

Proof of a conjecture of Bowlin and Brin on four-colouring triangulations

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December 28, 2012; revised June 27, 2013

¹Supported by ONR grant N00014-10-1-0680 and NSF grant DMS-0901075.

Abstract

We prove a conjecture of Bowlin and Brin that for all $n \geq 5$, the n -vertex biwheel is the planar triangulation with n vertices admitting the largest number of four-colourings.

1 Introduction

All graphs in this paper are finite, and have no loops or parallel edges (except immediately after 1.1). A *triangulation* is a graph drawn in the 2-sphere S^2 such that the boundary of every region is a 3-vertex cycle. A *biwheel* is a triangulation consisting of a cycle C and two more vertices, each adjacent to every vertex of C , and for $n \geq 5$, we denote the n -vertex biwheel by B_n . For $k > 0$ an integer, a k -colouring of a graph G is a map ϕ from the vertex set $V(G)$ of G to $\{1, \dots, k\}$, such that $\phi(u) \neq \phi(v)$ for every edge uv . Let $P_k(G)$ denote the number of k -colourings of a graph G . Garry Bowlin and Matt Brin [1, 2] conjectured the following, which is the main result of this note:

1.1 *If G is a triangulation with $n \geq 5$ vertices, then $P_4(G) \leq P_4(B_n)$.*

The hypothesis that G has no parallel edges is important, and without it the extremal “triangulation” is different. Let us say a *pseudo-triangulation* is a drawing in S_2 , possibly with parallel edges but without loops, such that the boundary of every region is a cycle of length three. We claim that every n -vertex pseudo-triangulation has at most $3 \cdot 2^n$ 4-colourings. To see this, let G be an n -vertex pseudo-triangulation, and order its vertex set v_1, \dots, v_n such that v_1, v_2 are adjacent and for $3 \leq i \leq n$, there is a triangle containing v_i and two of v_1, \dots, v_{i-1} . For $1 \leq i \leq n$, let $G_i = G|_{\{v_1, \dots, v_i\}}$. (We use $G|_X$ to denote the subdrawing of G induced on X , when $X \subseteq V(G)$.) Thus $P_4(G_2) = 12$, and for $3 \leq i \leq n$ every 4-colouring of G_{i-1} extends to at most two 4-colourings of G_i ; and so by induction it follows that for $2 \leq i \leq n$, $P_4(G_i) \leq 3 \cdot 2^i$, and in particular, $P_4(G) \leq 3 \cdot 2^n$. But there is a pseudo-triangulation with n vertices and $3 \cdot 2^n$ 4-colourings, obtained as follows: take a drawing with two vertices x, y and $n - 2$ parallel edges, and for each consecutive pair of parallel edges add a new vertex between them adjacent to x, y .

Our proof of 1.1 is based on the same idea of bounding the number of 4-colourings by ordering the vertex set such that each makes a triangle with two of its predecessors, but we need to treat a few vertices as special, and just order the others.

Bowlin and Brin also raised the question of deciding which n -vertex triangulation has the second most 4-colourings, and conjectured that the number of 4-colourings of the second-best triangulation is asymptotically half of the number for the biwheel. We do not prove this, but prove that the number of 4-colourings of any non-biwheel on n vertices is asymptotically at most $27/32$ of the number for the biwheel. More precisely, we prove the following, which immediately implies 1.1.

1.2 *Let G be a triangulation with $n \geq 5$ vertices.*

- *If G is a biwheel, then $P_4(G) = 2^n - 8$ if n is odd, and $2^n + 32$ if n is even.*
- *If G is not a biwheel, then $P_4(G) \leq \frac{27}{32}2^n \leq 2^n - 8$.*

2 The main proof

First, we need

2.1 *If G is a cycle with n vertices then $P_3(G) = 2^n + 2(-1)^n$.*

Proof. The result is well-known and elementary, but we give a proof for completeness. For $n \geq 1$, let $\kappa_n = 2^n + 2(-1)^n$. For $n \geq 2$, let α_n be the number of 3-colourings of an n -vertex path such that its ends have the same colour, and let β_n be the number of 3-colourings such that its ends have different colours. We prove by induction on n that $\alpha_n = \kappa_{n-1}$, and $\beta_n = \kappa_n$. The result is true when $n = 2$, so we assume $n \geq 3$. Now $\alpha_n = \beta_{n-1}$, so the first assertion holds. For the second, let G be a path with vertices v_1, \dots, v_n in order. Each 3-colouring of $G \setminus \{v_n\}$ with v_1, v_{n-1} of different colours extends to a unique 3-colouring of G in which v_1, v_n have different colours, and each 3-colouring of $G \setminus \{v_n\}$ with v_1, v_{n-1} of the same colour extends to two 3-colourings of G in which v_1, v_n have different colours. Consequently

$$\beta_n = \alpha_{n-1} + 2\beta_{n-1} = \kappa_{n-1} + 2\kappa_{n-2} = \kappa_n$$

as required. This proves that $\beta_n = \kappa_n$ for all $n \geq 2$. Now if G is a cycle with n vertices, it follows (by deleting one edge of G) that $P_3(G) = \beta_n = \kappa_n$. This proves 2.1. ■

If G is a triangulation, a *triangle* of G means a region of G , and we denote a triangle incident with vertices a, b, c by abc . A triangle *touches* another if they are distinct and share an edge. It is convenient to first prove the result when G is 4-connected.

2.2 *Let G be a 4-connected triangulation, not a biwheel, with n vertices, and with minimum degree k say. (Thus $k \in \{4, 5\}$.) Then $P_4(G) \leq 27 \cdot 2^{n-5}$ if $k = 4$, and $P_4(G) \leq 45 \cdot 2^{n-6}$ if $k = 5$.*

Proof. A *diamond* in G is a set of four vertices of G , all pairwise adjacent except for one pair, called the *apices*. A diamond a, b, c, d with apices a, b is *pure* if there is no vertex of G adjacent to a, b and non-adjacent to c, d . Let $v \in V(G)$ have degree k , and let N be its set of neighbours and $M = V(G) \setminus (N \cup \{v\})$.

(1) *There is a triangle of G with vertex set included in M .*

For suppose not. If some vertex in M is adjacent to every vertex of N , then G is a biwheel, a contradiction; and at most two vertices of M have $k - 1$ neighbours in N , by planarity. Moreover, $G|M$ is connected, since G is 4-connected. Since every vertex in G has degree at least four, it follows that at most two vertices in M have degree one in $G|M$. Suppose that $G|M$ is a forest. Then it is a path, with vertices v_1, \dots, v_n in order say; and v_1, v_n both have $k - 1$ neighbours in N , so $k = 4$ and G is a biwheel, a contradiction. Thus there is a cycle in $G|M$, and hence (1) follows.

(2) *Either $k = 4$ and $n = 8$ and $P_4(G) = 72$, or there is a diamond D of G such that some vertex of G with degree k has no neighbour in D .*

For let xyz be a triangle with $x, y, z \in M$; and let x', y', z' be vertices of G different from x, y, z such that there are triangles $x'y'z, xy'z', xyz'$. If one of x', y', z' is in M then (2) holds, so we assume that x', y', z' are all in N . Since $G|N$ is a cycle of length k , we may assume that x', y' are adjacent, and so z has degree four and hence $|N| = k = 4$; and so we may also assume that y', z' are adjacent. It follows that x, z have degree four in G . Let w' be the neighbour of v different from x', y', z' . Let $px'w'$ touch $vx'w'$. Thus $\{p, v, x', w'\}$ is a diamond, and x is non-adjacent to v, x', w' , so we may

assume that v is adjacent to p , that is, $p = y$. But then $n = 8$ and $P_4(G) = 72$, and the result holds. This proves (2).

In view of (2), we may assume that there is a diamond $\{a, b, c, d\}$ in M , with apices a, b .

(3) *There is a pure diamond included in M .*

For we may assume that $\{a, b, c, d\}$ is not pure, and so there is a vertex p adjacent to a, b and not to c, d . From the symmetry between c, d , we may assume that the cycle with vertex set $\{a, c, b, p\}$ divides S^2 into two open discs D_1, D_2 , one containing d and the other containing v , say $d \in D_1$. Let bdq touch bdc . Then $q \neq p$ since q is adjacent to d , and so $q \in D_1$, and in particular $q \in M$. Suppose that the diamond $\{c, q, b, d\}$ is not pure; then there is a vertex r adjacent to c, q and not to b, d , which is impossible by planarity. This proves (3).

In view of (3) we may assume that $\{a, b, c, d\}$ is pure.

(4) *We can order $V(G) \setminus \{a, b, c, d\}$ and $\{v_1, \dots, v_{n-4}\}$ in such a way that $v_1 = v$, $N = \{v_2, \dots, v_{k+1}\}$, and for $k+2 \leq i \leq n-4$ there is a triangle containing v_i and two of v_1, \dots, v_{i-1} .*

For let G' be the drawing obtained from G by deleting a, b, c, d , and let D be the region of G' containing a, b, c, d . Then D is an open disc, and so there is a closed walk tracing its boundary. Since G is 4-connected and the diamond $\{a, b, c, d\}$ is pure, it follows that no vertex appears twice in this closed walk, and so D is bounded by a cycle C say. Choose a sequence v_1, \dots, v_j of distinct members of $V(G) \setminus \{a, b, c, d\}$, where $v_1 = v$, $N = \{v_2, \dots, v_{k+1}\}$, and for $k+2 \leq i \leq j$ there is a triangle containing v_i and two of v_1, \dots, v_{i-1} , with j maximum. Let $X = \{v_1, \dots, v_j\}$ and $Y = V(G) \setminus (\{a, b, c, d\} \cup X)$. Let \mathcal{R} be the set of all triangles with vertex set included in X . Let S be the closure of the union of the members of \mathcal{R} ; thus S is some closed subset of S^2 , with boundary the closure of some set of edges of G . Let $e \in E(G)$ be an edge of G in the boundary of S , where $e = xy$ say, and let $xyz \in \mathcal{R}$ touche some region $xyz' \notin \mathcal{R}$. Thus $z' \notin X$ from the definition of \mathcal{R} , and so from the choice of j it follows that $z' \in \{a, b, c, d\}$, and consequently $e \in E(C)$. Consequently every edge in the boundary of S belongs to $E(C)$, and since every vertex of G is incident with an even number of such edges, it follows that C is the boundary of S . Consequently S is a closed disc, and hence contains all vertices of G not in $\{a, b, c, d\}$. It follows that $j = n - 4$. This proves (4).

For $1 \leq i \leq n - 4$, let $G_i = G \setminus \{v_1, \dots, v_i\}$. For $k + 2 \leq i \leq n - 4$, $P_4(G_i) \leq 2P_4(G_{i-1})$, and so $P_4(G_{n-4}) \leq 2^{n-k-5}P_4(G_{k+1})$. But every 4-colouring of G_{n-4} can be extended to at most six 4-colourings of G (this is easy to check, and we leave it to the reader), and so $P_4(G) \leq 6 \cdot 2^{n-k-5}P_4(G_{k+1})$. By 2.1, if $k = 4$ then $P_4(G_{k+1}) = 72$, and if $k = 5$ then $P_4(G_{k+1}) = 120$. This proves 2.2. ■

2.3 *Let $n \geq 6$ be such that every triangulation with n' vertices admits at most $2^{n'} + 32$ 4-colourings, for $5 \leq n' \leq n - 1$. Let G be a triangulation with n vertices.*

- *If G has a vertex of degree three, then $P_4(G) \leq 2^{n-1} + 32$, and $P_4(G) = 24$ if $n = 6$.*

- If G has no vertex of degree three and G is not 4-connected, then $n \geq 9$ and $P_4(G) \leq 2^{n-1} + 128$.

Suppose first that some vertex v has degree three. Now $G' = G \setminus v$ is a triangulation, so from the hypothesis $P_4(G') \leq 2^{n-1} + 32$, and $P_4(G') = 24$ if $n = 6$. But every 4-colouring of G' extends to a unique 4-colouring of G , and the result follows.

Now we assume that G has no vertex of degree three, but is not 4-connected. Consequently there is a cycle of length three in G that does not bound a region; and so there are two triangulations G_1, G_2 in S with union G , intersecting just in this cycle, and each with at least four vertices. Let G_i have $n_i + 3$ vertices for $i = 1, 2$. Since G has no vertex of degree three it follows that $n_1, n_2 \geq 3$, and so $n \geq 9$. Moreover, $P_4(G) = P_4(G_1)P_4(G_2)/24$, and from the hypothesis, $P_4(G_i) \leq 2^{n_i+3} + 32$ for $i = 1, 2$. It follows that

$$P_4(G) \leq (2^{n_1+3} + 32)(2^{n_2+3} + 32)/24 \leq (2^{n-3} + 32)(2^6 + 32)/24 = 2^{n-1} + 128,$$

since $n_1, n_2 \geq 3$ and sum to $n - 3$. This proves 2.3. ■

Proof of 1.2. The first assertion of 1.2 is easy using 2.1 and we leave it to the reader. Note also that $\frac{27}{32}2^n \leq 2^n - 8$ if $n \geq 6$, and every triangulation with five vertices is a biwheel. Thus for the second assertion, we proceed by induction on n , and we may therefore assume that every triangulation with n' vertices admits at most $2^{n'} + 32$ 4-colourings, for $5 \leq n' \leq n - 1$. Let G be a triangulation with n vertices, not a biwheel, and so $n \geq 6$. If $n \geq 7$ and G has a vertex of degree three, then by 2.3,

$$P_4(G) \leq 2^{n-1} + 32 \leq \frac{27}{32}2^n$$

as required; while if $n = 6$ and G has a vertex v of degree three, then by 2.3,

$$P_4(G) = 24 \leq \frac{27}{32}2^n.$$

Thus we may assume that G has no vertex of degree three. If G is not 4-connected, then by 2.3 $n \geq 9$ and

$$P_4(G) \leq 2^{n-1} + 128 \leq \frac{27}{32}2^n$$

as required. If G is 4-connected and has no vertex of degree four, then by 2.2,

$$P_4(G) \leq 45 \cdot 2^{n-6} \leq \frac{27}{32}2^n;$$

while if G is 4-connected and has a vertex of degree four then the result follows from 2.2. This proves 1.2. ■

References

- [1] Garry Bowlin and Matthew Brin, “Coloring planar maps via colored paths in the associahedra”, manuscript, January 2013 (arXiv:1301.3984).
- [2] Matthew Brin, “Maps with a large number of four-colorings”, posted on MathOverflow August 14 2012 (<http://mathoverflow.net/questions/104722/maps-with-a-large-number-of-4-colorings>).