_i) \cap B$  to  $V(P_i) \cap A$  with internal vertex in  $V(G) \setminus V(L)$  uses at least one of  $q_i, r_i$ . Consequently, there is no planar  $(P_i, P_i)$ -matching from  $B$  to  $A$  of cardinality three internally disjoint from  $L$ .*

From (1), the theorem holds if  $k = 1$ , so we may assume that  $k \geq 2$ .

(2) *We may assume that for all  $i \in \{1, \dots, k\}$ , if  $r_i$  exists then for some  $j \in \{1, \dots, k\} \setminus \{i\}$ ,  $r_j$  exists and there is a  $(P_i, P_j)$ -planar matching from  $B$  to  $A \setminus \{r_j\}$  of cardinality  $k^2 + k$  internally disjoint from  $L$ .*

For let  $i \in \{1, \dots, k\}$  such that  $r_i$  exists. We may assume that  $B \cup \{r_i\}$  is not  $C$ -acceptable. Consequently, one of the three conditions in the definition of “ $C$ -acceptable” is not satisfied by  $B \cup \{r_i\}$ . The first two are satisfied since  $r_i$  is the first vertex of  $P_i$  in  $C \setminus B$  and  $r_i \neq t_i$ . Thus the third is false, and so for some  $i', j \in \{1, \dots, k\}$ , there is a planar  $(P_{i'}, P_j)$ -matching from  $B \cup \{r_i\}$  to  $A \setminus \{r_i\}$  of cardinality  $k^2 + k + 2$  internally disjoint from  $L$ . Since there is no such matching from  $B$  to  $A$ , it follows that  $i' = i$ , and  $r_j$  exists, and there is a planar  $(P_i, P_j)$ -matching from  $B$  to  $A \setminus \{r_j\}$  of cardinality  $k^2 + k$  internally disjoint from  $L$ . Since  $k^2 + k \geq 4$  (because  $k \geq 2$ ), (1) implies that  $j \neq i$ . This proves (2).

(3) *We may assume that for some  $p \geq 2$ , and for all  $i$  with  $1 \leq i < p$ , there is a planar  $(P_i, P_{i+1})$ -matching from  $B$  to  $A \setminus \{r_{i+1}\}$  of cardinality  $k^2 + k$  internally disjoint from  $L$ , and there is a planar  $(P_p, P_1)$ -matching from  $B$  to  $A \setminus \{r_1\}$  of cardinality  $k^2 + k$  internally disjoint from  $L$ .*

For since  $B \neq C \setminus \{t_1, \dots, t_k\}$ , there exists  $i \in \{1, \dots, k\}$  such that  $r_i$  exists. By repeated application of (2), there exist  $p \geq 2$  and distinct  $h_1, \dots, h_p \in \{1, \dots, k\}$  such that for  $1 \leq i \leq p$  there is a planar  $(P_{h_i}, P_{h_{i+1}})$ -matching from  $B$  to  $A \setminus \{r_{h_{i+1}}\}$  of cardinality  $k^2 + k$  internally disjoint from  $L$ , where  $h_{p+1} = h_1$ . Without loss of generality, we may assume that  $h_i = i$  for  $1 \leq i \leq p$ . This proves (3).

Let us say a planar  $(Q, R)$ -matching is *2-spaced* if no edge of  $Q$  or  $R$  meets more than one member of the matching.

(4) We may assume that for some  $p \geq 2$ , and for all  $i$  with  $1 \leq i < p$ , there is a planar  $(P_i, P_{i+1})$ -matching  $L_i$  from  $B$  to  $A \setminus \{r_{i+1}\}$ , and there is a planar  $(P_p, P_1)$ -matching  $L_p$  from  $B$  to  $A \setminus \{r_1\}$ , such that

- $L_1, \dots, L_p$  all have cardinality  $p$
- they are pairwise internally disjoint
- each of  $L_1, \dots, L_p$  is internally disjoint from  $L$ , and
- each of  $L_1, \dots, L_p$  is 2-spaced.

For let  $L'_i$  be a planar  $(P_i, P_{i+1})$ -matching from  $B$  to  $A \setminus \{r_{i+1}\}$  of cardinality  $k^2 + k$  internally disjoint from  $L$ , for  $1 \leq i < p$ , and let  $L'_p$  be a planar  $(P_p, P_1)$ -matching from  $B$  to  $A \setminus \{r_1\}$  of cardinality  $k^2 + k$  internally disjoint from  $L$ . We choose  $L_i \subseteq L'_i$  inductively. Suppose that for some  $h < p$ , we have chosen  $L_1, \dots, L_h$ , such that

- $L_1, \dots, L_h$  all have cardinality  $p$
- they are pairwise internally disjoint, and
- each of  $L_1, \dots, L_h$  is 2-spaced.

We define  $L_{h+1}$  as follows. The union of the sets of internal vertices of  $L_1, \dots, L_h$  has cardinality at most  $hp \leq k(k-1)$ , and so  $L'_{h+1}$  includes a planar  $(P_{h+1}, P_{h+2})$ -matching from  $B$  to  $A \setminus \{r_{h+2}\}$  (or  $(P_p, P_1)$ -matching from  $B$  to  $A \setminus \{r_1\}$ , if  $h = p-1$ ) of cardinality  $k^2 + k - k(k-1) = 2k$ , internally disjoint from each of  $L_1, \dots, L_h$ . By ordering the members of this matching in their natural order, and taking only the  $i$ th terms where  $i < 2p$  is odd, we obtain a 2-spaced matching of cardinality  $p$ . Let this be  $L_{h+1}$ . This completes the inductive definition of  $L_1, \dots, L_p$ , and so proves (4).

Henceforth we read subscripts modulo  $p$ . For  $1 \leq i \leq p$ , let  $L_i = \{M_i^1, \dots, M_i^p\}$ , numbered in order; thus, if  $q_i^h$  and  $r_{i+1}^h$  denote the first and last vertices of  $M_i^h$ , then  $q_i^1, \dots, q_i^p$  are distinct and in order in  $Q_i$ , and  $r_{i+1}^1, r_{i+1}^2, \dots, r_{i+1}^p$  are distinct and in order in  $R_{i+1}$ .

Since  $r_i^h \neq r_{i+1}^h$ , (1) implies that  $r_i^h$  is not adjacent from  $q_{i+1}^{h+1}$ ; and so there is an edge from  $r_i^h$  to  $q_{i+1}^{h+1}$ , since  $C$  is semicomplete. For  $1 \leq i \leq p$ , and  $1 \leq h < p$ , let  $S_i^h$  be the path

$$q_i^h - M_i^h - r_{i+1}^h - q_{i+1}^{h+1};$$

then  $S_i^h$  is a path from  $q_i^h$  to  $q_{i+1}^{h+1}$ , of length at most 3. Thus concatenating  $S_i^1, S_{i+1}^2, \dots, S_{i+p-2}^{p-1}$  and  $M_{i+p-1}^p$  gives a path  $T_i'$  from  $q_i^1$  to  $r_i^p$  of length at most  $3p-1$ . The subpath  $T_i$  of  $P_i$  from  $q_i^1$  to  $r_i^p$  has length at least  $4(p-1)+2$ , since  $L_{i-1}, L_i$  are 2-spaced and  $r_i$  is different from  $r_i^1$ ; and so  $T_i$  has length strictly greater than that of  $T_i'$ . Let  $P_i'$  be obtained from  $P_i$  by replacing the subpath  $T_i$  by  $T_i'$ , for  $1 \leq i \leq p$ , and let  $P_{i'} = P_i$  for  $p+1 \leq i \leq k$ . Then  $\{P_1', \dots, P_k'\}$  is a linkage for  $(G, s_1, t_1, \dots, s_k, t_k)$ , contradicting that  $L$  is minimum. This proves 3.1.  $\blacksquare$



Let  $P$  be a path of a digraph  $G$ , and let  $X, Y$  be disjoint subsets of  $V(G)$ . Let  $v_1, \dots, v_t$  be distinct vertices of  $P$ , in order. This sequence is  $(X, Y)$ -*alternating* if  $t$  is even and  $v_i \in X$  for  $i$  odd and  $v_i \in Y$  for  $i$  even. The  $(X, Y)$ -*wiggle number* of  $P$  is half the length of the longest  $(X, Y)$ -alternating sequence  $v_1, \dots, v_t$  where  $v_1, \dots, v_t$  are in order in  $P$ . Next we need a lemma, the following:

**3.2** *Let  $w > 0$ , let  $L$  be a linkage in  $G$ , and let  $Q_1, \dots, Q_c$  each be a subpath of some member of  $L$ . Let  $X_1, Y_1, X_2, Y_2, \dots, X_c, Y_c$  be pairwise disjoint subsets of  $V(L)$ , such that the  $(X_i, Y_i)$ -wiggle number of  $Q_i$  is at least  $cw$  for  $1 \leq i \leq c$ . Then for  $1 \leq i \leq c$  there is a subpath  $R_i$  of  $Q_i$ , such that the  $(X_i, Y_i)$ -wiggle number of  $R_i$  is at least  $w$ , and the paths  $R_1, \dots, R_c$  are pairwise vertex-disjoint.*

**Proof.** We proceed by induction on  $c$ . If  $c = 1$  the result holds, so we assume that  $c \geq 2$ . Choose an initial subpath  $P_0$  of some member of  $L$ , minimal such that for some  $i \in \{1, \dots, c\}$ ,  $P_0 \cap Q_i$  is nonnull and the  $(X_i, Y_i)$ -wiggle number of the path  $P_0 \cap Q_i$  is at least  $w$ . We may assume that  $i = c$ , that is, the  $(X_c, Y_c)$ -wiggle number of  $P_0 \cap Q_c$  is at least  $w$ . Let  $R_c = P_0 \cap Q_c$ . For  $1 \leq i < c$  let  $Q'_i = Q_i \setminus V(P_0)$ . From the minimality of  $P_0$ , the  $(X_i, Y_i)$ -wiggle number of  $P_0 \cap Q_i$  is at most  $w$ , and either this number is less than  $w$  or the last vertex of  $P_0$  is in  $Y_i$ . So the  $(X_i, Y_i)$ -wiggle number of  $Q'_i$  is at least  $w(c - 1)$ . The result follows from the inductive hypothesis applied to  $Q'_1, \dots, Q'_{c-1}$ , since they are all disjoint from  $R_c$ .  $\blacksquare$

Let  $k, c \geq 1$  and define  $z = c(c(k^2 + k + 1) + k + 2)$ . Now let  $L$  be a minimum linkage for a problem instance  $(G, s_1, t_1, \dots, s_k, t_k)$ , and let  $(C_1, \dots, C_c)$  be a clique-partition of  $G$ . Let  $B \subseteq V(G)$  and  $A = V(G) \setminus B$ . We say that  $B$  is *acceptable* if:

- $s_1, \dots, s_k \in B$  and  $t_1, \dots, t_k \notin B$ ;
- for  $1 \leq a \leq c$ ,  $B \cap C_a$  is  $C_a$ -acceptable; and
- for all distinct  $a, b$  with  $1 \leq a, b \leq c$ , and for  $1 \leq i \leq k$ , the  $(B \cap C_b, A \cap C_a)$ -wiggle number of  $P_i$  is at most  $z$ .

**3.3** *Let  $k, c, z$  and  $(G, s_1, t_1, \dots, s_k, t_k)$ ,  $L$  and  $(C_1, \dots, C_c)$  be as above. Let  $B \subseteq V(L)$  be acceptable, with  $B \neq V(L) \setminus \{t_1, \dots, t_k\}$ . Then there exists  $v \in V(L) \setminus (B \cup \{t_1, \dots, t_k\})$  such that  $B \cup \{v\}$  is acceptable.*

**Proof.** Let  $w = c(k^2 + k + 1) + k + 2$ . Let  $A = V(L) \setminus B$ . For  $1 \leq a \leq c$ , if  $C_a \cap A \not\subseteq \{t_1, \dots, t_k\}$ , choose  $r_a \in (C_a \cap A) \setminus \{t_1, \dots, t_k\}$  such that  $B \cap C_a \cup \{r_a\}$  is  $C_a$ -acceptable (this is possible by 3.1). Since  $B \neq V(L) \setminus \{t_1, \dots, t_k\}$ , there is at least one value of  $a \in \{1, \dots, c\}$  such that  $r_a$  exists.

(1) *If  $1 \leq a \leq c$  and  $r_a$  exists, let  $r_a \in V(P_i)$ ; we may assume that there exists  $b \in \{1, \dots, c\}$  with  $b \neq a$  such that the  $(B \cap C_a, A \cap C_b)$ -wiggle number of  $P_i$  is at least  $z$ .*

We may assume that  $B'$  is not acceptable, where  $B' = B \cup \{r_a\}$ . From the choice of  $r_a$ , it follows that  $B \cap C_b$  is  $C_b$ -acceptable for  $1 \leq b \leq c$ ; and so there exist distinct  $a', b' \in \{1, \dots, c\}$ , and  $i \in \{1, \dots, k\}$ , such that the  $(B' \cap C_{b'}, A' \cap C_{a'})$ -wiggle number of  $P_i$  is at least  $z + 1$ , where  $A' = A \setminus \{r_a\}$ . Let  $v_1, v_2, \dots, v_{2N+2}$  be a  $(B' \cap C_{b'}, A' \cap C_{a'})$ -alternating sequence of vertices of  $P_i$ , in order in  $P_i$ . This sequence is not  $(B \cap C_{b'}, A \cap C_{a'})$ -alternating, since  $B$  is acceptable; and so one

of  $v_1, \dots, v_{2z+2}$  equals  $r_a$ . In particular,  $r_a$  belongs to one of  $A' \cap C_{a'}, B' \cap C_{b'}$ . Since  $r_a \notin A'$ , it follows that  $r_a \in B' \cap C_{b'}$ , and so  $a = b'$ . Since  $B$  is  $C_a$ -acceptable, we deduce that  $r_a$  is later in  $P_i$  than every vertex of  $P_i$  in  $B \cap C_a$ ; and since  $v_1, \dots, v_{2z+2}$  are in order in  $P_i$ , and

$$v_{2z+1} \in B' \cap C_{b'} = (B \cup \{r_a\}) \cap C_a,$$

it follows that  $r_a = v_{2z+1}$ . Consequently  $v_1, \dots, v_{2z}$  is  $(B \cap C_a, A \cap C_{a'})$ -alternating, and so setting  $b = a'$  satisfies the claim. This proves (1).

From (1), and 3.2, for each  $a \in \{1, \dots, c\}$  such that  $r_a$  exists, there is a subpath  $R_a$  of some member of  $L$  and  $b \in \{1, \dots, c\}$  with  $b \neq a$  such that the  $(B \cap C_a, A \cap C_b)$ -wiggly number of  $R_a$  is at least  $w$ , and the paths  $R_a$  ( $1 \leq a \leq c$ ) are pairwise disjoint (if they exist). In particular, if  $b$  is as above then  $C_b \cap A \not\subseteq \{t_1, \dots, t_k\}$  and so  $r_b$  exists. Renumbering, we may assume that for some  $p$  with  $2 \leq p \leq c$ :

- there are paths  $R_1, \dots, R_p$ , each a subpath of some member of  $L$  and pairwise disjoint;
- for  $1 \leq a < p$ , the  $(B \cap C_a, A \cap C_{a+1})$ -wiggly number of  $R_a$  is at least  $w$ , and the  $(B \cap C_p, A \cap C_1)$ -wiggly number of  $R_p$  is at least  $w$ .

Consequently the  $(A \cap C_{a+1}, B \cap C_a)$ -wiggly number of  $R_a$  is at least  $w - 1$ . For  $1 \leq a \leq p$ , choose vertices  $x_a^1, y_a^1, \dots, x_a^{w-1}, y_a^{w-1}$  in order in  $R_a$  and  $(A \cap C_{a+1}, B \cap C_a)$ -alternating (henceforth we read subscripts modulo  $p$ ). Thus  $x_a^1, x_a^2, \dots, x_a^{w-1} \in A \cap C_{a+1}$ , and  $y_a^1, y_a^2, \dots, y_a^{w-1} \in B \cap C_a$ . Since  $B$  is  $C_a$ -acceptable, there is no planar  $(R_a, R_{a-1})$ -matching of cardinality  $k^2 + k + 2$  from  $B \cap C_a$  to  $A \cap C_a$  internally disjoint from  $L$ ; and in particular, since  $x_{a-1}^1, x_{a-1}^2, \dots, x_{a-1}^{w-1} \in A \cap C_a$  and  $y_a^1, y_a^2, \dots, y_a^{w-1} \in B \cap C_a$ , it follows that  $y_a^i$  is adjacent to  $x_{a-1}^i$  for at most  $k^2 + k + 1$  values of  $i$ . Since  $C_a$  is semicomplete, it follows that  $y_a^i$  is adjacent from  $x_{a-1}^i$  for all except  $k^2 + k + 1$  values of  $i$ . Hence there exists  $I \subseteq \{1, \dots, w - 1\}$  of cardinality at least  $w - 1 - c(k^2 + k + 1) = k + 1$ , such that  $y_a^i$  is adjacent from  $x_{a-1}^i$  for all  $i \in I$  and  $a \in \{1, \dots, p\}$ . Renumbering, and using the fact that  $k + 1 \geq p + 1$ , it follows that for  $1 \leq a \leq p$ , there exist  $u_a^1, v_a^1, \dots, u_a^p, v_a^p$  in order in  $R_a$  and  $(A \cap C_{a+1}, B \cap C_a)$ -alternating, such that  $v_a^i$  is adjacent from  $u_{a-1}^i$  for all  $i, a$  with  $1 \leq i \leq p$  and  $1 \leq a \leq p$ , and in addition  $u_1^1, v_1^1$  are not consecutive vertices of  $R_1$ .

For  $1 \leq a \leq p$  and  $1 \leq i < p$ , let  $T_a^i$  be the subpath of  $R_i$  with first vertex  $v_a^i$  and last vertex  $u_a^{i+1}$ . Then for  $1 \leq a \leq p$ ,

$$u_a^1 - v_{a+1}^1 - T_{a+1}^1 - u_{a+1}^2 - v_{a+2}^2 - T_{a+2}^2 - \dots - T_{a-1}^{p-1} - u_{a-1}^p - v_a^p$$

is a directed path from  $u_a^1$  to  $v_a^p$ , say  $M_a$ . For  $1 \leq a \leq c$  let  $R'_a$  be the subpath of  $R_a$  from  $u_a^1$  to  $v_a^p$ . The paths  $M_1, \dots, M_p$  are pairwise disjoint, and

$$V(M_1) \cup \dots \cup V(M_p) \subseteq V(R'_1) \cup \dots \cup V(R'_p).$$

Moreover, the sum of the lengths of  $M_1, \dots, M_p$  is less than that of  $R'_1, \dots, R'_p$ , since  $u_1^1, v_1^1$  are not consecutive vertices of  $R_1$ . Hence if we take the linkage  $L$  and replace each subpath  $R'_a$  by  $M_a$  for  $1 \leq a \leq p$ , we obtain another linkage for the same problem instance using fewer vertices, contradicting that  $L$  is minimum. Thus one of the assumptions in (1) must have been false. This proves 3.3. ■

We deduce:

**3.4** Let  $k, c, z, (G, s_1, t_1, \dots, s_k, t_k), (C_1, \dots, C_c)$ , and  $L = (P_1, \dots, P_k)$  be as in 3.3. Then there is an enumeration  $(v_1, \dots, v_n)$  of  $V(L) \setminus \{s_1, \dots, s_k, t_1, \dots, t_k\}$ , such that for  $0 \leq h \leq n$ , if  $B$  denotes  $\{s_1, \dots, s_k\} \cup \{v_i : 1 \leq i \leq h\}$  and  $A = V(L) \setminus B$ , then

- for  $1 \leq a \leq c$ ,  $B \cap C_a$  is  $C_a$ -acceptable;
- the  $(B, A)$ -wiggle number of each member of  $L$  is at most  $c(c-1)(z+1) + 1$ .

**Proof.** Since  $\{s_1, \dots, s_k\}$  is acceptable, repeated application of 3.3 implies that there is an enumeration  $(v_1, \dots, v_n)$  of  $V(L) \setminus \{s_1, \dots, s_k, t_1, \dots, t_k\}$ , such that for  $0 \leq h \leq n$ , if  $B$  denotes  $\{s_1, \dots, s_k\} \cup \{v_i : 1 \leq i \leq h\}$  and  $A = V(L) \setminus B$ , then

- for  $1 \leq a \leq c$ ,  $B \cap C_a$  is  $C_a$ -acceptable;
- for all distinct  $a, b$  with  $1 \leq a, b \leq c$ , and for  $1 \leq i \leq k$ , the  $(B \cap C_b, A \cap C_a)$ -wiggle number of  $P_i$  is at most  $z$ .

We claim that this enumeration satisfies the theorem. For let  $h, B, A$  be as in the theorem, let  $1 \leq i \leq k$ , and let  $t$  be the  $(B, A)$ -wiggle number of  $P_i$ . Consequently there are  $t - 1$  edges of  $P_i$ , say  $a_1 b_1, \dots, a_{t-1} b_{t-1}$ , such that  $a_j \in A$  and  $b_j \in B$  for  $1 \leq j \leq t - 1$ . For each such  $j$ , let  $p_j, q_j \in \{1, \dots, c\}$  such that  $a_j \in C_{p_j}$  and  $b_j \in C_{q_j}$ . Since  $a_j \in A$  and  $b_j \in B$ , and  $a_j b_j$  is a directed edge of  $P_i$ , it follows (since  $B$  is  $C_{p_j}$ -acceptable) that  $p_j \neq q_j$ . There are only  $c(c-1)$  possibilities for the pair  $(p_j, q_j)$ , and for each one of them, say  $(p, q)$ , there are at most  $z + 1$  values of  $j$  with  $(p_j, q_j) = (p, q)$ , since the  $(B \cap C_q, A \cap C_p)$ -wiggle number of  $P_i$  is at most  $z$ . Hence there are at most  $c(c-1)(z+1)$  values of  $j$  in total, and so  $t \leq c(c-1)(z+1) + 1$ , and this enumeration satisfies the theorem. This proves 3.4. ■

## 4 Enlarging on history

In this section we define the sets  $D_h$  and  $\mathcal{D}$  discussed at the end of section 2, and use 3.4 to prove they have the properties we need. Let  $G$  be a digraph, and  $(C_1, \dots, C_c)$  a clique-partition of  $G$ , and let  $s$  be some positive integer. If  $X$  is a sequence of vertices of  $G$  we define  $V(X)$  to be the set of terms of  $X$ . Let  $\mathcal{A}$  be a set of sequences of vertices of  $G$ . We define  $\mathcal{A}^+$  to be the set of  $v \in V(G)$  such that for some  $X \in \mathcal{A}$ , there exists  $a \in \{1, \dots, c\}$  such that  $\{v\} \cup V(X) \subseteq C_a$  and either

- $v \in V(X)$ , or
- $v \notin V(X)$  and  $X$  has length  $s$  and  $v$  is adjacent from the last  $s - 1$  vertices of  $X$ .

(Thus, the order of the terms in  $X \in \mathcal{A}$  does not matter, except it matters which term is first.) Similarly, we define  $\mathcal{A}^-$  to be the set of vertices  $v$  such that for some  $X \in \mathcal{A}$ , there exists  $a \in \{1, \dots, c\}$  such that  $\{v\} \cup V(X) \subseteq C_a$  and either

- $v \in V(X)$ , or
- $v \notin V(X)$  and  $X$  has length  $s$  and  $v$  is adjacent to the first  $s - 1$  vertices of  $X$ .

Now let  $r, s, t \geq 0$  be integers. A subset  $D$  of  $V(G)$  is said to be  $(r, s, t)$ -restricted if there are sets  $\mathcal{A}, \mathcal{B}$  of sequences of vertices of  $G$ , satisfying the following:

- every member of  $\mathcal{A}$  and every member of  $\mathcal{B}$  has length at most  $s$ ;
- $|\mathcal{A}|, |\mathcal{B}| \leq r$ ;
- $\mathcal{B}^+ \cap \mathcal{A}^- \leq t$ ; and
- $\mathcal{B}^+ \setminus \mathcal{A}^- \subseteq D$ , and  $D \subseteq \mathcal{B}^+$ .

Thus, for any constants  $r, s, t$  there are only polynomially many  $(r, s, t)$ -restricted subsets  $D$  of  $V(G)$ . For suitable  $r, s, t$  the set of all  $(r, s, t)$ -restricted subsets will be the set  $\mathcal{D}$  that we need.

We observe:

**4.1** *Let  $L$  be a minimum linkage for  $(G, s_1, t_1, \dots, s_k, t_k)$ , let  $\ell \geq 3$ , let  $C$  be a clique, let  $Q'$  be a subpath of some member of  $L$ , let  $Q$  be a subpath of  $Q'$ , with  $|C \cap V(Q')| \geq \ell$ , and let  $v \in C \setminus V(L)$  be adjacent from the last  $\ell$  vertices of  $Q$  in  $C$ . Then  $v$  is adjacent from the last  $\ell$  vertices of  $Q'$  in  $C$ .*

**Proof.** Let the vertices of  $Q'$  in  $C$  in order be  $y_1, \dots, y_m$  say, and let the last  $\ell$  vertices of  $Q$  in  $C$  be  $x_1, \dots, x_\ell$  in order. Thus  $m \geq \ell$ , since  $x_1, \dots, x_\ell$  is a subsequence of  $y_1, \dots, y_m$ . Let  $j \in \{m - \ell + 1, \dots, m\}$ . We claim that  $y_j$  is adjacent to  $v$ . For suppose not; then  $y_j$  is different from all of  $x_1, \dots, x_\ell$ , and since  $x_1, \dots, x_\ell$  are  $\ell$  consecutive terms of the sequence  $y_1, \dots, y_m$ , and there are at most  $\ell - 1$  terms of this sequence after  $y_j$ , it follows that  $x_1, \dots, x_\ell$  all come before  $y_j$ . In particular,  $x_1$  equals some  $y_g$  where  $g \leq j - \ell$ . Now  $v$  is adjacent from  $x_1 = y_g$ , and not adjacent from  $y_j$ . Since  $v, y_j \in C$ , it follows that  $v$  is adjacent to  $y_j$ ; but then replacing the subpath of  $P_i$  between  $y_g, y_j$  by the path with three vertices  $y_g-v-y_j$  contradicts that  $L$  is a minimum linkage, since  $\ell \geq 3$ . This proves 4.1. ■

If  $P$  is a path of  $G$ , and  $C \subseteq V(G)$  and  $s$  is an integer, by the *first up-to- $s$  vertices of  $P$  in  $C$*  we mean the sequence which consists of the first  $s$  vertices of  $P$  in  $C$ , if there are  $s$  such vertices, and otherwise the sequence consisting of all vertices of  $P$  in  $C$ , in either case in their order in  $P$ . We define the *last up-to- $s$*  similarly.

Next we define the sets  $D_h$ . Let  $(G, s_1, t_1, \dots, s_k, t_k)$  be a problem instance, where  $G$  admits a clique-partition  $(C_1, \dots, C_c)$ , and let  $L$  be a linkage for this problem instance. Let  $s = k^2 + k + 3$ . Let  $(v_1, \dots, v_n)$  be an enumeration of  $V(L) \setminus \{s_1, \dots, s_k, t_1, \dots, t_k\}$ , and for  $0 \leq h \leq n$ , let  $B_h$  denote  $\{s_1, \dots, s_k\} \cup \{v_i : 1 \leq i \leq h\}$  and  $A_h = V(L) \setminus B_h$ . For  $0 \leq h \leq n$ , let  $J_h$  be the set of edges of  $G$  that belong to a member of  $L$  and have one end in  $A_h$  and the other in  $B_h$ . The union of the members of  $L$  is a digraph consisting of  $k$  disjoint paths, and if we delete  $J_h$  from this digraph, we obtain a digraph which is also a disjoint union of paths, each with vertex set included in one of  $A_h, B_h$ . Let  $\mathcal{Q}_h$  be the set of these paths which are included in  $B_h$ , and  $\mathcal{R}_h$  the set included in  $A_h$ . Let  $\mathcal{A}_h$  be the set of all sequences  $X$  such that for some  $R \in \mathcal{R}_h$  and some  $a \in \{1, \dots, c\}$ ,  $X$  is the first up-to- $s$  vertices of  $R$  in  $C_a$ . Similarly, let  $\mathcal{B}_h$  be the set of all sequences  $X$  such that for some  $Q \in \mathcal{Q}_h$  and  $a \in \{1, \dots, c\}$ ,  $X$  is the last up-to- $s$  vertices of  $Q$  in  $C_a$ . We define  $D_h = (\mathcal{B}_h^+ \setminus \mathcal{A}_h^-) \cup B_h$ .

We claim:

**4.2** *Let  $(G, s_1, t_1, \dots, s_k, t_k)$ ,  $(C_1, \dots, C_c)$ ,  $L$ ,  $(v_1, \dots, v_n)$ , and  $A_h, B_h$  ( $0 \leq h \leq n$ ) be as above, and let  $w \geq 0$ . Suppose that*

- $L$  is a minimum linkage for  $(G, s_1, t_1, \dots, s_k, t_k)$ ;
- for  $1 \leq a \leq c$ ,  $B_h \cap C_a$  is  $C_a$ -acceptable; and
- the  $(A_h, B_h)$ -wiggly number of each member of  $L$  is at most  $w$ .

Let  $r = ckw$ ,  $s = k^2 + k + 3$ ,  $t = 2cskw + c(2w + 1)k^2(k^2 + k + 1)$ , and for  $0 \leq h \leq n$  let  $D_h$  be as above. Then

- (a)  $B_h \subseteq D_h$  and  $A_h \cap D_h = \emptyset$  for  $0 \leq h \leq n$ ;
- (b)  $D_h \subseteq D_{h+1}$  for  $0 \leq h < n$ ; and
- (c)  $D_h$  is  $(r, s, t)$ -restricted for  $0 \leq h \leq n$ .

**Proof.** Let  $0 \leq h \leq n$ . Since the  $(A_h, B_h)$ -wiggly number of each member of  $L$  is at most  $w$ , there are at most  $2w - 1$  edges of each member of  $L$  in  $J_h$ , and the sets  $\mathcal{Q}_h, \mathcal{R}_h$  defined in the definition of  $D_h$  both have at most  $kw$  members. Thus the sets  $\mathcal{A}_h, \mathcal{B}_h$  both have cardinality at most  $ckw = r$ .

$$(1) |\mathcal{B}_h^+ \cap \mathcal{A}_h^-| \leq t.$$

There are at most  $kw$  choices for  $Q \in \mathcal{Q}_h$ , and for each there are at most  $c$  choices for the sequence of the last up-to- $s$  vertices of  $C_a$  in  $Q$ , one for each  $a \in \{1, \dots, c\}$ ; and each such sequence has at most  $s$  terms. Thus there are at most  $cskw$  vertices which belong to the sequence of the last up-to- $s$  members in some  $C_a$  of some path  $Q \in \mathcal{Q}_h$ . Similarly there are at most  $cskw$  vertices that belong to the first up-to- $s$  members of some  $C_a$  in some  $R \in \mathcal{R}_h$ , a total of at most  $2cskw$ . For every other vertex  $v \in \mathcal{B}_h^+ \cap \mathcal{A}_h^-$ , choose  $a \in \{1, \dots, c\}$  such that  $v \in C_a$ ; then

- (\*) there exists  $Q \in \mathcal{Q}_h$  such that  $|C_a \cap V(Q)| \geq s$ , and  $v$  is not among the last  $s - 1$  vertices of  $C_a$  in  $Q$ , and is adjacent from each of the last  $s - 1$ ; and there exists  $R \in \mathcal{R}_h$  such that  $|C_a \cap V(R)| \geq s$ , and  $v$  is not among the first  $s - 1$  vertices of  $C_a$  in  $R$ , and  $v$  is adjacent to each of the first  $s - 1$ .

Let us fix  $a \in \{1, \dots, c\}$ , and count the number of vertices  $v \in C_a$  satisfying (\*). Such vertices  $v$  might belong to  $A_h$  or to  $B_h$  or to neither, and we count the three types separately. First, suppose that  $v \in A_h$ . Thus  $v$  belongs to some member  $P_i$  of  $L$ ; and there are only  $k$  choices for  $i$ . For each  $Q \in \mathcal{Q}_h$  containing at least  $s$  vertices in  $C_a$ , let  $X$  be the set of the last  $s - 1$  such vertices of  $C_a$  in  $Q$ ; there are at most  $k^2 + k + 1$  vertices in  $C_a \cap V(P_i) \cap A_h$  that are adjacent from every vertex in  $X$ , since  $B_h$  is  $C_a$ -acceptable. Since there are only  $kw$  choices of  $Q$ , it follows that there are at most  $wk(k^2 + k + 1)$  vertices  $v \in C_a \cap V(P_i) \cap A_h$  satisfying (\*); and summing over  $1 \leq i \leq k$ , we deduce there are at most  $wk^2(k^2 + k + 1)$  vertices  $v \in C_a \cap A_h$  satisfying (\*). Similarly there are at most that many in  $C_a \cap B_h$ .

Finally, we must count the number of  $v \in C_a \setminus V(L)$  satisfy (\*). By 4.1, if  $v \in C_a \setminus V(L)$  and is adjacent from the last  $s - 1$  vertices of  $C_a \cap B_h$  in some subpath of  $P_i$ , then  $v$  is also adjacent from the last  $s - 1$  vertices of  $C_a \cap B_h$  in  $P_i$ . We deduce that if  $v \in C_a \setminus V(L)$ , and  $v$  satisfies (\*), then for some  $i, j \in \{1, \dots, k\}$ ,  $v$  is adjacent from the last  $s - 1$  vertices of  $P_i$  in  $B_h \cap C_a$ , and adjacent to the first  $s - 1$  vertices of  $P_j$  in  $A_h \cap C_a$  (similarly). For any choice of  $i, j$  there are at most  $k^2 + k + 1$  such vertices  $v$ , because  $B_h$  is  $C_a$ -acceptable. (This is where we use paths of length two in the definition

of a planar matching.) Consequently there are at most  $k^2(k^2 + k + 1)$  such vertices  $v \in C_a \setminus V(L)$  total. Altogether, we have shown that there are at most  $2wk^2(k^2 + k + 1) + k^2(k^2 + k + 1)$  vertices  $v \in C_a$  satisfying (\*), and summing over  $a \in \{1, \dots, c\}$  and adding back the  $2csw$  from the start of the argument, the claim follows. This proves (1).

(2)  $A_h \subseteq \mathcal{A}_h^-$  and  $B_h \subseteq \mathcal{B}_h^+$ .

Let  $v \in A_h$ ; then  $v$  belongs to  $V(L)$ , and hence to some member of  $L$ , and therefore to some member of  $\mathcal{R}_h$ , say  $R$ . Choose  $a \in \{1, \dots, c\}$  with  $v \in C_a$ , and let  $X \in \mathcal{A}_h$  be the sequence of the first up-to- $s$  vertices of  $R$  in  $C_a$ . If  $v \in V(X)$  then  $v \in \mathcal{A}_h^-$  as required, so we may assume not; and so there are more than  $s$  vertices of  $R$  in  $C_a$ , and  $X$  has exactly  $s$  terms. Let the vertices of  $X$  be  $x_1, \dots, x_s$  in order. Then  $x_1, \dots, x_s, v \in C_a$ , and  $x_i$  is not adjacent to  $v$  for  $1 \leq i < s$ , since  $R$  is a minimal path of  $G$  (because the members of  $L$  are minimal paths). Thus  $v$  is adjacent to  $x_1, \dots, x_{s-1}$ , and hence  $v \in \mathcal{A}_h^-$  as required. Similarly  $B_h \subseteq \mathcal{B}_h^+$ . This proves (2).

(3)  $B_h \subseteq D_h$ ,  $A_h \cap D_h = \emptyset$  and  $D_h \subseteq \mathcal{B}_h^+$ .

We recall that  $D_h = (\mathcal{B}_h^+ \setminus \mathcal{A}_h^-) \cup B_h$ . Consequently  $B_h \subseteq D_h$ , and from (2),  $D_h \subseteq \mathcal{B}_h^+$ . Since  $A_h \cap B_h = \emptyset$  and  $A_h \subseteq \mathcal{A}_h^-$  by (2), it follows that  $A_h \cap D_h = \emptyset$ . This proves (3).

Assertion (a) of the theorem follows from (3), and (c) from (1) and (3). We still need to show (b). Let  $0 \leq h < n$ ; we must show that  $D_h \subseteq D_{h+1}$ . Let  $v \in D_h$ ; we will show that  $v \in D_{h+1}$ . If  $v \in B_h$  then  $v \in B_{h+1} \subseteq D_{h+1}$  as required, so we assume that  $v \notin B_h$ . Certainly  $v \notin A_h$  by (3) since  $v \in D_h$ ; so  $v \notin V(L)$ . Since  $v \in D_h$ , it follows that  $v \in \mathcal{B}_h^+ \setminus \mathcal{A}_h^-$ , and in particular there exist  $Q \in \mathcal{Q}_h$  and  $a \in \{1, \dots, c\}$  such that  $v \in C_a$ , and  $|C_a \cap V(Q)| \geq s$ , and  $v$  is adjacent from the last  $s - 1$  vertices of  $C_a$  in  $Q$ . Let  $Q$  be a subpath of  $P_i \in L$ , and let  $Q'$  be the maximal subpath of  $P_i$  including  $Q$  such that all its vertices are in  $B_{h+1}$ . By 4.1,  $v$  is adjacent from the last  $s - 1$  vertices of  $C_a$  in  $Q'$ ; and so  $v \in \mathcal{B}_{h+1}^+$ . It remains to show that  $v \notin \mathcal{A}_{h+1}^-$ ; so, suppose it is. Then by the same argument with  $A_h$  exchanged with  $B_{h+1}$ , and  $A_{h+1}$  exchanged with  $B_h$  (and  $h, h + 1$  exchanged) it follows that  $v \in \mathcal{A}_h^-$ , a contradiction. This proves assertion (b) of the theorem, and so completes the proof of 4.2. ■

## 5 The auxiliary digraph

Let  $k, c \geq 1$  and let  $r, s, t, w$  be as in 4.2. Let  $(G, s_1, t_1, \dots, s_k, t_k)$  be a problem instance, where  $G$  admits a clique-partition  $(C_1, \dots, C_c)$ . Let  $\mathcal{D}$  be the set of all  $(r, s, t)$ -restricted subsets of  $V(G)$ . A *coloured edge* means a pair  $(e, i)$  where  $e \in E(G)$  and  $1 \leq i \leq k$ , and we will abuse this terminology a little, speaking of coloured edges as though they are edges (for instance, we speak of the *head* of a coloured edge  $(e, i)$  meaning the head of  $e$ , and so on). We call  $i$  the *colour* of the coloured edge. Let  $\mathcal{E}$  be the set of all sets  $Y$  of coloured edges of cardinality at most  $2w - 1$ , such that

- no two members of  $Y$  have the same head or the same tail, and
- every two members of  $Y$  that share an end have the same colour;

- no coloured edge in  $Y$  has head in  $\{s_1, \dots, s_k\}$  or tail in  $\{t_1, \dots, t_k\}$ ; and
- for  $1 \leq i \leq k$ , every coloured edge with tail  $s_i$  has colour  $i$ , and every coloured edge with head  $t_i$  has colour  $i$ .

The auxiliary digraph  $H$  will have vertex set all pairs  $(Y, D)$  where  $Y \in \mathcal{E}$  and  $D \in \mathcal{D}$  and every coloured edge in  $Y$  has exactly one end in  $D$ .

Now we define its adjacency. Let  $(Y, D), (Y', D') \in V(H)$  be distinct. We say that  $(Y, D)$  is adjacent to  $(Y', D')$  in  $H$  if  $D \subseteq D'$ , and there are exactly two coloured edges that belong to  $(Y \setminus Y') \cup (Y' \setminus Y)$ , and they form a two-edge path with middle vertex in  $D' \setminus D$ .

That defines  $H$ . Now let  $S_0$  be the set of all vertices  $(Y, D)$  of  $H$  such that  $|Y| = k$  and every coloured edge in  $Y$  has tail in  $\{s_1, \dots, s_k\}$ , and let  $T_0$  be the set of all  $(Y, D)$  such that  $|Y| = k$  and every coloured edge in  $Y$  has head in  $\{t_1, \dots, t_k\}$ . We claim:

**5.1** *Let  $k, c \geq 1$ , and let  $r, s, t, w$  be as in 4.2. Let  $(G, s_1, t_1, \dots, s_k, t_k)$  be a problem instance, where  $G$  admits a clique-partition  $(C_1, \dots, C_c)$ , and let  $\mathcal{D}, \mathcal{E}$  and  $H, S_0, T_0$  be as above. Then there is a path in  $H$  from a vertex in  $S_0$  to a vertex in  $T_0$  if and only if there is a linkage for  $(G, s_1, t_1, \dots, s_k, t_k)$ .*

**Proof.** We observe first (the proof is clear and we omit it):

(1) *If there is a directed path in  $H$  from  $(Y, D)$  to  $(Y', D')$  then  $D \subseteq D'$ .*

Suppose that there is a path in  $H$  from  $S_0$  to  $T_0$ , with vertices  $(Y_1, D_1), \dots, (Y_n, D_n)$  say, in order. Let  $Y = Y_1 \cup \dots \cup Y_n$ ; thus  $Y$  is a set of coloured edges. We need to show that  $Y$  includes the edge set of a linkage for  $(G, s_1, t_1, \dots, s_k, t_k)$ .

(2) *If  $(e, i) \in Y$  and  $1 \leq h \leq n$ , and exactly one end of  $e$  is in  $D_h$ , then  $(e, i) \in Y_h$ .*

For let  $(e, i) \in Y_{h'}$  where  $1 \leq h' \leq n$ ; and choose  $h'$  with  $|h - h'|$  minimum. Let  $e$  have ends  $u, v$ , and suppose that  $h \neq h'$ . Now exactly one end of  $e$  belongs to  $D_{h'}$ , and exactly one to  $D_h$ , and one of  $D_h, D_{h'}$  is a subset of the other by (1); and so it is the same end  $v$  say of  $e$  that lies in both  $D_h, D_{h'}$ , and  $u$  belongs to neither of them. If  $h' < h$  let  $h'' = h' + 1$ , and if  $h' > h$  let  $h'' = h' - 1$ . Since one of  $D_h, D_{h'}$  is a subset of  $D_{h''}$  (by (1)) it follows that  $v \in D_{h''}$ ; and since  $D_{h''}$  is a subset of one of  $D_h, D_{h'}$  (by (1) again) it follows that  $u \notin D_{h''}$ . But this contradicts the minimality of  $|h' - h|$ . Consequently  $h = h'$ , and the claim holds. This proves (2).

(3) *If  $(e, i), (e', i') \in Y$  share an end then  $i = i'$ , and these edges form a two-edge path.*

Choose  $h$  with  $1 \leq h \leq n$  such that  $(e, i) \in Y_h$ , and choose  $h'$  similarly for  $(e', i')$ ; and in addition choose  $h, h'$  with  $|h - h'|$  minimum. If  $h = h'$ , then  $(e, i), (e', i')$  are both in  $Y_h$ , and since they share an end, it follows that  $i = i'$  and the edges form a two-edge path, from the definition of  $\mathcal{E}$ . Thus we may assume that  $h < h'$ . Now  $D_h \subseteq D_{h'}$  by (1), and since one end of  $e$  belongs to  $D_h$ , it follows that at least one end of  $e$  is in  $D_{h'}$ ; and since  $(e, i) \notin Y_{h'}$ , (2) implies that both ends of  $e$  are in  $D_{h'}$ . Similarly, neither end of  $e'$  is in  $D_h$ ; and so the common end of  $e, e'$  belongs to  $D_{h'} \setminus D_h$ . Let  $e$  have ends  $u, v$ , and let  $e'$  have ends  $v, w$ , where  $u \in D_h, v \in D_{h'} \setminus D_h$ , and  $w \notin D_{h'}$ . Now  $u \in D_{h+1}$  since  $D_h \subseteq D_{h+1}$ ; and since  $(e, i) \notin Y_{h+1}$ , (2) implies that  $v \in D_{h+1}$ . Consequently  $(e', i') \in Y_{h+1}$

by (2), and hence  $h' = h + 1$  from the minimality of  $|h - h'|$ . But now the claim follows from the definition of adjacency in  $H$ . This proves (3).

(4) *Every vertex of  $G$  incident with exactly one coloured edge in  $Y$  belongs to  $\{s_1, \dots, s_k, t_1, \dots, t_k\}$ .*

For suppose that  $v \in V(G) \setminus \{s_1, \dots, s_k, t_1, \dots, t_k\}$  is incident with exactly one coloured edge  $(e, i) \in Y$ . Let the other end of  $e$  be  $u$ . There are three cases, depending whether  $u \in \{s_1, \dots, s_k\}$ ,  $u \in \{t_1, \dots, t_k\}$ , or neither. Suppose first that  $u \in \{s_1, \dots, s_k\}$ . Then  $(e, i) \in Y_1$ ; and  $(e, i) \notin Y_n$  since  $v \notin \{t_1, \dots, t_k\}$ . Choose  $h < n$  maximum such that  $(e, i) \in Y_h$ . From the maximality of  $h$ ,  $(e, i) \notin Y_{h+1}$ , and so by (2)  $u, v \in D_{h+1}$ . From the definition of adjacency in  $H$ , there is another edge  $(f, i) \in Y$  forming a two-edge path with  $(e, i)$ , such that the common end of  $e, f$  is not in  $D_h$ . But  $u \in \{t_1, \dots, t_k\} \subseteq D_h$ , and  $v$  is not incident with any other edge in  $Y$ , a contradiction. The argument is analogous if  $u \in \{t_1, \dots, t_k\}$  and we omit it. Finally suppose that  $u \notin \{s_1, \dots, s_k, t_1, \dots, t_k\}$ . Thus  $(e, i) \notin Y_1, Y_n$ ; choose  $h < h' < h''$  with  $h'' - h$  minimum such that  $(e, i) \notin Y_h \cup Y_{h''}$  and  $(e, i) \in Y_{h'}$ . From the minimality of  $h'' - h$  it follows that  $(e, i) \in Y_{h+1}$ ; and from the definition of adjacency in  $H$ , there is an edge  $(f, i)$  of  $Y$  that makes a two-edge path with  $(e, i)$ , such that the common end of  $e, f$  is in  $D_{h+1} \setminus D_h$ . Since  $v$  is not incident with any other edge in  $Y$ , this common end is  $u$ , so  $u \in D_{h+1} \setminus D_h$ . But similarly,  $u \in D_{h''} \setminus D_{h''-1}$ , and this is impossible since  $D_{h+1} \subseteq D_{h''-1}$  by (1). This proves (4).

From (3), no three edges in  $Y$  share an end (because this end would be the head of two of them or the tail of two, contrary to (3)). Thus the digraph formed by  $Y$  is the disjoint union of directed paths and directed cycles, and we call these “components” of  $Y$ . The edges in each component all have the same colour, by (3). Each path component has first vertex in  $\{s_1, \dots, s_k\}$  and last vertex in  $\{t_1, \dots, t_k\}$ , by (4). Moreover, for  $1 \leq i \leq k$ , some edge in  $Y_1 \subseteq Y$  has tail  $s_i$  and colour  $i$  (from the definition of  $S_0$ ); and since no edge in  $Y$  has head  $s_i$ , it follows that  $s_i$  is the first vertex of a path component  $P_i$  of  $Y$  in which all edges have colour  $i$ . The last vertex of this component is in  $\{t_1, \dots, t_k\}$ , and is therefore  $t_i$  since the last edge has colour  $i$ . Consequently  $(P_1, \dots, P_k)$  is a linkage for  $(G, s_1, t_1, \dots, s_k, t_k)$ . This proves the “only if” part of the theorem.

Now we turn to the “if” part. We assume there is a linkage for  $(G, s_1, t_1, \dots, s_k, t_k)$ , and must prove there is a path in  $G$  from  $S_0$  to  $T_0$ . Let  $L = (P_1, \dots, P_k)$  be a minimum linkage. Let  $v_1, \dots, v_n$  be as in 3.4. For  $0 \leq h \leq n$ , let  $B_h = \{s_1, \dots, s_k\} \cup \{v_i : 1 \leq i \leq h\}$  and  $A_h = V(L) \setminus B_h$ ; and let  $D_h$  be as defined immediately before 4.2. Let  $J_h$  be the set of edges of  $P_1 \cup \dots \cup P_k$  with one end in  $A_h$  and the other in  $B_h$ , and let  $Y_h = \{(e, i) : e \in J_h \cap E(P_i)\}$ . We claim that

- $(Y_h, D_h) \in V(H)$  for  $0 \leq h \leq n$ ;
- for  $0 \leq h < n$ ,  $(Y_h, D_h)$  is adjacent in  $H$  to  $(Y_{h+1}, D_{h+1})$ ; and
- $(Y_0, D_0) \in S_0$ , and  $(Y_n, D_n) \in T_0$ .

To see the first claim, note that  $D_h$  is  $(r, s, t)$ -restricted by 4.2; and  $Y_h \in \mathcal{E}$  since  $L$  is a linkage. Also the third claim follows. For the second, let  $0 \leq h < n$ . By 4.2,  $D_h \subseteq D_{h+1}$ . Let  $(e, i)$  be a coloured edge that belongs to exactly one of  $Y_h, Y_{h+1}$ . It follows that  $e \in E(P_i)$ , and hence has both ends in  $V(L)$ ; and since  $e$  belongs to exactly one of  $J_h, J_{h+1}$ , some end  $v$  of  $e$  belongs to  $D_{h+1} \setminus D_h$ . Thus  $v \in D_{h+1} \cap V(L) = B_{h+1}$ , and  $v \in V(L) \setminus D_h = A_h$ , by 4.2. Hence  $v = v_{h+1}$  since  $B_{h+1} = B_h \cup \{v_{h+1}\}$ .



Now  $v \notin \{s_1, \dots, s_k, t_1, \dots, t_k\}$ , and so there is a two-edge subpath  $Q$  of  $P_i$  with middle vertex  $v$ . Since  $B_{h+1} = B_h \cup \{v\}$ , it follows that the other edge of  $Q$  also belongs to exactly one of  $J_h, J_{h+1}$ ; and no other edges have this property, since we have shown that every edge in exactly one of  $J_h, J_{h+1}$  is incident with  $v = v_{h+1}$ , and no other edges in  $P_1 \cup \dots \cup P_k$  are incident with  $v$ . This completes the proof of the second bullet above, and so proves the “if” half of the theorem, and hence completes the proof of 5.1. ■

Let us figure out the running time. Checking whether the path in  $H$  exists can be done in time  $O(|V(H)|^2)$ , which is also the time needed to construct  $H$ ; so we just need to estimate  $|V(H)|$ . We recall that  $z = c(c(k^2 + k + 1) + k + 2)$ ,  $w = c(c - 1)(z + 1) + 1$ ,  $r = ckw$ ,  $s = k^2 + k + 3$ , and  $t = 2cskw + c(2w + 1)k^2(k^2 + k + 1)$ ; and let  $n = |V(G)|$ . Now  $H$  has at most  $|\mathcal{D}| \cdot |\mathcal{E}|$  vertices, and  $|\mathcal{D}| \leq n^{2rs}2^t$ , and  $|\mathcal{E}| \leq (n^2k)^{2w-1}$ . Hence  $|V(H)|^2 = O(n^{4rs+8w})$ , and this exponent is about  $4(ck)^5$  for large  $c, k$ .

Finally, we remark that every  $p$ -vertex path from  $S_0$  to  $T_0$  in  $H$  gives a linkage in  $G$  using at most  $p - 1 + 2k$  vertices; and every minimum linkage in  $G$  with  $p - 1 + 2k$  vertices gives a  $p$ -vertex path in  $H$ . Thus if we check for the shortest path in  $H$  from  $S_0$  to  $T_0$ , we can determine the minimum number of vertices in a linkage for  $(G, s_1, t_1, \dots, s_k, t_k)$ , as mentioned in the introduction.

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