

3. Since  $\sigma(a)$  is disconnected, we can write  $\sigma(a) \subset U \cup V$  where  $U, V$  are open subsets of  $\mathbb{C}$ , each of which encloses some components of  $\sigma(a)$ . Consider the holomorphic function  $f$  that is 1 on  $U$  and 0 on  $V$ . Define  $b = f(a)$ . Then  $b^2 = (f(a))^2 = f(a) = b$  since  $f$  squares to itself as a function. In particular  $b$  is nontrivial since  $\sigma(f(a)) = f(\sigma(a)) = \{0, 1\}$ .
4. If  $\sigma(a)$  is connected, then the result follows from Theorem 10.20 in Rudin. Otherwise suppose  $\sigma(a) \subset \Omega_0 \cup \Omega$  where  $\Omega_0$  and  $\Omega$  are disjoint open subsets and  $\Omega$  enclosed a component of  $\sigma(a)$ . For all  $n$  large enough, we have  $\sigma(a_n) \subset \Omega_0 \cup \Omega$  by Theorem 10.20 in Rudin. Consider the holomorphic function  $f$  that is 1 on  $\Omega$  and 0 on  $\Omega_0$ . Now  $f(a) \neq 0$ . Thus

$$\|f(a_n)\| \geq \|f(a)\| - \|f(a_n) - f(a)\| > 0$$

for all  $n$  large, since  $\|f(a_n) - f(a)\| \rightarrow 0$  (to show this, we can use the estimate in Lemma 6.14 and the integral formula (6.7) of Theorem 6.28 in the lecture note, and also the resolvent identity.) Thus  $\sigma(a_n) \cap \Omega \neq \emptyset$  for all  $n$  large; otherwise  $f(a_n) = 0$ .

5. That (b) implies (a) is clear. If (a) is true, then  $TR(A) = R(B)T$  holds for rational functions  $R$  without poles in  $U$ . We can approximate a holomorphic function  $f$  on  $U$  by rational functions  $\{R_n\}$  without poles in  $U$ , uniformly on compact subsets of  $U$ . Thus  $R_n(A) \rightarrow f(A)$  and  $R_n(B) \rightarrow f(B)$  in norm (see Theorem 6.28 in the lecture note), and hence  $Tf(A) = f(B)T$ .