

# 3-colorable subclasses of $P_8$ -free graphs

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## Abstract

In this paper, we study 3-colorable graphs having no induced 8-vertex path and no induced cycles of specific lengths. We prove a characterization by critical graphs in three particular cases.

## 1 Introduction

In this paper, graph are always finite and simple (no loops, parallel edges).

A *coloring* of a graph  $G$  assigns labels to vertices of  $G$  so that no two adjacent vertices receive the same label. For a fixed number of  $k$  colors, we speak of a  $k$ -*coloring*. Finding a coloring of a graph using smallest possible set of colors is a well-known hard problem. Even if we are promised that the graph can be colored using only 3 colors, the best polynomial-time algorithms can only guarantee to use  $n^\epsilon$  colors in the coloring they produce [10]. The difficulty of this seems to stem from demanding the procedure to succeed on arbitrary graphs, with no tangible structure to take advantage of. This goes against experience with real-world graphs which often exhibit some type of structured behavior. Therefore from the theoretical perspective it is natural to focus on graphs where we impose certain structure. The most popular type of such a restriction is by forbidding either a subgraph, or an induced subgraph, a minor, or a similar fixed substructure. Here we focus on induced subgraphs.

We say that a graph  $G$  *contains* a graph  $H$  if  $G$  has an induced subgraph isomorphic to  $H$ . Otherwise, we say that  $G$  is  $H$ -free. The coloring problem on  $H$ -free graphs has been a focus of research over at least the past two decades. When the number of colors is to be minimized, the problem becomes easier only when  $H$  is very small, a subgraph of the 4-vertex path [11]. For a fixed number of  $k \geq 3$  colors, the situation is different. The problem is still hard when  $H$  contains a cycle [9] or has a degree  $\geq 3$  vertex [8]. The remaining

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cases of interest are when  $H$  is fixed  $t$ -vertex path  $P_t$  or a disjoint union of paths. Therefore studying the structure and coloring of  $P_t$ -free graphs has been the focus of attention of many researchers in the area (see recent survey [7]). One approach in this direction is investigating *critical* graphs. These are graphs with no  $k$ -coloring, but minimal with this property, i.e., all their proper (induced) subgraphs admit a  $k$ -coloring. Characterizing critical graph for specific coloring problems is very useful for algorithmic purposes, in particular if the critical graphs have bounded size, or particular structure that is easy to test. In addition, this leads to *certifying* algorithms, i.e., the presence of critical graphs serves as a witness (certificate) of the “no” answer, rather than the algorithm merely returning “no” without a justification. It should be noted that finding all critical graphs is usually much harder than simply finding a polynomial-time algorithm. Thus it should come as no surprise that much less is known about critical graphs even in cases when efficient coloring algorithms exist.

In this paper, we study  $P_8$ -free graph, i.e., graphs with no induced 8-vertex path. In particular, we focus on 3-colorings of these graphs. Unlike  $k$ -coloring for  $k \geq 4$  which is known to be hard on  $P_8$ -free graphs [7], the complexity of 3-coloring is wide open. For smaller path-lengths  $t$ , algorithms are known for  $t \leq 7$  [2], and the structure of critical graphs is known for  $t \leq 6$  [1, 3]. Moreover, the set of obstructions to 3-coloring is known to be infinite for graphs with no induced  $P_7$  [3].

In view of this last fact it is of interest to consider 3-colorable  $P_8$ -free graphs with additional restrictions. In particular, we forbid specific cycle-lengths in these graphs. In [7], it has been shown that  $P_8$ -free graphs of girth  $\geq 6$  are 3-colorable. Put differently,  $P_8$ -free graphs with no induced  $l$ -cycles where  $l \in \{3, 4, 5\}$  are 3-colorable. This is the starting point of our investigation. We extend this result in three specific ways. Namely, we study  $P_8$ -free graphs with no induced  $l$ -cycles, where  $l$  is either from  $\{3, 4\}$  or from  $\{3, 5\}$  or from  $\{4, 5\}$ . For the first two, we show that all such graphs are 3-colorable, while for the last one we provide a complete list of (5) critical graphs. Our method is based on describing the structure resulting from forbidding specific cycles. The colorability is then a consequence of this structure. While this paper was being prepared for publication, it was shown in [5] that there are only finitely many minimal (under vertex deletion) non-3-colorable graphs that are  $P_8$ -free and have no induced cycles of length 4. However, the proof of [5] uses a computer, while our proofs are all done by hand.

Our results are summarized as the following theorems.

**Theorem 1.** *Let  $G$  be a connected graph of girth at least 5 with no induced  $P_8$ . Then one of the following holds:*

- (i)  $G$  is the Petersen graph,
  - (ii)  $G$  is the Heawood graph,
  - (iii)  $G$  is the graph we obtain by contracting one edge in the Heawood graph,
  - (iv)  $G$  contains a vertex of degree  $\leq 2$ .
- In particular,  $G$  is 3-colorable.*

**Theorem 2.** *Let  $G$  be a connected  $P_8$ -free graph with no triangle and no induced 5-cycle. Then at least one of the following holds:*

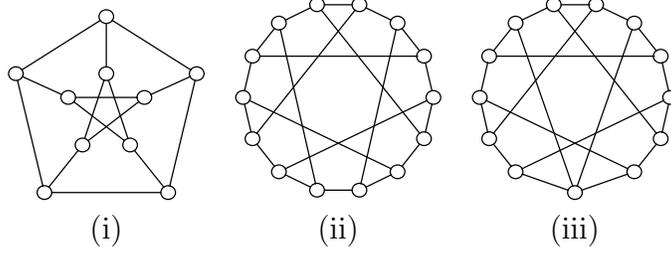


Figure 1: Outcomes of Theorem 1

- (i)  $G$  contains distinct vertices  $u, v$  where  $N(u) \subseteq N(v)$  (we say that  $v$  dominates  $u$ ),
- (ii)  $G$  admits a homomorphism to a 7-cycle.

In particular,  $G$  is 3-colorable.

**Theorem 3.** Let  $G$  be a  $P_8$ -free graph with no induced 4- and 5-cycle. Then the following are equivalent:

- (i)  $G$  is 3-colorable.
- (ii)  $G$  contains none of the graphs in Figure 2 as a subgraph (not necessarily induced).

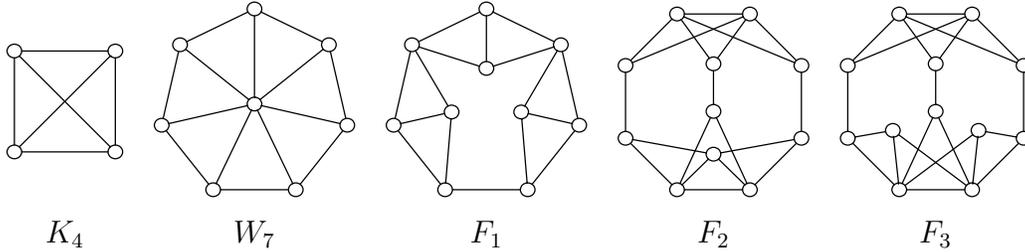


Figure 2: Forbidden subgraphs for 3-coloring  $P_8$ -free graphs with no induced 4- and 5- cycles.

In the rest of the paper, we prove these three theorems in individual sections.

## 2 Notation and Definitions

All graphs considered here are simple (no loops or parallel edges). The vertex and edge sets of a graph  $G$  are denoted by  $V(G)$  and  $E(G)$ , respectively. The edge set  $E(G)$  consists of unordered pairs  $\{u, v\}$ . For brevity, we write  $uv$  to denote the edge  $\{u, v\}$ .

We write  $N(v)$  to denote the neighborhood of  $v$ , i.e., the set of vertices  $u \neq v$  where  $uv \in E(G)$ . The degree of a vertex  $v$  is the size of its neighborhood. For a set  $X \subseteq V(G)$ , we write  $N(X)$  to denote the set  $\bigcup_{v \in X} (N(v) \setminus X)$ .

For graphs  $G, H$ , we say that  $G$  is  $H$ -free if  $G$  does not contain  $H$ . For  $X \subseteq V(G)$ , we write  $G[X]$  to denote the subgraph of  $G$  induced by  $X$ , i.e., the graph whose vertex set is  $X$  where two vertices are adjacent if and only if they are adjacent in  $G$ . We write  $G - X$  to denote the subgraph of  $G$  induced by  $V(G) \setminus X$ . We write  $G - x$  in place of  $G - \{x\}$ . A connected component of  $G$  is maximal connected subgraph of  $G$ .

A set  $X \subseteq V(G)$  is a *clique* if all vertices in  $X$  are pairwise adjacent. A set  $X \subseteq V(G)$  is a *stable set* or an *independent set* if no two vertices in  $X$  are adjacent. A set  $X \subseteq V(G)$  is *complete* to  $Y \subseteq V(G)$  if every  $x \in X$  is adjacent to every  $y \in Y$  (in particular,  $X \cap Y = \emptyset$ ). A set  $X \subseteq V(G)$  is *anticomplete* to  $Y \subseteq V(G)$  if  $X \cap Y = \emptyset$ , and there are no edges in  $G$  with one endpoint in  $X$  and the other in  $Y$ . We say that  $x$  is complete to, or anticomplete to,  $Y$  if  $\{x\}$  is complete to  $Y$ , or anticomplete to  $Y$ , respectively.

We write  $x_1-x_2-\dots-x_t$  to denote a path in  $G$  going through vertices  $x_1, x_2, \dots, x_t$  in this order. The path may not be induced. The *length* of a path is defined to be the number of edges in it. Similarly, we write  $x_1-x_2-\dots-x_t-x_1$  to denote a (not necessarily induced) cycle in  $G$  going through  $x_1, x_2, \dots, x_t$  and back to  $x_1$ . We write  $P_k$  to denote the  $k$ -vertex path, and write  $C_k$  to denote the  $k$ -vertex cycle. We write  $K_k$  to denote the complete graph on  $k$  vertices.

The girth of a graph  $G$ , denoted by  $g(G)$ , is the length of a shortest cycle in  $G$ . If  $G$  has no cycles, the girth of  $G$  is defined to be infinity. A  $k$ -*coloring* of  $G$  is a mapping  $c : V(G) \rightarrow \{1, 2, \dots, k\}$  such that  $c(u) \neq c(v)$  for all  $uv \in E(G)$ . The chromatic number of  $G$  is the smallest  $k$  for which  $G$  has a  $k$ -coloring. In a *partial* coloring some vertices may not have a value assigned. These vertices are *uncolored*.

### 3 No 3- and 4-cycles

In this section, we prove Theorem 1.

Since the Petersen graph is 3-colorable and the Heawood graph is 2-colorable, and since a vertex-minimal non-3-colorable graph cannot contain a vertex of degree at most 2, it is enough to prove the first assertion of the theorem.

Let  $G$  be a connected graph of girth at least 5 with no induced 8-vertex path  $P_8$ . For contradiction, suppose that  $G$  is a counterexample to the claim of Theorem 1. Namely,  $G$  is not one of the graphs in Figure 1 and every vertex in  $G$  has degree at least 3.

To simplify the discussion, we need to state a few useful facts as the following claim.

- ( $\star$ ) *If  $u$  is adjacent to  $v$ , then  $u$  is anticomplete to  $N(v) \setminus \{u\}$  and  $N(N(v) \setminus \{u\})$ . In particular, if  $G$  contains a 5-cycle  $C$ , then every vertex  $u \notin V(C)$  has at most one neighbor in  $V(C)$ .*

If  $u$  is adjacent to  $v$  and also to  $w \in N(v) \setminus \{u\}$ , then  $u-v-w-u$  is a cycle in  $G$ . If  $u$  is adjacent to  $v$  and  $w \in N(N(v) \setminus \{u\})$ , then  $u-v-z-w$  for some  $z \in N(v) \setminus \{u\}$  is a cycle in  $G$ . Since the girth of  $G$  is at least 5, neither of the two is possible. If  $G$  contains a 5-cycle  $C$ , then no vertex  $u \notin V(C)$  can have two neighbors in  $V(C)$ , since the diameter of  $C$  is 2. This proves ( $\star$ ).

In the following sequence of claims, we prove that  $G$  cannot contain any of the graphs in Figures 3-6. This will provide a contradiction.

- (0) *Suppose that  $G$  contains a copy of  $H_1$ , labeled as in Figure 3. Let  $u, v \notin V(H_1)$  be vertices such that  $u \in N(x)$  and  $v \in N(y)$ . If  $u$  adjacent to  $v_i \in V(H_1)$ , then  $v$  is adjacent to one of  $v_i, v_{i-2}, v_{i+2}$  (indices modulo 5) and has no other neighbor in  $V(H_1) \cup \{u\} \setminus \{y\}$ .*

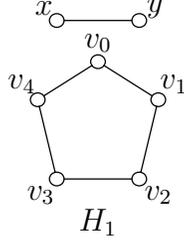


Figure 3: Case 1 of the proof of Theorem 1

For contradiction, assume that  $v$  is anticomplete to  $\{v_i, v_{i-2}, v_{i+2}\}$ . Then  $v$  is also non-adjacent to at least one of  $v_{i-1}, v_{i+1}$  by  $(\star)$ . By symmetry, we may assume that  $v$  is non-adjacent to  $v_{i+1}$ . By  $(\star)$ , we further have that  $v$  is anticomplete to  $\{u, x\}$ , and  $u$  is anticomplete to  $\{y, v_{i+1}, v_{i+2}, v_{i-2}\}$ . Thus  $v-y-x-u-v_i-v_{i+1}-v_{i+2}-v_{i-2}$  is an induced  $P_8$  in  $G$ , a contradiction. So  $v$  necessarily has at least one neighbor in  $\{v_i, v_{i-2}, v_{i+2}\}$  and by  $(\star)$  that is its only neighbor in  $V(H_1) \cup \{u\}$  besides  $y$ . This proves (0).

(1)  $G$  does not contain  $H_1$ .

Suppose that  $G$  contains an induced copy of  $H_1$ , labeled as shown in Figure 3. Choose the edge  $xy$  to be such that the distance in  $G$  from  $\{x, y\}$  to  $\{v_0, v_1, \dots, v_4\}$  is smallest possible. Since  $G$  is connected, this implies that one of  $x, y$  has a common neighbor with one of  $v_0, \dots, v_4$ . By symmetry, we may assume that there exists a vertex  $u_1$  adjacent to both  $v_0$  and  $x$ . Since every vertex in  $G$  has degree at least 3, let  $u_2$  be another neighbor of  $x$ , and let  $u_3, u_4$  be other neighbors of  $y$ .

By (0), both  $u_3$  and  $u_4$  are each adjacent to one of  $v_0, v_2, v_3$ . By  $(\star)$  they are not both adjacent to  $v_0$ . Thus by symmetry, we may assume that  $u_4$  is adjacent to  $v_2$ . This implies by  $(\star)$  that  $u_3$  is non-adjacent to  $v_2$ , and by (0) and  $(\star)$  that  $u_2$  is adjacent to one of  $v_2, v_4$ . If  $u_2$  were adjacent to  $v_4$ , then we would conclude by (0) that  $u_3$  is adjacent to one of  $v_1, v_4$  but then  $u_3$  would have two neighbors in  $\{v_0, \dots, v_4\}$  contradicting  $(\star)$ . Therefore  $u_2$  is adjacent to  $v_2$  and a symmetric argument gives that  $u_3$  is adjacent to  $v_0$ .

Now, since every vertex in  $G$  has degree at least 3, there exists a neighbor  $z \notin V(H_1)$  of  $v_3$ . By  $(\star)$ , we see that  $z$  is anticomplete to  $\{v_0, v_1, v_2, v_4, u_2, u_4\}$  and at least one of  $u_1, u_3$ . Using (0), we deduce that  $z$  is non-adjacent to both  $x$  and  $y$ . By symmetry, we may assume that  $z$  is non-adjacent to  $u_1$ . This implies that  $z-v_3-v_4-v_0-u_1-x-y-u_4$  is an induced  $P_8$  in  $G$ , a contradiction. This proves (1).

(2)  $G$  does not contain  $H_2$ .

Suppose that  $G$  contains the Petersen graph  $H_2$ , labeled as in Figure 4. Since  $G$  itself is not the Petersen graph and since  $G$  is connected, there exists a vertex  $x$  adjacent to some vertex of  $H_2$ . By symmetry, we may assume that  $x$  is adjacent to  $v_0$ . Then  $(\star)$  implies that  $x$  has no other neighbors in  $V(H_2)$  because  $H_2$  has diameter 2. Since every vertex in  $G$  has degree at least 3, we deduce that  $x$  has another neighbor  $y \notin V(H_2)$ . Similarly to  $x$ , the vertex  $y$  has at most one neighbor in  $V(H_2)$ .

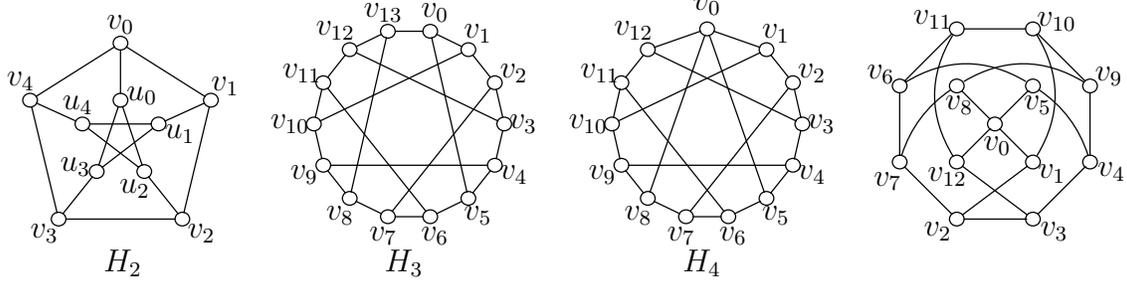


Figure 4: Cases 2-4 of the proof of Theorem 1

We focus our attention now on the edge  $xy$ . By (1), we see that  $y$  has at least one neighbor in each of the following three 5-cycles:  $v_1-v_2-v_3-u_3-u_1-v_1$ ,  $v_2-v_3-v_4-u_4-u_2-v_2$ ,  $u_0-u_2-u_4-u_1-u_3-u_0$ . However, the three 5-cycles do not have a vertex in common and so in order for  $y$  to hit all three 5-cycles it has to have at least 2 neighbors in  $V(H_2)$ , a contradiction. This proves (2).

(3)  *$G$  does not contain the Heawood graph  $H_3$ .*

Suppose that  $G$  contains an induced copy of the Heawood graph  $H_3$ , labeled as in Figure 4. Since  $G$  is not the Heawood graph and since  $G$  is connected, there exists a vertex  $x$  with a neighbor in  $V(H_3)$ . By symmetry, we may assume that  $x$  is adjacent to  $v_0$ . This implies by ( $\star$ ) that  $x$  is anticomplete to  $V(H_3) \setminus \{v_0, v_3, v_7, v_9, v_{11}\}$ . If  $x$  is adjacent to  $v_3$ , then  $x$  is non-adjacent to  $v_{11}$  by ( $\star$ ), in which case  $\{x, v_0, v_1, v_2, v_3, v_6, v_{11}\}$  induces a copy of  $H_1$  in  $G$ , contradicting (1). Thus  $x$  is non-adjacent to  $v_3$ , and if  $x$  is adjacent to  $v_9$ , then  $\{x, v_0, v_1, v_{10}, v_9, v_3, v_{12}\}$  induces a copy of  $H_1$  in  $G$ . Therefore  $x$  is also non-adjacent to  $v_9$ . But now  $x-v_0-v_1-v_2-v_3-v_4-v_9-v_8$  is an induced  $P_8$  in  $G$ , a contradiction. This proves (3).

(4)  *$G$  does not contain  $H_4$ .*

Suppose that  $G$  contains  $H_4$ , labeled as in Figure 4. Since  $G$  is not  $H_4$  and since  $G$  is connected, there exists a vertex  $x \notin V(H_4)$  with a neighbor in  $V(H_4)$ . By symmetry (see the alternative drawing of  $H_4$  in Figure 4), we may assume that  $x$  is adjacent to one of  $v_0, v_1, v_2$ .

Suppose first that  $x$  is adjacent to  $v_1$ . Then ( $\star$ ) implies that  $x$  is anticomplete to  $V(H_4) \setminus \{v_1, v_4, v_6\}$ . If  $x$  is adjacent to  $v_6$ , then it is non-adjacent to  $v_4$  by ( $\star$ ), in which case  $\{x, v_1, v_2, v_7, v_6, v_4, v_9\}$  induces a copy of  $H_1$  in  $G$ , contradicting (1). Thus  $x$  is non-adjacent to  $v_6$ , and so  $x-v_1-v_2-v_3-v_{12}-v_{11}-v_6-v_5$  is an induced  $P_8$  in  $G$ , a contradiction. We therefore conclude that  $x$  is not adjacent to  $v_1$ .

Next suppose that  $x$  is adjacent to  $v_2$ . Then  $x$  is anticomplete to  $V(H_4) \setminus \{v_2, v_5, v_9, v_{11}\}$  and has at most one neighbor among  $v_5, v_9, v_{11}$  by ( $\star$ ). Looking at the edge  $xv_2$  and using (1), we find that  $x$  must have a neighbor in the 5-cycle  $v_0-v_5-v_4-v_9-v_8-v_0$  and also in the 5-cycle  $v_0-v_5-v_6-v_{11}-v_{12}-v_0$ . This implies that  $x$  is adjacent to  $v_5$  and has no further neighbors in  $V(H_4)$ . But this leads us to conclude that  $\{x, v_5, v_6, v_7, v_2, v_9, v_{10}\}$  induces a copy of  $H_1$  in  $G$ , contradicting (1).

It remains to consider the case when  $x$  is adjacent to  $v_0$ . In this case  $x$  has no other neighbor in  $V(H_4)$  by ( $\star$ ). Since every vertex in  $G$  has degree at least 3, there exist a

neighbor  $y \notin V(H_4)$  of  $x$ . By repeating the above argument (applied to  $y$  in place of  $x$ ), we conclude that  $y$  is anticomplete to  $V(H_4) \setminus \{v_0\}$  and is also non-adjacent to  $v_0$  by  $(\star)$ . But now  $y-x-v_0-v_1-v_2-v_7-v_6-v_{11}$  is an induced  $P_8$  in  $G$ , a contradiction.

This proves (4).

(5)  *$G$  does not contain an induced 8-cycle.*

Suppose that  $G$  contains an induced 8-cycle  $C = v_0-v_1-v_2-v_3-v_4-v_5-v_6-v_7-v_0$ . Since every vertex in  $G$  has degree at least 3, the vertex  $v_0$  has a neighbor  $u_1 \notin V(C)$ . It follows by  $(\star)$  that  $u_1$  is anticomplete to  $\{v_1, v_2, v_6, v_7\}$ . If  $u_1$  is adjacent to  $v_3$ , then it is anticomplete to  $\{v_4, v_5\}$  by  $(\star)$ , in which case  $\{u_1, v_0, v_1, v_2, v_3, v_5, v_6\}$  induces  $H_1$  in  $G$ , contradicting (1). Thus  $u_1$  is non-adjacent to  $v_3$ , and by symmetry, also to  $v_5$ . Now since  $u_1-v_0-v_1-v_2-v_3-v_4-v_5-v_6$  is not an induced  $P_8$ , we deduce that  $u_1$  is adjacent to  $v_4$  and has no other neighbors in  $V(C)$ . Applying the same argument to other vertices in  $C$ , we find new vertices  $u_2, u_3, u_4$  where  $u_2$  is adjacent in  $C$  to  $v_1, v_5$ , and  $u_3$  is adjacent to  $v_2, v_6$  while  $u_4$  is adjacent to  $v_3, v_7$ . By  $(\star)$ , we have that  $u_1, u_2, u_3, u_4$  are pairwise distinct and  $u_1u_2, u_2u_3, u_3u_4, u_1u_4$  are non-edges.

Suppose that  $u_1$  is non-adjacent to  $u_3$ . Since every vertex in  $G$  has degree at least 3, there exists  $x \notin V(C) \cup \{u_1, u_2, u_3, u_4\}$  adjacent to  $u_1$ . By  $(\star)$ , we see that  $x$  is anticomplete to  $V(C) \setminus \{v_2, v_6\}$ . If  $x$  is adjacent to  $v_2$ , then it is non-adjacent to  $v_6$  by  $(\star)$ , in which case  $\{u_1, v_0, v_1, v_2, x, v_5, v_6\}$  induces  $H_1$  in  $G$ , contradicting (1). Thus  $x$  is non-adjacent to  $v_2$ , and by symmetry, also non-adjacent to  $v_6$ . If  $x$  is also non-adjacent to  $u_3$ , then  $x-u_1-v_4-v_5-v_6-u_3-v_2-v_1$  is an induced  $P_8$  in  $G$ , a contradiction. This proves that  $x$  is adjacent to  $u_3$  and has no neighbor in  $V(C)$ . If  $x$  is also adjacent to both  $u_2, u_4$ , then  $u_2$  is non-adjacent to  $u_4$  and  $V(C) \cup \{u_1, u_2, u_3, u_4, x\}$  induces a copy of  $H_4$  in  $G$ , contradicting (4). Thus, by symmetry,  $x$  is non-adjacent to  $u_2$ . If  $x$  is adjacent to  $u_4$  and also  $u_2$  is adjacent to  $u_4$ , then  $V(C) \cup \{u_1, u_2, u_3, u_4, x\}$  induces  $H_4$  in  $G$ . If  $x$  is adjacent to  $u_4$  but  $u_2$  is not, then  $v_1-u_2-v_5-v_4-v_3-u_4-x-u_3$  is an induced  $P_8$  in  $G$ , a contradiction. This shows that  $x$  is also non-adjacent to  $u_4$ . Moreover, if  $u_2$  is adjacent to  $u_4$ , then  $v_0-u_1-x-u_3-v_6-v_5-u_2-u_4$  is an induced  $P_8$  in  $G$ . Therefore  $u_2$  is non-adjacent to  $u_4$ . This allows us to repeat the above argument for  $u_2$  and  $u_4$  to find a vertex  $y$  complete to  $\{u_2, u_4\}$  and anticomplete to  $V(C) \cup \{u_1, u_3\}$ . In particular,  $y$  is distinct from  $x$ . If  $x$  is adjacent to  $y$ , then  $V(C) \cup \{u_1, u_2, u_3, u_4, x, y\}$  induces a copy of the Heawood graph  $H_3$  in  $G$ , contradicting (3). Thus  $x$  is non-adjacent to  $y$ , but then  $v_0-u_1-x-u_3-v_6-v_5-u_2-y$  is an induced  $P_8$  in  $G$ , a contradiction.

This shows that  $u_1$  is adjacent to  $u_3$ , and by symmetry,  $u_2$  is adjacent to  $u_4$ . But now we find that  $v_0-u_1-u_3-v_2-v_3-u_4-u_2-v_5$  is an induced  $P_8$  in  $G$ , a contradiction. This proves (5).

(6)  *$G$  does not contain  $H_6$ .*

Suppose that  $G$  contains an induced  $H_6$ , labeled as in Figure 5. Since every vertex in  $G$  has degree at least 3, the vertex  $v_0$  has a neighbor  $x \notin V(H_6)$ . By  $(\star)$ , the vertex  $x$  is also anticomplete to  $\{v_1, v_2, u_0, u_1, u_2, u_3\}$ .

Suppose first that  $x$  is adjacent to  $v_4$ . Then by  $(\star)$ , we see that  $x$  has no other neighbors in  $V(H_6)$ , and so it has a neighbor  $y \notin V(H_6)$ , since every vertex in  $G$  has degree at least 3.

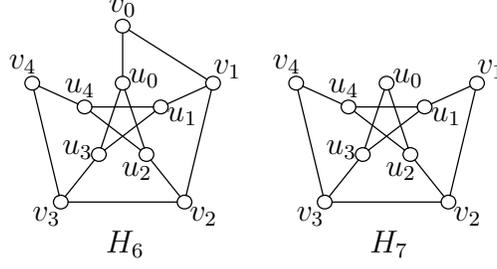


Figure 5: Cases 6-7 of the proof of Theorem 1

By  $(\star)$ , we conclude that  $y$  is anticomplete to  $\{v_0, u_0, v_1, v_3, v_4, u_4\}$  and so it has at most one neighbor in  $V(H_6)$ . Looking at the edge  $xy$  and using (1), we find that  $y$  has a neighbor in each of the 5-cycles:  $v_1-v_2-v_3-u_3-u_1-v_1$ ,  $v_1-v_2-u_2-u_4-u_1-v_1$ ,  $v_2-v_3-u_3-u_0-u_2-v_2$ , and  $u_0-u_2-u_4-u_1-u_3-u_0$ . But these 5-cycles have no vertex in common, so in order for  $y$  to hit each of them it must have at least 2 neighbors in  $V(H_6)$ , a contradiction.

This shows that  $x$  is non-adjacent to  $v_4$ . If it is also anticomplete to  $\{v_3, u_4\}$ , then  $\{u_1, u_3, v_3, v_4, u_4, x, v_0\}$  induces  $H_1$  in  $G$ , contradicting (1). Therefore we conclude  $x$  is adjacent in  $V(H_6)$  only to  $v_0$  and exactly one of  $v_3, u_4$  by  $(\star)$ . By a symmetric argument (applied to  $v_4$ ), we find a new vertex  $z$  adjacent to  $v_4$ , one of  $v_1, u_0$ , and no other vertex in  $V(H_6)$ . If  $x$  is adjacent to  $u_4$ , and  $z$  is adjacent to  $u_0$ , then  $xz$  is not an edge by  $(\star)$  in which case  $x-v_0-v_1-u_1-u_3-v_3-v_4-z$  is an induced  $P_8$  in  $G$ , a contradiction. Similarly, if  $x$  is adjacent to  $u_4$ , and  $z$  is adjacent to  $v_1$ , then  $x-v_0-u_0-u_2-v_2-v_3-v_4-z$  is an induced  $P_8$  in  $G$ , a contradiction. By symmetry, only one possibility remains:  $x$  is adjacent to  $v_3$ , and  $z$  is adjacent to  $v_1$ . In this case  $x-v_0-u_0-u_3-u_1-u_4-v_4-z$  is an induced  $P_8$  in  $G$ , a contradiction. This proves (6).

(7)  $G$  does not contain  $H_7$ .

Suppose that  $G$  contains an induced  $H_7$ , labeled as in Figure 5. Since every vertex in  $G$  has degree at least 3, the vertex  $u_0$  has a neighbor  $x \notin V(H_7)$ . By  $(\star)$ , the vertex  $x$  is anticomplete to  $V(H_7) \setminus \{u_0, v_1, v_4\}$ . If  $x$  is adjacent to both  $v_1, v_4$ , then  $V(H_7) \cup \{x\}$  induces in  $G$  a copy of the Petersen graph  $H_2$ , contradicting (2). If  $x$  is adjacent to exactly one of  $v_1, v_4$ , then  $V(H_7) \cup \{x\}$  induces in  $G$  a copy of  $H_6$ , contradicting (6). Thus  $x$  has no neighbor in  $V(H_7) \setminus \{u_0\}$ . This implies that  $x$  has another neighbor  $y \notin V(H_7)$ , since every vertex in  $G$  has degree at least 3. It follows by  $(\star)$  that  $y$  is anticomplete to  $\{u_0, u_2, u_3\}$ . If  $y$  is adjacent to  $u_4$ , then  $y$  has no other neighbors in  $V(H_7)$  by  $(\star)$ , in which case  $\{v_1, v_2, v_3, u_3, u_1, x, y\}$  induces a copy of  $H_1$  in  $G$ , contradicting (1). Thus  $y$  is non-adjacent to  $u_4$ , and by symmetry, also non-adjacent to  $u_1$ . Similarly, if  $y$  is adjacent to  $v_3$ , then  $y$  has no other neighbors in  $V(H_7)$  by  $(\star)$  and so  $\{v_1, v_2, u_2, u_4, u_1, x, y\}$  induces  $H_1$  in  $G$ . Thus  $y$  is non-adjacent to  $v_3$  and by symmetry, also non-adjacent to  $v_2$ . If  $y$  is also non-adjacent to  $v_4$ , then  $\{v_2, v_3, v_4, u_4, u_2, x, y\}$  induces  $H_1$  in  $G$ . This shows that  $y$  is adjacent to  $v_4$ , and by symmetry, also adjacent to  $v_1$ . But now  $V(H_7) \cup \{y\}$  induces a copy of  $H_6$  in  $G$ , contradicting (6). This proves (7).

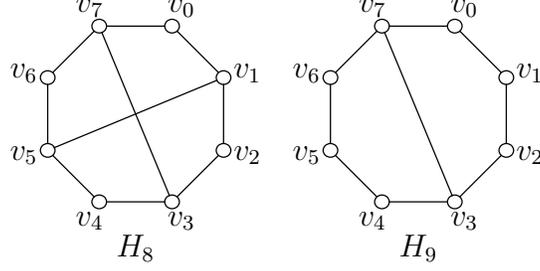


Figure 6: Cases 8-9 of the proof of Theorem 1

(8)  $G$  does not contain  $H_8$ .

Suppose that  $G$  contains an induced  $H_8$ , labeled as in Figure 6.

First we show that  $N(v_0) \setminus V(H_8)$  is anticomplete to  $N(v_2) \setminus V(H_8)$ . For contradiction, let  $x \in N(v_0) \setminus V(H_8)$  be adjacent to  $y \in N(v_2) \setminus V(H_8)$ . By  $(\star)$ , we see that  $x$  is anticomplete to  $V(H_8) \setminus \{v_0, v_4\}$ , and if  $x$  is adjacent to  $v_4$ , then  $V(H_8) \cup \{x\}$  induces a copy of  $H_7$  in  $G$ , contradicting (7). Thus the only neighbor of  $x$  in  $V(H_8)$  is  $v_0$ , and by symmetry, the only neighbor of  $y$  in  $V(H_8)$  is  $v_2$ . Since every vertex in  $G$  has degree at least 3, we find  $z, w \notin V(H_8)$  where  $z \in N(v_4)$  and  $w \in N(v_6)$  which likewise have no other neighbors in  $V(H_8)$ . If  $x$  is also adjacent to  $z$ , then  $y$  is non-adjacent to  $z$  by  $(\star)$ , in which case  $z-x-y-v_2-v_1-v_5-v_6-v_7$  is an induced  $P_8$  in  $G$ , a contradiction. Thus  $x$  is non-adjacent to  $z$  which proves that  $y$  is adjacent to  $z$ , because if not, then  $\{x, y, v_2, v_1, v_0, z, v_4\}$  induces a copy of  $H_1$  in  $G$ , contradicting (1). Repeating this argument for  $y, z$ , we conclude that  $z$  is adjacent to  $w$ , and thus  $w$  is adjacent to  $x$  by the same token. But now  $x-y-z-w-x$  is a cycle in  $G$ , contradicting  $(\star)$ .

This shows that  $N(v_0) \setminus V(H_8)$  is anticomplete to  $N(v_2) \setminus V(H_8)$ , and by symmetry, it is also anticomplete to  $N(v_6) \setminus V(H_8)$ . Suppose that  $N(v_0) \setminus V(H_8)$  is also anticomplete to  $N(v_4) \setminus V(H_8)$ . Since every vertex in  $G$  has degree at least 3, there exists  $x \in N(v_0) \setminus V(H_8)$  and  $z \in N(v_4) \setminus V(H_8)$ . By our assumption,  $x$  is not adjacent to  $z$ , and as shown in the previous paragraph, both  $x$  and  $z$  have no other neighbors in  $V(H_8)$ . Thus there is a neighbor  $u \notin V(H_8)$  of  $x$ , since every vertex in  $G$  has degree at least 3. By  $(\star)$ , we conclude that  $u$  is anticomplete to  $\{v_0, v_1, v_7\}$ . Since  $N(v_0) \setminus V(H_8)$  is anticomplete to  $(N(v_2) \cup N(v_4) \cup N(v_6)) \setminus V(H_8)$ , the vertex  $u$  is also anticomplete to  $\{v_2, v_4, v_6\}$ . So  $(\star)$  implies that  $u$  is adjacent to at most one of  $v_3, v_5$ . By symmetry, we may assume that  $u$  is non-adjacent to  $v_3$ . If  $u$  is non-adjacent to  $z$ , we conclude that  $u-x-v_0-v_1-v_2-v_3-v_4-z$  is an induced  $P_8$  in  $G$ , a contradiction. So  $u$  is adjacent to  $z$  and therefore  $u-x-v_0-v_1-v_2-v_3-v_4-z-u$  an induced the 8-cycle in  $G$ , contradicting (5).

This proves that  $N(v_0) \setminus V(H_8)$  is not anticomplete to  $N(v_4) \setminus V(H_8)$ , and by symmetry,  $N(v_2) \setminus N(V_8)$  is not anticomplete to  $N(v_6) \setminus N(V_8)$ . Thus there exist vertices  $x, y, z, w \notin V(H_8)$  where  $x \in N(v_0)$ ,  $y \in N(v_2)$ ,  $z \in N(v_4)$  and  $w \in N(v_6)$  such that  $x$  is adjacent to  $z$ , and  $y$  is adjacent to  $w$ . We again conclude that  $x, y, z, w$  have no other neighbors in  $V(H_8) \cup \{x, y, z, w\}$ . But now  $v_0-x-z-v_4-v_3-v_2-y-w$  is an induced  $P_8$  in  $G$ , a contradiction. This proves (8).

(9) *G does not contain  $H_9$ .*

Suppose that  $G$  contains an induced  $H_9$ , labeled as in Figure 6. Since every vertex in  $G$  has degree at least 3, we have that  $v_0$  has a neighbor  $x \notin V(H_9)$ . From  $(\star)$ , we deduce that  $x$  is anticomplete to  $V(H_9) \setminus \{v_0, v_4, v_5\}$ . If  $x$  is also anticomplete to  $\{v_4, v_5\}$ , then  $x-v_0-v_1-v_2-v_3-v_4-v_5-v_6$  is an induced  $P_8$  in  $G$ , a contradiction. Thus  $x$  is adjacent to exactly one of  $v_4, v_5$  by  $(\star)$ .

Suppose that  $x$  is adjacent to  $v_5$ . Then  $x$  is non-adjacent to  $v_4$  and so it has another neighbor  $y \notin V(H_9)$ , since every vertex in  $G$  has degree at least 3. By  $(\star)$ , we see that  $y$  is anticomplete to  $V(H_9) \setminus \{v_2, v_3\}$ . If  $y$  is also anticomplete to  $\{v_2, v_3\}$ , then  $y-x-v_5-v_6-v_7-v_3-v_2-v_1$  is an induced  $P_8$  in  $G$ , a contradiction. If  $y$  is adjacent to  $v_2$ , then  $y-v_2-v_1-v_0-v_7-v_6-v_5-v_4$  is an induced  $P_8$ . Thus  $y$  is adjacent to  $v_3$  and non-adjacent to  $v_2$ , and so  $\{x, y, v_3, v_4, v_5, v_6, v_7, v_0\}$  induces a copy of  $H_8$  in  $G$ , contradicting (8).

This shows that  $x$  is non-adjacent to  $v_5$  and thus adjacent to  $v_4$ . Repeating the argument for  $v_6$  instead of  $v_0$ , we find a new vertex  $z$  adjacent in  $V(H_9)$  only to  $v_2$  and  $v_6$ . If  $z$  is non-adjacent to  $x$ , then  $v_0-v_1-v_2-z-v_6-v_5-v_4-x-v_0$  is an induced 8-cycle in  $G$ , contradicting (5). Therefore  $z$  is adjacent to  $x$ , which implies that  $\{v_0, x, v_4, v_3, v_2, z, v_6, v_7\}$  induces a copy of  $H_8$  in  $G$ , contradicting (8). This proves (9).

(10) *G does not contain an induced 5-cycle.*

Suppose that  $G$  contains an induced 5-cycle  $C = v_0-v_1-v_2-v_3-v_4-v_0$ .

First, we prove that  $V(C)$  dominates  $G$ . For contradiction, let  $x$  be a vertex with no neighbor in  $V(C)$ . Since every vertex in  $G$  has degree at least 3, let  $u_1, u_2, u_3$  be three distinct neighbors of  $x$ . If  $u_1$  has no neighbors in  $V(C)$ , then  $V(C) \cup \{x, u_1\}$  induces a copy of  $H_1$  in  $G$ , contradicting (1). Thus  $u_1$  has a neighbor in  $V(C)$  and by symmetry, both  $u_2$  and  $u_3$  have neighbors in  $V(C)$ . By  $(\star)$ , the vertices  $u_1, u_2, u_3$  have disjoint sets of neighbors in  $V(C)$ . Therefore neighbors of two of them, say  $u_1$  and  $u_2$ , are consecutive on the cycle  $C$ ; say  $u_1$  is adjacent to  $v_1$ , and  $u_2$  is adjacent to  $v_2$ . By  $(\star)$ , the vertices  $u_1$  and  $u_2$  are non-adjacent and have no other neighbors in  $V(C)$ . But now we find that  $V(C) \cup \{u_1, u_2, x\}$  induces a copy of  $H_9$  in  $G$ , contradicting (9).

This shows that every vertex in  $V(G) \setminus V(C)$  has a neighbor in  $V(C)$ . Since every vertex in  $G$  has degree at least 3, let  $u_0 \notin V(C)$  be a neighbor of  $v_0$ . By  $(\star)$ , we see that  $u_0$  has no other neighbors in  $V(C)$ . Thus  $u_0$  has other neighbors  $y, z \notin V(C)$ , since every vertex in  $G$  has degree at least 3. By our previous argument, both  $y$  and  $z$  have neighbors in  $V(C)$ . Thus by  $(\star)$ , the set  $\{y, z\}$  is anticomplete to  $\{v_0, v_1, v_4\}$ , and  $y, z$  do not have a common neighbor in  $V(C)$ . Thus, by symmetry, we may assume that  $y$  is adjacent to  $v_2$ , and  $z$  is adjacent to  $v_3$ . But then  $V(C) \cup \{u_0, y, z\}$  induces a copy of  $H_8$  in  $G$ , contradicting (9).

This proves (10).

(11) *G does not contain an induced 6-cycle.*

Suppose that  $G$  contains an induced 6-cycle  $C = v_0-v_1-v_2-v_3-v_4-v_5-v_0$ . Since every vertex in  $G$  has degree at least 3, there are vertices  $u_1, u_2 \notin V(C)$  where  $u_1$  is adjacent to  $v_0$ , and  $u_2$  is adjacent to  $v_2$ . From  $(\star)$  and (10), we conclude that  $u_1, u_2$  are distinct non-adjacent vertices that have no other neighbors in  $V(C)$ . Thus  $u_1$  has another neighbor  $u_3 \notin V(C)$ , since

every vertex in  $G$  has degree at least 3. We deduce by  $(\star)$  and (10) that  $u_3$  is anticomplete to  $V(C) \setminus \{v_3\}$ . If  $u_3$  is also non-adjacent to  $v_3$ , then either  $u_3-u_1-v_0-v_5-v_4-v_3-v_2-u_2$  induces a  $P_8$  in  $G$ , or  $u_3-u_1-v_0-v_5-v_4-v_3-v_2-u_2-u_3$  is an induced 8-cycle, contradicting (5). This shows that  $u_3$  is adjacent to  $v_3$ . Repeating the argument for  $u_2$  instead of  $u_1$ , we find a vertex  $u_4 \notin V(C)$  adjacent to  $u_2, v_5$  and no other vertex in  $V(C)$ . Moreover,  $u_4$  is anticomplete to  $\{u_1, u_3\}$ , and  $u_3$  is non-adjacent to  $u_2$  by  $(\star)$  and (10). But this means that  $v_0-u_1-u_3-v_3-v_2-u_2-u_4-v_5-v_0$  is an induced 8-cycle in  $G$ , contradicting (5). This proves (11).

(12)  $G$  is a tree.

Suppose that  $G$  contains an induced  $k$ -cycle  $C = v_0-v_1-\dots-v_{k-1}-v_0$ . If  $k \geq 9$ , then  $v_0-v_1-\dots-v_7$  is an induced  $P_8$  in  $G$ , a contradiction. Thus  $k \leq 8$  which by  $(\star)$ , (10), (11), and (5) implies that  $k = 7$ . Now since every vertex in  $G$  has degree at least 3, let  $u_1 \notin V(C)$  be a neighbor of  $v_0$ , and let  $u_2 \notin V(C)$  be a neighbor of  $v_5$ . By  $(\star)$ , (10), and since  $k = 7$ , we see that  $u_1$  and  $u_2$  are distinct non-adjacent vertices with no other neighbors in  $V(C)$ . This implies that  $u_1-v_0-v_1-v_2-v_3-v_4-v_5-u_2$  is an induced  $P_8$  in  $G$ , a contradiction. This proves (12).

By (12), we find that  $G$  is a tree and so contains a vertex of degree 1, a contradiction to our assumption that every vertex in  $G$  has degree at least 3. This completes the proof.  $\square$

## 4 No 3- and 5-cycles

In this section, we prove Theorem 2.

Since a vertex-minimal non-3-colorable graph cannot contain two vertices one of which dominates the other, and since  $C_7$  is 3-colorable, it is enough to prove the first assertion of the theorem.

Let  $G$  be a connected  $P_8$ -free graph with no triangles and no induced 5-cycles. Observe that since  $G$  has no triangles, every 5-cycle in  $G$  is induced, and so in fact  $G$  has no  $C_5$ -subgraph. We may assume that no vertex of  $G$  dominates another vertex of  $G$ , i.e. there are no vertices  $u, v \in V(G)$  such that  $N(u) \subseteq N(v)$ . Moreover, we may assume that  $G$  is not bipartite, since any bipartite graph admits a homomorphism to  $K_2$  which is a subgraph of the 7-cycle.

(13)  $G$  does not contain a 7-cycle anticomplete to two adjacent vertices (see Figure 7).

Suppose that  $G$  contains an induced copy of the graph shown in Figure 7. Namely, let  $C = v_0-v_1-\dots-v_6-v_0$  be a 7-cycle and  $x, y$  be adjacent vertices anticomplete to it. Since  $G$  is connected, there exists a path from a vertex in  $V(C)$  to one of  $x, y$ . Let  $P = u_1-u_2-\dots-u_k$  be a shortest such path, where  $u_1 \in V(C)$  and  $u_k \in \{x, y\}$ . Note that  $k \geq 3$ , since the cycle is anticomplete to  $\{x, y\}$ . Thus  $u_2$  exists and has at least one neighbor in  $V(C)$ . Let us examine the neighbors of  $u_2$  in  $V(C)$ . If  $u_2$  is adjacent to  $v_i$ , then  $u_2$  is anticomplete to  $\{v_{i-1}, v_{i+1}, v_{i-3}, v_{i+3}\}$ , since  $G$  contains no triangle and no 5-cycle. Therefore  $u_2$  is additionally only adjacent to exactly one of  $v_{i-2}, v_{i+2}$ . This shows that

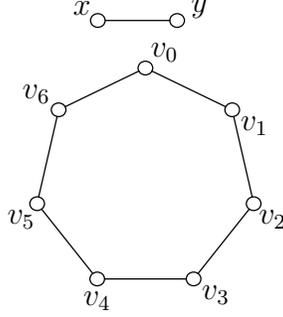


Figure 7: Case 1 of the proof of Theorem 2

there is an index  $i$  such that  $u_2$  is adjacent to  $v_i$  and anticomplete to  $\{v_{i+1}, v_{i+2}, v_{i+3}, v_{i+4}\}$ . Similarly,  $u_{k-1}$  exists and is adjacent to one of  $x, y$ . Since  $G$  contains no triangles,  $u_{k-1}$  is neither complete nor anticomplete to  $\{x, y\}$ . By symmetry, we may assume that  $u_{k-1}$  is adjacent to  $x$  and not to  $y$ . Put together, since the path  $P$  is shortest possible, we conclude that  $v_{i+4}-v_{i+3}-v_{i+2}-v_{i+1}-v_i-u_2-\dots-u_{k-1}-x-y$  is an induced path of length  $\geq 7$  which therefore contains  $P_8$ , a contradiction. This proves (13).

A *template*  $W \subseteq V(G)$  consists of non-empty sets  $X_0, X_1, \dots, X_6 \subseteq V(G)$  with the following properties (index arithmetic modulo 7):

- (W1) each  $X_i$  is a stable set,
- (W2)  $X_i$  is anticomplete to  $X_j$  whenever  $2 \leq |i - j| \leq 5$ ,
- (W3) each  $v \in X_i$  has both a neighbor in  $X_{i+1}$  and a neighbor in  $X_{i-1}$ .

Observe that if  $W$  is a template in  $G$ , then  $G[W]$  admits a homomorphism to a 7-cycle  $v_0-v_1-\dots-v_6-v_0$  by simply mapping each  $v \in X_i$  to  $v_i$ , for all  $i$ .

Thus if we show that  $W = V(G)$  is a template in  $G$ , then the theorem will be proved. Since  $G$  is not bipartite, it contains an odd cycle. Since  $G$  is  $P_8$ -free and contains no triangle and no 5-cycle, it follows that  $G$  contains a 7-cycle. In particular,  $G$  contains a template. Thus, for contradiction, consider a largest template  $W$  in  $G$ , and assume  $W \neq V(G)$ .

(14) *If  $x \in V(G) \setminus W$  has a neighbor in  $X_i$ , then  $x$  is anticomplete to  $X_{i+1}$ .*

For contradiction and by symmetry, assume that  $x \in V(G) \setminus W$  has a neighbor  $v_0$  in  $X_0$ , and a neighbor  $u_1 \in X_1$ . Note that  $v_0$  is not adjacent to  $u_1$  or otherwise  $\{x, v_0, u_1\}$  is a triangle. Thus by (W3),  $v_0$  has a neighbor  $v_1 \in X_1 \setminus \{u_1\}$ , and  $u_1$  has a neighbor  $u_0 \in X_0 \setminus \{v_0\}$ . We conclude that  $x$  is anticomplete to  $\{u_0, v_1\}$ , since  $G$  has no triangles. Moreover,  $u_0$  is not adjacent to  $v_1$ , or otherwise  $x-v_0-v_1-u_0-u_1-x$  is a 5-cycle. By (W3), let  $v_2 \in X_2$  be any neighbor of  $v_1$ . Note that  $v_2$  is non-adjacent to  $u_1$ , since otherwise  $x-u_1-v_2-v_1-v_0-x$  is a 5-cycle. Next let  $v_6 \in X_6$  be a neighbor of  $v_0$ ; we conclude that  $v_6$  is non-adjacent to  $u_0$ , or otherwise  $x-v_0-v_6-u_0-u_1-x$  is a 5-cycle.

Now, by (W3), let  $v_3 \in X_3$  be a neighbor of  $v_2$ , let  $v_5 \in X_5$  be a neighbor of  $v_6$ , let  $v_4 \in X_4$  be a neighbor of  $v_3$ , and let  $w_4 \in X_4$  be a neighbor of  $v_5$ . If possible, choose  $v_4 = w_4$ . Thus if  $v_4 \neq w_4$ , we conclude that  $v_4$  is non-adjacent to  $v_5$ , and  $w_4$  is non-adjacent to  $v_3$ . So  $v_4-v_3-v_2-v_1-v_0-v_6-v_5-w_4$  is an induced  $P_8$ . Therefore  $v_4 = w_4$  and  $v_0-v_1-\dots-v_6-v_0$  is a

7-cycle anticomplete to  $\{u_0, u_1\}$  where  $u_0u_1$  is an edge, contradicting (13). This proves (14).

(15) *If  $x \in V(G) \setminus W$  has a neighbor in  $X_i$ , then  $x$  is anticomplete to  $X_{i+3}$ .*

For contradiction and by symmetry, suppose that  $x \in V(G) \setminus W$  has a neighbor in  $v_0 \in X_0$  and a neighbor  $u_3 \in X_3$ .

By (W3), let  $u_2 \in X_2$  be any neighbor of  $u_3$ , let  $u_1 \in X_1$  be any neighbor of  $u_2$ , and let  $u_0 \in X_0$  be any neighbor of  $u_1$ . Observe that  $u_0 \neq v_0$  and  $u_1$  is non-adjacent to  $v_0$ , since otherwise  $x-u_3-u_2-u_1-v_0-x$  is a 5-cycle. Similarly, note that  $x$  is non-adjacent to  $u_0$ , since otherwise  $x-u_0-\dots-u_3-x$  is a 5-cycle. Moreover,  $x$  is anticomplete to  $\{u_1, u_2\}$  by (14). If there are vertices  $y, z \in X_6$  such that  $y \in N(u_0) \setminus N(v_0)$  and  $z \in N(v_0) \setminus N(u_0)$ , then we conclude that  $x$  is anticomplete to  $\{y, z\}$  by (14), and so  $y-u_0-u_1-u_2-u_3-x-v_0-z$  is an induced  $P_8$ . Therefore such vertices do not exist which proves that  $u_0, v_0$  have a common neighbor  $w_6 \in X_6$ . By (14),  $w_6$  is not adjacent to  $x$ .

Now by renaming the template ( $X_0$  switches place with  $X_3$ ) and repeating the above paragraph, we conclude that there exists  $v_3 \in X_3 \setminus \{u_3\}$  and  $w_4 \in X_4$ , where  $x$  is anticomplete to  $\{v_3, w_4\}$ , and  $w_4$  is a common neighbor of  $u_3$  and  $v_3$ . This yields an induced path  $v_3-w_4-u_3-x-v_0-w_6-u_0-u_1$ , a contradiction. This proves (15).

We are now ready to derive a contradiction. Consider any  $x \in V(G) \setminus W$ . Choose  $x$  so that it has neighbors in largest number of sets  $X_i$  possible. Since  $G$  is connected,  $x$  has at least one neighbor in  $W$ . By symmetry, we may assume that  $x$  has a neighbor in  $X_0$ . Then by (14),  $x$  is anticomplete to  $X_1 \cup X_6$ . Moreover, by (15),  $x$  is anticomplete to  $X_3 \cup X_4$ , and at least one of  $X_2, X_5$ . By symmetry, we may assume that  $x$  is anticomplete to  $X_2$ . If  $x$  has a neighbor in  $X_5$ , then  $x$  can be safely added to  $X_6$ , since it is anticomplete to  $X_1 \cup \dots \cup X_4 \cup X_6$ , has at least one neighbor in  $X_0$  and one neighbor in  $X_5$ . Then  $W \cup \{x\}$  is a larger template, impossible.

We therefore conclude that  $x$  is also anticomplete to  $X_5$ . Recall that  $x$  has a neighbor  $v_0 \in X_0$ . By (W3),  $v_0$  has a neighbor  $v_1 \in X_1$ . Since  $v_1$  does not dominate  $x$ , there exists  $w \in N(x) \setminus N(v_1)$ . Note that  $w$  is not in  $X_1 \cup \dots \cup X_6$ , since  $x$  is anticomplete to these sets;  $w$  may possibly be in  $X_0$ . We claim that  $w$  is anticomplete to  $X_3 \cup X_4$ . If  $w \in X_0$ , this follows from the definition of a template, so we may assume that  $w \notin W$ . Now  $w$  has neighbors in at most one set  $X_i$ , by the choice of  $x$  (since  $x$  only has neighbors in  $X_0$ ). If  $w$  has neighbors in  $X_3$ , we can safely enlarge  $W$  by putting  $x$  in  $X_1$  and  $w$  in  $X_2$ . Similarly, if  $w$  has neighbors in  $X_4$ , we put  $x$  in  $X_6$  and  $w$  in  $X_5$ . Therefore  $w$  is anticomplete to  $X_3 \cup X_4$ , and the claim follows.

Now, note that  $w \neq v_0$ , since  $w$  is non-adjacent to  $v_1$ , while  $v_0$  is. By (W3), let  $v_2 \in X_2$  be any neighbor of  $v_1$ , let  $v_3 \in X_3$  be any neighbor of  $v_2$ , let  $v_4 \in X_4$  be any neighbor of  $v_3$ , and finally, let  $v_5 \in X_5$  be any neighbor of  $v_4$ . Note that  $x$  is anticomplete to  $\{v_1, \dots, v_5\}$ . Consider the path  $x-v_0-\dots-v_5$ . Since  $w$  is adjacent to  $x$ , it follows that  $w$  is anticomplete to  $\{v_0, v_2\}$ , since  $G$  has no triangles and no 5-cycles. Moreover,  $w$  is anticomplete to  $\{v_3, v_4\}$ , since  $w$  is anticomplete to  $X_3 \cup X_4$ . Thus, since  $w-x-v_0-\dots-v_5$  is not an induced  $P_8$ , we conclude that  $w$  is adjacent to  $v_5$ . In particular,  $w$  has neighbors in  $X_5$  and so it has no neighbors in any other set  $X_i$ , by the choice of  $x$ . But now consider a different path. By

(W3), let  $u_6 \in X_6$  be any neighbor of  $v_0$ , let  $u_5 \in X_5$  be any neighbor of  $u_6$ , let  $u_4 \in X_4$  be any neighbor of  $u_5$ , let  $u_3 \in X_3$  be any neighbor of  $u_4$ , and finally let  $u_2 \in X_2$  be any neighbor of  $u_3$ . Note that  $w$  is anticomplete to  $\{u_2, u_3, u_4, u_6\}$ , since it is anticomplete to  $W \setminus X_5$ . Moreover,  $w$  is non-adjacent to  $u_5$ , since otherwise  $w-x-v_0-u_6-u_5-w$  is a 5-cycle. But now  $w-x-v_0-u_6-u_5-u_4-u_3-u_2$  is an induced  $P_8$ , a contradiction.

We therefore conclude that no such a vertex  $x$  exists and thus  $W = V(G)$  is indeed a template. This proves that  $G$  is homomorphic to a 7-cycle, as promised.  $\square$

## 5 No 4- and 5-cycles

In this section, we prove Theorem 3.

The forward direction (i) $\Rightarrow$ (ii) of Theorem 3 follows immediately by verifying that none of the graphs in Figure 2 is 3-colorable. So it remains to prove (ii) $\Rightarrow$ (i).

Consider a smallest counterexample, namely a smallest 4-chromatic graph  $G$  with no induced  $P_8$ , no induced 4- and 5-cycles, and containing none of the graphs in Figure 2 as a subgraph. In the following series of claims we will prove that such a graph  $G$  does not exist. This will prove (ii) $\Rightarrow$ (i).

We start by noting that  $G$  is a connected graph and has minimum degree 3.

(16)  *$G$  is connected and every vertex of  $G$  has degree at least 3.*

Clearly, if  $G$  is disconnected, then all its connected components are smaller than  $G$  so they are 3-colorable by the minimality of  $G$ , and so is  $G$ . If a vertex  $v \in V(G)$  has degree 2 or less, then there is by the minimality of  $G$  a 3-coloring of  $G - v$  which can be completed to a 3-coloring of  $G$ , since there is always an available color for  $v$ , a contradiction.

This proves (16).

The following lemma will be used many times to analyze the structure of  $G$ .

(17) *Let  $a-b-c-d$  be a path in  $G$  such that  $ac, bd \notin E(G)$ . Then also  $ad \notin E(G)$ . Moreover, if  $x \in N(d) \setminus \{c\}$  is adjacent to  $a$  or  $b$ , then  $x$  is complete to  $\{b, c\}$ .*

If  $ad \in E(G)$ , then  $\{a, b, c, d\}$  induces a 4-cycle in  $G$ . Thus  $ad \notin E(G)$ . Now consider  $x \in N(d) \setminus \{c\}$  such that  $x$  is not complete to  $\{b, c\}$ . If  $x$  is adjacent to  $b$ , then  $x$  is non-adjacent to  $c$  and hence  $x-b-c-d-x$  is an induced 4-cycle in  $G$ . Thus  $x$  is non-adjacent to  $b$ . If  $x$  is adjacent to both  $a$  and  $c$ , then  $x-a-b-c-x$  is an induced 4-cycle in  $G$ . If  $x$  is adjacent to  $a$  and non-adjacent to  $c$ , then  $a-b-c-d-x-a$  is an induced 5-cycle in  $G$ , a contradiction. Therefore  $x$  is also non-adjacent to  $a$ . This proves (17).

A set  $S \subseteq V(G)$  is *connected* if  $G[S]$  is a connected graph. A *star cutset* of  $G$  is a cutset  $S$  where  $S \subseteq N(v) \cup \{v\}$  for some  $v \in S$ . We say that  $(S, v)$  is *2-connected* if  $S \setminus \{v\}$  is connected (please note that this is slightly different from the usual definition of 2-connectivity).

(18)  *$G$  has no 2-connected star cutset.*

Let  $S$  be a star cutset of  $G$  where  $v \in S$  is such that  $S \subseteq N(v) \cup \{v\}$  and  $(S, v)$  is 2-connected. Let  $K$  be a connected component of  $G - S$ . The minimality of  $G$  implies that  $G[V(K) \cup S]$

is 3-colorable. Likewise  $G - K$  is 3-colorable. (Both subgraphs are strictly smaller than  $G$ .) The colorings of the two subgraphs agree on  $S \setminus \{v\}$  (up to exchanging colors), since only two colors appear there (the third color is assigned to  $v$ ), and  $S \setminus \{v\}$  is connected. Thus by possibly permuting the colors we can match the two colorings on  $S$  to produce a 3-coloring of  $G$ , contradicting our choice of  $G$ . This proves (18).

A set  $S \subseteq V(G)$  is *homogeneous* if  $V(G) \setminus S$  splits into two sets  $A, B$  where  $S$  is complete to  $A$  and anticomplete to  $B$ . A homogeneous set is non-trivial if  $1 < |S| < |V(G)|$ .

(19) *Every non-trivial homogeneous set of  $G$  consists of two adjacent vertices.*

Let  $S$  be a non-trivial homogeneous set of  $G$ . Let  $A, B$  be the partition of  $V(G) \setminus S$  where  $S$  is complete to  $A$  and anticomplete to  $B$ . Since  $S$  is non-trivial and  $G$  is connected, we conclude that  $A$  is non-empty. Let  $a$  be in  $A$ . Since  $G$  has no  $K_4$ , no induced  $C_5$ ,  $W_7$ , and  $C_9$  or larger induced cycle, it follows that  $G[S]$  is bipartite. If  $S$  has no edges, then for any  $v$  in  $S$ , we can 3-color  $G - v$  by the minimality of  $G$ , and then assign  $v$  a color of any vertex in  $S \setminus \{v\}$  to produce a 3-coloring of  $G$ . Thus  $S$  has adjacent vertices  $x, y$ . This implies that  $A$  is stable, since  $G$  contains no  $K_4$ . If  $|S| > 2$ , then we 3-color  $G - (S \setminus \{x, y\})$  by the minimality of  $G$ . In this coloring, all vertices of  $A$  have the same color; we assign the remaining two colors to the bipartition of  $S$  to complete the coloring. Thus  $S$  consists of two adjacent vertices, as claimed. This proves (19).

(20) *Let  $C = v_0 - v_1 - v_2 - v_3 - v_4 - v_5 - v_6 - v_0$  be an induced 7-cycle in  $G$ . Let  $X$  denote the set of all vertices in  $V(G) \setminus V(C)$  with at least one neighbor in  $V(C)$ . Let  $Y$  be the remaining vertices, i.e.  $Y = V(G) \setminus (X \cup V(C))$ . Then the following is true.*

(20a) *Each  $x \in X$  has at most 4 neighbors in  $V(C)$  and the neighbors are consecutive along the cycle.*

(20b) *Each  $x \in X$  with a neighbor  $y \in Y$  has 3 or 4 consecutive neighbors in  $V(C)$ .*

(20c)  $Y = \emptyset$ .

Let  $x$  be in  $X$ . Since  $G$  has no induced  $W_7$  and since  $x \in X$ , it follows that  $x$  has both a neighbor and a non-neighbor in  $V(C)$ . We may assume that  $x$  is adjacent to  $v_0$  and non-adjacent to  $v_1$ . Thus  $x$  is non-adjacent to  $v_2$ , and to  $v_3$ , since  $G$  has no induced  $C_4$ , or  $C_5$ . Thus  $x$  has at most 4 neighbors in  $V(C)$ , among  $v_4, v_5, v_6, v_0$ . The neighbors must be consecutive, since  $G$  has no induced  $C_4, C_5$ . This proves (20a).

Suppose further that  $x$  has a neighbor  $y$  in  $Y$ . Since  $y - x - v_0 - v_1 - v_2 - v_3 - v_4 - v_5$  is not an induced  $P_8$ , it follows that  $x$  must be adjacent to at least one of  $v_4, v_5$ . But since the neighbors of  $x$  are consecutive,  $x$  is adjacent to  $v_5, v_6$  and possibly to  $v_4$ . This proves (20b).

For (20c), consider a connected component  $K$  of  $G[Y]$ . Let  $S = N(K)$ , the neighborhood of  $K$ . By the definition of  $X$  and  $K$ , we conclude that  $S$  is a subset of  $X$ . By (18),  $S$  is not a clique (note that  $S$  separates  $K$  from  $C$ ). Let  $x, w$  be non-adjacent vertices in  $S$ . Since  $S = N(K)$ , there exists an  $xw$ -path  $P$  whose all internal vertices are in  $V(K)$ . Consider shortest such path  $x = a_0 - a_1 - \dots - a_k = w$ . Note that  $P$  is induced, by the minimality. Also,  $k \geq 2$  since  $x, w$  are non-adjacent. By (20b), we may assume that  $x$  is adjacent to  $v_5, v_6, v_0$ , possibly to  $v_4$ , and anticomplete to  $v_1, v_2, v_3$ . If  $k \geq 4$ , then  $v_3 - v_2 - v_1 - v_0 - x - a_1 - a_2 - a_3$  is

an induced  $P_8$  (since  $a_1, a_2, a_3$  are in  $K$  in that case).

Suppose that  $k = 3$ . Then  $x$  is adjacent to  $v_4$ , since otherwise  $v_4-v_3-v_2-v_1-v_0-x-a_1-a_2$  is an induced  $P_8$ . Since  $G$  has no induced  $C_5$ , we conclude that  $w$  is anticomplete to  $\{v_4, v_5, v_6, v_0\}$ , or else  $v_i-x-a_1-a_2-w-v_i$  is an induced  $C_5$  for some  $i$  in  $\{0, 4, 5, 6\}$ . By (20b), it follows that  $w$  is complete to  $\{v_1, v_2, v_3\}$  and has no other neighbors in  $V(C)$ . But now  $a_1-a_2-w-v_3-v_4-v_5-v_6-v_0$  is an induced  $P_8$ .

It follows that  $k = 2$ . Since  $G$  has no induced  $C_4, C_5$ , we conclude that  $w$  is anticomplete to  $\{v_4, v_5, v_6, v_0, v_1\}$  and possibly also to  $v_3$  if  $x$  is adjacent to  $v_4$ . But then  $w$  can only have 1 or 2 neighbors in  $V(C)$ , contradicting (20b). Therefore we must conclude that  $P$  cannot exist, and neither could  $x, w$ . This proves (20).

(21) *Let  $C = v_0-v_1-v_2-v_3-v_4-v_5-v_6-v_7-v_0$  be an induced 8-cycle in  $G$ . Let  $x \in V(G) \setminus V(C)$ . Then either*

- (i)  *$x$  is complete or anticomplete to  $V(C)$ , or*
- (ii)  *$x$  has exactly 3, exactly 4, or exactly 5 neighbors in  $V(C)$  and they are consecutive along the cycle, or*
- (iii)  *$N(x) \cap V(C) = \{v_i, v_{i+4}\}$  for some  $i$  (indices modulo 8).*

Let  $x \in V(G) \setminus V(C)$ . If  $x$  is complete or anticomplete to  $V(C)$ , we obtain outcome (i). Thus by symmetry we may assume that  $x$  is adjacent to  $v_0$  and non-adjacent to  $v_1$ . By (17) applied to  $v_3-v_2-v_1-v_0$  we deduce that  $x$  is also anticomplete to  $\{v_2, v_3\}$ . Suppose  $x$  is non-adjacent to  $v_6$ , Since  $G[V(C) \setminus \{v_7\} \cup \{x\}]$  is not  $P_8$ , it follows that  $x$  has a neighbor in  $\{v_4, v_5\}$ . By (17) applied to  $x$  and  $v_5-v_6-v_7-v_0$ , we conclude that  $x$  is non-adjacent to  $v_5$ . So  $x$  is adjacent to  $v_4$ , and by (17) applied to  $v_7-v_6-v_5-v_4$  and  $x$ , we deduce that  $x$  is non-adjacent to  $v_7$ ; consequently outcome (iii) holds. This proves that  $x$  is adjacent to  $v_6$ . Since  $G$  contains no induced  $C_4$ , it follows that  $x$  is adjacent to  $v_7$ , and that  $x$  is adjacent to  $v_4$  only if  $x$  is adjacent to  $v_5$ , and outcome (ii) holds. This proves (21).

(22) *Let  $C = v_0 - \dots v_k - v_0$  be an induced cycle where  $k \in \{6, 7, 8\}$  and let  $u, v \in V(G) \setminus V(C)$ . Then there is no  $i$  such that  $\{u, v\}$  is complete to  $\{v_i, v_{i+2}\}$  (indices modulo  $k$ ).*

Suppose that such  $i$  exists. Since there is no induced  $C_4$  in  $G$ , it follows that  $u, v, v_{i+1}$  are pairwise adjacent. But now  $\{u, v, v_i, v_{i+1}\}$  is a  $K_4$ , a contradiction. This proves (22).

(23) *Let  $C = v_0-v_1-v_2-v_3-v_4-v_5-v_0$  be an induced 6-cycle in  $G$ . Let  $q$  be a vertex complete to  $V(C)$ . Then*

- (23a) *each  $x \in V(G) \setminus (V(C) \cup \{q\})$  has at most 2 neighbors in  $V(C)$  and they are consecutive along the cycle.*
- (23b)  *$G[N(q)]$  is connected, and  $G[N(q) \setminus \{v_i\}]$  is connected for every  $i \in \{0, \dots, 5\}$  with  $N(v_i) \subseteq N(q) \cup \{q\}$ .*
- (23c)  *$G - (N(q) \cup \{q\})$  is connected and has at least one vertex.*
- (23d)  *$N(v_i) \not\subseteq N(q) \cup \{q\}$  for all  $i \in \{0, 1, \dots, 5\}$ .*

To prove (23a), consider a vertex  $x \notin V(C) \cup \{q\}$  with a neighbor in  $V(C)$ . By symmetry, assume that  $x$  is adjacent to  $v_0$ . By (22),  $x$  is anticomplete to  $\{v_2, v_4\}$ . Thus  $x$  is non-adjacent

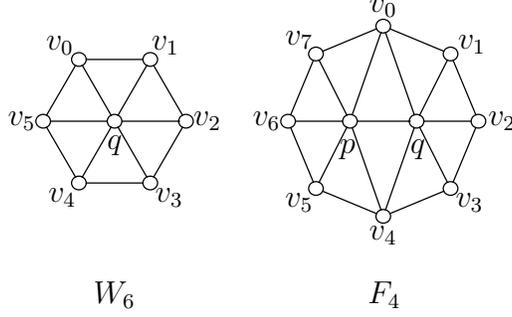


Figure 8: Excluded subgraphs.

to  $v_3$  by (17) applied to  $v_3-v_2-v_1-v_0$ . So  $N(x) \cap V(C) \subseteq \{v_5, v_0, v_1\}$  and furthermore,  $x$  is non-adjacent to at least one of  $v_1, v_5$  by (22). This proves (23a).

To prove (23b), suppose for a contradiction that either  $G[N(q)]$  is not connected, or that there is  $i$  such that  $N(v_i) \subseteq N(q) \cup \{q\}$  and  $G[N(q) \setminus \{v_i\}]$  is not connected. In the former case, let  $B = \{q\}$  and in the latter let  $B = \{v_i, q\}$ . Note that  $V(C) \setminus B$  is contained in one connected component of  $G[N(q) \setminus B]$ ; let  $K$  denote this component. Observe that  $G - B$  is connected for otherwise  $(B, q)$  is a 2-connected star cutset in  $G$ , contradicting (18). Consider a shortest path  $P = x_1-x_2-\dots-x_l$  in  $G \setminus B$  from a vertex of  $V(C) \setminus B$  to a vertex of  $G[N(q)] - V(K)$ . Note that  $P$  is an induced path and  $q$  is not complete to  $V(P)$ . Since  $q$  is complete to the ends of  $P$  and  $G$  contains no induced  $C_4, C_5$ , it follows that  $l \geq 5$ . The minimality of  $P$  implies that  $x_j \notin V(C)$  for all  $j \geq 2$  and moreover,  $V(C) \setminus B$  is anticomplete to  $\{x_3, x_4, \dots, x_l\}$ . Since  $x_1 \in V(C)$ , the vertex  $x_2$  has a neighbor in  $V(C)$ , and therefore by (23a), we may assume by symmetry that  $x_2$  is adjacent to  $v_0 = x_1$ , possibly to  $v_5$ , and otherwise has no other neighbors in  $V(C)$ . This implies  $i \neq 0$  (if  $v_i$  exists). If  $v_i$  does not exist or if  $i \in \{3, 4, 5\}$ , choose  $Q = x_2-v_0-v_1-v_2$ , while if  $i \in \{1, 2\}$  choose  $Q = x_2-v_0-v_5-v_4$  if  $x_2v_5 \notin E(G)$  and otherwise choose  $Q = x_2-v_5-v_4-v_3$ . Observe that  $Q$  does not contain  $v_i$  (if  $i$  exists). If  $l \geq 6$ , it follows that  $x_6-x_5-\dots-x_2-Q$  is an induced  $P_8$ . Thus we conclude that  $l = 5$  and so  $q$  is anticomplete to  $\{x_2, x_3, x_4\}$ . If  $v_i$  does not exist or if  $v_i$  is anticomplete to  $\{x_2, x_3, x_4\}$ , then  $x_4-x_3-x_2-v_0-v_1-v_2-v_3-v_4$  is an induced  $P_8$ . Thus  $v_i$  must exist and must have a neighbor in  $\{x_2, x_3, x_4\}$ , contrary to the fact that  $N(v_i) \subseteq N(q) \cup \{q\}$ . This proves (23b).

To prove (23c), let  $G' = G - (N(q) \cup \{q\})$ . By (23b)  $N(q)$  is connected. Since  $|N(q)| \geq 6$ , (19) implies that  $N(q)$  is not a homogeneous set, and so  $G'$  has at least one vertex. Moreover, by (18)  $(N(q) \cup \{q\}, q)$  is not a 2-connected star cutset, and so  $G'$  is connected. This proves (23c).

Finally, to prove (23d), suppose for a contradiction (and by symmetry) that  $N(v_0) \subseteq N(q) \cup \{q\}$ . Note that  $V(G) \setminus (N(q) \cup \{q\})$  is non-empty by (23c). By (23b)  $v_0$  is not a cutvertex of  $G[N(q)]$ . But now  $(N(q) \cup \{q\} \setminus \{v_0\}, q)$  is a 2-connected star cutset separating  $v_0$  from  $V(G) \setminus (N(q) \cup \{q\})$ , contrary to (18). This proves (23d). This proves (23).

(24)  $G$  contains no induced double-6-wheel  $F_4$  (see Figure 8).

Suppose that  $G$  contains an induced copy of  $F_4$ , labeled as in Figure 8. By (23d) applied to the 6-cycle  $v_0-v_1-v_2-v_3-v_4-p-v_0$ , the vertex  $v_2$  has a neighbor  $x$  non-adjacent to  $q$ . By (23a) it follows that  $x$  is non-adjacent to  $p, v_0, v_4$ . By (17) applied to  $x$  and  $x-v_2-q-p-v_6$ , we deduce that  $x$  is non-adjacent to  $v_6$ . Since (22) implies that  $x$  is not complete to  $\{v_1, v_3\}$ , we get a contradiction to (21) applied to  $v_0-v_1-v_2-v_3-v_4-v_5-v_6-v_7-v_0$  and  $x$ . This proves (24).

(25) *Let  $C = v_0-v_1-v_2-v_3-v_4-v_5-v_0$  be an induced 6-cycle in  $G$ . Let  $q$  be a vertex complete to  $V(C)$ . Then there is no index  $i$  such that the vertices  $v_i, v_{i+2}$  (indices modulo 6) are connected by an induced path of length 4 whose internal vertices are anticomplete to  $q$ .*

For a contradiction, we may assume by symmetry that there is an induced path  $P = v_0-a_0-a_1-a_2-v_2$  between  $v_0$  and  $v_2$  where  $\{a_0, a_1, a_2\}$  is anticomplete to  $q$ . By (17) applied to  $a_1$  and  $v_i-q-v_0-a_0$  where  $i \in \{2, 3, 4\}$ , we deduce that  $\{a_0, a_1\}$  is anticomplete to  $\{v_2, v_3, v_4\}$ . By symmetry,  $\{a_1, a_2\}$  is anticomplete to  $\{v_0, v_4, v_5\}$ .

Suppose first that  $v_1$  is adjacent to  $a_0$ . By (23a)  $a_0$  is anticomplete to  $\{v_2, v_3, v_4, v_5\}$ . Then (17) applied to  $v_1$  and  $a_0-a_1-a_2-v_2$  yields that  $v_1$  is complete to  $\{a_0, a_1, a_2\}$ . Again by (23a)  $a_2$  is anticomplete to  $\{v_3, v_4, v_5\}$ . Since there is no  $K_4$  in  $G$  and by (23a), it follows that  $N(a_1) \cap V(C) = \{v_1\}$ . But now the vertices  $\{v_0, v_1, v_2, v_3, v_4, v_5, a_0, a_1, a_2, q\}$  induce a copy of  $F_4$  in  $G$ , contradicting (24). So  $v_1$  is not adjacent to  $a_0$ , and by (17) applied to  $a_2-a_1-a_0-v_0$ , we deduce that  $v_1$  is anticomplete to  $\{a_0, a_1, a_2\}$ .

Now suppose that  $v_5 \notin N(a_0)$  and  $v_3 \notin N(a_2)$ . By (23d),  $v_3$  has a neighbor  $y \notin N(q)$ . By (22),  $y$  is anticomplete to  $\{v_1, v_5\}$ , and not complete to  $\{v_2, v_4\}$ . By (17) applied to  $y$  and  $a_0-v_0-q-v_3$ , we deduce that  $y$  is non-adjacent to  $a_0$ . Note that  $C' = v_0-a_0-a_1-a_2-v_2-v_3-v_4-v_5-v_0$  is an induced 8-cycle. It follows by (21) that  $y$  has exactly 3, 4, or 5 consecutive neighbors on  $C'$ . Since  $y$  is adjacent to at most one of  $v_2, v_4$  and is non-adjacent to  $v_5$ , we conclude that  $y$  is complete to  $\{v_2, v_3, a_2\}$  and anticomplete to  $\{v_0, v_4, v_5, a_0\}$ . Moreover,  $y$  is also adjacent to  $a_1$ , since otherwise  $v_1-v_0-a_0-a_1-a_2-y-v_3-v_4$  is an induced  $P_8$  in  $G$ . Now by (23d), the vertex  $v_4$  has a neighbor  $z$  non-adjacent to  $q$ . By (23a),  $z$  is anticomplete to  $\{v_0, v_1, v_2\}$  and one of  $v_3, v_5$ . Thus (21) applied to  $C'$  and  $z$  implies that  $z$  is adjacent to  $a_1$  and has no other neighbor in  $V(C')$ . In particular,  $z$  is non-adjacent to  $v_3$ . But then we get a contradiction applying (17) to  $z$  and the path  $a_1-y-v_3-v_4$ .

This shows that either  $v_5 \in N(a_0)$  or  $v_3 \in N(a_2)$  or both. By symmetry we may assume that  $v_5 \in N(a_0)$ . By (23d),  $v_4$  has a neighbor  $z$  non-adjacent to  $q$ . By (17) applied to  $a_0-v_0-q-v_4$ , we deduce that  $z$  is anticomplete to  $\{a_0, v_0\}$ . By the same token,  $z$  is anticomplete to  $\{v_1, v_2, a_2\}$ . Moreover,  $z$  is non-adjacent to  $a_1$  by (17) applied to  $a_1-a_0-v_5-v_4$ . It follows that  $N(z) \cap \{v_0, \dots, v_5, a_0, a_1, a_2\} \subseteq \{v_3, v_4, v_5\}$ , and  $z$  is not complete to  $\{v_3, v_5\}$  by (22). Now if  $z$  is non-adjacent to  $v_5$ , then  $z-v_4-v_5-a_0-a_1-a_2-v_2-v_1$  is an induced  $P_8$  in  $G$ , a contradiction. This shows that  $z$  is adjacent to  $v_5$  and so  $z$  is non-adjacent to  $v_3$ . Reversing the roles of  $a_0$  and  $a_2$ , we deduce that  $a_2$  is non-adjacent to  $v_3$ , and  $z-v_4-v_3-v_2-a_2-a_1-a_0-v_0$  is an induced  $P_8$  in  $G$ , a contradiction. This proves (25).

(26)  *$G$  contains no induced 6-wheel  $W_6$  (see Figure 8).*

Suppose that  $G$  contains an induced copy of  $W_6$ , labeled as in Figure 8, where  $C = v_0-v_1-v_2-v_3-v_4-v_5-v_0$  is the 6-cycle that is complete to  $q$ . By (23d), each vertex on  $C$

has a neighbor in  $G - (N(q) \cup \{q\})$  which is a connected graph by (23c). Thus every two vertices in  $C$  are connected by path whose internal vertices are anticomplete to  $q$ . This allows us to consider a shortest path  $P = x_1 - x_2 - \dots - x_l$  whose endpoints are  $v_i$  and  $v_{i+2}$  (modulo 6) for some value of  $i$ . We may assume that  $x_1 = v_0$  and  $x_l = v_2$ . Since  $q$  is complete to the ends of  $P$  and anticomplete to the interior vertices of  $P$ , it follows that  $l \geq 5$  (for otherwise  $G$  contains  $C_4$  or  $C_5$ ). By (25), it follows that  $l \geq 6$ . By the minimality of  $l$ ,  $v_4$  is anticomplete to  $V(P)$ . If  $v_5$  is anticomplete to  $\{x_2, \dots, x_{l-1}\}$ , then  $v_4 - v_5 - x_1 - x_2 - \dots - x_{l-1} - v_2$  is an induced path of length at least 8 in  $G$ , a contradiction. By symmetry it follows that both  $v_5$  and  $v_3$  have neighbors in  $\{x_2, \dots, x_{l-1}\}$ . By (23a) and the minimality of  $l$ , it follows that  $v_5$  is adjacent to  $x_2$ ,  $v_3$  is adjacent to  $x_{l-1}$  and there are no other edges between  $\{v_3, v_5\}$  and  $\{x_2, \dots, x_{l-1}\}$ . Since  $v_4 - v_5 - x_2 - \dots - x_{l-1} - v_2$  is not an induced path of length at least 8 in  $G$ , it follows that  $l = 6$ , and therefore  $D = v_3 - v_4 - v_5 - x_2 - x_3 - x_4 - x_5 - v_3$  is an induced 7-cycle in  $G$ . It follows from the minimality of  $l$  that  $v_1$  is anticomplete to  $\{x_2, \dots, x_{l-1}\}$ , and so  $v_1$  is anticomplete to  $V(D)$ , contrary to (20c). This proves (26).

(27) *Let  $C = v_0 - v_1 - v_2 - v_3 - v_4 - v_5 - v_0$  be an induced 6-cycle in  $G$ . Then every vertex  $x \in V(G) \setminus V(C)$  has at most 3 neighbors in  $V(C)$  and the neighbors are consecutive.*

Let  $x \in V(G) \setminus V(C)$ . We may assume that  $x$  has at least two neighbors in  $V(C)$ . If  $x$  is complete to  $V(C)$ , then  $V(C) \cup \{x\}$  induces a 6-wheel  $W_6$  contrary to (26). Thus  $x$  is adjacent to  $v_i$  and non-adjacent to  $v_{i+1}$  for some  $i$ . Then by (17) applied to  $v_i - v_{i+1} - v_{i+2} - v_{i+3}$ , we conclude that  $x$  is anticomplete to  $\{v_{i+2}, v_{i+3}\}$ . If  $x$  is adjacent to  $v_{i-2}$  but not to  $v_{i-1}$ , then  $x - v_i - v_{i-1} - v_{i-2} - x$  is an induced 4-cycle in  $G$ . In all other cases,  $x$  has at most 3 consecutive neighbors in  $V(C)$ . This proves (27).

Let  $C = v_0 - v_1 - v_2 - v_3 - v_4 - v_5 - v_6 - v_0$  be an induced 7-cycle in  $G$ . For  $i \in \{0, 1, \dots, 6\}$ , let  $L_i$  denote the set of *leaves* at  $v_i$ , i.e., vertices  $x$  with  $N(x) \cap V(C) = \{v_i\}$ . Let  $H_i$  be the set of *hats* opposite  $v_i$ , i.e., vertices  $x$  with  $N(x) \cap V(C) = \{v_{i+3}, v_{i-3}\}$ . A *clone* at  $v_i$  is a vertex  $x$  with  $N(x) \cap V(C) = \{v_{i-1}, v_i, v_{i+1}\}$ .

Next we prove a few properties of leaves and hats.

(28) *Let  $C = v_0 - v_1 - v_2 - v_3 - v_4 - v_5 - v_6 - v_0$  be an induced 7-cycle in  $G$ . Let  $a \in L_0 \cup H_4$  and  $b \in L_1 \cup L_2 \cup H_5$ . Then*

- *If  $b \in L_1$ , then  $ab \notin E(G)$ .*
- *If  $b \in L_2 \cup H_5$ , then  $ab \in E(G)$ ,  $a \in H_4$ , and  $b \in H_5$ .*

For the first claim, suppose that  $b \in L_1$  and  $ab \in E(G)$ . Then  $b - a - v_0 - v_6 - v_5 - v_4 - v_3 - v_2$  is an induced  $P_8$ . This proves first claim. For the second claim, suppose that  $b \in L_2 \cup H_5$ . If  $ab \notin E(G)$ , then  $b - v_2 - v_3 - v_4 - v_5 - v_6 - v_0 - a$  is an induced  $P_8$ . Thus  $ab \in E(G)$ . This implies  $a \in H_4$  and  $b \in H_5$  or else we have an induced  $C_4$  or  $C_5$ . This proves (28).

(29) *Let  $C = v_0 - v_1 - v_2 - v_3 - v_4 - v_5 - v_6 - v_0$  be an induced 7-cycle in  $G$ , let  $h \in H_5$ , and let  $y \in V(G) \setminus V(C)$  be adjacent to  $h$ . Then one of the following holds:*

- $\{v_2, v_3\} \subseteq N(y) \cap V(C) \subseteq \{v_2, v_3, v_4, v_5\}$
- $\{v_0, v_1\} \subseteq N(y) \cap V(C) \subseteq \{v_0, v_1, v_5, v_6\}$

–  $y \in L_5$

Since  $G$  has no  $K_4$ , it follows that  $y$  is not complete to  $\{v_1, v_2\}$ . If  $y$  is adjacent to  $v_2$ , then  $y$  has up to 4 consecutive neighbors among  $\{v_2, v_3, v_4, v_5\}$  by (20a). From (28), we conclude that  $y$  must be adjacent to  $v_3$  and so the first outcome of (29) holds. Thus we may assume that  $y$  is non-adjacent to  $v_2$ . By symmetry, if  $y$  is adjacent to  $v_1$ , we obtain the second outcome. So  $y$  is also non-adjacent to  $v_1$ . Applying (17) to  $h-v_2-v_3-v_4$  and to  $h-v_1-v_0-v_6$ , we deduce that  $y$  is anticomplete to  $\{v_3, v_4, v_6, v_0\}$ . Thus  $y$  is adjacent to  $v_5$  by (20c) which yields the third outcome. This proves (29).

(30) *Let  $C = v_0-v_1-v_2-v_3-v_4-v_5-v_6-v_0$  be an induced 7-cycle in  $G$ , let  $l \in L_1$ , and let  $y \in V(G) \setminus V(C)$  be adjacent to  $l$ . If  $y$  is non-adjacent to  $v_1$ , then  $y \in H_1 \cup L_4 \cup L_5$ .*

By (20c)  $y$  has a neighbor in  $V(C)$ . Applying (17) to the path  $l-v_1-v_2-v_3$ , we deduce that  $y$  is non-adjacent to  $v_2, v_3$ . By symmetry  $y$  is non-adjacent to  $v_6, v_0$ , and the claim follows. This proves (30).

(31) *Let  $C = v_0 - \dots - v_6 - v_0$  be an induced 7-cycle in  $G$ . Let  $u_0$  be a clone at  $v_0$ , let  $l_3$  be a leaf at  $v_3$ , and let  $l_0$  be adjacent to  $l_3, v_0, u_0$  and have no other neighbor in  $V(C)$ . Then there is no clone at  $v_4$  and no clone at  $v_5$ .*

Let  $C' = C \setminus \{v_0\} \cup \{u_0\}$ . Suppose for a contradiction there is either a clone at  $v_4$  or a clone at  $v_5$ . Since  $l_3$  has degree at least 3, we conclude that  $l_3$  has a neighbor  $w \notin \{l_0, v_3\}$ . Suppose first that  $w$  is non-adjacent to  $v_3$ . By (30) applied to  $C$  and  $C'$  (with  $l_3$  and  $w$ ) either  $w$  is adjacent to  $v_6$ , or  $w$  is complete to  $\{v_0, u_0\}$ . By (17) applied to  $w$  and the paths  $l_3-l_0-x-v_6$  with  $x \in \{u_0, v_0\}$ , we deduce that  $w$  is complete to  $\{l_0, v_0, u_0\}$ . But now  $\{u_0, v_0, l_0, w\}$  induces a  $K_4$ , a contradiction. This proves that  $w$  is adjacent to  $v_3$ .

We claim that  $N(w) \cap (V(C) \cup \{u_0, l_0\}) = \{v_3\}$ . Suppose first that  $N(w) \cap (V(C) \cup \{u_0\}) \neq \{v_3\}$ . Then by (20a)  $w$  is adjacent to at least one of  $v_2, v_4$ . By (27) applied to  $v_3-l_3-l_0-v_0-v_1-v_2-v_3$ , and  $w$ , it follows that  $w$  is non-adjacent to  $v_1$ . Suppose  $w$  is adjacent to  $v_4$ . By (28) applied to  $C, w$  and  $l_3$ , it follows that  $w$  is adjacent to at least one of  $v_2, v_5$ . If there is a clone  $t$  at  $v_4$ , then (since there is no  $K_4$ )  $w$  is non-adjacent to  $t$ , and therefore by (22) not to  $v_5$ . So  $w$  is adjacent to  $v_2$  and we get a contradiction to (28) applied to  $C \setminus \{v_4\} \cup \{t\}$ ,  $l_3$  and  $w$ . This proves that there is no clone at  $v_4$ , and so there is a clone  $t$  at  $v_5$ . Again since there is no  $K_4$ ,  $w$  is not complete to  $\{v_5, t\}$ , and we may assume that  $w$  is non-adjacent to  $v_5$ , and so  $w$  is adjacent to  $v_2$ , and  $G[V(C) \cup \{w, t, u_0\}]$  contains  $F_1$  as a subgraph, a contradiction. This proves that  $w$  is non-adjacent to  $v_4$ . But now we get a contradiction to (28) applied to  $C, l_3$ , and  $w$ . This shows that  $w$  is non-adjacent to  $v_4$  and also to  $v_2$ , again by applying (28) to  $C, w, l_3$ . This proves that  $N(w) \cap (V(C) \cup \{u_0\}) = \{v_3\}$ . If  $w$  is adjacent to  $l_0$ , then  $G[\{v_0, l_0, l_3, v_3, v_4, v_5, v_6, u_0, t, w\}]$  (where  $t$  is a clone at  $v_4$  or at  $v_5$ ) contains  $F_1$  as a subgraph, a contradiction. So  $w$  is non-adjacent to  $l_0$ . Therefore  $N(w) \cap (V(C) \cup \{u_0, l_0\}) = \{v_3\}$  as claimed.

By symmetry (swap  $l_0, l_3$  for  $v_1, v_2$ ), there is a vertex  $z$  with  $N(z) \cap (V(C) \cup \{l_0, l_3\}) = \{v_2, v_3\}$ . By (28) applied to  $C, z$  and  $w$ , it follows that  $z$  is non-adjacent to  $w$ . Since the degree of  $z$  is at least 3, there is  $y \notin \{v_2, v_3\}$  adjacent to  $z$ . Suppose  $y$  is non-adjacent to  $v_3$ . By (29)

applied to  $C$ ,  $z$  and  $y$  it follows that either  $y$  is complete to  $\{v_1, v_2\}$ , or  $N(y) \cap V(C) = \{v_6\}$ . Suppose that  $y$  is complete to  $\{v_1, v_2\}$ . Since  $G$  has no  $K_4$ , we may assume that  $y$  is non-adjacent to  $v_0$ . Now we get a contradiction to (21) applied to  $v_3-z-y-v_1-v_0-v_6-v_5-v_4-v_3$  and  $l_3$ . Thus  $y$  is not complete to  $\{v_1, v_2\}$ , and so  $N(y) \cap V(C) = \{v_6\}$ . By (17) applied to  $y-z-v_3-w$ , and  $y$  with  $z-v_3-l_3-l_0$  it follows that  $y$  is anticomplete to  $\{l_0, l_3, w\}$ . But now  $y-z-v_2-v_1-v_0-l_0-l_3-w$  is an induced  $P_8$ , a contradiction. This proves that  $y$  is adjacent to  $v_3$ , and by (29)  $y$  is adjacent to  $v_4$ , and possibly  $v_5, v_6$ , and has no other neighbors in  $V(C) \cup V(C')$ . Since there is either a clone at  $v_4$  or a clone at  $v_5$ , it follows from (20a) and (22) that  $y$  is non-adjacent to  $v_6$ . If  $y$  is adjacent to  $l_3$ , then applying the argument at of the previous paragraph to  $y$  instead of  $w$ , we get a contradiction since  $y$  is adjacent to  $z$ . Therefore we conclude that  $y$  is non-adjacent to  $l_3$ . Now by (27) applied to  $w$  and  $v_3-v_2-v_1-v_0-l_0-l_3-v_3$  and since  $y-z-v_2-v_1-v_0-l_0-l_3-w$  is not a  $P_8$ , we deduce that  $y$  is adjacent to  $w$ . Now we get a contradiction by applying (21) to  $v_6$  and  $v_0-l_0-l_3-w-y-z-v_2-v_1-v_0$ . This proves (31).

(32)  *$G$  contains no vertex of degree 3 with exactly one pair of neighbors that are adjacent.*

Let  $x \in V(G)$  be a vertex where  $N(x) = \{v_1, u_1, v_6\}$  and  $v_1u_1 \in E(G)$  while  $v_1v_6, u_1v_6 \notin E(G)$ . Since  $G$  is minimal non-3-colorable,  $G - x$  is 3-colorable. Consider a 3-coloring  $c$  of  $G - x$ . Since  $G$  is not 3-colorable, it follows that all 3 colors appear on the vertices  $v_1, u_1, v_6$ . By symmetry  $c(v_1) = 1$ ,  $c(u_1) = 2$ , and  $c(v_6) = 3$ .

Let  $V_{13}$  denote the set of vertices  $u$  with  $c(u) \in \{1, 3\}$ . Similarly,  $V_{23}$  are the set of vertices  $u$  with  $c(u) \in \{2, 3\}$ . If  $v_1$  and  $v_6$  are in different connected components of  $G[V_{13}]$ , then we can switch colors 1 and 3 in the connected component of  $G[V_{13}]$  that contains  $v_1$  and then color  $x$  by 1 to produce a 3-coloring of  $G$ . Since this is not possible, consider a shortest path  $Q$  from  $v_1$  to  $v_6$  in  $G[V_{13}]$ . Note that  $x$  has no neighbors on this path, since the only neighbors of  $x$  are  $v_1, u_1, v_6$  by the assumption of the claim. Also note that  $Q$  has odd length at least 3, since the colors 1 and 3 alternate on  $Q$ , and  $v_1$  is non-adjacent to  $v_6$ . If  $Q$  has length 3, then  $x-Q-x$  is an induced 5-cycle. If  $Q$  has length 7 or greater, then  $Q$  contains an induced  $P_8$ . Therefore  $Q = v_1-v_2-v_3-v_4-v_5-v_6$  where  $c(v_2) = c(v_4) = 3$  and  $c(v_3) = c(v_5) = 1$ . Applying the same argument to  $u_1 \in V_{23}$  in place of  $v_1 \in V_{13}$ , we deduce that there is also an induced path  $P = u_1-u_2-u_3-u_4-u_5-v_6$  where  $c(u_3) = c(u_5) = 2$  and  $c(u_2) = c(u_4) = 3$ . Note that the vertices  $v_1, \dots, v_5$  are not necessarily distinct from  $u_1, \dots, u_5$ . In particular, it is possible that one of  $u_2, u_4$  is one of  $v_2, v_4$  (but all other vertices are distinct). Also the vertices  $u_2, v_2, u_4, v_4, v_6$  are pairwise non-adjacent (or identical), since they all have color 3. Let  $C = x-v_1-v_2-v_3-v_4-v_5-v_6-x$  and  $D = x-u_1-u_2-u_3-u_4-u_5-v_6-x$ . By (20a) applied to  $C$  and  $u_1$  we deduce that  $u_1$  is non-adjacent to  $v_4$ , and so  $v_4 \neq u_2$ . Similarly,  $u_4 \neq v_2$ . We may assume that  $P$  and  $Q$  are chosen with  $V(P) \cup V(Q)$  minimal.

Assume first that  $u_2 \neq v_2$ . If  $u_2$  is non-adjacent to  $v_1$ , then  $v_1$  is a hat for  $D$ , and  $v_2$  is anticomplete to  $\{x, u_2\}$ , and so it follows from (29) that  $v_2$  is adjacent to  $u_4$ , a contradiction. Thus  $u_2$  is adjacent to  $v_1$ , and by symmetry  $v_2$  is adjacent to  $u_1$ . Since  $N(u_2) \cap V(C) \subseteq \{v_1, v_3, v_5\}$  it follows that  $u_2$  is a leaf for  $C$ , and so  $N(u_2) \cap V(C) = \{v_1\}$ . Since  $u_2 \neq v_2$ , the minimality of  $V(P) \cup V(Q)$  implies that  $v_2$  is non-adjacent to  $u_3$ , and  $u_2$  is non-adjacent to  $v_3$ .

Suppose that  $u_3$  is adjacent to  $v_1$ . By (17), applied to the path  $v_3-v_2-v_1-u_3$  and  $u_4$ , it follows that  $u_4$  is non-adjacent to  $v_3$ , and in particular  $u_4 \neq v_4$ . Since  $u_3$  is non-adjacent

to  $x, v_2$ , it follows that  $u_3$  is a leaf for  $C$ . Since  $u_3$  is anticomplete to  $V(C) \setminus \{v_1\} \cup \{u_1\}$ , (20c) implies that  $u_1$  is not a clone for  $C$ , and so by (20a),  $N(u_1) \cap V(C) = \{x, v_1, v_2, v_3\}$ . By (20a),  $v_1$  is non-adjacent to  $u_4$ , and so by (20c), we deduce that  $u_4$  is adjacent to  $v_5$ . By (20),  $v_5$  is adjacent to  $u_5$ . Since  $v_3$  is adjacent to  $u_1$ , by symmetry  $v_4$  is adjacent to  $u_5$  and  $G[V(P) \cup V(Q) \cup \{x\}]$  contains  $F_3$  as a subgraph, a contradiction. This proves that  $u_3$  is non-adjacent to  $v_1$ , and similarly  $v_3$  is non-adjacent to  $u_1$ .

By (30), this implies that  $v_3$  is adjacent to at least one of  $u_4, u_5$  and has no other neighbors in  $V(D)$ . Likewise,  $u_3$  is adjacent to at least one of  $v_4, v_5$  and has no other neighbors in  $V(C)$ .

Assume first that  $u_4 = v_4$ . This implies by (20a) that  $u_5$  is adjacent to  $v_5$ . If  $u_3$  is non-adjacent to  $v_5$ , then  $D' = G[V(D) \setminus \{u_5\} \cup \{v_5\}]$  is an induced 7-cycle and we contradict (31) for  $D'$  with  $v_2$  playing the role of  $l_0$  and  $v_3$  playing the role of  $l_3$ , since  $u_5$  is a clone at  $v_5$  in  $D'$ . Therefore we conclude that  $u_3$  is adjacent to  $v_5$ , and by symmetry  $v_3$  is adjacent to  $u_5$ . But now  $G[V(P) \cup V(Q) \cup \{x\}]$  contains  $F_2$  as a subgraph, a contradiction.

We may therefore assume that  $u_4 \neq v_4$ . Next, suppose that  $v_3$  is adjacent to  $u_4$ . Since  $v_4$  is anticomplete to  $u_2, v_6$ , (29) and (30) applied to  $G[V(D) \setminus \{u_1\} \cup \{v_1\}]$ ,  $v_3$  and  $v_4$  imply that  $v_4$  adjacent to one of  $v_1, x$ , a contradiction. Therefore  $v_3$  is adjacent to  $u_5$  and non-adjacent to  $u_4$ . Now by (20a) applied to  $C$  and  $u_5$ , it follows that  $u_5$  is adjacent to  $v_4, v_5$ , and by symmetry  $v_5$  is complete to  $\{u_3, u_4, u_5, v_6\}$ . So  $G[V(P) \cup V(Q) \cup \{x\}]$  contains  $F_3$  as a subgraph, a contradiction. This proves that  $u_2 = v_2$ .

Now, if  $u_4 = v_4$  then by (20a)  $G[x, v_1 \dots, v_6, u_1, u_3, u_5]$  contains  $F_1$  as a subgraph, a contradiction, so  $u_4 \neq v_4$ . Since  $N(u_4) \cap V(C) \subseteq \{v_3, v_5\}$ , it follows from the minimality of  $V(P) \cup V(Q)$  and the fact that  $u_4 \neq v_4$ , that  $u_4$  is a leaf for  $C$ . Since if  $N(v_4) \cap V(D) = \{u_3\}$  and  $N(u_4) \cap V(C) = \{v_5\}$ , then  $u_4 - v_5 - v_4 - u_3 - u_4$  is a  $C_4$  in  $G$ , it follows that either  $N(u_4) \cap V(C) = \{v_3\}$  and  $N(v_4) \cap V(D) = \{u_3\}$ , or  $N(u_4) \cap V(C) = \{v_5\}$  and  $N(v_4) \cap V(D) = \{u_5\}$ . Since  $G$  contains no  $C_4, C_5$ , in the former case  $u_3, u_4$  are leaves for  $C$  and  $u_1, u_5$  are clones for  $C$ , and in the latter case  $u_4, u_5$  are leaves for  $C$ , and  $u_1, u_3$  are clones for  $C$ . In both cases we get a contradiction to (31). This proves (32).

(33)  *$G$  contains no adjacent vertices  $u, v$  such that  $N(u) \subseteq N(v) \cup \{v\}$ .*

Consider adjacent  $u, v$  such that  $N(u) \subseteq N(v) \cup \{v\}$ . Among possible candidates, choose  $u, v$  so that  $N(u)$  is smallest possible

Observe that  $N(u) \setminus \{v\}$  is a stable set, since  $G$  contains no  $K_4$ . Since  $G$  is a minimal counterexample,  $G - u$  is 3-colorable. Consider a fixed 3-coloring  $c$  of  $G - u$ . Since  $G$  is not 3-colorable, all 3 colors appear in  $N(u)$ . By symmetry, we may assume that  $c(v) = 3$  and colors 1 and 2 appear on the remaining vertices in  $N(u)$ . Recall that the remaining vertices in  $N(u)$  are pairwise non-adjacent. Let  $V_{12}$  denote the set of vertices  $x \in V(G - u)$  with  $c(x) \in \{1, 2\}$ . Let  $D$  denote the union of all connected components of  $G[V_{12}]$  that contain vertices  $x \in N(u)$  with  $c(x) = 1$ . If  $D$  contains no vertex  $y \in N(u)$  with  $c(y) = 2$ , then we switch colors 1 and 2 on vertices in  $D$  and color  $u$  by 1 to obtain a 3-coloring of  $G$ . Because this is not possible, there exists a path in  $G[V_{12}]$  between neighbors of  $u$  of different colors. Let  $Q$  be a shortest such path. Note that by the minimality of  $Q$  and since  $N(u) \setminus \{v\}$  is a stable set,  $u$  is anticomplete to the internal vertices of  $Q$ . Moreover,  $Q$  has odd length  $\geq 3$ , since colors on vertices on  $Q$  alternate. If  $Q$  has length  $\geq 7$ , then it contains an induces  $P_8$ .

If  $Q$  has length 3, then  $u-Q-u$  is an induced 5-cycle. Therefore  $Q = v_1-v_2-v_3-v_4-v_5-v_6$  where  $c(v_1) = c(v_3) = c(v_5) = 1$ ,  $c(v_2) = c(v_4) = c(v_6) = 2$ , and  $u$  is complete to  $\{v_1, v_6\}$  and anticomplete to  $\{v_2, \dots, v_5\}$ . Note that  $v$  is complete to  $\{v_1, v_6, u\}$ , since  $N(u) \subseteq N(v) \cup \{v\}$ . Since  $u-v_1-\dots-v_6-u$  is a 7-cycle, it follows from (20a) that  $v$  is anticomplete to  $\{v_3, v_4\}$  and possibly adjacent to at most one of  $v_2, v_5$ . Let  $C = G[V(Q) \cup \{u\}]$ , and let  $v_0 = u$ .

First we prove two useful observations:

(33.1) *Let  $i \in \{1, \dots, 5\}$ . If  $w \in V(G) \setminus (V(C) \cup \{v\})$  is complete to  $\{v_i, v_{i+1}\}$ , then  $w$  is adjacent to one of  $v_{i-1}, v_{i+2}$ .*

Suppose that  $w$  is anticomplete to  $\{v_{i-1}, v_{i+2}\}$ . Then by (20a),  $w$  is a hat for  $C$ . Therefore  $w$  has a neighbor  $z \notin V(C)$ . Note that  $c(w) = 3$ , and so  $c(z) \in \{1, 2\}$ . In particular,  $z \neq v$ . Suppose that  $z$  is adjacent to  $v_i$ . It follows from (29) that  $z$  is adjacent to  $v_{i-1}$ . Since  $c(z) \neq 3$ , we deduce that  $i = 1$ , and therefore  $z$  is also adjacent to  $v$ . But now  $\{u, v, v_1, z\}$  is a  $K_4$ , a contradiction. This proves that  $z$  is non-adjacent to  $v_i$ , and by symmetry  $z$  is non-adjacent to  $v_{i+1}$ . Now by (29),  $N(z) \cap V(C) = \{v_{i-3}\}$ . By (32),  $w$  has another neighbor  $z'$ . Repeating the previous argument for  $z'$  we deduce that  $z'$  is adjacent to  $v_{i-3}$  and nothing else on  $C$ . Since  $w-z-v_{i-3}-z'-w$  is not a  $C_4$ , it follows that  $z$  is adjacent to  $z'$ . However, if  $i \neq 3$ , then  $c(z) = c(z') \in \{1, 2\} \setminus \{c(v_{i-3})\}$ , and if  $i = 3$ , then both  $z, z'$  are adjacent to  $u$  and therefore to  $v$ , and so  $\{z, z', u, v\}$  is a  $K_4$ , in both cases a contradiction. Consequently,  $w$  has a neighbor in  $\{v_{i-1}, v_{i+2}\}$  as claimed. This proves (33.1).

(33.2) *There is no vertex in  $V(G) \setminus \{v\}$  complete to  $\{v_1, v_2\}$ .*

Consider  $w \neq v$  adjacent to  $v_1, v_2$ . If  $w$  is adjacent to  $u$ , then  $w$  is also adjacent to  $v$  because  $N(u) \subseteq N(v) \cup \{v\}$ , and so  $\{v_1, w, u, v\}$  is a  $K_4$ , a contradiction. Thus  $w$  must be non-adjacent to  $u$ , and so by (33.1), we conclude that  $w$  is adjacent to  $v_3$ .

Suppose first that  $v$  is adjacent to  $v_5$ . By (27),  $N(w) \cap (V(C) \cup \{v\}) = \{v_1, v_2, v_3\}$ . By (32),  $v_5$  has a neighbor  $a \notin \{v_4, v_6, v\}$ . If  $a$  is adjacent to  $v_6$ , then by the argument of the previous paragraph  $a$  is also adjacent to  $v_4$ , and  $G[V(C) \cup \{v, w, a\}]$  contains  $F_1$  as a subgraph, a contradiction, so  $a$  is non-adjacent to  $v_6$ . If  $a$  is adjacent to  $v_4$ , then by (33.1),  $a$  is also adjacent to  $v_3$ , and again  $G[V(C) \cup \{v, w, a\}]$  contains  $F_1$  as a subgraph, a contradiction. Therefore  $a$  is non-adjacent to  $v_4$ . Now by (20a),  $a$  is a leaf for  $C$ . By (32),  $v_3$  has a neighbor  $b \notin \{w, v_2, v_4\}$ . Then  $b$  is non-adjacent to  $v_5$  (by the argument applied to  $a$ ). By (22),  $b$  is non-adjacent to  $v_1$ . Since  $\{b, v_3, v_2, w\}$  is not a  $K_4$ , there is  $w_2 \in \{v_2, w\}$  such that  $b$  is non-adjacent to  $w_2$ . Let  $D$  be the cycle  $G[(V(C) \setminus \{v_2\}) \cup \{w_2\}]$ . Now we get a contradiction to (28) applied to  $D, a, b$ . This proves that  $v$  is non-adjacent to  $v_5$ .

By (32),  $v_6$  has a neighbor  $a \notin \{u, v, v_5\}$ . If  $a$  is adjacent to  $v_5$ , then, from the symmetry between  $a$  and  $w$  we deduce that  $a$  is adjacent to  $v_4$ , and so  $G[V(C) \cup \{v, w, a\}]$  contains  $F_1$  as a subgraph, a contradiction; so  $a$  is non-adjacent to  $v_5$ . Since there is no  $K_4$ , it follows that  $a$  is not complete to  $\{u, v\}$ , and since  $N(u) \subseteq N(v) \cup \{v\}$ , we deduce that  $a$  is non-adjacent to  $u$ , and so  $a$  is a leaf for  $C$ . Now  $v_5$  has a neighbor  $b \notin \{v_4, v_6\}$ ; it follows that  $b$  is not adjacent to  $v_6$ . If  $b$  is adjacent to  $v_4$ , then by (33.1),  $b$  is also adjacent to  $v_3$ , and so  $G[V(C) \cup \{b, w, v\}]$  contains  $F_1$  as a subgraph, a contradiction; thus  $b$  is non-adjacent to  $v_4$ . It follows (using (32) if  $w$  is adjacent to  $v_4$ ) that  $v_4$  has a neighbor  $d \notin \{v_3, v_5, w\}$ . Then  $d$  is

non-adjacent to  $v_5$ . But (28) applied to  $C$ ,  $a$  and  $d$ , it follows that  $d$  is adjacent to  $v_3$ , and by (33.1),  $d$  is also adjacent to  $v_2$ . Now (22) implies that  $w$  is non-adjacent to  $v_4$ , and  $d$  is non-adjacent to  $v_1$ . Since  $\{v_2, v_3, d, w\}$  is not a  $K_4$  in  $G$ , it follows that  $d$  is non-adjacent to  $w$ . Now we get a contradiction to (28) applied to the cycle  $G[(V(C) \setminus \{v_2\}) \cup \{w\}]$  and the vertices  $d$  and  $b$ . This proves (33.2).

By (20a), we may assume that  $v$  is non-adjacent to  $v_2$ . By (32), there exists  $u_1 \notin V(C) \cup \{v\}$ , such that  $u_1$  is adjacent to  $v_1$ . By (33.2),  $u_1$  is non-adjacent to  $v_2$ . Since there is no  $K_4$ , we deduce that  $u_1$  is not complete to  $\{u, v\}$ , and since  $N(u) \subseteq N(v) \cup \{v\}$ , it follows that  $u_1$  is non-adjacent to  $u$ , and so by (20a),  $u_1$  is a leaf for  $C$ .

Suppose first that  $v$  is non-adjacent to  $v_5$ . By (32), there exists  $u_6$  is non-adjacent to  $v_5$ . There is symmetry between  $u_1$  and  $u_6$ , and so  $u_6$  is anticomplete to  $\{v_5, u\}$ . But now both  $u_1, u_6$  are leaves for  $C$ , contrary to (28). This proves that  $v$  is adjacent to  $v_5$ .

By (32), there exists  $u_5 \notin V(C) \cup \{v\}$ , such that  $u_5$  is adjacent to  $v_5$ . By (33.2) and symmetry,  $u_5$  is non-adjacent to  $v_6$ . Suppose first that  $u_5$  is adjacent to  $v_4$ . By (33.1), it follows that  $u_5$  is adjacent to  $v_3$ , and by (20a) and (27),  $u_5$  has no more neighbors in  $V(C) \cup \{v\}$ . By (32),  $v_3$  has a neighbor  $u_3 \notin \{u_5, v_2, v_4\}$ . By (22), and by the argument that was applied to  $u_1$ , it follows that  $u_3$  is anticomplete to  $\{v_5, v_1\}$ . Since  $\{u_3, v_3, v_4, u_5\}$  is not a  $K_4$  in  $G$ , it follows that  $u_3$  has a non-neighbor  $w_4 \in \{v_4, u_5\}$ . Let  $D$  be the cycle  $G[(V(C) \setminus \{v_4\}) \cup \{w_4\}]$ . Now we get a contradiction to (28) applied to  $D, u_1, u_3$ . This proves that  $u_5$  is non-adjacent to  $v_4$ , and so  $N(u_5) \cap V(C) = \{v_5\}$ .

It follows that  $v_3$  has a neighbor  $u_3 \notin \{v_2, v_4\}$ . By the argument of the previous paragraph applied to  $u_3$ , it follows that  $u_3$  is nonadjacent to  $v_5$ . By the argument applied to  $u_1$ , we deduce that  $u_3$  is non-adjacent to  $v_1$ . Therefore, by (20a), we have  $N(u_3) \cap V(C) \subseteq \{v_2, v_3, v_4\}$ , and by (28) applied to  $C, u_1, u_3$  and to  $C, u_3, u_5$ , we deduce that  $u_3$  is complete to  $\{v_2, v_3, v_4\}$ . Now (27) implies that  $u_3$  has no more neighbors in  $V(C) \cup \{v\}$ . By (32), there exist  $u_2, u_4 \notin V(C) \cup \{u_3\}$  such that  $u_i$  is adjacent to  $v_i$ . By (33.2) and (22),  $u_2$  is anticomplete to  $\{v_1, v_4\}$ . By the argument applied to  $u_5$  and (22),  $u_4$  is anticomplete to  $\{v_2, v_5\}$ . In particular,  $u_2 \neq u_4$ . By (33.1),  $v_3$  is anticomplete to  $\{u_2, u_4\}$ . But now we get a contradiction to (28) applied to  $C, u_2, u_4$ .

This proves (33).

## 5.1 Excluding big neighbors of an 8-cycle

In this section, we prove that no vertex has more than 3 neighbors in an induced 8-cycle of  $G$ . By (21), such a vertex has exactly 4, or 5 consecutive neighbors, or it is complete to the cycle. We exclude each of the cases as follows.

(34) *Let  $C = v_0 - v_1 - \dots - v_7 - v_0$  be an induced 8-cycle. Then no vertex  $v \in V(G) \setminus V(C)$  is complete to  $V(C)$ .*

Suppose such a vertex  $v$  exists. By (33), there exists a vertex  $y$  adjacent to  $v_0$  and not to  $v$ . By (17) applied to  $y - v_0 - v - v_i$  where  $i \in \{2, \dots, 6\}$ , we conclude that  $y$  is anticomplete to  $\{v_2, \dots, v_6\}$ . Thus by (21),  $y$  is complete to  $\{v_7, v_0, v_1\}$ , contrary to (22). This proves (34).

(35) *Let  $C = v_0-v_1-\dots-v_7-v_0$  be an induced 8-cycle. If a vertex  $v$  is adjacent to  $v_1, v_2, v_3, v_4$  (and possibly other vertices), then there is no vertex  $u$  adjacent to  $v_2, v_3$ .*

Suppose that such  $u, v$  exist. Now by (22)  $u$  is anticomplete to  $\{v_1, v_4\}$ , contrary to (21). This proves (35).

(36) *Let  $C = v_0-v_1-\dots-v_7-v_0$  be an induced 8-cycle. There is no vertex  $v$  adjacent to  $v_1, v_2, v_3, v_4, v_5$ .*

Suppose such a vertex  $v$  exists. By (21) and (34),  $N(v) \cap V(C) = \{v_1, v_2, v_3, v_4, v_5\}$ . By (33) there exist  $u_2, u_3, u_4$  such that  $u_i$  adjacent to  $v_i$  and not to  $v$ . By (35), we deduce that  $u_3$  is non-adjacent to  $v_2, v_4$ , and therefore  $u_3$  is adjacent to  $v_7$  by (21). Also by (35),  $u_2, u_4$  are non-adjacent to  $v_3$ . By several applications of (17), it follows that the vertices  $u_2, u_3, u_4$  are pairwise distinct and non-adjacent. If  $u_2$  is non-adjacent to  $v_1$ , then by (21),  $N(u_2) \cap V(C) = \{v_2, v_6\}$  and  $v-v_2-u_2-v_6-v_5-v$  is an induced 5-cycle, a contradiction. So  $u_2$  is adjacent to  $v_1$ , and therefore by (21) to  $v_0$ . By (27) applied to  $v_3-u_3-v_7-v_0-v_1-v_2-v_3$  and  $u_2$ , we deduce that  $u_2$  is non-adjacent to  $v_7$ . By symmetry,  $N(u_4) \cap V(C) = \{v_4, v_5, v_6\}$ .

By (33), there is  $w_2$  adjacent to  $u_2$  and not  $v_1$ . Applying (17) to  $w_2$  and the paths  $u_2-v_1-v-v_5$ ,  $u_2-v_1-v-v_4$ , and  $u_2-v_1-v-v_3$  we deduce that  $w_2$  is anticomplete to  $\{v, v_3, v_4, v_5\}$ . Suppose  $w_2$  is adjacent to  $v_2$ . By (21) applied to  $G[V(C) \setminus \{v_1\} \cup \{u_2\}]$ , we deduce that  $w_2$  is adjacent to  $v_2, v_0$  and not to  $v_1$ , which contradicts (21) applied to  $C$  and  $w_2$ . Thus  $w_2$  is non-adjacent to  $v_2$ . Now by (21) applied to  $G[V(C) \setminus \{v_1\} \cup \{u_2\}]$  and to  $C$ , we deduce that  $w_2$  is adjacent to  $v_0, v_7, v_6$ . Similarly, there is  $w_4$  adjacent to  $u_4, v_6, v_7, v_0$  and not to  $v_4, v_3, v_2, v_1, v$ . By (22),  $w_2 = w_4$ . By (21) applied to  $G[V(C) \setminus \{v_7\} \cup \{w_2\}]$ ,  $u_3$  is adjacent to  $w_2$ . But now  $u_2-v_2-v_3-u_3-w_2-u_2$  is an induced 5-cycle, a contradiction. This proves (36).

(37) *Let  $C = v_0-v_1-\dots-v_7-v_0$  be an induced 8-cycle. There is no vertex  $v$  adjacent to  $v_1, v_2, v_3, v_4$  (and possibly other vertices).*

Suppose such a vertex  $v$  exists. By (36),  $v$  has no more neighbors in  $C$ . By (33), there exist  $u_2, u_3$  such that  $v_i u_i$  is an edge for  $i \in \{2, 3\}$ , and  $v$  is non-adjacent to  $u_2, u_3$ . By (35),  $u_2$  is non-adjacent to  $v_3$ , and  $u_3$  is non-adjacent to  $v_2$ . Since  $v_2-v_3-u_3-u_2-v_2$  is not a  $C_4$ , we deduce that  $u_2$  is non-adjacent to  $u_3$ .

(37.1) *If a vertex  $u \neq v$  is adjacent to both  $v_1$  and  $v_2$ , then  $u_3$  is adjacent to  $v_4$  and  $v_5$ .*

Consider  $u \neq v$  adjacent to  $v_1, v_2$ . Clearly,  $u$  is non-adjacent to  $v$ , or else  $\{u, v, v_1, v_2\}$  is  $K_4$ . Thus by (22),  $u$  is also non-adjacent to  $v_3$ , since  $v$  is. For contradiction, suppose that  $u_3$  is non-adjacent to  $v_4$ . Then by (21), the neighbors of  $u_3$  in  $V(C)$  are  $\{v_3, v_7\}$ . By (27) applied to  $v_0-v_1-v_2-v_3-u_3-v_7-v_0$ , we find that  $u$  is anti-complete to  $\{u_3, v_7\}$  and thus by (21),  $N(u) \cup V(C) = \{v_0, v_1, v_2\}$ . But now  $u-v_1-v-v_4-v_5-v_6-v_7-u_3$  is an induced  $P_8$ . This proves  $u_3$  is adjacent to  $v_4$ , and by (21) also to  $v_5$ . This proves (37.1).

(37.2) *No vertex  $u \neq v$  is adjacent to both  $v_1$  and  $v_2$ .*

Consider  $u \neq v$  adjacent to  $v_1, v_2$ . As before, we deduce that  $u$  is non-adjacent to both  $v$  and  $v_3$ . Thus we may assume that  $u = u_2$ . By (37.1),  $u_3$  is complete to  $\{v_4, v_5\}$ . Now there

is symmetry between  $u$  and  $u_3$ , and so we deduce that  $u$  is adjacent to  $v_0$ .

If  $v_7$  is non-adjacent to  $u$ , and  $v_6$  is non-adjacent to  $u_3$ , we get a contradiction to (21) applied to  $v_2-u-v_0-v_7-v_6-v_5-u_3-v_3-v_2$  and  $v$ , so we may assume by symmetry that  $u$  is adjacent to  $v_7$ . By (36), we deduce that  $N(u) \cap V(C) = \{v_2, v_1, v_0, v_7\}$ . By (33), there is  $u_0$  adjacent to  $v_0$  and not to  $u$ . By (35),  $u_0$  is non-adjacent to  $v_1$ . By (37.1) applied to  $(u, v, u_0)$  instead of  $(v, u, u_3)$ , we deduce that  $u_0$  is adjacent to  $v_7$  and  $v_6$ . By (20a),  $u_0$  is non-adjacent to  $v_4, v$ , and by (21),  $N(u_0) \cap V(C) \subseteq \{v_0, v_7, v_6, v_5\}$ . Suppose  $u_0$  is adjacent to  $u_3$ . By (21) and (36), it follows that neither of  $G[V(C) \setminus \{v_4\} \cup \{u_3\}]$  and  $G[V(C) \setminus \{v_7\} \cup \{u_0\}]$  is an induced cycle, and so  $u_3v_6$  and  $u_0v_5$  are both edges. But now  $\{v_5, v_6, u_0, u_3\}$  is a  $K_4$ , a contradiction. This proves that  $u_0$  is non-adjacent to  $u_3$ . By (21) applied to  $v_2-u-v_0-u_0-v_6-v_5-u_3-v_3-v_2$  and  $v$ , we deduce that either  $u_0$  is adjacent to  $v_5$ , or  $u_3$  is adjacent to  $v_6$ . By symmetry (exchanging  $(u, u_0)$  and  $(v, u_3)$ ), we may assume that  $u_3$  is adjacent to  $v_6$ . Now there is symmetry between  $u$  and  $u_3$ , and so there is  $u_5$  adjacent to  $v_5, v_6, v_7$  and possibly  $v_0$  (this is an analogue of  $u_0$ ). If  $u_5 \neq u_0$ , then since there is no  $K_4$ , it follows that  $u_5$  is non-adjacent to  $u_0$ , and we get a contradiction to (21) applied to  $G[V(C) \setminus \{v_7\} \cup \{u_0\}]$  and  $u_5$ . So  $u_5 = u_0$ . Since  $G[v_0, \dots, v_7, v, u, u_0, u_3]$  is 3-colorable, it follows that there is another vertex  $x \in V(G)$ . By (20c) applied to  $v_0-v_1-v-v_4-v_5-v_6-v_7-v_0$  and  $v_0-v_1-v_2-v_3-v_4-v_5-u_0-v_0$ , and by (27) applied to  $v_0-v_1-v-v_4-v_5-u_0-v_0$ , we deduce that  $x$  has a neighbor in  $\{v_0, \dots, v_7\}$ . By symmetry we may assume that  $x$  is adjacent to  $v_0$ . By (35),  $x$  is non-adjacent to  $v_1$ . If  $x$  is adjacent to  $v_7$ , then by (21),  $x$  is adjacent to  $v_6$  contrary to (22), so  $x$  is non-adjacent to  $v_7$ . Now by (21)  $N(x) \cap V(C) = \{v_0, v_4\}$ , and we get a contradiction to (27) applied to  $v_0-v_1-v-v_4-v_5-u_0-v_0$ . This proves (37.2).

It now follows from (21) and (37.2) that  $N(u_2) \cap V(C) = \{v_2, v_6\}$ . By symmetry,  $N(u_3) \cap V(C) = \{v_3, v_7\}$ . By (32),  $v_1$  has a neighbor  $u_1 \notin \{v_2, v, v_0\}$ . By (37.2),  $u_1$  is non-adjacent to  $v_2$ . If  $N(u_1) \cap V(C) = \{v_1, v_5\}$ , we contradict (17) for  $u_1$  and path  $v_1-v-v_4-v_5$ . So by (21),  $u_1$  is adjacent to  $v_0, v_7$ . By (17) applied to  $v_6-u_2-v_2-v_1$  and  $u_1$ , we conclude that  $N(u_1) \cap V(C) = \{v_7, v_0, v_1\}$ . By (33),  $v_0$  has a neighbor  $u_0$  non-adjacent to  $u_1$ . If  $u_0$  is adjacent to  $v_1$ , then by (37.2), we deduce that  $u_0$  is non-adjacent to  $v_2$  and thus by (21),  $u_0$  is adjacent to  $v_7$ . This however contradicts (22) when applied to  $u_0, u_1$  and  $C$ . Therefore  $u_0$  is non-adjacent to  $v_1$ . If  $N(u_0) \cap V(C) = \{v_0, v_4\}$ , we contradict (17) for  $u_0$  and path  $v_4-v-v_1-v_0$ . Thus by (21), we conclude that  $u_0$  is adjacent to  $v_6, v_7$ . By (37.2),  $u_0$  is non-adjacent to  $v_5$  and so  $N(u_0) \cap V(C) = \{v_0, v_7, v_6\}$ . Symmetrically, there is  $u_4$  with  $N(u_4) \cap V(C) = \{v_4, v_5, v_6\}$  and  $u_5$  with  $N(u_5) \cap V(C) = \{v_5, v_6, v_7\}$  where  $u_5 \neq u_0$ . Since there is no  $K_4$ , we deduce that  $u_0$  is non-adjacent to  $u_5$ . But now we get a contradiction to (21) applied to  $G[V(C) \setminus \{v_7\} \cup \{v_0\}]$  and  $u_5$ . This proves (37).

## 5.2 The structure of the neighbors of a 7-cycle

In this section, we examine the structure of neighbors of induced 7-cycles. We denote  $C = v_0-v_1-\dots-v_6-v_0$  an induced 7-cycle of  $G$ , and  $H_i$  and  $L_i$  are the hats and leaves of  $C$  as defined earlier. Let  $Y = \bigcup_{i=0}^6 (L_i \cup H_i)$ . Our goal is to understand the structure of  $G[Y]$ .

With (37), we first strengthen (28), (29), and (30) as follows.

(38) *There do not exist vertices  $a \in L_0 \cup H_4$  and  $b \in L_2 \cup H_5$ .*

Suppose that such vertices  $a, b$  exist. By (28), we deduce that  $ab \in E(G)$ ,  $a \in H_4$ , and  $b \in H_5$ . This contradicts (37) for  $v_1$  and 8-cycle  $v_0-a-b-v_2-v_3-v_4-v_5-v_6-v_0$ . This proves (38).

(39) *Let  $h \in H_5$ , and let  $y \in V(G) \setminus V(C)$  be adjacent to  $h$ . Then one of the following holds:*

- $\{v_2, v_3, v_4\} \subseteq N(y) \cap V(C) \subseteq \{v_2, v_3, v_4, v_5\}$
- $\{v_0, v_1, v_6\} \subseteq N(y) \cap V(C) \subseteq \{v_0, v_1, v_5, v_6\}$
- $y \in L_5$

By (29), it suffices to show that  $N(y) \cap V(C) \neq \{v_2, v_3\}$  and  $N(y) \cap V(C) \neq \{v_0, v_1\}$ . In other words, we need to show that  $y \notin H_4$  and  $y \notin H_6$ , either of which is excluded by (38), since  $h \in H_5$ . This proves (39).

(40) *Every vertex  $l \in L_1$  has a neighbor in  $H_1 \cup L_4 \cup L_5$ .*

By (33),  $l$  has a neighbor  $y$  non-adjacent to  $v_1$ . Thus (30) applies and we deduce that  $y \in H_1 \cup L_4 \cup L_5$ . This proves (40).

We shall use the above claims with symmetry of  $C$  in mind. In the following series of claims, we examine the connected components of hats and leaves of  $C$ .

(41) *For every  $i$ , every connected component of  $G[L_i]$  has size at most 2.*

We may assume that  $i = 1$ ; let  $M$  be a component of  $L_1$ . Since there is no 8-wheel  $W_8$  by (34) and no 6-wheel  $W_6$  by (26), we deduce that  $M$  is a tree. Let  $m_1$  be a leaf of  $M$ , and let  $P = m_1 - \dots - m_k$  be a maximal induced path of  $M$  starting at  $m_1$ . We may assume that  $m_1$  and  $P$  are chosen to maximize  $k$ . We may assume that  $k \geq 3$ .

By (33) there is  $x$  in  $V(G)$  adjacent to  $m_1$  and not to  $m_2$ . Then  $x$  is not in  $M$  by the maximality of  $P$ , and therefore  $x$  is not in  $L_1$ . By (17) applied to  $x-m_1-m_2-m_3$ , it follows that  $x$  is non-adjacent to  $m_3$ . If  $k \geq 4$ , applying (17) to  $m_1-m_2-m_3-m_4$  and  $x$  implies that  $x$  is non-adjacent to  $m_4$ . Suppose that  $x$  is adjacent to  $v_1$ . Then by (20a), there is an induced path  $Q$ , starting at  $x$ , and otherwise contained in  $V(C) \setminus \{v_1\}$ , with  $|V(Q)| \geq 5$ . But now  $Q-x-m_1-m_2-m_3$  is an induced  $P_8$ , a contradiction. So  $x$  is non-adjacent to  $v_1$ . By (30),  $x \in H_1 \cup L_4 \cup L_5$ ; from the symmetry we may assume that  $x$  is in  $H_1 \cup L_4$ . Since  $v_2-v_3-v_4-x-m_1-m_2-m_3-m_4$  is not an induced  $P_8$ , we deduce that  $k = 3$ . Since  $v_0-v_6-v_5-v_4-x-m_1-m_2-m_3$  is not an induced  $P_8$ , it follows that  $x \in H_1$ .

From the choice of  $m_1$  and  $P$  (i.e. that the longest path in  $M$  has  $k = 3$  vertices), we deduce that  $M$  is a star (that is, the graph  $K_{1,t}$  for some  $t \geq 2$ ),  $m_2$  is complete to  $M \setminus \{m_2\}$ , and every vertex of  $M \setminus m_2$  has degree one in  $M$ . By symmetry, there is  $y \in H_1$ , adjacent to  $m_3$ , and not to  $m_1, m_2$ .

By (33) there is  $w$  in  $V(G)$ , adjacent to  $m_2$  and not to  $v_1$ . By (30),  $w$  is in  $L_4 \cup L_5 \cup H_1$ . We may assume that  $w$  is adjacent to  $v_5$ . By (17) applied to  $m_2-m_1-x-v_5$  and  $w$ , we deduce that  $w$  is adjacent to  $x$  and  $m_1$ . Similarly,  $w$  is complete to  $\{y, m_3\}$ . But now  $v_1-m_1-w-m_3-v_1$  is an induced 4-cycle, a contradiction. This proves (41).

(42) *Let  $M = \{m_1, m_2\}$  be a component of  $G[L_i]$ . Then either*

(42a) (up to symmetry) there exists a component  $N$  of  $L_{i-3}$  with  $N = \{n_1, n_2\}$  such that  $n_1$  is complete to  $M$ , and  $n_2$  is adjacent to  $m_1$  and not to  $m_2$ , or

(42b) Same as (42a) with  $L_{i+3}$  instead of  $L_{i-3}$ , or

(42c) There exist  $n_1 \in L_{i+3}$  and  $n_2 \in L_{i-3}$  such that  $m_1$  is adjacent to  $n_1$  and not to  $n_2$ , and  $m_2$  is adjacent to  $n_2$  and not to  $n_1$ , and  $n_1$  is non-adjacent to  $n_2$ .

Moreover,  $M$  is anticomplete to  $\bigcup_{j=0}^6 H_j$ .

We may assume that  $i = 1$ . We prove the first assertion first. By (40), there exist  $x_1, x_2$  such that  $x_i$  is adjacent to  $m_i$ , and  $x_i$  is in  $H_1 \cup L_4 \cup L_5$ .

Suppose first that  $x_1, x_2$  can be chosen so that  $x_1$  is non-adjacent to  $m_2$ , and  $x_2$  is non-adjacent to  $m_1$ . Then  $x_1$  is non-adjacent to  $x_2$ , since there is no  $C_4$ , and since there is no  $C_5$ , we deduce that  $x_1, x_2$  have no common neighbor in  $\{v_4, v_5\}$ , and (42c) holds. So we may assume that  $x_1 = x_2$ .

By (33), there exist  $a_1, a_2$  such that  $a_1$  is adjacent to  $m_1$  and not to  $m_2$ , and  $a_2$  is adjacent to  $m_2$  and not to  $a_1$ . Then  $a_1, a_2 \neq x_1$ . Suppose that  $a_1$  is non-adjacent to  $v_1$ . By (30), we deduce that  $a_1 \in H_1 \cup L_4 \cup L_5$ . By symmetry, we may assume that  $x_1 \in H_1 \cup L_4$ , i.e.  $x_1$  is adjacent to  $v_4$ . Applying (17) to  $x_1$  and  $m_2 - m_1 - a_1 - v_4$  (if  $a_1$  is adjacent to  $v_4$ ) or  $m_1 - a_1 - v_5 - v_4$  (if  $a_1$  is non-adjacent to  $v_4$ ), we deduce that  $x_1$  is adjacent to  $a_1$ . Now by (28), (39) and symmetry we may assume that  $x_1, a_1 \in L_4$  and (42b) holds. This proves that  $\{a_1, a_2\}$  is complete to  $v_1$ .

Since  $a_1 - v_1 - m_2 - x_1 - a_1$  is not a  $C_4$ , it follows that  $a_1$  is non-adjacent to  $x_1$ , and similarly  $a_2$  is non-adjacent to  $x_1$ . Since  $G[\{a_1, m_1, x_1, v_4, v_5\}]$  does not contain  $C_4, C_5$ , it follows that  $a_1$  (and symmetrically  $a_2$ ) is anticomplete to  $\{v_4, v_5\}$ . By (41),  $a_1, a_2 \notin L_1$ , and by (22),  $\{a_1, a_2\}$  is not complete to  $\{v_0, v_2\}$ , so by (20), we may assume that  $a_1$  is adjacent to  $v_0$  and not to  $v_2$ . By (28),  $N(a_1) \cap V(C) = \{v_0, v_1, v_6\}$ . But now we get a contradiction to (28) applied to  $G[V(C) \setminus \{v_0\} \cup \{a_1\}]$ ,  $m_1$ , and  $m_2$ . This proves the first assertion of (42).

Next we prove the second assertion. By symmetry, we may assume that there is  $a_1 \in L_5$  adjacent to  $m_1, v_5$ , and not to  $m_2, v_4$ . Suppose that  $h \in \bigcup_{j=1}^6 H_j$  has a neighbor  $m \in M$ . By (30),  $h \in H_1$ . By (28),  $h$  is non-adjacent to  $a_1$ . But now we get a contradiction to (17) applied to  $a_1 - v_5 - h - m$  and  $m_1$ . This proves (42).

Recall that  $Y = \bigcup_{i=0}^6 (L_i \cup H_i)$ .

(43) If  $l \in L_i$  has a neighbor  $h \in H_i$ , then  $\{l, h\}$  is a connected component of  $G[Y]$ .

We may assume that  $i = 1$ . Suppose there is  $y$  in  $Y \setminus \{l, h\}$  adjacent to  $l$ . By (30),  $y$  is in  $L_1 \cup H_1 \cup L_4 \cup L_5$ . By (42),  $y$  is not in  $L_1$ , and so we may assume that  $y$  is adjacent to  $v_4$ . Applying (17) to  $v_3 - v_4 - h - l$ , we deduce that  $y$  is adjacent to  $h$ , contrary to (28). So  $l$  has no neighbors  $Y \setminus \{h\}$ .

Next suppose that  $h$  has a neighbor  $y$  in  $Y \setminus \{l, h\}$ . Then  $y$  is non-adjacent to  $l$ . By (39),  $y$  is in  $L_1$ . But now  $h - l - v_1 - y - h$  is an induced 4-cycle, a contradiction. This proves (43).

(44) Let  $m_1, m_2 \in L_1$  and  $n_1, n_2 \in L_4 \cup L_5$  as in (42a), (42b) or (42c). Then  $\{m_1, m_2, n_1, n_2\}$  is a connected component of  $G[Y]$ .

Let  $K = \{m_1, m_2, n_1, n_2\}$ . Assume for a contradiction that  $y \in Y \setminus K$  has a neighbor in  $K$ . Suppose first that  $m_1, m_2, n_1, n_2$  are as in (42a). By symmetry, we may assume that  $y$  has a neighbor  $m \in \{m_1, m_2\}$ . By (41), (42) and (30), we conclude that  $y \in L_4 \cup L_5$ . If  $y \in L_4$ , then  $y$  is adjacent to  $v_4$ , non-adjacent to  $v_5$ , and we contradict (17) for path  $v_4-v_5-n_1-m$  and  $y$ . If  $y \in L_5$ , then  $y$  is adjacent to  $v_5$  and non-adjacent to  $n_1$  by (41), and thus  $y-v_5-n_1-m-y$  is an induced  $C_4$ , a contradiction.

Thus we may assume that  $m_1, m_2, n_1, n_2$  are as in (42c). We claim that  $y$  has a neighbor in  $\{n_1, n_2\}$ . Suppose not. By symmetry, assume that  $y$  is adjacent to  $m_2$ . By (30), (41) and (42), we conclude that  $y$  is in  $L_4 \cup L_5$ . Applying (17) to  $v_4-v_5-n_2-m_2$  and  $y$ , we deduce that  $y$  is anti-complete to  $\{v_4, v_5\}$ , a contradiction.

This proves that  $y$  has a neighbor in  $\{n_1, n_2\}$ , and we may assume that  $y$  is adjacent to  $n_2$ . By (30) and (43), we deduce that  $y \in L_1 \cup L_2 \cup L_5$ . Suppose that  $y$  is in  $L_1 \cup L_2$ . By (28) and (41),  $y$  is non-adjacent to  $m_2$ , contrary to (17) applied to  $y$  and the path  $v_2-v_1-m_2-n_2$ . This proves that  $y \in L_5$ . By (17) applied  $v_5-v_4-n_1-m_1$  and  $y$ , we find that  $y$  is anticomplete to  $\{m_1, n_1\}$ . By (33), there is  $z$  adjacent to  $y$  and not to  $n_2$ . Same argument we applied for  $y$  yields by (30), (41), and (43), that  $z \in L_1 \cup L_2$ . Then by (28) and (41),  $z$  is anticomplete to  $\{m_1, m_2\}$ . If  $z$  is adjacent to  $n_1$ , then we contradict (17) for  $z$  and path  $v_2-v_1-m_1-n_1$ . Thus  $z$  is also non-adjacent to  $n_1$ . Now if  $y$  is non-adjacent to  $m_2$ , we find that  $z-y-n_2-m_2-m_1-n_1-v_4-v_3$  is an induced  $P_8$ , Therefore  $y$  is adjacent to  $m_2$ , but now we contradict (17) for  $z$  and path  $v_2-v_1-m_2-y$ . This proves (44).

(45) *Let  $D$  be a connected component of  $G[Y]$ . Then one of the following holds:*

- (45a)  $D = \{h\}$  where  $h \in H_i$  for some  $i$ ,
- (45b)  $D = \{h, l\}$  where  $h \in H_i$  and  $l \in L_i$  for some  $i$ ,
- (45c)  $D = \{m_1, m_2, n_1, n_2\}$  as in (42a) or (42b),
- (45d)  $D = \{m_1, m_2, n_1, n_2\}$  as in (42c),
- (45e)  $D = \{l, m\}$  where  $l$  is in  $L_i$  and  $m$  is in  $L_{i+3}$  or  $L_{i-3}$ .

Suppose that  $h \in D \cap H_i$  for some  $i$ . If  $D = \{h\}$ , then (45a) holds. If  $h$  has a neighbor  $l \in D$ , then  $l \in L_i$  by (39), and so (45b) holds by (43). We may therefore assume that  $D$  does not contain any hats.

Let us say a component  $M$  of  $G[L_i]$  is *big* if  $|M| = 2$ . If  $D$  meets a big component of some  $L_i$ , then (45c) or (45d) holds by (44). Thus we may assume that  $D$  meets no big components of any  $L_i$  and that there is  $l$  in  $L_1 \cap D$ . By (40), we may assume that there is  $m$  in  $L_4$  adjacent to  $l$ . We may assume that there is  $y$  in  $Y \setminus \{l, m\}$  with a neighbor in  $\{l, m\}$ , for otherwise (45e) holds. By symmetry, we may assume that  $y$  is adjacent to  $l$ . Since  $D$  meets no big components of  $L_1$ , we conclude that  $y$  is in  $L_4 \cup L_5$ . Since  $D$  meets no big component of  $L_4$ , it follows that  $y$  is not complete to  $\{m, v_4\}$ . But now we get a contradiction to (17) applied to  $v_5-v_4-m-l$  and  $y$ . This proves (45).

### 5.3 Excluding neighbors of a 7-cycle

Using the results from the previous section, we can now exclude the existence of vertices with exactly 2 or 4 neighbors in an induced 7-cycle. This will provide the final ingredient for our proof of Theorem 3.

As before, we use the same notation established in the earlier sections.

(46) *Let  $C = v_0 - v_1 - \dots - v_6 - v_0$  be an induced 7-cycle. There is no vertex  $h$  adjacent to exactly  $v_1, v_2$  in  $C$ .*

Suppose such a vertex  $h$  exists. Suppose first that there is  $l \in L_5$  adjacent to  $h$ . By (45),  $\{l, h\}$  is a component of  $Y$ . By (32),  $h$  has a neighbor  $x \notin \{l, v_1, v_2\}$ . Since  $\{l, h\}$  is a component of  $Y$ , we conclude that  $x \notin Y$ . By (39), we may assume that  $x$  is adjacent to  $v_0, v_1, v_6$ . But now we get a contradiction to (27) applied to  $v_5 - v_6 - v_0 - v_1 - h - l - v_5$  and  $x$ . This proves that no such  $l$  exists.

By (33) for  $i \in \{1, 2\}$ , there exists  $u_i$  adjacent to  $h$  and not to  $v_i$ . By (39),  $u_1$  is adjacent to  $v_2, v_3, v_4$  and  $u_2$  is adjacent to  $v_6, v_0, v_1$ . Since  $G[\{v_4, v_5, v_6, u_1, u_2, h\}]$  contains no  $C_4, C_5$ , it follows that  $u_1$  is non-adjacent to  $u_2$ , and (using (20a)) that  $N(u_1) \cap V(C) = \{v_2, v_3, v_4\}$  and  $N(u_2) \cap V(C) = \{v_6, v_0, v_1\}$ .

By (32),  $v_4$  has a neighbor  $u_4 \notin \{u_1, v_3, v_5\}$ , and  $v_6$  has a neighbor  $u_6 \notin \{v_5, v_0, u_2\}$ . Since  $G[V(C) \cup \{u_1, u_2, u_4\}]$  does not contain  $F_1$  as a subgraph, (20a) implies that  $u_4$  is non-adjacent to  $v_6$ , and in particular  $u_4 \neq u_6$ . Similarly,  $u_6$  is non-adjacent to  $v_4$ .

Suppose that  $u_6$  is non-adjacent to  $v_0$ . If  $u_4$  is non-adjacent to  $v_3$ , we contradict (38) for  $u_4, u_6$ , and  $C$ . Similarly, if  $u_4$  is non-adjacent to  $u_1$ , we contradict (38) for  $u_4, u_6$  and  $C' = G[V(C) \setminus \{v_3\} \cup \{u_1\}]$ . But now  $\{u_1, u_4, v_3, v_4\}$  is a  $K_4$ , a contradiction.

Therefore we conclude that  $u_6$  is adjacent to  $v_0$ , and by the same token also to  $u_2$  (using cycles  $C'' = G[V(C) \setminus \{v_0\} \cup \{u_2\}]$ , and  $C''' = G[V(C) \setminus \{v_0, v_3\} \cup \{u_1, u_2\}]$ ). But now  $\{u_2, u_6, v_0, v_6\}$  is a  $K_4$ , a contradiction. This proves (46).

(47) *Let  $C = v_0 - v_1 - \dots - v_6 - v_0$  be an induced 7-cycle. There is no vertex  $v$  adjacent to  $v_1, v_2, v_3, v_4$ .*

Suppose such  $v$  exists. By (33), there exist  $u_2, u_3$  such that  $u_i$  is adjacent to  $v_i$ , and  $v$  is anticomplete to  $\{u_2, u_3\}$ .

(47.1) *If  $u_3 \notin Y$ , then  $u_3$  is adjacent to  $v_3, v_4, v_5$ , possibly to  $v_6$ , and otherwise has no other neighbors in  $V(C)$ .*

Assume that  $u_3 \notin Y$ . Then by (20),  $u_3$  has exactly 3 or 4 consecutive neighbors in  $V(C)$ . By (22),  $u_3$  is non-adjacent to  $v_1$ , and so  $u_3$  is adjacent to  $v_4$ . Thus again by (22),  $u_3$  is non-adjacent to  $v_2$ , and so  $u_3$  must be adjacent to  $v_5$  and possibly to  $v_6$ . Since  $u_3$  is non-adjacent to  $v_2$ , (20) implies that  $N(u_3) \cap V(C) \subseteq \{v_3, v_4, v_5, v_6\}$ . This proves (47.1).

Suppose first that  $u_2 \in Y$ . By (46), it follows that  $u_2 \in L_2$ . By (40) and (46), there exists  $w_2 \in L_5 \cup L_6$  adjacent to  $u_2$ . If  $w_2 \in L_5$ , then by (20a),  $v$  is a hat for  $v_1 - v_2 - u_2 - w_2 - v_5 - v_6 - v_0 - v_1$ , contrary to (46). So  $w_2 \in L_6$ .

If  $u_3 \in Y$ , then  $u_3 \in L_3$  and by symmetry, there exist  $w_3 \in L_6$  adjacent to  $u_3$ . By (45)

$w_2 \neq w_3$ . If  $w_2$  is non-adjacent to  $w_3$ , then  $v$  is a hat for  $v_2-u_2-w_2-v_6-w_3-u_3-v_3-v_2$ , and if  $w_2$  is adjacent to  $w_3$ , then  $w_2$  is a hat for  $v_0-v_1-v_2-v_3-u_3-w_3-v_6-v_0$ , in both cases contrary to (46).

So we must conclude that  $u_3 \notin Y$ . By (47.1),  $u_3$  is adjacent to  $v_3, v_4, v_5$ , possibly  $v_6$ , and has no other neighbors in  $V(C)$ . By (20) applied to  $v_2-v_3-v_4-v_5-v_6-w_2-u_2-v_2$ , we deduce that  $u_3$  is also anti-complete to  $\{u_2, w_2\}$ . If  $u_3$  is non-adjacent to  $v_6$ , then  $v$  is a hat for  $v_2-v_3-u_3-v_5-v_6-w_2-u_2-v_2$ . Therefore  $u_3$  is adjacent to  $v_6$ , but now  $v_1$  is a hat for  $v_2-v_1-v_4-u_3-v_6-w_2-u_2-v_2$ , contrary to (46).

This proves that  $u_2, u_3 \notin Y$ . By (47.1),  $u_3$  is adjacent to  $v_3, v_4, v_5$ , possibly to  $v_6$ , and similarly,  $u_2$  is adjacent to  $v_0, v_1, v_2$ , possibly to  $v_6$ . If one of  $u_2, u_3$  is adjacent to  $v_6$ , then  $G$  contains  $F_1$  as a subgraph, a contradiction. So  $v_6$  is anticomplete to  $\{u_2, u_3\}$ . But now  $v$  is a hat for  $v_0-u_2-v_2-v_3-u_3-v_5-v_6-v_0$ , contrary to (46). This proves (47).

(48) *Let  $C = v_0-v_1-\dots-v_6-v_0$  be an induced 7-cycle. There is no vertex  $v \neq v_1$  adjacent to  $v_0, v_2$ .*

Suppose such  $v$  exists. By (20a) and (47),  $N(v) \cap V(C) = \{v_0, v_1, v_2\}$ . By (33), there is  $u$  adjacent to  $v_1$  and not to  $v$ . By (20c),  $u$  has a neighbor in  $V(C) \setminus \{v_1\}$ . By (22),  $u$  is not complete to  $\{v_0, v_2\}$ , and so by (20a), we may assume that  $u$  is adjacent to  $v_0$  and non-adjacent to  $v_2$ . Since by (46),  $u$  is not a hat for  $C$ , it follows that  $u$  is adjacent to  $v_6$ , and since (again by (46))  $u$  is not a hat for  $G[V(C) \setminus \{v_1\} \cup \{v\}]$ , we deduce that  $u$  is adjacent to  $v_5$ . But now  $u$  has four neighbors in  $V(C)$ , contrary to (47). This proves (48).

## 5.4 Proof of Theorem 3

We now have all pieces to complete the proof of Theorem 3.

Assume that  $G$  is a minimum counterexample to the theorem. Since  $G$  contains no  $K_4$ ,  $C_4$ , and no  $C_5$ , and since  $G$  is not 3-colorable, it follows from the Strong Perfect Graph Theorem [4] that  $G$  contains an induced 7-cycle. Let  $C$  be such an induced 7-cycle in  $G$ . By (20a), (20c) and (48), it follows that  $V(G) = V(C) \cup Y$  and  $Y \neq \emptyset$ .

Let  $D$  be a connected component of  $G[Y]$ . Since every vertex of  $G$  has degree at least 3, (46) implies that only outcome (45c) is possible. By symmetry we may assume that  $D$  is as in (42a). But in this case there is an induced 7-cycle  $v_i-v_{i+1}-v_{i+2}-v_{i+3}-v_{i-3}-n_2-m_1-v_i$  where  $n_1$  is adjacent to  $m_1$  and  $v_{i-3}$ , contradicting (48). This completes the proof of Theorem 3.

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