

# Colouring Eulerian Triangulations

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We show that for every orientable surface  $\Sigma$  there is a number  $c$  so that every Eulerian triangulation of  $\Sigma$  with representativeness  $\geq c$  is 4-colourable.

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## 1. INTRODUCTION

Collins and Hutchinson [3] conjectured that every Eulerian triangulation of an orientable surface is 4-colourable if its representativeness is sufficiently high, and obtained some partial results for the torus. (The *representativeness* of a graph drawn in a surface is the minimum number of times a non-null-homotopic closed curve must hit the drawing.) It is easy to see that Eulerian triangulations of the torus need not be 3-colourable, because for instance their duals need not be bipartite, and so the number 4 is best possible in Collins and Hutchinson's conjecture. It follows from [10] that all these graphs can be 5-coloured.

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Our objective is to prove that conjecture; we shall show that the result holds for every orientable surface, but not for the projective plane. More precisely:

(1.1) *For every orientable surface  $\Sigma$  of genus  $\geq 1$  there is a number  $c(\Sigma)$  so that every Eulerian triangulation of  $\Sigma$  with representativeness  $\geq c(\Sigma)$  is 4-colourable.*

(1.2) *For the projective plane  $\Sigma$  there is no  $c(\Sigma)$  as in (1.1).*

We prove (1.1) in Section 4, after some preliminary lemmas in Sections 2 and 3; and prove (1.2) in Section 5.

Since for  $i \geq 1$ ,  $K_{12i+3}$  can be embedded as an Eulerian triangulation in the orientable surface of genus  $i(12i-1)$ , the condition about representativeness cannot be omitted from (1.1). (On the other hand, we do not know whether  $c(\Sigma)$  must depend on  $\Sigma$ —it seems possible that (1.1) is true with  $c(\Sigma) = 100$ , for all  $\Sigma$ .) Also, examples of Ballantine [2] and of Fisk [4] show that (1.1) does not hold when a triangulation contains two odd-degree vertices.

Incidentally, an application of our main lemma (2.5)(i) gives an alternative proof of the main result of [6], that every quadrangulation of an orientable surface can be 3-coloured provided its representativeness is sufficiently high.

## 2. A HOMOTOPY LEMMA

Let us make some terms more precise. A *surface* means a compact, connected 2-manifold without boundary. We need to define homotopy for several different kinds of objects in a surface. First, a *closed curve* in a surface  $\Sigma$  means a continuous map  $\phi: [0, 1] \rightarrow \Sigma$  such that  $\phi(0) = \phi(1)$ , and its *basepoint* is  $\phi(0)$ . We speak of (fixed basepoint) homotopy of closed curves with a given basepoint in the usual way. The equivalence class of curves homotopic to a given curve  $\phi$  is denoted by  $\langle \phi \rangle$  and called the *homotopy type* of  $\phi$ . The natural product on homotopy types (defined by concatenation) yields a group, the *fundamental group* of  $\Sigma$  (with the given basepoint,  $v$  say), which we denote by  $\pi_1(\Sigma, v)$ .

Second, we need *free homotopy* of closed curves; closed curves  $\phi, \psi: [0, 1] \rightarrow \Sigma$  are *freely homotopic* if there is a continuous map  $w: [0, 1] \times [0, 1] \rightarrow \Sigma$  such that

$$w(x, 0) = \phi(x) \quad (0 \leq x \leq 1)$$

$$w(x, 1) = \psi(x) \quad (0 \leq x \leq 1)$$

$$w(0, y) = w(1, y) \quad (0 \leq y \leq 1).$$

In particular,  $\phi$  and  $\psi$  need not have the same basepoint to be freely homotopic.

Third, an  $O$ -arc in  $\Sigma$  means a subset of  $\Sigma$  homeomorphic to a circle. A closed curve  $\phi: [0, 1] \rightarrow \Sigma$  is said to *trace* an  $O$ -arc  $F$  if

- (a)  $\phi(x) \in F$  ( $0 \leq x \leq 1$ )
- (b) for each  $y \in F$  there is a unique  $x \in [0, 1]$  with  $\phi(x) = y$ .

We say two  $O$ -arcs are *homotopic* if there are closed curves tracing them that are freely homotopic; and similarly an  $O$ -arc  $F$  is *homotopic* to a closed curve  $\psi$  if there is a closed curve  $\phi$  tracing  $F$  freely homotopic to  $\psi$ .

Fourth and fifth, given a drawing  $G$  in  $\Sigma$  (defined below), if  $W$  is a closed walk in  $G$  then we may speak of a closed curve “tracing”  $W$  with the natural meaning, and this enables us to speak of homotopy of walks (free homotopy, or with fixed basepoint).

A *drawing*  $G$  in a surface  $\Sigma$  is a pair  $(U(G), V(G))$ , where  $U(G) \subseteq \Sigma$  is closed,  $V(G) \subseteq U(G)$ ,  $|V(G)|$  is finite,  $U(G) - V(G)$  has only finitely many connected components, and for every connected component  $e$  of  $U(G) - V(G)$ , its closure  $\bar{e}$  contains precisely two elements  $u, v \in V(G)$ , and  $\bar{e}$  is homeomorphic to  $[0, 1]$ . We regard drawings as graphs in the usual way. Thus we permit multiple edges, but not loops.

Let  $G$  be a drawing in a surface  $\Sigma$ , not the sphere. We say  $G$  has *representativeness*  $\geq k$  if  $|F \cap U(G)| \geq k$  for every non-null-homotopic  $O$ -arc  $F$ .

Let  $G$  be a drawing in  $\Sigma$  and  $k \geq 0$  an integer. A closed curve  $\phi$  is said to be *k-wide* in  $G$  if  $\phi$  is not null-homotopic, and there are circuits  $C_1, \dots, C_k$  of  $G$ , pairwise vertex-disjoint and each homotopic to  $\phi$ . (*Circuits* by definition have no “repeated” vertices or edges.) A homotopy type is *k-wide* if its members are *k-wide*. An  $O$ -arc is *k-wide* if some closed curve tracing it is *k-wide*.

The main result of this section is the following.

(2.1) *For every orientable surface  $\Sigma$  of genus  $\geq 1$  and every integer  $k \geq 0$  there exists  $c$  such that for every drawing  $G$  in  $\Sigma$  with representativeness  $\geq c$ , every  $v \in \Sigma$ , and every homomorphism  $\lambda: \pi_1(\Sigma, v) \rightarrow S_3$  (the group of permutations of three objects) there exists  $\delta \in \pi_1(\Sigma, v)$  such that  $\lambda(\delta)$  is the identity of  $S_3$  and  $\delta$  is  $k$ -wide in  $G$ .*

First we need the following lemma.

(2.2) *Let  $S_3$  be the group of permutations of a 3-element set, with identity 1 (say).*

- (i) If  $x, y \in S_3$  belong to an abelian subgroup of  $S_3$  then at least one of  $x, y, xy, xy^{-1}$  equals 1.
- (ii) If  $x, y, z \in S_3$  then at least one of  $x, y, z, xy, xy^{-1}, yz, yz^{-1}, zx, zx^{-1}, xyz, zyx, xyxz$  equals 1.

*Proof.* For (i) we may assume  $1, x, y$  are all distinct. But they belong to an abelian subgroup of  $S_3$ , and all such subgroups have  $\leq 3$  elements, and so  $xy = 1$  as required.

For (ii), we may assume  $1, x, y, z$  are all distinct. Hence each of  $x, y, z$  has order 2 or 3; say  $k$  of them have order 3. Then  $0 \leq k \leq 2$  (since there are only two elements of order 3 in  $S_3$ ), If  $k = 0$  then  $xyxz = 1$ . If  $k = 1$  then one of  $xyz, zyx = 1$ ; and if  $k = 2$  then one of  $xy, yz, zx = 1$ . Q.E.D.

We need the following theorem of [9].

(2.3) For every surface  $\Sigma$  except the sphere, and every drawing  $H$  in  $\Sigma$ , there is a number  $c$  with the following property. For every drawing  $G$  in  $\Sigma$  with representativeness  $\geq c$  there is a drawing  $H'$  in  $\Sigma$  so that

- (i)  $H'$  can be obtained from a subdrawing of  $G$  by contracting edges
- (ii) there is a homeomorphism of  $\Sigma$  to itself taking  $H$  to  $H'$ .

From (2.3) we deduce

(2.4) For every surface  $\Sigma$  except the sphere, and every choice of finitely many  $O$ -arcs  $F_1, \dots, F_n \subseteq \Sigma$ , each non-null-homotopic and two-sided, and every integer  $k > 0$ , there exists  $c$  with the following property. For every drawing  $G$  in  $\Sigma$  with representativeness  $\geq c$ , there is a homeomorphism  $\theta$  of  $\Sigma$  to itself such that  $\theta(F_i)$  is  $k$ -wide in  $G$  ( $1 \leq i \leq n$ ).

*Proof.* For  $1 \leq i \leq n$ , since  $F_i$  is simple and two-sided, there are  $k$  pairwise disjoint  $O$ -arcs in  $\Sigma$  each homotopic to  $F_i$ . Consequently there is a drawing  $H$  in  $\Sigma$  such that for  $1 \leq i \leq n$ ,  $F_i$  is  $k$ -wide in  $H$ . Choose  $c$  as in (2.3) (with the given  $\Sigma$  and  $H$ ). Now let  $G$  be a drawing in  $\Sigma$  with representativeness  $\geq c$ . By (2.3), there is a drawing  $H'$  in  $\Sigma$  as in (2.3)(i) and a homeomorphism  $\theta$  of  $\Sigma$  to itself taking  $H$  to  $H'$ . It follows that for  $1 \leq i \leq n$ ,  $\theta(F_i)$  is  $k$ -wide in  $H'$  and hence in  $G$ , as required. Q.E.D.

We use (2.4) to show the following.

(2.5) For every orientable surface  $\Sigma$  except the sphere, and every integer  $k \geq 1$ , there is a number  $c$  with the following property. For every drawing  $G$  in  $\Sigma$  with representativeness  $\geq c$  and every  $v \in \Sigma$

- (i) *there exist  $\alpha, \beta \in \pi_1(\Sigma, v)$  such that  $\alpha, \beta, \alpha\beta, \alpha\beta^{-1}$  are all  $k$ -wide*
- (ii) *if  $\Sigma$  is not a torus, there exist  $\alpha, \beta, \gamma \in \pi_1(\Sigma, v)$  such that*

$$\alpha, \beta, \gamma, \alpha\beta, \alpha\beta^{-1}, \beta\gamma, \beta\gamma^{-1}, \gamma\alpha, \gamma\alpha^{-1}, \alpha\beta\gamma, \gamma\beta\alpha, \alpha\beta\alpha\gamma$$

*are all  $k$ -wide in  $G$ .*

*Proof.* We assume first that  $\Sigma$  has genus  $\geq 2$ . Let  $H_1$  be the graph with four vertices  $v_0, v_1, v_2, v_3$  and six edges  $e_1, f_2, e_3, f_1, e_2, f_3$  where for  $1 \leq i \leq 3, e_i$  and  $f_i$  both have ends  $v_0$  and  $v_i$ . Take a drawing of  $H_1$  in  $\Sigma$  so that  $e_1e_2e_3f_1f_2f_3$  occur in this cyclic order around  $v_0$ . (This is possible since  $\Sigma$  has genus  $\geq 2$ .) Let the closed walks  $v_0, e_i, v_i, f_i, v_0$  have homotopy type  $\alpha_i$  ( $i = 1, 2, 3$ ) (with basepoint  $v_0$ ) and choose the drawing so that there is no non-trivial relation between  $\alpha_1, \alpha_2$  and  $\alpha_3$ .

In particular, none of

$$\alpha_1, \alpha_2, \alpha_3, \alpha_1\alpha_2, \alpha_2\alpha_3, \alpha_3\alpha_1, \alpha_1\alpha_2^{-1}, \alpha_2\alpha_3^{-1}, \alpha_3\alpha_1^{-1}, \alpha_1\alpha_2\alpha_3, \alpha_3\alpha_2\alpha_1, \alpha_1\alpha_2\alpha_1\alpha_3$$

is the identity. But for each of these twelve homotopy types,  $\delta$  say, there is an  $O$ -arc  $F_\delta$  so that  $F_\delta$  is homotopic to a member of  $\delta$ . Each  $F_\delta$  is two-sided, since  $\Sigma$  is orientable, and each is non-null-homotopic by choice of  $\alpha_1, \alpha_2, \alpha_3$ . By (2.4) (with  $n = 12$ ) there is an integer  $c$  as in (2.4). We claim  $c$  satisfies (2.5)(ii). For let  $G$  be a drawing in  $\Sigma$  with representativeness  $\geq c$ . By (2.4) there is a homeomorphism  $\theta$  of  $\Sigma$  to itself, such that  $\theta(\delta)$  is  $k$ -wide in  $G$  for each  $\delta$ .

Now if (2.5) is true (for given  $G, \Sigma$ ) for some choice of  $v$ , then it is true for all  $v$ . To see this, let  $v'$  be some other choice of  $v$ , let  $\phi$  be a curve from  $v$  to  $v'$ , and for each  $\alpha \in \pi_1(\Sigma, v)$  define  $f(\alpha) \in \pi_1(\Sigma, v')$  by choosing  $\psi \in \alpha$ , letting  $\psi'$  be the concatenation of  $\phi^{-1}, \psi$  and  $\phi$ , and letting  $f(\alpha)$  be the member of  $\pi_1(\Sigma, v')$  containing  $\psi'$ . This is well-defined, and  $f$  is an isomorphism from  $\pi_1(\Sigma, v)$  to  $\pi_1(\Sigma, v')$ ; and if  $\alpha$  is  $k$ -wide then so is  $f(\alpha)$ . Thus for instance if  $\alpha, \beta, \gamma$ , satisfy (2.5)(ii) for  $v$ , then  $f(\alpha), f(\beta), f(\gamma)$  satisfy (2.5)(ii) for  $v'$ . This proves our claim.

Consequently it suffices to show that (2.5) holds for one particular value of  $v$ , so let us assume that  $v = \theta(v_0)$ . Since  $\theta$  is a homeomorphism,  $\theta$  induces an isomorphism from  $\pi_1(\Sigma, v_0)$  to  $\pi_1(\Sigma, v)$ .

In particular, let  $\alpha'_i = \theta(\alpha_i)$  ( $i = 1, 2, 3$ ); then  $\alpha'_1\alpha'_2 = \theta(\alpha_1\alpha_2)$ , and so on for the other eight members of  $\pi_1(\Sigma, v_0)$  of interest to us. But  $\theta(\delta)$  is  $k$ -wide in  $G$ , for each  $\delta$ , and so if we set  $\alpha = \alpha'_1, \beta = \alpha'_2, \gamma = \alpha'_3$  then (2.5)(ii) holds.

The proof of (2.5)(i) is similar but easier, and we omit it.

**Q.E.D.**

*Proof of (2.1).* Let  $\Sigma$ ,  $k$  be as in (2.1), and let  $c$  be as in (2.5). We claim  $c$  satisfies (2.1). For let  $G$ ,  $v$  and  $\lambda$  be as in (2.1). Then by (2.5), (2.5)(i) and (2.5)(ii) hold.

Suppose first that  $\Sigma$  is not a torus, and let  $\alpha$ ,  $\beta$ ,  $\gamma$  be as in (2.5)(ii). By (2.2)(ii),  $\lambda(\delta)$  is the identity of  $S_3$  for some  $\delta$  among the twelve listed in (2.5)(ii). But  $\delta$  is  $k$ -wide in  $G$ , and so satisfies (2.1).

Now suppose  $\Sigma$  is a torus, and let  $\alpha$ ,  $\beta$  be as in (2.5)(1). Then  $\pi_1(\Sigma, v)$  is abelian, and so the range of  $\lambda$  is an abelian subgroup of  $S_3$ . By (2.2)(i),  $\lambda(\delta)$  is the identity for some

$$\delta \in \{\alpha, \beta, \alpha\beta, \alpha\beta^{-1}\}.$$

But  $\delta$  is  $k$ -wide in  $G$ , and so satisfies (2.1).

Q.E.D.

### 3. ANGLE PERMUTATIONS

A drawing  $G$  in  $\Sigma$  is said to be *closed 2-cell* if every region is homeomorphic to an open disc and has boundary  $U(C)$  for some circuit  $C$  of  $G$ . For such a region,  $r$  say, bounded by a circuit  $C$ , we say a closed walk

$$v_0, e_1, v_1, \dots, e_k, v_k = v_0$$

is a *perimeter walk* of  $r$  if  $e_1, \dots, e_k$  are all distinct and  $E(C) = \{e_1, \dots, e_k\}$ . In general, a region has several perimeter walks, depending on the choice of basepoint and orientation.

An *angle* is a pair  $(v, r)$  where  $v \in V(G)$  and  $r$  is a region incident with  $v$ . For a vertex  $v$ , we define

$$\nabla(v) = \{(v, r): r \text{ is incident with } v\},$$

the set of all "angles at  $v$ ". Thus, in a closed 2-cell drawing,  $|\nabla(v)|$  equals the degree of  $v$ .

A vertex is *cubic* if it has degree 3; in fact we shall only be concerned with  $\nabla(v)$  when  $v$  is cubic.

Let  $G$  be a closed 2-cell drawing, and let  $e \in E(G)$  with ends  $v_1, v_2$ , both cubic. Let  $r_1, r_2$  be the two regions incident with  $e$ , and for  $i = 1, 2$  let  $s_i$  be the third region incident with  $v_i$ . Thus

$$\nabla(v_i) = \{(v_i, r_1), (v_i, r_2), (v_i, s_i)\} \quad (i = 1, 2).$$

We define  $\pi_{v_1e_1v_2}$  to be the bijection from  $\nabla(v_1)$  to  $\nabla(v_2)$  mapping  $(v_1, r_1), (v_1, r_2), (v_1, s_1)$  to  $(v_2, r_1), (v_2, r_2), (v_2, s_2)$  respectively.

If  $W$  is a walk  $v_0, e_1, v_1, e_2, \dots, e_n, v_n$  of  $G$ , such that  $v_0, \dots, v_n$  are all cubic (a so-called *cubic walk*), we define  $\pi_W$  to be the product of the  $\pi_{v_{i-1}e_iv_i}$  for  $1 \leq i \leq n$ ; thus, for  $x \in \nabla(v_0)$ ,

$$\pi_W(x) = \pi_{v_{n-1}e_nv_n}(\dots(\pi_{v_1e_2v_2}(\pi_{v_0e_1v_1}(x))))\dots).$$

We observe that, obviously,

(3.1) (i) *If  $W_1$  is a cubic walk from  $a$  to  $b$ , and  $W_2$  is a cubic walk from  $b$  to  $c$ , and  $W_3$  is their concatenation, then*

$$\pi_{W_3}(x) = \pi_{W_2}(\pi_{W_1}(x)) \quad (x \in \nabla(a)).$$

(ii) *If  $W$  is a cubic walk  $u, e, v, e, u$  then  $\pi_W$  is the identity.*

A closed cubic walk  $W$  is *balanced* in  $G$  if  $\pi_W$  is the identity. Let  $W$  be

$$v_0, e_1, v_1, e_2, \dots, e_n, v_n = v_0;$$

if  $W$  is balanced, then so is

$$v_i, e_{i+1}, v_{i+1}, \dots, e_n, v_n, e_1, v_1, \dots, e_i, v_i$$

for any  $i$  ( $1 \leq i \leq n-1$ ), and also the reverse of  $W$  is balanced. Thus, we may speak of a circuit  $C$  of  $G$  being balanced without ambiguity (meaning that some, and hence every, closed walk

$$v_0, e_1, v_1, \dots, e_n, v_n$$

with  $e_1, \dots, e_n$  all distinct and  $E(C) = \{e_1, \dots, e_n\}$  is balanced).

We are basically concerned with cubic drawings in  $\Sigma$ , but for inductive purposes we need to permit a few, widely-separated non-cubic vertices. Let us say an *arrangement* in  $\Sigma$  is a pair  $(G, X)$  such that

- (i)  $G$  is a closed 2-cell drawing in  $\Sigma$
- (ii)  $X \subseteq V(G)$ , and  $G \setminus X$  is closed 2-cell ( $G \setminus X$  denotes the drawing obtained from  $G$  by deleting the vertices in  $X$  and all incident edges)
- (iii) no region of  $G$  is incident with more than one member of  $X$
- (iv) every vertex of  $G$  not in  $X$  is cubic.

An arrangement  $(G, X)$  is *even* if for every region of  $G \setminus X$ , the circuit bounding it is balanced (in  $G$ ).

(3.2) *If  $(G, X)$  is an even arrangement in  $\Sigma$ , then every null-homotopic closed walk in  $G \setminus X$  is balanced in  $G$ .*

*Proof.* This follows easily from (3.1)(i) and (3.1)(ii), since  $(G, X)$  is even. Q.E.D.

Let  $G$  be a drawing in a surface  $\Sigma$ . Let  $T \subseteq \Sigma$  be homeomorphic to

$$\{(x, y) \in \mathbf{R}^2: 1 \leq x^2 + y^2 \leq 2\}.$$

Then the boundary of  $T$  consists of two disjoint  $O$ -arcs  $A, B$  say. If in addition  $k \geq 2$  is an integer and

- (a)  $A, B$  are non-null-homotopic in  $\Sigma$
- (b)  $A, B \subseteq U(G)$ , and hence there are circuits  $C_1, C_k$  of  $G$  with  $U(C_1) = A$  and  $U(C_k) = B$
- (c) there are circuits  $C_2, \dots, C_{k-1}$  of  $G$  with  $U(C_1 \cup \dots \cup C_k) \subseteq T$ , so that  $C_1, \dots, C_k$  are pairwise disjoint and pairwise homotopic

then we call  $T$  a  $k$ -wide handle of  $G$  (in  $\Sigma$ ), and we call  $C_1, C_k$  the end-circuits of  $T$ .

(3.3) If  $G$  is a drawing in  $\Sigma$  and  $v \in \Sigma$ , and  $\delta \in \pi_1(\Sigma, v)$  is  $k$ -wide in  $G$  where  $k \geq 2$ , then there is a  $k$ -wide handle in  $G$  with end-circuits homotopic to  $\delta$ .

(In case (3.3) presents any difficulty to the reader, let us mention an alternative approach—define  $\delta \in \pi_1(\Sigma, v)$  to be “ $k$ -wide” only when there is a handle  $T$  as in (3.3); then the proofs of the previous section still work, and we bypass the need for (3.3).)

The main result of this section is the following:

(3.4) For any orientable surface  $\Sigma$  of genus  $\geq 1$ , and every pair of integers  $k \geq 2$  and  $n \geq 0$ , there exists  $c \geq 0$  with the following property. If  $(G, X)$  is an even arrangement in  $\Sigma$  with  $|X| \leq n$  and  $G$  has representativeness  $\geq c$ , then there is a  $k$ -wide handle  $T$  in  $G$  with  $T \cap X = \emptyset$  and with balanced end-circuits.

*Proof.* Let  $k' = k(n+1)$ , and choose  $c'$  so that (2.1) holds (with  $c, k$  replaced by  $c', k'$ ). Let  $c = n + c'$ ; we shall show that  $c$  satisfies (3.4). For let  $(G, X)$  be an even arrangement in  $\Sigma$  with  $|X| \leq n$  such that  $G$  has representativeness  $\geq c$ . Then  $G \setminus X$  has representativeness  $\geq c - n = c'$ .

Choose  $v \in V(G) - X$ . For each  $\alpha \in \pi_1(\Sigma, v)$ , define  $\lambda(\alpha)$  as follows: choose a closed walk  $W$  in  $G \setminus X$  with basepoint  $v$  and homotopy type  $\alpha$  (this is possible since  $G \setminus X$  is 2-cell) and let  $\lambda(\alpha) = \pi_W$ . (By (3.2), this does



not depend on the choice of  $W$ .) From (3.1)(i),  $\lambda$  is a homomorphism from  $\pi_1(\Sigma, v)$  into  $S_3(v)$ , the group of permutations of  $\nabla(v)$ . By (2.1) applied to  $G \setminus X$ ,  $c'$  and  $k'$ , there exists  $\delta \in \pi_1(\Sigma, v)$  such that  $\lambda(\delta)$  is the identity of  $S_3(v)$  and  $\delta$  is  $k'$ -wide in  $G \setminus X$ . By (3.3) applied to  $G \setminus X$ , there is a  $k'$ -wide handle  $T'$  of  $G \setminus X$  in  $\Sigma$ , with end-circuits balanced in  $G$ . Let us choose  $k'$  circuits of  $G$ ,  $C_1, \dots, C_{k'}$  say, pairwise disjoint and pairwise homotopic, with  $U(C_1 \cup \dots \cup C_{k'}) \subseteq T'$ , where  $C_1$  and  $C_{k'}$  are the end-circuits of  $T'$ ; and let us number  $C_1, \dots, C_{k'}$  in order on  $T'$ . For  $1 \leq i < j \leq k'$ , let  $T_{i,j} \subseteq T'$  be the handle with end-circuits  $C_i$  and  $C_j$ .

Since  $|X| \leq n$  and  $k' = k(n+1)$ , there exists  $i$  with  $1 \leq i \leq k' - k$  such that  $X \cap T_{i,i+k-1} = \emptyset$ ; let  $T = T_{i,i+k-1}$ . Then  $T$  is a  $k$ -wide handle of  $G$ , and  $T \cap X = \emptyset$ , and its end-circuits  $C_i, C_{i+k-1}$  are balanced since they have homotopy type  $\delta$ . Q.E.D.

#### 4. THE MAIN PROOF

Let  $(G, X)$  be an arrangement in  $\Sigma$ . A 4-colouring of  $(G, X)$  means a 4-colouring of the regions of  $G$ , so that

- (i) as usual, any two regions that share an edge receive different colours
- (ii) no region incident with a vertex in  $X$  receives colour 4
- (iii) no region incident with a vertex in  $X$  shares an edge with any region that receives colour 4.

The main result of the paper is the following:

(4.1) For every orientable surface  $\Sigma$  except the sphere, and for every  $n \geq 0$ , there exists  $c \geq 0$  such that every even arrangement  $(G, X)$  in  $\Sigma$  has a 4-colouring provided that  $|X| \leq n$  and  $G$  has representativeness  $\geq c$ .

If  $T$  is an Eulerian triangulation in  $\Sigma$ , and  $T^*$  is its geometric dual in  $\Sigma$ , then  $(T^*, \emptyset)$  is an even arrangement, and since  $T$  and  $T^*$  have the same representativeness, we see that (1.1) follows from (4.1) taking  $n = 0$ . We permit  $n > 0$  in (4.1) for inductive purposes. To prove (4.1) we need the following lemma; with  $X = \emptyset$  this result is due to Heawood [5].

(4.2) If  $(G, X)$  is an even arrangement in a sphere  $\Sigma$  then  $G$  is 3-region-colourable.

*Proof.* Choose  $z \in V(G) - X$ .

(1) If  $(v, r)$  is an angle of  $G$  with  $v \notin X$ , and  $W_1, W_2$  are walks of  $G \setminus X$  from  $v$  to  $z$ , then

$$\pi_{W_1}(v, r) = \pi_{W_2}(v, r).$$

*Subproof.* This follows from (3.2) since  $\Sigma$  is a sphere and  $(G, X)$  is even.

Let us define  $f(v, r)$  to be the common value of  $\pi_w(v, r)$  over all walks  $W$  of  $G \setminus X$  from  $v$  to  $z$ .

(2) *If  $r$  is a region of  $G$  and  $v_1, v_2 \in V(G) - X$  are both incident with  $r$ , then  $f(v_1, r) = f(v_2, r)$ .*

*Subproof.* Let  $C$  be the circuit of  $G$  bounding  $r$ . By condition (iii) in the definition of “arrangement”, at most one vertex of  $C$  is in  $X$ , and consequently to prove (2) in general it suffices to prove it when some edge  $e$  of  $C$  has ends  $v_1, v_2$ . Let  $W_2$  be a walk of  $G \setminus X$  from  $v_2$  to  $z$ , let  $W_0$  be the walk  $v_1, e, v_2$ , and let  $W_1$  be formed by concatenating  $W_0$  and  $W_2$ . Then

$$f(v_1, r) = \pi_{W_1}(v_1, r) = \pi_{W_2}(\pi_{W_0}(v_1, r))$$

by (3.1). But  $\pi_{W_0}(v_1, r) = (v_2, r)$  by definition of  $\pi_{W_0}$ , and so

$$f(v_1, r) = \pi_{W_2}(\pi_{W_0}(v_1, r)) = \pi_{W_2}(v_2, r) = f(v_2, r).$$

This proves (2).

For each region  $r$  of  $G$ , let us define  $f(r)$  to be the common value of  $f(v, r)$  over all vertices  $v \in V(G) - X$  incident with  $r$ . (There is such a vertex since all circuits have length  $\geq 2$ , by definition of a drawing.)

(3) *For any edge  $e$  of  $G$ , let  $r_1, r_2$  be the regions of  $G$  incident with  $e$ ; then  $f(r_1) \neq f(r_2)$ .*

*Subproof.* Let  $v$  be an end of  $e$  not in  $X$ , and let  $W$  be a walk in  $G \setminus X$  from  $v$  to  $z$ . Then

$$f(r_1) = f(v, r_1) = \pi_W(v, r_1) \neq \pi_W(v, r_2) = f(v, r_2) = f(r_2).$$

This proves (3).

Since  $f(r) \in \mathbb{V}(z)$  for every region  $r$  of  $G$ , and  $|\mathbb{V}(z)| = 3$ , it follows from (3) that  $f$  is a 3-region-colouring of  $G$ . Q.E.D.

*Proof of (4.1).* We proceed by induction on the genus of  $\Sigma$ . For every orientable surface  $\Sigma'$  (not a sphere) of genus smaller than that of  $\Sigma$ , and every integer  $n'$ , let  $c(\Sigma', n')$  be such that (4.1) holds with  $\Sigma, n, c$  replaced by  $\Sigma', n', c(\Sigma', n')$ .

Let  $t$  be the maximum of  $c(\Sigma', n+2)$  over all such  $\Sigma'$ . Let  $k = 2t + 4$ , and choose  $c$  so that (3.4) holds (with  $\Sigma, k, n$  unchanged). We may assume (by increasing  $c$ ) that  $c \geq t$  and  $c \geq 2$ . We claim that  $c$  satisfies (4.1). For let

$(G, X)$  be an even arrangement in  $\Sigma$ , such that  $|X| \leq n$  and  $G$  has representativeness  $\geq c$ . We must show that  $(G, X)$  has a 4-colouring.

By (3.4) and the choice of  $c$ , there is a  $k$ -wide handle  $T$  in  $G$  with  $T \cap X = \emptyset$  and with balanced end-circuits. Let  $C_1, \dots, C_k$  be circuits as in the definition of " $k$ -wide handle". By choosing  $C_t$  as close to  $C_{t+1}$  as possible, we may assume that every region of  $G$  between  $C_t$  and  $C_{t+1}$  incident with a vertex of  $C_t$  is also incident with a vertex of  $C_{t+1}$  (let us call this the *bridge property*). Similarly, choose  $C_{k-t+1}$  as close to  $C_{k-t}$  as possible.

Let  $\Sigma'$  be obtained from  $\Sigma$  as follows; we delete from  $\Sigma$  the part strictly between  $U(C_{t+1})$  and  $U(C_{k-t})$ , and paste new discs onto the  $O$ -arcs  $U(C_{t+1}), U(C_{t+4})$  respectively. Then  $\Sigma'$  is a 2-manifold, but it might not be connected. If it is not connected then it has exactly two components, both with genus  $\geq 1$  and strictly less than the genus of  $\Sigma$ , and the argument below can easily be adapted (working with these two components separately) to cover this case. However, we shall assume for simplicity that  $\Sigma'$  remains connected.

Let  $\Delta_1$  be the disc in  $\Sigma'$  bounded by  $U(C_t)$  containing  $U(C_{t+1})$ , and let  $\Delta_2$  be the disc in  $\Sigma'$  bounded by  $U(C_{t+5})$  containing  $U(C_{t+4})$ . Let  $G'$  be a drawing in  $\Sigma'$  obtained from  $G$  as follows. First we delete all vertices and edges of  $G$  strictly between  $U(C_{t+1})$  and  $U(C_{t+4})$ , forming  $G_1$  say, which we may regard as a drawing in  $\Sigma'$ . Now contract all edges of  $G_1$  that have both ends strictly inside  $\Delta_1$ , and similarly for  $\Delta_2$ . The result is a drawing  $G'$  in  $\Sigma'$  with precisely one vertex (say  $x_i$ ) in the interior of  $\Delta_i$  ( $i = 1, 2$ ), because of the bridge property. There is a natural 1-1 correspondence between the regions of  $G'$  inside  $\Delta_i$  and the regions of  $G$  between  $U(C_t)$  and  $U(C_{t+1})$  incident with an edge of  $C_t$ .

(1)  $G'$  is closed 2-cell in  $\Sigma'$ , and if  $\Sigma'$  is not a sphere then  $G'$  has representativeness  $\geq t$ .

*Subproof.* For the first, it suffices to check that  $\bar{r}$  is bounded by a circuit of  $G'$  for every region  $r$  of  $G'$  incident with  $x_1$ . But all neighbours of  $x_1$  belong to  $C_t$ , and there are at least two such neighbours since  $G$  is closed 2-cell, so  $G'$  is closed 2-cell. For its representativeness, let  $F$  be an  $O$ -arc with  $|F \cap U(G')| < t$ . If no point of  $F$  is in the interior of  $\Delta_1$  or  $\Delta_2$ , then  $F$  is an  $O$ -arc in  $\Sigma$  with  $|F \cap U(G)| < t \leq c$ , and so  $F$  is null-homotopic in  $\Sigma$  and hence in  $\Sigma'$  as required. We may assume then that some point of  $F$  is in the interior of  $\Delta_1$ , say. Let  $\Delta_0 \subseteq \Sigma'$  be the closed disc bounded by  $U(C_1)$  that includes  $\Delta_1$ . Since  $|F \cap U(G')| < t$ ,  $F$  does not meet all of  $U(C_1), \dots, U(C_t)$ , and in particular  $F \subseteq \Delta_0$ , and consequently  $F$  is null-homotopic in  $\Sigma'$  as required. This proves (1).

Let  $X' = X \cup \{x_1, x_2\}$ ; then  $(G', X')$  is an even arrangement in  $\Sigma'$ , since  $C_t$  and  $C_{k-t+1}$  are balanced (in  $\Sigma$  and hence in  $\Sigma'$ ).

(2)  $(G', X')$  has a 4-colouring.

*Subproof.* If  $\Sigma'$  is a sphere this follows from (4.2). If  $\Sigma'$  has genus  $> 0$  then  $t \geq c(\Sigma', n+2)$  and the claim follows from (1) and the definition of  $c(\Sigma', n+2)$ . This proves (2).

Let  $\kappa_1$  be a 4-colouring of  $(G', X')$ . For  $i = 1, \dots, 5$ , let  $B_i$  be the part of  $\Sigma$  (non-strictly) between  $U(C_{t-1+i})$  and  $U(C_{t+i})$ , and let  $\mathcal{R}_i$  be the set of regions of  $G$  included in  $B_i$ . Let  $\mathcal{S}_1$  be the set of regions of  $G$  incident with an edge of  $U(C_t)$ , and  $\mathcal{S}_2$  the regions incident with an edge of  $U(C_{t+5})$ . Thus,  $\mathcal{S}_1 \not\subseteq \mathcal{R}_1$  but  $\mathcal{S}_1 \cap \mathcal{R}_1 \neq \emptyset$ . From the definition of 4-colouring an arrangement,  $\kappa_1(r) \in \{1, 2, 3\}$  for every  $r \in \mathcal{S}_1 \cup \mathcal{S}_2$  (identifying the regions of  $G'$  incident with  $x_1$  or  $x_2$  with regions of  $G$  in the natural way.)

For any set  $\mathcal{R}$  of the regions of  $G$  and any subset  $Y$  of  $E(G)$ , a  $d$ -colouring of  $\mathcal{R}$  relative to  $Y$  means a map  $\phi: \mathcal{R} \rightarrow \{1, \dots, d\}$  such that  $\phi(r_1) \neq \phi(r_2)$  for every edge  $e \in Y$  such that  $r_1, r_2$  are the regions on either side of  $e$  and  $r_1, r_2 \in \mathcal{R}$ . By adding to  $B_1 \cup \dots \cup B_5$  discs bounded by  $U(C_t)$  and  $U(C_{k-t+1})$ , and drawing a new vertex in each disc adjacent to the vertices in the boundary of the disc which have degree 2 in  $G|(B_1 \cup \dots \cup B_5)$ , and letting  $X''$  be the set of the two new vertices, we obtain an even arrangement in a sphere, which consequently is 3-region-colourable by (4.2).

Let  $Y$  be the set of all edges of  $G$  with at least one end in  $B_1 \cup \dots \cup B_5$ . It follows that there is a 3-colouring of  $\mathcal{S}_1 \cup \mathcal{R}_1 \cup \mathcal{R}_2 \cup \dots \cup \mathcal{R}_5 \cup \mathcal{S}_2$  relative to  $Y$ , say  $\kappa_2$ .

Let  $Z$  be the set of edges of  $G$  with an end in  $C_t$ . The restrictions of both  $\kappa_1$  and  $\kappa_2$  to  $\mathcal{S}_1$  yield 3-colourings of  $\mathcal{S}_1$  relative to  $Z$ . But  $\mathcal{S}_1$  is uniquely 3-colourable relative to  $Z$ , and so the restrictions of  $\kappa_1$  and  $\kappa_2$  to  $\mathcal{S}_1$  are equal (up to permuting colours), and we may therefore choose  $\kappa_2$  so that  $\kappa_1(r) = \kappa_2(r)$  ( $r \in \mathcal{S}_1$ ). By the same argument applied to  $\mathcal{S}_2$ , we may choose a permutation  $\pi: \{1, 2, 3\} \rightarrow \{1, 2, 3\}$  so that  $\kappa_1(r) = \pi(\kappa_2(r))$  ( $r \in \mathcal{S}_2$ ). There are, up to symmetry, three possibilities for  $\pi$ , namely

$$(i) \quad \pi(i) = i \quad (1 \leq i \leq 3)$$

$$(ii) \quad \pi(1) = 2, \quad \pi(2) = 1, \quad \pi(3) = 3$$

$$(iii) \quad \pi(1) = 3, \quad \pi(2) = 1, \quad \pi(3) = 2.$$

We shall show that the result holds in each case.

In case (i), define  $\kappa(r) = \kappa_1(r)$  ( $r \notin B_1 \cup \dots \cup B_5$ ) and  $\kappa(r) = \kappa_2(r)$  ( $r \in B_1 \cup \dots \cup B_5$ ); then  $\kappa$  is a 4-colouring of  $(G, X)$  as required.

In case (ii), for each region  $r$  of  $G$ , define  $\kappa(r)$  as follows. If  $r \notin \mathcal{R}_1 \cup \mathcal{R}_2 \cup \dots \cup \mathcal{R}_5$  let  $\kappa(r) = \kappa_1(r)$ . If  $r \in \mathcal{R}_1$ , let  $\kappa(r) = \kappa_2(r)$ . If  $r \in \mathcal{R}_2$  let

$$\kappa(r) = \begin{cases} 4 & \text{if } \kappa_2(r) = 1 \\ \kappa_2(r) & \text{otherwise.} \end{cases}$$

If  $r \in \mathcal{R}_3$  let

$$\kappa(r) = \begin{cases} 4 & \text{if } \kappa_2(r) = 1 \\ 1 & \text{if } \kappa_2(r) = 2 \\ 3 & \text{if } \kappa_2(r) = 3. \end{cases}$$

If  $r \in \mathcal{R}_4 \cup \mathcal{R}_5$  let  $\kappa(r) = \pi(\kappa_2(r))$ . Then  $\kappa$  is a 4-colouring of  $(G, X)$ , as required.

In case (iii), for each region  $r$  of  $G$  we define  $\kappa(r)$  as follows. If  $r \notin \mathcal{R}_1 \cup \dots \cup \mathcal{R}_5$  let  $\kappa(r) = \kappa_1(r)$ . If  $r \in \mathcal{R}_1$  let  $\kappa(r) = \kappa_2(r)$ . If  $r \in \mathcal{R}_2$  let

$$\kappa(r) = \begin{cases} 4 & \text{if } \kappa_2(r) = 1 \\ \kappa_2(r) & \text{otherwise.} \end{cases}$$

If  $r \in \mathcal{R}_3$  let

$$\kappa(r) = \begin{cases} 4 & \text{if } \kappa_2(r) = 1 \\ 1 & \text{if } \kappa_2(r) = 2 \\ 3 & \text{if } \kappa_2(r) = 3. \end{cases}$$

If  $r \in \mathcal{R}_4$  let

$$\kappa(r) = \begin{cases} 4 & \text{if } \kappa_2(r) = 1 \\ 1 & \text{if } \kappa_2(r) = 2 \\ 2 & \text{if } \kappa_2(r) = 3. \end{cases}$$

If  $r \in \mathcal{R}_5$  let  $\kappa(r) = \pi(\kappa_2(r))$ . Then again  $\kappa$  is a 4-colouring of  $(G, X)$ , as required. Q.E.D.

## 5. THE PROJECTIVE PLANE

Finally we show (1.2), that the analogue of (1.1) is false for the projective plane. The following result is implicit in Youngs [11], and we include a proof (essentially that of [11]) for completeness.

(5.1) *Let  $G$  be a drawing in the projective plane so that every region is bounded by a circuit of length 4. If  $G$  is not bipartite, then for every vertex-colouring (in any number of colours) there is a region  $r$  of  $G$  so that the four vertices incident with  $r$  receive four different colours.*

*Proof.* Let  $\phi: V(G) \rightarrow \{1, \dots, k\}$  be the vertex-colouring. Let us direct every edge of  $G$  with ends  $\{u, v\}$  from  $u$  to  $v$  where  $\phi(u) < \phi(v)$ . Let  $C$  be an odd circuit of  $G$  (necessarily non-null-homotopic), and let  $C$  have length  $t$  say. Then (by cutting along  $U(C)$ ) there is a drawing  $H$  in the plane, such that the infinite region of  $H$  is bounded by a circuit  $C_0$  of length  $2t$ , and every finite region by a circuit of length 4, such that if we number the vertices and edges of  $C_0$  as

$$v_0, e_1, v_1, \dots, e_{2t}, v_{2t} = v_0$$

in order, then  $G$  is obtained by identifying  $v_i$  and  $v_{t+i}$  ( $1 \leq i \leq t$ ) and  $e_i$  with  $e_{t+i}$  ( $1 \leq i \leq t$ ). Let us direct the edges of  $H$  in the same way that their images in  $G$  are directed. Now for each region  $r$  of  $H$ , let  $a(r)$  be the number of edges of the circuit  $C(r)$  bounding  $r$  that are traversed in positive direction as  $C(r)$  is traversed in clockwise direction; and  $b(r) = |E(C(r))| - a(r)$ . If  $r_0$  is the infinite region of  $H$ , then (by counting the contribution of each edge to each region) we see that

$$a(r_0) - b(r_0) = \sum_{r \neq r_0} (a(r) - b(r)).$$

Now for  $1 \leq i \leq t$ ,  $e_i$  contributes to  $a(r_0)$  if and only if  $e_{t+i}$  does so; and so  $a(r_0)$  is even, and since  $a(r_0) + b(r_0)$  is not divisible by 4, it follows that  $a(r_0) - b(r_0) \neq 0$ . Hence there is a finite region  $r$  of  $H$  with  $a(r) - b(r) \neq 0$ , by the equation above. The corresponding region of  $G$  satisfies the theorem. Q.E.D.

*Proof of (1.2).* Take  $G$  as in (5.1), with high representativeness and not bipartite (it is easy to see this is possible). Now add a new vertex of degree 4 in each region, forming an Eulerian triangulation. By (5.1) this is not 4-colourable. Q.E.D.

Since this article was submitted for publication, the non-orientable case has been completely analyzed. It is now known precisely when a highly representative quadrangulation and when a highly representative Eulerian triangulation of a non-orientable surface has chromatic number 2, 3, 4, or 5. In particular, for every non-orientable surface, there is a highly representative 5-chromatic Eulerian triangulation. See [1, 7, 8].

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