The wreath product of \mathbb{Z} with \mathbb{Z} has Hilbert compression exponent $\frac{2}{3}$

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Abstract

Let *G* be a finitely generated group, equipped with the word metric *d* associated with some finite set of generators. The Hilbert compression exponent of *G* is the supremum over all $\alpha \ge 0$ such that there exists a Lipschitz mapping $f : G \to L_2$ and a constant c > 0 such that for all $x, y \in G$ we have $||f(x) - f(y)||_2 \ge cd(x, y)^{\alpha}$. In [2] it was shown that the Hilbert compression exponent of the wreath product $\mathbb{Z} \wr \mathbb{Z}$ is at most $\frac{3}{4}$, and in [12] was proved that this exponent is at least $\frac{2}{3}$. Here we show that $\frac{2}{3}$ is the correct value. Our proof is based on an application of K. Ball's notion of Markov type.

1 Introduction

Let *G* be a finitely generated group. Fix a finite set of generators $S \subseteq G$, which we will always assume to be symmetric (i.e. $S^{-1} = S$). Let *d* be the left-invariant word metric induced by *S* on *G*. The **Hilbert compression exponent** of *G*, which we denote by $\alpha^*(G)$, is the supremum over all $\alpha \ge 0$ such that there exists a 1-Lipschitz mapping $f : G \to L_2$ and a constant c > 0 such that for all $x, y \in G$ we have

$$||f(x) - f(y)||_2 \ge cd(x, y)^{\alpha}.$$

Note that $\alpha^*(G)$ does not depend on the choice of the finite set of generators *S*, and is thus an algebraic invariant of the group *G*. This notion was introduced by Guentner and Kaminker in [7] as a natural quantitative measure of Hilbert space embeddability in situations when bi-Lipschitz embeddings do not exist (when bi-Lipschitz embeddings do exist the natural measure would be the *Euclidean distortion*). More generally, the **compression function** of a 1-Lipschitz mapping $f : G \to L_2$ is defined as

$$\rho(t) \coloneqq \inf_{d(x,y) \ge t} \|f(x) - f(y)\|_2$$

The mapping *f* is called a **coarse embedding** if $\lim_{t\to\infty} \rho(t) = \infty$. Coarse embeddings of discrete groups have been studied extensively in recent years. The Hilbert compression exponents of various groups were investigated in [7, 2, 5, 16, 1]—we refer to these papers and the references therein for group-theoretical motivation and applications.

Consider the wreath product $\mathbb{Z} \wr \mathbb{Z}$, i.e. the group of all pairs (f, x), where $x \in \mathbb{Z}$ and $f : \mathbb{Z} \to \mathbb{Z}$ has finite support, equipped with the group law $(f, x)(g, y) := (z \mapsto f(z) + g(z - x), x + y)$. In this note we prove that

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 $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) = \frac{2}{3}$. The problem of computing $\alpha^*(\mathbb{Z} \wr \mathbb{Z})$ was raised explicitly in [2, 16, 1]. In [2] Arzhantseva, Guba and Sapir showed that $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \in \left[\frac{1}{2}, \frac{3}{4}\right]$. In [16] Tessera claimed to improve the lower bound on $\alpha^*(\mathbb{Z} \wr \mathbb{Z})$ to $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \ge \frac{2}{3}$, and conjectured that $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) = \frac{2}{3}$. Unfortunately, Tessera's proof is flawed, as explained in Remark 1.4 of [12]; his method only yields the bound $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \ge \frac{1}{3}$. However, the inequality $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \ge \frac{2}{3}$ is correct, as shown by Naor and Peres in [12] using a different method. Here we obtain the matching upper bound $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \le \frac{2}{3}$. For the sake of completeness, in Remark 2.2 below we also present the embeddings of Naor and Peres [12] which establish the lower bound $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \ge \frac{2}{3}$.

Our proof of the upper bound $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \leq \frac{2}{3}$ is a simple application of K. Ball's notion of **Markov type**, a metric invariant that has found several applications in metric geometry in the past two decades—see [3, 11, 9, 4, 13, 10]. Recall that a Markov chain $\{Z_t\}_{t=0}^{\infty}$ with transition probabilities $a_{ij} := \Pr(Z_{t+1} = j \mid Z_t = i)$ on the state space $\{1, \ldots, n\}$ is *stationary* if $\pi_i := \Pr(Z_t = i)$ does not depend on *t* and it is *reversible* if $\pi_i a_{ij} = \pi_j a_{ji}$ for every $i, j \in \{1, \ldots, n\}$. Given a metric space (X, d_X) and $p \in [1, \infty)$, we say that X has Markov type *p* if there exists a constant K > 0 such that for every stationary reversible Markov chain $\{Z_t\}_{t=0}^{\infty}$ on $\{1, \ldots, n\}$, every mapping $f : \{1, \ldots, n\} \to X$ and every time $t \in \mathbb{N}$,

$$\mathbb{E}[d_X(f(Z_t), f(Z_0))^p] \le K^p t \mathbb{E}[d_X(f(Z_1), f(Z_0))^p].$$
(1)

The least such K is called the Markov type p constant of X, and is denoted $M_p(X)$.

The fact that L_2 has Markov type 2 with constant 1, first noted by K. Ball [3], follows from a simple spectral argument (see also inequality (8) in [13]). Since for $p \in [1, 2]$ the metric space $(L_p, ||x - y||_2^{p/2})$ embeds isometrically into L_2 (see [17]), it follows that L_p has Markov type p with constant 1. For p > 2 it was shown in [13] that L_p has Markov type 2 with constants $O(\sqrt{p})$. We refer to [13] for a computation of the Markov type of various additional classes of metric spaces.

The notion of Markov type has been successfully applied to various embedding problems of *finite* metric spaces. In this note we observe that one can use this invariant in the context of infinite amenable groups as well. In a certain sense, our argument simply amounts to using Markov type asymptotically along neighborhoods of Følner sequences.

For the rest of the paper, Let *G* be an amenable group with a fixed finite symmetric set of generators *S* and the corresponding left-invariant word metric *d*. Let *e* denote the identity element of *G*, and let $\{W_t\}_{t=0}^{\infty}$ be the canonical simple random walk on the Cayley graph of *G* determined by *S*, starting at *e*. Our main result is:

Proposition 1.1. Assume that there exist $c, \delta, \beta > 0$ such that for all $t \in \mathbb{N}$,

$$\Pr\left(d(W_t, e) \ge ct^{\beta}\right) \ge \delta.$$
⁽²⁾

Let (X, d_X) be a metric space with Markov type p, and assume that $f : G \to X$ satisfies

$$\rho(d(x,y)) \le d_X(f(x), f(y)) \le d(x,y) \tag{3}$$

for all $x, y \in G$, where $\rho : \mathbb{R}_+ \to \mathbb{R}_+$ is non-decreasing. Then for all $t \in \mathbb{N}$,

$$\rho\left(ct^{\beta}\right) \leq \frac{M_p(X)}{\delta^{1/p}}t^{1/p}.$$

In particular,

$$\alpha^*(G) \le \frac{1}{2\beta}.$$

As an immediate corollary we deduce that $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \leq \frac{2}{3}$. Indeed, $\mathbb{Z} \wr \mathbb{Z}$ is amenable (see for example [8, 14]), and it was shown by Revelle in [15] that $\mathbb{Z} \wr \mathbb{Z}$ has a set of generators (namely the canonical generators $S = \{(1, 0), (-1, 0), (0, 1), (0, -1)\}$) which satisfies the assumption of Proposition 1.1 with $\beta = \frac{3}{4}$ (see also [6] for the corresponding bound on the expectation of $d(W_t, e)$).

2 **Proof of Proposition 1.1**

Let $\{F_n\}_{n=0}^{\infty}$ be a Følner sequence for *G*, i.e., for every $\varepsilon > 0$ and any finite $K \subseteq G$, we have $|F_n \triangle (F_n K)| \le \varepsilon |F_n|$ for large enough *n*. Fix an integer t > 0 and denote

$$A_n := \bigcup_{x \in F_n} B(x, t) \supseteq F_n,$$

where B(x, t) is the ball of radius t centered at x in the word metric determined by S.

For every $\varepsilon > 0$, there exists $n \in \mathbb{N}$ such that

$$\varepsilon|F_n| \ge |F_n \triangle (F_n B(e, t))| = |A_n \setminus F_n|.$$
(4)

Let $\{Z_t\}_{t=0}^{\infty}$ be the delayed standard random walk restricted to A_n . In other words, Z_0 is uniformly distributed on A_n , and for all $j \ge 0$ and $x \in A_n$,

$$\Pr\left(Z_{j+1} = x | Z_j = x\right) = 1 - \frac{|(xS) \cap A_n|}{|S|},$$

and if $s \in S$ is such that $xs \in A_n$ then

$$\Pr(Z_{j+1} = xs|Z_j = x) = \frac{1}{|S|}.$$

It is straightforward to check that $\{Z_t\}_{t=0}^{\infty}$ is a stationary reversible Markov chain. Hence, using the Markov type *p* property of *X*, and the fact that *f* is 1-Lipschitz, we see that

$$\mathbb{E}[d_X(f(Z_t), f(Z_0))^p] \stackrel{(1)}{\leq} M_p(X)^p t \mathbb{E}[d_X(f(Z_1), f(Z_0))^p] \stackrel{(3)}{\leq} M_p(X)^p t \mathbb{E}[d(Z_1, Z_0)^p] \leq M_p(X)^p t.$$
(5)

Note that

$$\mathbb{E}[d_X(f(Z_t), f(Z_0))^p] \stackrel{(3)}{\ge} \mathbb{E}[\rho(d(Z_t, Z_0))^p] \ge \frac{1}{|A_n|} \sum_{x \in F_n} \mathbb{E}\left[\rho(d(Z_t, Z_0))^p \left| Z_0 = x\right],\tag{6}$$

since the omitted summands corresponding to $x \notin F_n$ are nonnegative. If $x \in F_n$ then $B(x,t) \subseteq A_n$; this implies that conditioned on the event $\{Z_0 = x\}$, the random variable $d(Z_t, Z_0)$ has the same distribution as the random variable $d(W_t, e)$. The assumption (2) yields that

$$\mathbb{E}[\rho\left(d(W_t, e)\right)^p] \ge \rho\left(ct^{\beta}\right)^p \cdot \Pr\left(d(W_t, e) \ge ct^{\beta}\right) \ge \rho\left(ct^{\beta}\right)^p \cdot \delta.$$
(7)

In conjunction with (6), this gives that

$$\mathbb{E}[d_X(f(Z_t), f(Z_0))^p] \ge \frac{|F_n|}{|A_n|} \cdot \mathbb{E}[\rho\left(d(W_t, e)\right)^p] \stackrel{(7)}{\ge} \frac{|F_n|}{|A_n|} \cdot \rho\left(ct^\beta\right)^p \cdot \delta \stackrel{(4)}{\ge} \frac{\delta}{1+\varepsilon} \cdot \rho\left(ct^\beta\right)^p.$$
(8)

Combining (5) and (8), and letting $\varepsilon \to 0$, concludes the proof of Proposition 1.1.

Remark 2.1. Given two groups *G* and *H*, the wreath product $G \wr H$ is the group of all pairs (f, x) where $f : H \to G$ has finite support (i.e. f(z) is the identity of *G* for all but finitely many $z \in H$) and $x \in H$, equipped with the product $(f, x)(g, y) \coloneqq (z \mapsto f(z)g(x^{-1}z), xy)$. Consider the iterated wreath products $\mathbb{Z}_{(k)}$, where $\mathbb{Z}_{(1)} = \mathbb{Z}$ and $\mathbb{Z}_{(k+1)} \coloneqq \mathbb{Z}_{(k)} \wr \mathbb{Z}$. In [15] it is shown that $\mathbb{Z}_{(k)}$ has a finite symmetric set of generators which satisfies the assumption of Proposition 1.1 with $\beta = 1 - 2^{-k}$. Thus $\alpha^*(\mathbb{Z}_{(k)}) \le \frac{1}{2-2^{1-k}}$. In fact, as shown in [12], $\alpha^*(\mathbb{Z}_{(k)}) = \frac{1}{2-2^{1-k}}$.

Remark 2.2. In [12] the lower bound $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \ge \frac{2}{3}$ is a particular case of a more general result. For the readers' convenience we present the resulting embeddings in the case of the group $\mathbb{Z} \wr \mathbb{Z}$.

In what follows \leq and \geq denote the corresponding inequality up to a universal constant. Fix $\alpha \in (0, 1/2)$ and let

$$\left\{ v_g: g: A \to \mathbb{Z} \text{ finitely supported, } A \in \{\mathbb{Z} \cap [n, \infty)\}_{n \in \mathbb{Z}} \cup \{\mathbb{Z} \cap (-\infty, n]\}_{n \in \mathbb{Z}} \right\}$$

be disjointly supported unit vectors in $L_2(\mathbb{R})$. For $(f,k) \in \mathbb{Z} \wr \mathbb{Z}$ define a function $\phi_\alpha(f,k) : \mathbb{R} \to \mathbb{R}$ by

$$\phi_{\alpha}(f,k) \coloneqq \sum_{n>k} (n-k)^{\alpha} \cdot v_{f \upharpoonright [n,\infty)} + \sum_{n< k} (k-n)^{\alpha} \cdot v_{f \upharpoonright (-\infty,n]}$$

Observe that $\phi_{\alpha}(f, k) - \phi_{\alpha}(0, 0) \in L_2(\mathbb{R})$. Indeed, if *f* is supported on [-m, m] then

$$\|\phi_{\alpha}(f,k) - \phi_{\alpha}(0,0)\|_{2}^{2} \leq m\left(m^{2\alpha} + |k|^{2\alpha}\right) + \sum_{n \in \mathbb{Z}} \left(|n|^{\alpha} - |n-k|^{\alpha}\right)^{2} \leq m\left(m^{2\alpha} + |k|^{2\alpha}\right) + \sum_{j=1}^{\infty} \frac{k^{2}}{j^{2(1-\alpha)}} < \infty.$$

We can therefore define $F_{\alpha} : \mathbb{Z} \wr \mathbb{Z} \to \mathbb{R} \oplus \ell_2(\mathbb{Z}) \oplus L_2(\mathbb{R})$ by

$$F_{\alpha}(f,k) \coloneqq k \oplus f \oplus (\phi_{\alpha}(f,k) - \phi_{\alpha}(0,0)).$$

We claim that for every $(f, k) \in \mathbb{Z} \wr \mathbb{Z}$ we have

$$d_{\mathbb{Z} \mathbb{Z}}((f,k),(0,0))^{\frac{2\alpha+1}{2\alpha+2}} \lesssim \|F_{\alpha}(f,k)\|_{2} \lesssim \frac{1}{\sqrt{1-2\alpha}} \cdot d_{\mathbb{Z} \mathbb{Z}}((f,k),(0,0)),$$
(9)

Since the metric $||F_{\alpha}(f_1, k_1) - F_{\alpha}(f_2, k_2)||_2$ is $\mathbb{Z} \wr \mathbb{Z}$ -invariant, and $F_{\alpha}(0, 0) = 0$, the inequalities in (9) imply that $\mathbb{Z} \wr \mathbb{Z}$ has Hilbert compression exponent at least $\frac{2\alpha+1}{2\alpha+2}$. Letting $\alpha \uparrow \frac{1}{2}$ shows that $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \ge \frac{2}{3}$.

It suffices to check the upper bound in (9) (i.e. the Lipschitz condition for F_{α}) when (f, k) is one of the generators of $\mathbb{Z} \wr \mathbb{Z}$, i.e. (f, k) = (0, 1) or $(f, k) = (\delta_0, 0)$. Observe that $||F_{\alpha}(\delta_0, 0)||_2 = 1$ and

$$\|F_{\alpha}(0,1)\|_{2}^{2} \lesssim \sum_{n=1}^{\infty} (n^{\alpha} - (n-1)^{\alpha})^{2} \lesssim \frac{1}{1-2\alpha},$$

implying the upper bound in (9). To prove the lower bound in (9) assume that $m \in \mathbb{N}$ is the minimal integer such that *f* is supported on [k - m, k + m]. Then,

$$\begin{split} \|F_{\alpha}(f,k)\|_{2}^{2} \gtrsim k^{2} + \sum_{j=k-m}^{k+m} f(j)^{2} + \sum_{\ell=1}^{m} \ell^{2\alpha} \gtrsim k^{2} + \frac{1}{m} \left(\sum_{j \in \mathbb{Z}} |f(j)| \right)^{2} + m^{2\alpha+1} \\ \gtrsim \left(k + m + \sum_{j \in \mathbb{Z}} |f(j)| \right)^{\frac{4\alpha+2}{2\alpha+2}} \gtrsim d_{\mathbb{Z}\mathbb{Z}} ((f,k),(0,0))^{\frac{4\alpha+2}{2\alpha+2}}, \end{split}$$

where the penultimate inequality follows by considering the cases $||f||_1 \ge m^{\alpha+1}$ and $||f||_1 \le m^{\alpha+1}$ separately.

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