An application of metric cotype to quasisymmetric embeddings

Assaf Naor

Abstract

We apply the notion of metric cotype to show that L_p admits a quasisymmetric embedding into L_q if and only if $p \le q$ or $q \le p \le 2$.

This note is a companion to [4]. After the final version of [4] was sent to the journal for publication I learned from Juha Heinonen and Leonid Kovalev of a long-standing open problem in the theory of quasisymmetric embeddings, and it turns out that this problem can be resolved using the methods of [4]. The argument is explained below. I thank Juha Heinonen and Leonid Kovalev for bringing this problem to my attention.

Let (X, d_X) and (Y, d_Y) be metric spaces. An embedding $f : X \to Y$ is said to be a quasisymmetric embedding with modulus $\eta : (0, \infty) \to (0, \infty)$ if η is increasing, $\lim_{t\to 0} \eta(t) = 0$, and for every distinct $x, y, z \in X$ we have

$$\frac{d_Y(f(x), f(y))}{d_Y(f(x), f(z))} \le \eta \left(\frac{d_X(x, y)}{d_X(x, z)}\right).$$

We refer to [1] and the references therein for a discussion of this notion.

It was not known whether every two separable Banach spaces are quasisymetrically equivalent. This is asked in [6] (see problem 8.3.1 there). We will show here that the answer to this question is negative. Moreover, it turns out that under mild assumptions the cotype of a Banach space is preserved under quasisymmetric embeddings. Thus, in particular, our results imply that L_p does not embed quasisymetrically into L_q if p > 2 and q < p. The question of determining when L_p is quasisymetrically equivalent to L_q was asked in [6] (see problem 8.3.3 there). We also deduce, for example, that the separable space c_0 does not embed quasisymetrically into any Banach space which has an equivalent uniformly convex norm.

We recall some definitions. A Banach space *X* is said to have (Rademacher) type p > 0 if there exists a constant $T < \infty$ such that for every *n* and every $x_1, \ldots, x_n \in X$,

$$\mathbb{E}_{\varepsilon} \left\| \sum_{j=1}^{n} \varepsilon_{j} x_{j} \right\|_{X}^{p} \leq T^{p} \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{p}.$$

where the expectation \mathbb{E}_{ε} is with respect to a uniform choice of signs $\varepsilon = (\varepsilon_1, \dots, \varepsilon_n) \in \{-1, 1\}^n$. *X* is said to have (Rademacher) cotype q > 0 if there exists a constant $C < \infty$ such that for every *n* and every $x_1, \dots, x_n \in X$,

$$\mathbb{E}_{\varepsilon} \left\| \sum_{j=1}^{n} \varepsilon_{j} x_{j} \right\|_{X}^{q} \ge \frac{1}{C^{q}} \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{q}.$$

We also write

$$p_X = \sup\{p \ge 1 : X \text{ has type } p\}$$
 and $q_X = \inf\{q \ge 2 : X \text{ has cotype } q\}$.

X is said to have non-trivial type if $p_X > 1$, and *X* is said to have non-trivial cotype if $q_X < \infty$. For example, L_p has type min $\{p, 2\}$ and cotype max $\{p, 2\}$ (see for example [5].

Theorem 1. Let X be a Banach space with non-trivial type. Assume that Y is a Banach space which embeds quasisymmetrically into X. Then $q_Y \le q_X$.

Proof. Let $f: Y \to X$ be a quasisymmetric embedding with modulus η . Assume for the sake of contradiction that *X* has cotype *q* and that $p := q_Y > q$. By the Maurey-Pisier theorem [2] for every $n \in \mathbb{N}$ there is a linear operator $T: \ell_p^n \to Y$ such that for all $x \in \ell_p^n$ we have $||x||_p \le ||T(x)||_Y \le 2||x||_p$. For every integer $m \in \mathbb{N}$ consider the mapping $g: \mathbb{Z}_m^n \to X$ given by

$$g(x_1,\ldots,x_n)=f\circ T\left(e^{\frac{2\pi i x_1}{m}},\ldots,e^{\frac{2\pi i x_n}{m}}\right)$$

By Theorem 4.1 in [4] there exist constants A, B > 0 which depend only on the type and cotype constants of *X* such that for every integer $m \ge An^{1/q}$ which is divisible by 4 and every $h : \mathbb{Z}_m^n \to X$ we have

$$\sum_{j=1}^{n} \mathbb{E}_{x} \left[\left\| h\left(x + \frac{m}{2} e_{j} \right) - h(x) \right\|_{X}^{q} \right] \le B^{q} m^{q} \mathbb{E}_{\varepsilon, x} \left[\left\| h(x + \varepsilon) - h(x) \right\|_{X}^{q} \right], \tag{1}$$

where the expectations above are taken with respect to uniformly chosen $x \in \mathbb{Z}_m^n$ and $\varepsilon \in \{-1, 0, 1\}^n$ (here, and in what follows we denote by $\{e_j\}_{i=1}^n$ the standard basis of \mathbb{R}^n).

From now on we fix *m* to be be the smallest integer which is divisible by 4 and $m \ge An^{1/q}$. Thus $m \le 8An^{1/q}$. For every $x \in \mathbb{Z}_m^n$, $j \in \{1, ..., n\}$ and $\varepsilon \in \{-1, 0, 1\}^n$ we have

$$\frac{\|g(x+\varepsilon) - g(x)\|_X}{\left\|g\left(x+\frac{m}{2}e_j\right) - g(x)\right\|_X} \le \eta\left(\frac{\left\|T\left(\sum_{k=1}^n \left(e^{\frac{\pi i\varepsilon_k}{m}} - 1\right)e_j\right)\right\|_Y}{\|T(2e_j)\|_Y}\right) \le \eta\left(\frac{\pi n^{1/p}}{m}\right) \le \eta\left(\frac{\pi}{A}n^{\frac{1}{p}-\frac{1}{q}}\right).$$

Thus, using (1) for g = h we see that

$$\begin{split} n \, \mathbb{E}_{\varepsilon, x} \left\| g(x+\varepsilon) - g(x) \right\|_{X}^{q} &\leq \eta \left(\frac{\pi}{A} n^{\frac{1}{p} - \frac{1}{q}} \right)^{q} \sum_{j=1}^{n} \mathbb{E}_{x} \left\| g\left(x + \frac{m}{2} e_{j} \right) - g(x) \right\|_{X}^{q} \\ &\leq \eta \left(\frac{\pi}{A} n^{\frac{1}{p} - \frac{1}{q}} \right)^{q} \left(8AB \right)^{q} n \, \mathbb{E}_{\varepsilon, x} \left\| g(x+\varepsilon) - g(x) \right\|_{X}^{q}. \end{split}$$

Canceling the term $\mathbb{E}_{\varepsilon,x} ||g(x + \varepsilon) - g(x)||_{x}^{q}$ we deduce that

$$\eta\left(\frac{\pi}{A}n^{\frac{1}{p}-\frac{1}{q}}\right) \geq \frac{1}{8AB}.$$

Since p > q this contradicts the fact that $\lim_{t\to 0} \eta(t) = 0$.

Using the same argument as in [4] (and noting that the snowflake embedding from [3] is a quasisymmetric embedding), we obtain the following complete answer to the question when L_p embeds quasisymmetrically into L_q .

Corollary 2. For p, q > 0, L_p embeds quasisymmetrically into L_q if and only if $p \le q$ or $q \le p \le 2$.

References

- [1] J. Heinonen. Lectures on analysis on metric spaces. Universitext. Springer-Verlag, New York, 2001.
- [2] B. Maurey and G. Pisier. Séries de variables aléatoires vectorielles indépendantes et propriétés géométriques des espaces de Banach. *Studia Math.*, 58(1):45–90, 1976.
- [3] M. Mendel and A. Naor. Euclidean quotients of finite metric spaces. Adv. Math., 189(2):451-494, 2004.
- [4] M. Mendel and A. Naor. Metric cotype. 2005. To appear in Annals of Mathematics.
- [5] V. D. Milman and G. Schechtman. *Asymptotic theory of finite-dimensional normed spaces*, volume 1200 of *Lecture Notes in Mathematics*. Springer-Verlag, Berlin, 1986. With an appendix by M. Gromov.
- [6] J. Väisälä. The free quasiworld. Freely quasiconformal and related maps in Banach spaces. In *Quasi-conformal geometry and dynamics (Lublin, 1996)*, volume 48 of *Banach Center Publ.*, pages 55–118. Polish Acad. Sci., Warsaw, 1999.