

## SPACE FILLING CURVES OVER FINITE FIELDS

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### Introduction

In this note, we construct curves over finite fields which have, in a certain sense, a “lot” of points, and give some applications to the zeta functions of curves and abelian varieties over finite fields. In fact, we found the basic construction, given in Lemma 1, of curves in  $\mathbb{A}^n$  which go through every rational point, as part of an unsuccessful attempt to find curves of growing genus over a fixed finite field with lots of points in the sense of the Drinfeld-Vladut bound [2]. The idea of applying that construction along the lines of this note grew out of an August 1996 conversation with Ofer Gabber about whether every abelian variety over a finite field was a quotient of a Jacobian, during which he constructed, on the fly, a proof of that fact. A variant of his proof appears here in Theorem 11. It is a pleasure to acknowledge my debt to him.

### The basic constructions

**Lemma 1.** *Let  $k$  be a finite field,  $p$  its characteristic,  $\bar{k}$  an algebraic closure of  $k$ ,  $E/k$  a finite extension inside  $\bar{k}$ , and  $n \geq 1$  an integer. There exists a smooth, geometrically connected curve  $C/k$  and a closed immersion of  $k$ -schemes*

$$C \subset \mathbb{A}^n \otimes_{\mathbb{Z}} k$$

*which induces a bijection of  $E$ -valued points*

$$C(E) = \mathbb{A}^n(E).$$

*Construction-proof.* If  $n = 1$ , take  $C = \mathbb{A}^1 \otimes_{\mathbb{Z}} k$ . If  $n = r + 1$  with  $r \geq 1$ , choose a sequence of  $r$  nonzero polynomials in one variable over  $k$ ,  $f_1(X), \dots, f_r(X)$ , with the following three properties:

- 1) For each  $i$ ,  $f_i(x) = 0$  for every  $x \in E$ .
- 2) For each  $i$ , the degree  $d_i$  of  $f_i$  is prime to  $p$ .
- 3) The degrees are strictly increasing:  $d_1 < d_2 < \dots < d_r$ .

[Here is a simple way to make such a choice. Write  $q := \#E$ , and pick a strictly increasing sequence of  $r$  positive integers each of which is prime to  $p$ , say  $e_1 < e_2 < \dots < e_r$ . Then take each  $f_i(X) := (X^q - X)X^{e_i}$ .]

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In  $\mathbb{A}^{r+1} \otimes k$  with coordinates  $X, Y_1, \dots, Y_r$ , consider the closed subscheme  $C/k$  defined by the  $r$  equations

$$(Y_i)^q - Y_i = f_i(X), \quad i = 1, \dots, r.$$

It is obvious from these equations that every  $E$ -valued point of  $\mathbb{A}^n$  lies in  $C$ . We must see that  $C/k$  is a smooth curve which is geometrically connected.

First of all,  $C/k$  is a smooth curve, for it is the fibre product over  $\mathbb{A}^1 \otimes k$  of  $r$  finite etale galois coverings  $\mathcal{E}_i \rightarrow \mathbb{A}^1 \otimes k$ , with  $\mathcal{E}_i$  the affine plane curve  $(Y)^q - Y = f_i(X)$  in  $\mathbb{A}^2 \otimes k$ .

It remains to see that  $C \otimes_k \bar{k}$  is connected. This results from Artin-Schreier theory. On  $\mathbb{A}^1 \otimes \bar{k}$ , or indeed on any smooth, affine, connected scheme  $S/\bar{k}$ , the Artin-Schreier sequence relative to  $q$ ,

$$0 \rightarrow E \rightarrow \mathcal{O}_S \xrightarrow{f \mapsto \mathcal{P}(f) := f^q - f} \mathcal{O}_S \rightarrow 0$$

gives, via the long exact cohomology sequence, an isomorphism of  $E$ -vector spaces

$$H^0(S, \mathcal{O}_S) / \mathcal{P}(H^0(S, \mathcal{O}_S)) \cong H_{\text{et}}^1(S, E) = \text{Hom}(\pi_1(S), E).$$

Given  $f$  in  $H^0(S, \mathcal{O}_S)$ , the covering of  $S$  defined by  $Y^q - Y = f$  (in  $\mathbb{A}^1 \times S$ ) is finite etale galois with group  $E$  ( $\alpha$  in  $E$  translates  $Y$ ), so “is” an element  $\text{Class}(f)$  in  $\text{Hom}(\pi_1(S), E)$ .

Now return to the case when  $S$  is  $\mathbb{A}^1 \otimes \bar{k}$  and take any nontrivial  $\mathbb{C}$ -valued character  $\psi$  of  $E$ . If  $f$  in  $\bar{k}[X]$  has degree  $d$  prime to  $p$ , then the composite homomorphism is known [1, 3.5.4] to have Swan conductor  $d$  at  $\infty$ .

Our  $C \otimes_k \bar{k}$  is a finite etale galois covering of  $\mathbb{A}^1 \otimes \bar{k}$  with group  $E \times E \times \dots \times E = E^r$ , corresponding to the  $r$ -tuple  $(f_1, f_2, \dots, f_r)$  via

$$(\bar{k}[X] / \mathcal{P}(\bar{k}[X]))^r \cong H_{\text{et}}^1(\mathbb{A}^1 \otimes \bar{k}, E^r) = \text{Hom}(\pi_1(S), E^r).$$

The total space  $C \otimes_k \bar{k}$  of this covering is connected if and only if the corresponding homomorphism

$$\text{Class}(f_1, f_2, \dots, f_r) : \pi_1(\mathbb{A}^1 \otimes \bar{k}) \rightarrow E^r$$

is surjective, or equivalently (Pontrajagin duality!) if and only if for every nontrivial  $\mathbb{C}$ -valued additive character  $(\psi_1, \psi_2, \dots, \psi_r)$  of  $E^r$ , the composite homomorphism

$$(\psi_1, \psi_2, \dots, \psi_r) \circ \text{Class}(f_1, f_2, \dots, f_r) : \pi_1(\mathbb{A}^1 \otimes \bar{k}) \rightarrow \mathbb{C}^\times,$$

is nontrivial. But this composite is just the product

$$(\psi_1, \psi_2, \dots, \psi_r) \circ \text{Class}(f_1, f_2, \dots, f_r) = \prod_i \mathcal{L}_{\psi_i}(f_i).$$

In this product,  $\mathcal{L}_{\psi_i}(f_i)$  is trivial if  $\psi_i$  itself is trivial, and  $\mathcal{L}_{\psi_i}(f_i)$  has  $\text{Swan}_\infty = d_i$  if  $\psi_i$  is nontrivial. Because the  $d_i$  are all distinct, and at least one  $\psi_i$  is nontrivial, we have

$$\text{Swan}_\infty \left( \prod_i \mathcal{L}_{\psi_i}(f_i) \right) = \text{Sup}_i \text{ with } \psi_i \text{ nontriv}(d_i) > 0.$$

Hence  $\prod_i \mathcal{L}_{\psi_i}(f_i)$  must be nontrivial. □

**Lemma 2.** *Let  $k$  be a finite field,  $X/k$  projective (resp. quasi-projective), smooth, and geometrically connected of dimension  $n \geq 1$ . Let  $E/k$  be a finite extension. There exists an affine (resp. quasi-affine) open set  $U \subset X$  which contains all the  $E$ -valued points of  $X$ , i.e.,  $U(E) = X(E)$ .*

*Proof.* To fix ideas, say  $X \subset \mathbb{P}^N \otimes k$ . We need only construct an affine open set  $U$  in  $\mathbb{P}^N \otimes k$  which contains all the  $E$ -valued points of  $\mathbb{P}^N \otimes k$ , for then  $X \cap U$  is the desired affine (resp. quasi-affine) open set of  $X$ . To do this, denote by  $K/E$  the field extension of degree  $N + 1$ , and pick a basis  $\alpha_0, \alpha_1, \dots, \alpha_N$  of  $K/E$ . Denote by  $H$  the form of degree  $N + 1$  in  $X_0, \dots, X_N$  with coefficients in  $E$  defined by

$$H(X\text{'s}) := \text{Norm}_{K/E}(\alpha_0 X_0 + \dots + \alpha_N X_N).$$

Then  $H$  is nonzero at every  $E$ -valued point of  $\mathbb{P}^N$ . For each  $\sigma$  in  $\text{Gal}(E/k)$ , the form  $H^\sigma$  has the same property (indeed, if we extend  $\sigma$  to an element  $\tilde{\sigma}$  in  $\text{Gal}(K/k)$  which induces  $\sigma$ , then  $\tilde{\sigma}(\alpha_0, \alpha_1, \dots, \alpha_N)$  is another basis of  $K/E$ , and  $H^\sigma$  is its norm form to  $E$ ). So  $\text{Norm}_{E/k}(H)$  is a form with coefficients in  $k$  which is nonzero at every  $E$ -valued point of  $\mathbb{P}^N$ . We may take for  $U$  the affine open set  $(\mathbb{P}^N \otimes k) [1/\text{Norm}_{E/k}(H)]$ . □

**Lemma 3.** *Let  $k$  be a finite field,  $U/k$  a quasi-affine, smooth, and geometrically connected of dimension  $n \geq 1$ . Let  $E/k$  be a finite extension. There exists an open set  $V \subset U$  which contains all the  $E$ -valued points of  $U$  and which admits an étale map to  $\mathbb{A}^n \otimes k$ .*

*Proof.* Say  $U$  is open in the affine scheme  $\bar{U}$ . First view  $U(E)$  as a finite closed subscheme  $Z$  of  $U$ , by grouping its points into orbits under  $\text{Gal}(E/k)$ . More precisely,  $Z$  is the disjoint union of the finitely many closed points of  $U$  the degree over  $k$  of whose residue fields divides  $\text{deg}(E/k)$ , with its reduced structure. Thus,  $Z$  is a closed subscheme of  $U$  which is finite étale over  $k$ . This same  $Z$  is closed in  $\bar{U}$ , since we may describe it as the disjoint union of the finitely many closed points of  $\bar{U}$  whose residue field degrees over  $k$  divide  $\text{deg}(U/k)$  and which lie in  $U$ . Denote by  $A$  the coordinate ring of  $\bar{U}$ ,  $I \subset A$  the ideal defining  $Z$ . At each point  $P$  in  $Z$ , pass to the local ring  $\mathcal{O}_{\bar{U},P}$  of  $P$  in  $\bar{U}$ , and pick  $n$  elements  $f_{1,P}, f_{2,P}, \dots, f_{n,P}$  which form a  $k(P)$ -basis of  $\mathfrak{m}/\mathfrak{m}^2$ ,  $\mathfrak{m}$  the maximal ideal. The ring  $A/I^2$  is just the product ring  $\prod_{P \in Z} \mathcal{O}_{\bar{U},P}/\mathfrak{m}^2$ . So, we can find functions  $f_1, \dots, f_n$  in  $A$  such that, for each  $i$  and each  $P$ ,  $f_i$  induces  $f_{i,P}$  in  $\mathcal{O}_{\bar{U},P}/\mathfrak{m}^2$ . Restrict each function  $f_i$  to  $U$ , and view  $(f_1, \dots, f_n)$  as a map  $\pi$  of  $U$  to  $\mathbb{A}^n$ . This map  $\pi$  is étale at each point  $P$  in  $Z$  by construction. Thus, the set  $V$  of points of  $U$  at which  $\pi$  is étale is open, and contains  $Z$ . □

**Lemma 4.** *Let  $k$  be a finite field,  $V/k$  smooth and geometrically connected of dimension  $n \geq 1$ , and*

$$\pi : V \rightarrow \mathbb{A}^n \otimes k$$

an étale map of  $k$ -schemes. For each integer  $r \geq 1$ , denote by  $k_r$  the extension field of  $k$  inside  $\bar{k}$  of degree  $r$  over  $k$ . For each  $r \geq 1$ , apply Lemma 1 with  $E := k_r$  to produce a closed immersion

$$i_r : C_r/k \hookrightarrow \mathbb{A}^n \otimes k,$$

with  $C_r/k$  a smooth, geometrically connected curve such that

$$C_r(k_r) = \mathbb{A}^n(k_r).$$

Form the fibre product

$$\begin{array}{ccc} D_r := C_r \times_{\mathbb{A}^n \otimes k} V & \xrightarrow{i} & V \\ \downarrow & & \downarrow \pi \\ C_r & \xrightarrow{i_r} & \mathbb{A}^n \otimes k. \end{array}$$

- 1) For every  $r$ ,  $D_r/k$  is a smooth curve, space-filling in  $V$  for  $k_r$ , i.e., via the closed immersion

$$i : D_r := C_r \times_{\mathbb{A}^n \otimes k} V \longrightarrow V,$$

we have

$$D_r(k_r) = V(k_r).$$

- 2) For all sufficiently large  $r$ ,  $D_r/k$  is geometrically connected.

*Proof.* 1) is obvious from the cartesian diagram defining  $D_r$ , in which  $\pi$  is étale,  $C_r/k$  is a smooth curve, and  $i_r$  is surjective on  $k_r$ -valued points.

To prove 2), we argue as follows. The étale map  $\pi$  need not be finite étale, but there is a dense open set  $j : W \hookrightarrow \mathbb{A}^n \otimes k$  over which  $\pi$  is finite étale (just because  $\pi$  is finite étale over the generic point of  $\mathbb{A}^n \otimes k$ ). Take the entire diagram

$$\begin{array}{ccc} D_r := C_r \times_{\mathbb{A}^n \otimes k} V & \xrightarrow{i} & V \\ \downarrow & & \downarrow \pi \\ C_r & \xrightarrow{i_r} & \mathbb{A}^n \otimes k. \end{array}$$

in the category of  $\mathbb{A}^n \otimes k$ -schemes, and pull it back to the open set  $W$ , i.e., base change it by  $j : W \hookrightarrow \mathbb{A}^n \otimes k$ . We get a diagram

$$\begin{array}{ccc} D_{r,W} & \xrightarrow{i_W} & V_W \\ \downarrow & & \downarrow \pi \\ C_{r,W} & \xrightarrow{i_{r,W}} & W \\ \downarrow j_r & & \downarrow j \\ C_r & \xrightarrow{i_r} & \mathbb{A}^n \otimes k \end{array}$$

In this diagram, both  $W$  and  $V_W$  are smooth over  $k$  and geometrically connected,  $\pi$  is finite etale, and  $i_{r,W} : C_{r,W} \hookrightarrow W$  is spacefilling for  $k_r$ . Now  $C_{r,W}$  is open in  $C_r$ , so it is either dense and open in  $C_r$  and itself geometrically connected, or it is empty. For large  $r$ ,  $C_{r,W}$  is not empty, because  $W(k_r)$  is nonempty for large  $r$  (by Lang-Weil, because  $W/k$  is geometrically irreducible), and  $i_{r,W} : C_{r,W} \hookrightarrow W$  is spacefilling for  $k_r$ . Let us temporarily admit the truth of

**Lemma 5.** *Let  $k$  be a finite field,  $\mathcal{E}/k$  and  $W/k$  two smooth, geometrically connected  $k$ -schemes of the same dimension  $n \geq 1$ , and*

$$\begin{array}{c} \mathcal{E} \\ \downarrow \pi \\ W \end{array}$$

*a finite etale  $k$ -morphism. Suppose given an integer  $r_0 \geq 1$ , and for all integers  $r \geq r_0$ , a smooth, geometrically connected curve  $C_r/k$  and a closed  $k$ -immersion  $i_r : C_r \rightarrow W$  which is spacefilling for  $k_r$ , i.e.,  $C_r(k_r) = W(k_r)$ . Form the fibre product*

$$\begin{array}{ccc} \mathcal{D}_r & \xhookrightarrow{i_{r,\mathcal{E}}} & \mathcal{E} \\ \downarrow & & \downarrow \pi \\ C_r & \xhookrightarrow{i_{r,W}} & W \end{array}$$

*Then for  $r$  sufficiently large, the curve  $\mathcal{D}_r/k$  is geometrically connected.*

Applying this lemma to our situation ( $\mathcal{E}$  is  $V_W$ ,  $C_r$  is  $C_{r,W}$ ), we find that for large  $r$ ,  $D_{r,W}$  is geometrically connected. We wish to infer that  $D_r/k$  itself is geometrically connected. If it is not, then  $D_r \otimes_k \bar{k}$  is a union of two or more connected components, each of which is etale over  $C_r \otimes_k \bar{k}$ . But as etale maps are open, the image of each connected component meets the dense open set  $C_{r,W} \otimes_k \bar{k}$ , and hence  $D_{r,W} \otimes_k \bar{k}$  is not connected, contradiction. QED for Lemma 4 modulo Lemma 5.  $\square$

*Proof of Lemma 5.* Fix a geometric point  $\omega$  in  $W \otimes_k \bar{k}$ , and view the finite etale covering  $\pi : \mathcal{E} \rightarrow W$  as an action of the group  $\pi_1(W, \omega)$  on the finite set  $S := \pi^{-1}(\omega)$ , i.e., a homomorphism

$$\rho : \pi_1(W, \omega) \rightarrow \text{Aut}(S).$$

The geometric connectedness of  $\mathcal{E}$  means precisely that via this action, the subgroup

$$\pi_1^{\text{geom}}(W, \omega) := \pi_1(W \otimes_k \bar{k}, \omega) \subset \pi_1(W, \omega)$$

acts transitively on  $S$ . Recall the short exact sequence

$$1 \rightarrow \pi_1^{\text{geom}}(W, \omega) \rightarrow \pi_1(W, \omega) \xrightarrow{\text{degree}} \text{Gal}(\bar{k}/k) \rightarrow 1$$

$$\parallel$$

$$\hat{\mathbb{Z}}$$

Denote by

$$\Gamma_{\text{geom}} \subset \Gamma \subset \text{Aut}(S)$$

the images in  $\text{Aut}(S)$  of  $\pi_1^{\text{geom}}(W, \omega)$  and of  $\pi_1(W, \omega)$  respectively under  $\rho$ . The quotient  $\Gamma/\Gamma_{\text{geom}}$  is cyclic, say of order  $N$ , generated by  $\rho(F)$  for any fixed element  $F$  in  $\pi_1(W, \omega)$  of degree 1. For each  $i$  in  $\mathbb{Z}/N\mathbb{Z}$ , denote by  $\Gamma(i) \subset \Gamma$  the set of elements whose degree mod  $N$  is  $i$ , i.e.,  $\Gamma(i)$  is the coset  $\rho(F^i)\Gamma_{\text{geom}}$ .

By Chebotarev (cf., [5, 9.7.13]) for every  $r \gg 0$ , we have:

(\*\*r,  $\mathcal{E}/W$ ) The images under  $\rho$  of all degree  $r$  Frobenius elements in  $\pi_1(W, \omega)$ , i.e., all elements in all Frobenius conjugacy classes

$$\text{Frob}_{k_r, w} \text{ in } \pi_1(W, \omega)$$

attached to  $k_r$ -valued points  $w$  of  $W$ , fill the coset  $\Gamma(r)$ .

We will show that for any  $r \geq r_0$  large enough that (\*\*r,  $\mathcal{E}/W$ ) holds,  $\mathcal{D}_r$  is geometrically connected. To see this, pick a geometric point  $c_r$  in  $\mathcal{C}_r$ , take for  $\omega$  its image in  $W$ , and consider the composite homomorphism

$$\pi_1(\mathcal{C}_r, c_r) \xrightarrow{\pi_1(i_{r,W})} \pi_1(W, \omega) \xrightarrow{\rho} \Gamma \subset \text{Aut}(S),$$

which we label

$$\rho_r : \pi_1(\mathcal{C}_r, c_r) \rightarrow \Gamma \subset \text{Aut}(S).$$

Now  $\mathcal{D}_r/k$  is geometrically connected if and only if the subgroup

$$\rho_r(\pi_1^{\text{geom}}(\mathcal{C}_r, c_r)) \subset \text{Aut}(S)$$

acts transitively on  $S$ . A sufficient condition for this transitivity is that

$$(*r) \quad \rho_r(\pi_1^{\text{geom}}(\mathcal{C}_r, c_r)) = \Gamma_{\text{geom}},$$

(because the geometric connectedness of  $\mathcal{E}$  means that  $\Gamma_{\text{geom}}$  acts transitively).

A sufficient condition for

$$\rho_r(\pi_1^{\text{geom}}(\mathcal{C}_r, c_r)) = \Gamma_{\text{geom}},$$

is that the condition (\*\*r,  $\mathcal{D}_r, \mathcal{C}_r$ ) hold:

(\*\*r,  $\mathcal{D}_R, \mathcal{C}_r$ ) The images under  $\rho_r$  of all the Frobenius elements of degree  $r$  in  $\pi_1(\mathcal{C}_r, c_r)$  fill  $\Gamma(r)$ .

Indeed, every element in  $\Gamma_{\text{geom}} := \Gamma(0)$  is of the form  $A^{-1}B$  with  $A$  and  $B$  in  $\Gamma(r) = \rho(F^r)\Gamma_{\text{geom}}$ , and hence every element of  $\Gamma_{\text{geom}}$  will be the image under  $\rho_r$  of a ratio  $(\text{Frob}_{k_r, x})^{-1}(\text{Frob}_{k_r, y})$  for two points  $x$  and  $y$  in  $\mathcal{C}_r(k_r)$ . Such a ratio lies in  $\pi_1^{\text{geom}}(\mathcal{C}_r, c_r)$ .

But  $\mathcal{C}_r(k_r) = W(k_r)$  by assumption, so every degree  $r$  Frobenius element in  $\pi_1(W, \omega)$  is the image under  $\pi_1(i_{r,W})$  of a degree  $r$  Frobenius element in

$\pi_1(\mathcal{C}_r, c_r)$ . Therefore  $(**r, \mathcal{D}_r/\mathcal{C}_r)$  is equivalent to  $(**r, \mathcal{E}/W)$ . In particular, for large  $r$ ,  $(**r, \mathcal{D}_r/\mathcal{C}_r)$  and hence  $(*r)$  hold.  $\square$

With an eye to later applications, we extract from the proof of Lemma 5 the following variant.

**Lemma 6.** *Let  $k$  be a finite field,  $W/k$  a smooth, geometrically connected  $k$ -scheme, and  $w$  a geometric point of  $W$ . Suppose given an integer  $r_0 \geq 1$ , and, for each integer  $r \geq r_0$ , a smooth geometrically connected  $k$ -scheme  $\mathcal{C}_r/k$  and a  $k$ -morphism*

$$f_r : \mathcal{C}_r \rightarrow W$$

which is surjective on  $k_r$ -valued points. For each  $r \geq r_0$ , pick a geometric point  $c_r$  in  $\mathcal{C}_r$ , and a “chemin” from  $f_r(c_r)$  to  $w$ .

Suppose that  $G$  is either

- 1) a finite group, or,
- 2)  $\mathrm{GL}(n, \mathcal{O}_\lambda)$  for some positive integer  $n$  and for  $\mathcal{O}_\lambda$  the ring of integers in a finite extension of  $\mathbb{Q}_l$ , for some prime number  $l$ .
- 3)  $\mathrm{GL}(n, \bar{\mathbb{Q}}_l)$  for some  $n$  and some prime  $l$ .

Suppose given a continuous group homomorphism

$$\rho : \pi_1(W, w) \rightarrow G.$$

We denote

$$\rho_r : \pi_1(\mathcal{C}_r, c_r) \rightarrow G$$

the composite homomorphism

$$\pi_1(\mathcal{C}_r, c_r) \xrightarrow{f_*} \pi_1(W, f(c_r)) \xrightarrow{\text{chemin}} \pi_1(W, w) \xrightarrow{\rho} G.$$

Then we have:

- a) For  $r$  sufficiently large, we have an equality of images of geometric fundamental groups

$$\rho_r(\pi_1^{\text{geom}}(\mathcal{C}_r, c_r)) = \rho(\pi_1^{\text{geom}}(W, w))$$

(equality inside  $G$ ).

- b) Suppose in addition that, for each  $r \geq r_0$ ,  $f_r$  is also surjective on  $k_s$ -valued points for all divisors  $s$  of  $r$ . Then for  $r$  sufficiently large and sufficiently divisible, we have an equality of fundamental groups

$$\rho_r(\pi_1(\mathcal{C}_r, c_r)) = \rho(\pi_1(W, w))$$

(equality inside  $G$ ).

*Proof.* In case 1),  $G$  finite, we put  $\Gamma := \rho(\pi_1(W, w))$ ,  $\Gamma_{\text{geom}} := \rho(\pi_1^{\text{geom}}(W, w))$ , denote by  $N$  the order of the cyclic group  $\Gamma/\Gamma_{\text{geom}}$ , and denote by  $\Gamma(i)$  the set of elements in  $\Gamma$  of degree  $i \pmod N$ . By Chebotarev, for  $r \gg 0$ , the Frobenii of  $k_r$ -valued points of  $W$  fill the coset  $\Gamma(r)$ , hence by the surjectivity of the map  $f_r$  on  $k_r$ -valued points, so do the Frobenii of  $k_r$ -valued points of  $\mathcal{C}_r$  for  $r \gg 0$ . For these  $r$ , the  $A^{-1}B$  argument shows that ratios  $A^{-1}B$  of such Frobenii fill  $\Gamma_{\text{geom}}$ , whence a).

For b), we argue as follows. For each integer  $i$  in  $[0, N - 1]$  pick an integer  $d_i \equiv i \pmod N$  and sufficiently large that the Frobenii of  $k_{d_i}$ -valued points of  $W$  fill the coset  $\Gamma(i)$ . Then for any  $r \geq r_0$  which is divisible by  $\prod_i d_i$ , the Frobenii of the points on  $\mathcal{C}_r$  with values in  $k_{d_i}$  for  $i = 0, 1, \dots, N - 1$  fill  $\Gamma$ .

For case 2), put  $K :=$  the image  $\rho(\pi_1^{\text{geom}}(W, w))$  in  $\text{GL}(n, \mathcal{O}_\lambda)$ . By Pink’s Lemma [4, 8.18.3], there exists an integer  $d \geq 1$  such that a closed subgroup  $H$  of  $K$  is equal to  $K$  if and only if  $H$  and  $K$  have the same image in  $\text{GL}(n, \mathcal{O}_\lambda/l^d\mathcal{O}_\lambda)$ .

For each integer  $r \geq r_0$ , put  $H_r :=$  the image  $\rho_r(\pi_1^{\text{geom}}(\mathcal{C}_r, c_r))$  in  $\text{GL}(n, \mathcal{O}_\lambda)$ . Thus  $H_r$  is a closed subgroup of  $K$ . By case 1), applied to the reduction mod  $l^d$  of  $\rho$ , for  $r \gg 0$ ,  $H_r$  and  $K$  have the same image in  $\text{GL}(n, \mathcal{O}_\lambda/l^d\mathcal{O}_\lambda)$ . So by Pink’s Lemma  $H_r = K$  for all such  $r$ .

For b), apply Pink’s Lemma to  $L :=$  the image  $\rho(\pi_1(W, w))$  in  $\text{GL}(n, \mathcal{O}_\lambda)$  and the subgroups  $J_r :=$  the image  $\rho_r(\pi_q(\mathcal{C}_r, c_r))$  in  $\text{GL}(n, \mathcal{O}_\lambda)$  to reduce b) to case 1).

For case 3), use the fact [5, 9.0.7] that any compact subgroup of  $\text{GL}(n, \bar{\mathbb{Q}}_l)$ , in particular the image  $\rho(\pi_1(W, w))$ , is conjugate to a closed subgroup of  $\text{GL}(n, \mathcal{O}_\lambda)$  for  $\mathcal{O}_\lambda$  the ring of integers in some finite extension  $E_\lambda$  of  $\mathbb{Q}_l$  to reduce to case 2). □

As an immediate consequence of case 3) of Lemma 6, we get the following result of Bertini type.

**Corollary 7.** *Let  $k$  be a finite field,  $W/k$  a smooth, geometrically connected  $k$ -scheme, and  $w$  a geometric point of  $W$ . Suppose given an integer  $r_0 \geq 1$ , and, for each integer  $r \geq r_0$ , a smooth, geometrically connected  $k$ -scheme  $\mathcal{C}_r/k$  and a  $k$ -morphism*

$$f_r : \mathcal{C}_r \rightarrow W,$$

*which is surjective on  $k_r$ -valued points. For each  $r \geq r_0$ , pick a geometric point  $c_r$  in  $\mathcal{C}_r$ , and a “chemin” from  $f_r(c_r)$  to  $w$ . Let  $l$  be a prime number, and  $\mathcal{F}$  a lisse  $\bar{\mathbb{Q}}_l$ -sheaf on  $W$  of rank denoted  $n$ , corresponding to a continuous homomorphism*

$$\rho : \pi_1(W, w) \rightarrow \text{GL}(n, \bar{\mathbb{Q}}_l).$$

*Denote by  $G_{\text{geom}, \mathcal{F}}$  on  $W$  the Zariski closure of  $\rho(\pi_1^{\text{geom}}(W, w))$  in  $\text{GL}(n) \otimes \bar{\mathbb{Q}}_l$ . Then for  $r$  sufficiently large, the pullback sheaf  $(f_r)^*(\mathcal{F})$  on  $\mathcal{C}_r$  has the same  $G_{\text{geom}}$ :*

$$G_{\text{geom}, (f_r)^*\mathcal{F}} \text{ on } \mathcal{C}_r = G_{\text{geom}, \mathcal{F}} \text{ on } W.$$

*Moreover, if  $\mathcal{F}$  on  $W$  has the property that  $\rho(\pi_1(W, w))$  lies in  $G_{\text{geom}, \mathcal{F}}$  on  $W(\bar{\mathbb{Q}}_l)$ , then for  $r$  sufficiently large the pullback sheaf  $(f_r)^*(\mathcal{F})$  on  $\mathcal{C}_r$  has the same property, that  $\rho(\pi_1(\mathcal{C}_r, c_r))$  lies in  $G_{\text{geom}, (f_r)^*\mathcal{F}}$  on  $\mathcal{C}_r(\bar{\mathbb{Q}}_l)$ .*

**Theorem 8.** *Let  $k$  be a finite field,  $X/k$  smooth and quasi-projective and geometrically connected, of dimension  $n \geq 1$ . Let  $E/k$  be a finite extension. There exists a smooth, geometrically connected curve  $C_0/k$ , and an immersion  $\pi : C_0 \rightarrow X$  which is bijective on  $E$ -valued points.*



*Proof.* First apply Lemmas 2 and 3 to find an open set  $V$  in  $X$  which contains all the  $E$ -valued points and which admits an étale map  $\pi$  to  $\mathbb{A}^n \otimes k$ . Let  $d := \text{degree}(E/k)$ , so  $E$  is  $k_d$ . For each  $r \geq 1$ , use Lemma 1 to find a smooth, geometrically connected curve  $C_{rd}/k$  in  $\mathbb{A}^n \otimes k$  which is spacefilling for  $k_{rd}$ . Take  $D_{rd}/k$  in  $V$  to be the fibre product

$$D_{rd} := C_{rd} \times_{\mathbb{A}^n \otimes k} V.$$

By Lemma 4, for large  $r$  this closed subscheme  $D_{rd}$  of  $V$  is a smooth, geometrically connected curve over  $k$  which is spacefilling for  $k_{rd}$ . Taking the  $\text{Gal}(k_{rd}/k_d)$ -invariants on both sides of the equality  $D_{rd}(k_{rd}) = V(k_{rd})$ , we get  $D_{rd}(k_d) = V(k_d)$ , or in other words  $D_{rd}$  is spacefilling in  $V$  for  $E$ . The composite inclusion  $D_{rd} \subset V \subset X$  is the desired immersion.  $\square$

**Corollary 9.** *Let  $k$  be a finite field,  $X/k$  projective, smooth, and geometrically connected, of dimension  $n \geq 1$ . Let  $E/k$  be a finite extension. There exists a proper, smooth, geometrically connected curve  $C/k$ , and a  $k$ -morphism  $\pi : C \rightarrow X$  which is surjective on  $E$ -valued points. Moreover,*

- 1) *there is an open dense set  $U$  in  $C$  such that  $\pi|_U : U \rightarrow X$  is bijective on  $E$ -valued points,*
- 2)  *$\pi$  is birationally an isomorphism of  $C$  with its image  $\pi(C)$  taken with the induced reduced structure.*

*Proof.* Apply Theorem 8 to get  $\pi : C_0 \rightarrow X$ , and then take  $C/k$  to be the complete nonsingular model of  $C_0/k$ . Take  $U$  to be  $C_0$ . Because  $X/k$  is proper, the map  $\pi$  extends to a  $k$ -morphism  $\bar{\pi} : C \rightarrow X$  with all the asserted properties.  $\square$

**Question 10.** Given  $X/k$  projective, smooth, and geometrically connected of dimension  $n \geq 2$ , and  $E/k$  a finite extension, is there always a closed subscheme  $Y$  in  $X$ ,  $Y \neq X$ , such that  $Y(E) = X(E)$  and such that  $Y/k$  is smooth and geometrically connected? What, if any, is the obstruction to the existence of such  $Y$ ? For example, take for  $X$  an odd dimensional projective space  $\mathbb{P}^{2n+1}$ ,  $n \geq 1$  with homogeneous coordinates  $X_i$  and  $Y_i$  for  $i = 1, \dots, n + 1$ . Write  $q := \text{Card}(E)$  and take for  $Y$  the smooth hypersurface  $\text{Hyp}(2n + 1, q)$  of degree  $q + 1$ :

$$\text{Hyp}(2n + 1, q) : \sum_i (X_i(Y_i)^q - (X_i)^q Y_i) = 0.$$

But what to do for  $\mathbb{P}^{2n}$ ? Take the “easy” case  $k = E (= \mathbb{F}_q)$ . One idea is to view  $\mathbb{P}^{2n}$  as an  $\mathbb{F}_q$ -rational hyperplane section  $L = 0$  of  $\mathbb{P}^{2n+1}$ , and then take its  $Y$  to be  $L \cap \text{Hyp}(2n + 1, q)$ . This idea does not work, because the Gauss map for  $\text{Hyp}(2n + 1, q)$  is

$$(X_i, Y_i)'s \mapsto ((Y_i)^q, -(X_i)^q)'s = \text{Frob}_q((Y_i, -X_i)'s).$$

The map

$$(X_i, Y_i)'s \mapsto (Y_i, -X_i)'s$$

is an involution of  $\text{Hyp}(2n+1, q)$ . Thus  $\text{Hyp}(2n+1, q)$  is its own dual variety, cf., [8, XVII, 3.4]. Exactly because  $\text{Hyp}(2n+1, q)$  contains all the  $\mathbb{F}_q$ -valued points in  $\mathbb{P}^{2n+1}$ , there are no  $\mathbb{F}_q$ -rational hyperplanes  $L$  in  $\mathbb{P}^{2n+1}$  which are transverse to  $\text{Hyp}(2n+1, q)$ !

The simplest form of the question is this: in  $\mathbb{P}^2/\mathbb{F}_q$ , is there a smooth plane curve  $C/\mathbb{F}_q$  which goes through all the  $\mathbb{F}_q$ -points of  $\mathbb{P}^2$ ?

### Applications to abelian varieties and to zeta functions of curves

**Theorem 11.** *Let  $k$  be a field,  $A/k$  an abelian variety of dimension  $g \geq 1$ . There exists a proper, smooth, geometrically connected curve  $C/k$ , a  $k$ -valued point  $O_C$  in  $C(k)$ , and a  $k$ -morphism*

$$\pi : C \rightarrow A,$$

which maps the point  $O_C$  on  $C$  to the origin  $O_A$  on  $A$ , and whose Albanese map

$$\begin{array}{c} \text{Alb}(\pi) : \text{Alb}(C/k, O_C) \rightarrow A \\ \parallel \\ \text{Jac}(C/k) \end{array}$$

is surjective. Moreover, if the field  $k$  is infinite, there exists such data with  $\pi$  a closed immersion.

*Proof.* We first treat the well known case when the field  $k$  is infinite. The proof we give in this case (cf., [6, 10.1] for a variant) is quite simple. We give it both for the reader's convenience and because it conceivably could be made to work over a finite field as well, see Question 13 below. It depends on the following geometric fact:

**Lemma 12.** *In  $\mathbb{P}^N$  over an infinite field  $k$ , let  $X/k$  be a closed subscheme which is smooth and geometrically connected, of dimension  $n \geq 1$ . Given an point  $P$  in  $X(k)$  and an integer  $d \geq 2$ , there exists a hypersurface  $H/k$  of degree  $d$  in  $\mathbb{P}^N$  such that  $P$  lies on  $H$  and such that  $X \cap H$  is smooth of dimension  $n - 1$ .*

*Proof.* Denote by  $\mathcal{H}$  the projective space of all degree  $d$  hypersurfaces in  $\mathbb{P}^N$ . Inside  $\mathcal{H}$ , we have two subvarieties of particular interest:

- 1) the "dual variety"  $\check{X}$  (of  $X$  for the  $d$ -fold Segre embedding, cf., [8, XVII, 2.4]), consisting of those degree  $d$  hypersurfaces  $H$  such that  $X \cap H$  fails to be smooth of dimension  $n - 1$ .
- 2) the hyperplane  $\check{P}$  consisting of those degree  $d$  hypersurfaces which contain  $P$ .

We claim that  $\check{P} - \check{P} \cap \check{X}$  has a  $k$ -point. Since  $\check{P} - \check{P} \cap \check{X}$  is open in the projective space  $\check{P}$  and the field  $k$  is infinite,  $\check{P} - \check{P} \cap \check{X}$  is either empty or it has a  $k$ -point. [This comes down to the fact that if a  $k$ -polynomial in some number  $m$  of variables vanishes on  $k^m$  then it is the zero polynomial, provided  $k$  is infinite.] If  $\check{P} - \check{P} \cap \check{X}$  is empty, then  $\check{P} \subset \check{X}$ . But the dual variety is irreducible of codimension at least one, cf., [8, XVII, 3.1.4], so  $\check{P} = \check{X}$ . Take homogeneous

coordinates  $X_0, \dots, X_N$  in which the point  $P$  is  $(1, 0, 0, \dots, 0)$ . The hypersurface  $(X_0)^d = 0$  lies in  $\tilde{X}$  but not in  $\tilde{P}$ , contradiction.  $\square$

To exhibit a  $g$ -dimensional abelian variety  $A$  over an infinite field  $k$  as the quotient of a Jacobian, embed  $A$  in projective space, pick  $g - 1$  integers  $d_i \geq 2$ , and successively intersect  $A$  with general hypersurfaces of degrees  $d_i$  defined over  $k$  which each contain the origin  $0_A$ , to obtain a smooth curve  $C/k$  in  $A$ , defined over  $k$ , which contains  $0_A$ . The “weak Lefschetz theorem” [7, VII, 7.1] on hypersurface sections tells us that for any prime  $l$  invertible in  $k$ , the restriction map

$$H^i(A \otimes_k \bar{k}, \mathbb{Q}_l) \rightarrow H^i(C \otimes_k \bar{k}, \mathbb{Q}_l),$$

is bijective for  $i = 0$ , so  $C/k$  is geometrically connected, and injective for  $i = 1$ . This injectivity for  $i = 1$  implies that the Albanese map

$$\text{Alb}(C, 0_A) \rightarrow A$$

is surjective.

The proof we give below, over a finite field, is due to Ofer Gabber. We do not know if the proof given above in the infinite field case can be made to work over a given finite field, say by taking the degrees  $d_i$  quite large, cf., Question 13 below.

Pick a prime number  $l \neq p$ , and a finite extension  $E/k$  such that each of the  $l^{2g}$  points in  $A(\bar{k})$  of order dividing  $l$  lies in  $A(E)$ . Apply the previous corollary to produce a proper smooth geometrically connected curve  $C/k$ , an open set  $U \subset C$ , and a  $k$ -morphism

$$\pi : C \rightarrow A$$

such that  $\pi|_U$  is bijective on  $E$ -valued points:  $U(E) \cong A(E)$  by  $\pi$ . Taking  $\text{Gal}(E/k)$ -invariants, we see that  $U(k) \cong A(k)$  by  $\pi$ . Take  $0_C$  in  $U(k)$  to be  $(\pi|_U)^{-1}(0_A)$ .

The image of  $\text{Alb}(C/k, 0_C)$  in  $A$  is an abelian subvariety  $B \subset A$ . So  $B(\bar{k})$  is a subgroup of  $A(\bar{k})$ . Hence  $B(\bar{k}) \cap A(\bar{k})[l] = B(\bar{k})[l]$ . But by construction we have

$$A(\bar{k})[l] \subset A(E) \cong \pi(U(E)) \subset \pi(C(\bar{k})) \subset B(\bar{k}).$$

Therefore  $B(\bar{k})[l] = A(\bar{k})[l]$ , hence  $\#(B(\bar{k})[l]) = l^{2g}$ . Therefore  $B$  has dimension  $g$ , so it must be all of  $A$ .  $\square$

**Question 13.** Suppose we are in the setting of Lemma 12, but over a finite field  $k$ . Thus in  $\mathbb{P}^N$  over  $k$ , we are given a closed subscheme  $X/k$  which is smooth and geometrically connected, of dimension  $n \geq 1$ . Given a point  $P$  in  $X(k)$ , does there exist an integer  $d \geq 2$  and a hypersurface  $H/k$  of degree  $d$  in  $\mathbb{P}^N$  such that  $P$  lies on  $H$  and such that  $X \cap H$  is smooth of dimension  $n - 1$ ? Does this hold for all  $d \gg 0$ ?

**Corollary 14.** *Given a finite field  $k$ , and an abelian variety  $A/k$ , there exists a proper, smooth, geometrically connected curve  $C/k$  such that the characteristic polynomial of Frobenius on  $(H^1$  of)  $A/k$  divides the characteristic polynomial of Frobenius on  $(H^1$  of)  $C/k$ .*

*Proof.* Once the Albanese map is surjective, for  $l \neq p$  we have a  $\text{Gal}(\bar{k}/k)$ -equivariant inclusion

$$H^1(A \otimes_k \bar{k}, \mathbb{Q}_l) \subset H^1(\text{Alb}(C/k, 0_C) \otimes_k \bar{k}, \mathbb{Q}_l) = H^1(C \otimes_k \bar{k}, \mathbb{Q}_l),$$

whence a divisibility of characteristic polynomials

$$\det(1 - TF_k | H^1(A \otimes_k \bar{k}, \mathbb{Q}_l) |) \mid \det(1 - TF_k | H^1(C \otimes_k \bar{k}, \mathbb{Q}_l) |).$$

□

**Corollary 15.** *Suppose we are given an integer  $r \geq 1$ , a list of  $r$  Weil numbers  $\alpha_i$  for  $q := \#k$  (each  $\alpha_i$  is an algebraic integer which has all its archimedean absolute values equal to  $\text{Sqrt}(q)$ ), and a list  $r$  positive integers  $n_i$ . There exists a proper, smooth, geometrically connected curve  $C/k$  whose zeta function has a zero of multiplicity at least  $n_i$  at the point  $T = 1/\alpha_i$  for each  $i = 1, \dots, r$ .*

*Proof.* By Honda-Tate ([3, 9]), there exists an abelian variety  $A_i/k$  on which  $\alpha_i$  is an eigenvalue of Frobenius. Apply the previous corollary to the product abelian variety  $\prod_i (A_i)^{n_i}$ . □

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