

# Fourth order Paneitz operator and $Q$ curvature equation

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# 1 What is $Q$ curvature?

Given  $(M^n, g)$ ,  $n \geq 3$ ,

$$Q = -\frac{1}{2(n-1)}\Delta R - \frac{2}{(n-2)^2}|Rc|^2 + \frac{n^3 - 4n^2 + 16n - 16}{8(n-1)^2(n-2)^2}R^2.$$

To simplify the formulas, in conformal geometry people use

$$J = \frac{R}{2(n-1)}, \quad A = \frac{1}{n-2}(Rc - Jg).$$

Then

$$Q = -\Delta J - 2|A|^2 + \frac{n}{2}J^2.$$

The Paneitz operator [Paneitz 1983]

$$P\varphi = \Delta^2\varphi + \operatorname{div}(4A(\nabla\varphi, e_i)e_i - (n-2)J\nabla\varphi) + \frac{n-4}{2}Q\varphi.$$

Here  $e_1, \dots, e_n$  is a local orthonormal frame.

For  $n \neq 4$ ,

$$P_{\rho^{\frac{4}{n-4}}g} \varphi = \rho^{-\frac{n+4}{n-4}} P_g(\rho\varphi).$$

In particular

$$Q_{\rho^{\frac{4}{n-4}}g} = \frac{2}{n-4} \rho^{-\frac{n+4}{n-4}} P_g \rho.$$

This can be compared to

$$L = -\frac{4(n-1)}{n-2} \Delta + R,$$

which satisfies

$$L_{\rho^{\frac{4}{n-2}}g} \varphi = \rho^{-\frac{n+2}{n-2}} L_g(\rho\varphi)$$

and

$$R_{\rho^{\frac{4}{n-2}}g} = \rho^{-\frac{n+2}{n-2}} L_g \rho.$$

For  $n = 4$ ,

$$P_{e^{2w}g} \varphi = e^{-4w} P_g \varphi$$

and

$$Q_{e^{2w}g} = e^{-4w} (P_g w + Q_g).$$

Moreover

$$\int_M Q d\mu + \frac{1}{4} \int_M |W|^2 d\mu = 8\pi^2 \chi(M).$$

This can be compared to Laplacian on surface

$$\Delta_{e^{2w}g} \varphi = e^{-2w} \Delta_g \varphi$$

and

$$K_{e^{2w}g} = e^{-2w} (K_g - \Delta_g w).$$

There are higher order analogue called GJMS operator with  $(-\Delta)^m$  as leading term. There are even fractional order GJMS operators by scattering theory (Branson, Fefferman-Graham, Graham-Zworski, Juhl ...).

## 2 $Q$ curvature equation in dimension 4

Problems in spectral geometry motivate the analytical study of Paneitz operator in dimension 4 [Branson-Chang-Yang, 1992], [Chang-Yang 1995]. Paneitz operator appears in the log determinant of conformal covariant operators. One typical breakthrough made along the way is

**Theorem 1 (Chang-Gursky-Yang 2002)** *If  $(M^4, g)$  is compact with  $Y(g) > 0$  and  $\int_M Q d\mu > 0$ , then there exists a  $\tilde{g} \in [g]$  such that  $\widetilde{Rc} > 0$ .*

Both  $Y(g)$  and  $\int_M Q d\mu$  are conformal invariants. The study also reveals the usefulness of  $\sigma_2(A)$  equation.

[Djadli-Hebey-Ledoux 2000] started the question of finding constant  $Q$  curvature in a fixed conformal class (or

closely related prescribing  $Q$  curvature problem) in dimension  $n \geq 5$ . [Xu-Yang 2002] started similar problem for dimension 3.

**Theorem 2 (Ge-Lin-Wang, Catino-Djadli 2010)** *Assume  $(M^3, g)$  is compact with  $R > 0, Q > 0$ , then there exists a  $\tilde{g} \in [g]$  such that  $\widetilde{Rc} > 0$ .*

### 3 $Q$ curvature equation in dimension $n \geq 5$

Let  $(M^n, g)$  be smooth and compact,  $K : M \times M \rightarrow \mathbb{R}$ ,  
 $\varphi : M \rightarrow \mathbb{R}$ ,

$$(T_K \varphi)(p) = \int_M K(p, q) \varphi(q) d\mu(q).$$

Given another  $K' : M \times M \rightarrow \mathbb{R}$ ,

$$(K * K')(p, q) = \int_M K(p, s) K'(s, q) d\mu(s).$$

This makes

$$T_K T_{K'} = T_{K * K'}.$$

Denote

$$Y(g) = \inf_{\tilde{g} \in [g]} \tilde{\mu}(M)^{-\frac{n-2}{n}} \int_M \tilde{R} d\tilde{\mu}.$$

Assume  $Y(g) > 0$ ,  $G_L$  is the Green's function of  $L$ ,  $G_{L,p}(q) = G_L(p, q)$ . Denote

$$H(p, q) = \frac{2^{\frac{n-6}{n-2}} (n-1)^{\frac{n-4}{n-2}}}{n^{\frac{2}{n-2}} (n-2)(n-4) \omega_n^{\frac{2}{n-2}}} G_L(p, q)^{\frac{n-4}{n-2}}.$$

and

$$\Gamma_1(p, q) = \frac{2^{\frac{n-6}{n-2}} (n-1)^{\frac{n-4}{n-2}}}{n^{\frac{2}{n-2}} (n-2)^3 \omega_n^{\frac{2}{n-2}}} G_L(p, q)^{\frac{n-4}{n-2}} \left| \operatorname{Re} \frac{4}{G_{L,p}^{\frac{n-2}{n-2}} g} \right|_g^2 (q).$$

$\omega_n$  is the volume of unit ball in  $\mathbb{R}^n$ . Note  $G_{L,p}^{\frac{4}{n-2}} g$  is the stereographic projection at  $p$ . Then

$$\Gamma_1(p, q) = O\left(\overline{pq}^{4-n}\right).$$

If  $\tilde{g} = \rho^{\frac{4}{n-4}} g$ , then

$$T_{\tilde{\Gamma}_1}(\varphi) = \rho^{-1} T_{\Gamma_1}(\rho\varphi).$$

They have the same spectrum and spectral radius i.e.

$$\sigma\left(T_{\tilde{\Gamma}_1}\right) = \sigma\left(T_{\Gamma_1}\right) \text{ and } r_\sigma\left(T_{\tilde{\Gamma}_1}\right) = r_\sigma\left(T_{\Gamma_1}\right).$$



**Theorem 3 (Hang-Yang 2015)** *Assume  $Y(g) > 0$ , then the following statements are equivalent*

1. *there exists a  $\tilde{g} \in [g]$  with  $\tilde{Q} > 0$ .*
2.  *$\ker P = 0$  and the Green's function  $G_P(p, q) > 0$  for  $p \neq q$ .*
3.  *$\ker P = 0$  and there exists  $p \in M$  such that  $G_P(p, q) > 0$  for  $q \neq p$ .*
4.  *$r_\sigma(T_{\Gamma_1}) < 1$ .*

*Moreover if  $r_\sigma(T_{\Gamma_1}) < 1$ , then*

$$G_P = H + \sum_{k=1}^{\infty} \Gamma_k * H,$$

*here  $\Gamma_k = \Gamma_1 * \dots * \Gamma_1$  ( $k$  times). In particular,  $G_P \geq H$ , moreover if  $G_P(p, q) = H(p, q)$  for some  $p \neq q$ , then  $(M, g)$  is conformal equivalent to the standard  $S^n$ .*

If  $\ker P = 0$ ,  $\tilde{g} = \rho^{\frac{4}{n-4}}g$ , then

$$G_{\tilde{P}}(p, q) = \rho(p)^{-1} \rho(q)^{-1} G_P(p, q).$$

The above statement can be compared to

$$\exists \tilde{g} \in [g] \text{ with } \tilde{R} > 0 \Leftrightarrow \lambda_1(L_g) > 0 \Leftrightarrow Y(g) > 0,$$

an observation of [Kazdan-Warner 1975]; and [Aubin 1974] about Green's function of the Laplacian. For relations between  $L$  and  $P$ , [Hijazi-Raulot 2007] shows if  $Y(g) > 0$ , then

$$\lambda_1(L_g)^2 \geq \frac{16n(n-1)^2}{(n+2)(n-2)(n-4)} \lambda_1(P_g),$$

equality holds iff  $g$  is Einstein metric.

**Theorem 4 ([Gursky-Malchiodi, Hang-Yang 2015])**

*If  $Y(g) > 0$ ,  $r_\sigma(T_{\Gamma_1}) < 1$ , then there exists a  $\tilde{g} \in [g]$  with  $\tilde{Q} = 1$ . Such  $\tilde{g}$  can be found as extremal metric of some functionals. If in addition we know  $R > 0$  and  $Q > 0$ , then  $\tilde{R} > 0$ .*

To find metrics with both positive scalar and  $Q$  curvature,

**Theorem 5 (Gursky-Hang-Lin 2015)** For  $n \geq 6$ ,

$\exists \tilde{g} \in [g]$  with  $\tilde{R} > 0$  and  $\tilde{Q} > 0 \Leftrightarrow L_g > 0$  and  $P_g > 0$ .

For some reason the approach does not work for  $n = 5$ .  
But it seems the statement should be valid for  $n = 5$  too.

Recall

$$\begin{aligned}
 Y(g) &= \inf_{\substack{u \in C^\infty(M) \\ u > 0}} \frac{\int_M \left( \frac{4(n-1)}{n-2} |\nabla u|^2 + Ru^2 \right) d\mu}{\|u\|_{L^{\frac{2n}{n-2}}}^2} \\
 &= \inf_{u \in H^1(M) \setminus \{0\}} \frac{\int_M \left( \frac{4(n-1)}{n-2} |\nabla u|^2 + Ru^2 \right) d\mu}{\|u\|_{L^{\frac{2n}{n-2}}}^2},
 \end{aligned}$$

by the fact  $u \in H^1(M) \Rightarrow |u| \in H^1(M)$  (this simple fact is the reason why the first eigenfunction of  $L_g$  is strictly positive or negative, it is also a basic block for

DeGiorgi-Nash-Moser theory for second order scalar elliptic equations). In particular if the minimizer exists, it must be strictly positive or negative.

[Aubin 1976] shows  $Y(g) \leq Y(S^n)$ ; if  $Y(g) < Y(S^n)$ , then  $Y(g)$  is achieved; for  $n \geq 6$ ,  $g$  not locally conformally flat, then  $Y(g) < Y(S^n)$ . [Schoen 1984] solves all the remain case by applying positive mass theorem on stereographic projection.

To solve

$$Pu = \text{const} \cdot u^{\frac{n+4}{n-4}}, \quad u > 0;$$

let

$$Y_4(g) = \inf_{u \in H^2(M) \setminus \{0\}} \frac{\int_M Pu \cdot u d\mu}{\|u\|_{L^{\frac{2n}{n-4}}}^2},$$

then  $Y_4(g) \leq Y_4(S^n)$ ; if  $Y_4(g) < Y_4(S^n)$ , then  $Y_4(g)$  is achieved; for  $n \geq 8$ ,  $g$  not locally conformally flat, then  $Y_4(g) < Y_4(S^n)$ . Unfortunately  $u \in H^2(M) \not\Rightarrow |u| \in H^2(M)$ , how can we know the minimizer is strictly

positive or negative? It needs positivity to qualify for being conformal factors.

[Robert 2009] made an observation in an unpublished lecture notes: if  $P > 0$ ,  $G_P > 0$  and  $Y_4(g)$  is achieved, then the minimizer must be strictly positive or negative.

In fact  $u$  is a minimizer with  $\|u\|_{L^{\frac{2n}{n-4}}} = 1$  and  $u^+ \neq 0$ , then

$$Pu = Y_4(g) |u|^{\frac{8}{n-4}} u.$$

Let  $Pv = |Pu|$ , then  $v > 0$  and  $|u| \leq v$ .

$$\begin{aligned} Y_4(g) &\leq \frac{\int_M Pv \cdot v d\mu}{\|v\|_{L^{\frac{2n}{n-4}}}^2} = Y_4(g) \frac{\int_M |u|^{\frac{n+4}{n-4}} v d\mu}{\|v\|_{L^{\frac{2n}{n-4}}}^2} \\ &\leq Y_4(g) \|v\|_{L^{\frac{2n}{n-4}}}^{-1} \leq Y_4(g). \end{aligned}$$

Hence  $\|v\|_{L^{\frac{2n}{n-4}}} = 1 = \|u\|_{L^{\frac{2n}{n-4}}}$  and  $u = v$ .

[Humbert-Raulot 2009] If  $(M, g)$  is locally conformally flat or  $n = 5, 6, 7$ ,  $\ker P = 0$ , then under the conformal

normal coordinate at  $p$ ,

$$2n(n-2)(n-4)\omega_n G_{P,p} = r^{4-n} + A + O^{(4)}(r).$$

If  $Y(g) > 0$ ,  $G_{P,p} > 0$ , then

$$A = c(n) \int_M G_{P,p} G_{L,p}^{\frac{n-4}{n-2}} \left| \operatorname{Rc}_{G_{L,p}^{\frac{4}{n-2}} g} \right|_g^2 d\mu \geq 0.$$

$A = 0$  iff  $(M, g)$  is conformal equivalent to the standard  $S^n$ . Originally Humbert-Raulot only considered locally conformally flat manifolds, Gursky-Malchiodi pointed out their argument works in dimension 5, 6, 7.

Indeed on  $M \setminus \{p\}$ ,

$$P_g G_{P,p} = 0.$$

Use conformal covariant property,

$$P_{G_{L,p}^{\frac{4}{n-2}} g} \left( G_{L,p}^{-\frac{n-4}{n-2}} G_{P,p} \right) = 0.$$

Integrate the equation on  $M \setminus B_{g,\varepsilon}(p)$  with respect to  $d\mu_{G_{L,p}^{\frac{4}{n-2}} g}$  and let  $\varepsilon \rightarrow 0^+$  we get the needed identity.

How can we know  $G_P > 0$ ? Green's function for fourth order elliptic operator is not as simple as second order ones.

**Example 6** On  $S^1$  consider the operator  $u \mapsto u^{(4)} + \lambda u$ ,  $\lambda > 0$ , we have

$$\begin{aligned}
 & G(x, y) \\
 = & -\frac{1}{2\sqrt{\lambda}} \operatorname{Im} \left( \frac{\cosh \alpha (\pi - \overline{xy})}{\alpha \sinh \pi \alpha} \right) \\
 = & \frac{\mu}{2\lambda (\cosh 2\mu\pi - \cos 2\mu\pi)} [\cosh \mu \overline{xy} \sin \mu (2\pi - \overline{xy}) \\
 & + \cosh \mu (2\pi - \overline{xy}) \sin \mu \overline{xy} + \sinh \mu \overline{xy} \cos \mu (2\pi - \overline{xy}) \\
 & + \sinh \mu (2\pi - \overline{xy}) \cos \mu \overline{xy}].
 \end{aligned}$$

Here  $\mu = \sqrt[4]{\lambda/4}$ ,  $\alpha = \mu + \mu i$ . Note

$$G(1, -1) = \frac{\mu (\cosh \mu\pi \sin \mu\pi + \sinh \mu\pi \cos \mu\pi)}{\lambda (\cosh 2\mu\pi - \cos 2\mu\pi)}.$$

If  $\mu = 2k + 1$ ,  $k \in \mathbb{Z}_+$ , then  $G(1, -1) < 0$ . Indeed careful study shows if  $\lambda \geq 4$ ,  $G$  is negative somewhere.

**Example 7** If  $Rc = (n - 1)g$ , then

$$Q = \frac{n(n-2)(n+2)}{8},$$

$$P = \left( -\Delta + \frac{n(n-2)}{4} \right) \left( -\Delta + \frac{(n+2)(n-4)}{4} \right).$$

Hence  $P > 0$  and

$$G_P = G_{-\Delta + \frac{(n+2)(n-4)}{4}} * G_{-\Delta + \frac{n(n-2)}{4}} > 0.$$

This is basically applying maximum principle twice. On  $S^n$ , let  $N$  be the north pole,  $x = \pi_N$  as the coordinate, then

$$G_{P,N} = \frac{\left( |x|^2 + 1 \right)^{\frac{n-4}{2}}}{n(n-2)(n-4)2^{n-3}\omega_n}.$$

**Example 8** If

$$\Delta^2 u = u^{\frac{n+4}{n-4}}, \quad u > 0,$$

then by [Lin 1998] using method of moving plane and



applying maximum principle twice, we have

$$u = c_n \left( \frac{\lambda}{|x - x_0|^2 + \lambda^2} \right)^{\frac{n-4}{2}} \text{ for some } \lambda > 0.$$

[Chen-Li-Ou 2006] achieve this without using maximum principle, namely if for  $0 < \alpha < n$ ,

$$u(x) = \int_{\mathbb{R}^n} \frac{u(y)^{\frac{n+\alpha}{n-\alpha}}}{|x-y|^{n-\alpha}} dy, \quad u > 0,$$

then

$$u = c_{n,\alpha} \left( \frac{\lambda}{|x - x_0|^2 + \lambda^2} \right)^{\frac{n-\alpha}{2}} \text{ for some } \lambda > 0.$$

They develop the integral form of the method of moving planes based on the property of the kernel. This approach, together with [Schoen-Yau 1988] 's result on locally conformally flat manifolds and Kleinian groups, enable [Qing-Raske 2006] to solve the  $Q$  curvature equation for locally conformally flat manifolds with  $Y(g) > 0, Q > 0$ . These are all based on explicit formulas of the Green's function.

[Gursky-Malchiodi 2014] makes a breakthrough: If  $R > 0$ ,  $Q > 0$ , then  $P > 0$ ,  $G_P > 0$ ; moreover if  $\tilde{g} \in [g]$  satisfies  $\tilde{Q} > 0$ , then  $\tilde{R} > 0$ .

Method: try to show

$$Pu \geq 0 \Rightarrow u \geq 0.$$

For  $\lambda > 0$ , let  $u_\lambda = u + \lambda$ ,  $g_\lambda = u_\lambda^{\frac{4}{n-4}} g$ .

$$Q_\lambda = \frac{2}{n-4} u_\lambda^{-\frac{n+4}{n-4}} P u_\lambda > 0;$$

i.e.

$$-\Delta_\lambda J + \frac{n}{2} J_\lambda^2 \geq Q_\lambda > 0.$$

By method of continuity  $J_\lambda > 0$ . Hence  $u_\lambda$  is superharmonic. By strong maximum principle,  $u_\lambda > 0$  for all  $\lambda > 0$ . Hence  $u \geq 0$ .

[Hang-Yang 2014] Recall how we deal with second order operators. Given  $Su = -\Delta u + cu$ ,  $c > 0$ . We need

$$Su \geq 0 \Rightarrow u \geq 0.$$

If not, let  $\min_M u = -\lambda$ ,  $\lambda > 0$ , then

$S(u + \lambda) > 0$ ,  $u + \lambda \geq 0$  and touches zero somewhere.

Hence by strong maximum principle  $u + \lambda \equiv 0$ , a contradiction. It follows that  $G_S \geq 0$ . By strong maximum principle we have  $G_S > 0$ .

Assume  $Y(g) > 0$ ,  $Q > 0$ , we need

$$Pu \geq 0 \implies u \geq 0.$$

If not let  $u(p) = \min_M u = -\lambda$ ,  $\lambda > 0$ , then

$$P(u + \lambda) > 0, \quad u + \lambda \geq 0 \text{ and } u(p) + \lambda = 0.$$

How to rule this out? The crucial equality

$$P_q H(p, q) = \delta_p(q) - \Gamma_1(p, q).$$

This equality is closely related to [Humbert-Raulot 2009].

Recall

$$H(p, q) = \frac{2^{\frac{n-6}{n-2}} (n-1)^{\frac{n-4}{n-2}}}{n^{\frac{2}{n-2}} (n-2)(n-4) \omega_n^{\frac{2}{n-2}}} G_L(p, q)^{\frac{n-4}{n-2}}.$$

and

$$\Gamma_1(p, q) = \frac{2^{\frac{n-6}{2}} (n-1)^{\frac{n-4}{2}}}{n^{\frac{2}{n-2}} (n-2)^3 \omega_n^{\frac{2}{n-2}}} G_L(p, q)^{\frac{n-4}{n-2}} \left| \text{Rc} \begin{matrix} 4 \\ G_{L,p}^{\frac{n-2}{2}} g \end{matrix} \right|_g^2 (q).$$

Hence

$$\begin{aligned} & \int_M H(p, q) P(u + \lambda)(q) d\mu(q) \\ &= - \int_M \Gamma_1(p, q) (u + \lambda)(q) d\mu(q). \end{aligned}$$

A contradiction.

How to get formulas for  $G_P$ ? For any  $\varphi \in C^\infty(M)$ ,

$$T_H(P\varphi) = \varphi - T_{\Gamma_1}\varphi.$$

If  $r_\sigma(T_{\Gamma_1}) < 1$ , then

$$\varphi = (I - T_{\Gamma_1})^{-1} T_H(P\varphi).$$

Then use geometric series expansion.

How to get positive mass for  $G_P$ ? For locally conformally flat manifold or  $n = 5, 6, 7$ ,

$$G_{P,p} - H_p = A + o(1).$$

Since

$$P \left( G_{P,p} - H_p \right) = \Gamma_{1,p},$$

we see

$$A = \int_M G_P(p, q) \Gamma_1(p, q) d\mu(q).$$

This is exactly the formula in [Humbert-Raulot 2009].

**Remark 9** *There is a difference between  $R > 0, Q > 0$  and  $Y(g) > 0, Q > 0$ .*

Assume  $Y(g) > 0, Q > 0$ , then  $\ker P = 0$  and  $G = G_P > 0$ . To solve

$$Pu = u^{\frac{n+4}{n-4}}, \quad u > 0.$$

We write it as

$$u = T_G u^{\frac{n+4}{n-4}}.$$

Let  $f = u^{\frac{n+4}{n-4}}$ , it becomes

$$T_G f = f^{\frac{n-4}{n+4}}, \quad f > 0.$$

This can be solved by

$$\Theta_4(g) = \sup_{f \in L^{\frac{2n}{n+4}}(M) \setminus \{0\}} \frac{\int_M T_G f \cdot f d\mu}{\|f\|_{L^{\frac{2n}{n+4}}}^2}.$$

It has similar structure as the solution to Yamabe problem.  $\Theta_4(g) \geq \Theta_4(S^n)$ , with equality iff  $(M, g)$  is conformal equivalent to  $S^n$ . Hence  $\Theta_4(g)$  is always achieved.

Note

$$\Theta_4(g) = \frac{2}{n-4} \sup_{\tilde{g} \in [g]} \frac{\int_M \tilde{Q} d\tilde{\mu}}{\|\tilde{Q}\|_{L^{\frac{2n}{n+4}}(\tilde{\mu})}^2}.$$

[Gursky-Hang-Lin 2015]

$n \geq 6, L_g > 0, P_g > 0 \Rightarrow \exists \tilde{g} \in [g]$  with  $\tilde{R} > 0, \tilde{Q} > 0$ .

To begin, note

$$\begin{aligned}\sigma_2(A) &= \frac{1}{2} (J^2 - |A|^2); \\ Q &= -\Delta J + \frac{n-4}{2} J^2 + 4\sigma_2(A),\end{aligned}$$

Hence

$$\int_M Q d\mu = \frac{n-4}{2} \int_M J^2 d\mu + 4 \int_M \sigma_2(A) d\mu.$$

For  $\lambda \geq 1$  consider the functional

$$\frac{n-4}{2} \lambda \int_M J_g^2 d\mu_g + 4 \int_M \sigma_2(A_g) d\mu_g.$$

A critical metric of this functional restricted to the space of conformal metrics of unit volume satisfies

$$\lambda \left( -\Delta J + \frac{n-4}{2} J^2 \right) + 4\sigma_2(A) = \text{const.}$$

Fix  $\lambda_0 \gg 1$  such that there exists  $g_0 = u_0^{\frac{4}{n-4}}$  satisfying

$$\lambda_0 \left( -\Delta_0 J_0 + \frac{n-4}{2} J_0^2 \right) + 4\sigma_2(A_0) > 0$$

and  $J_0 > 0$ . Define  $f$  as

$$\lambda_0 \left( -\Delta_0 J_0 + \frac{n-4}{2} J_0^2 \right) + 4\sigma_2(A_0) = f u_0^{-\frac{n+4}{n-4}}.$$

Then for  $1 \leq \lambda \leq \lambda_0$  try to solve

$$\lambda \left( -\tilde{\Delta} J + \frac{n-4}{2} \tilde{J}^2 \right) + 4\sigma_2(\tilde{A}) = f u^{-\frac{n+4}{n-4}}, \quad \tilde{g} = u^{\frac{4}{n-4}} g$$

by method of continuity. This approach has similar spirit as [Chang-Gursky-Yang 2002].



## 4 $Q$ curvature equation in dimension 3

On  $(M^3, g)$ ,

$$Q = -\frac{1}{4}\Delta R - 2|Rc|^2 + \frac{23}{32}R^2;$$

and

$$P\varphi = \Delta^2\varphi + 4\operatorname{div}(Rc(\nabla\varphi, e_i)e_i) - \frac{5}{4}\operatorname{div}(R\nabla\varphi) - \frac{1}{2}Q\varphi.$$

The transformation law is

$$P_{\rho^{-4}g}\varphi = \rho^7 P_g(\rho\varphi).$$

Hence seeking  $\tilde{g} \in [g]$  with  $\tilde{Q} = \text{const}$  is the same as

$$P_g u = \text{const} \cdot u^{-7}, \quad u > 0.$$

**Example 10** On  $S^3$ ,

$$Q = \frac{15}{8},$$

$$P = \Delta^2 + \frac{1}{2}\Delta - \frac{15}{16} = \left(-\Delta + \frac{3}{4}\right) \left(-\Delta - \frac{5}{4}\right).$$

$\lambda_1(P) = -\frac{15}{16}$ ,  $\lambda_2(P) = \frac{105}{16} > 0$ . Let  $N$  be north pole,  $x = \pi_N$ , then

$$G_N = -\frac{1}{4\pi} \frac{1}{\sqrt{1 + |x|^2}}.$$

Recall on  $\mathbb{R}^3$ , the fundamental solution of  $\Delta^2$  is  $-\frac{r}{8\pi}$ .

**Example 11** On  $S^2 \times S^1$ ,  $Q = -\frac{9}{8}$ ,  $P > 0$ .

**Example 12** If  $\ker P = 0$ , then under the conformal normal coordinate at  $p$ ,

$$G_p = A - \frac{r}{8\pi} + \sum_{i=1}^3 a_i x_i + O(r^2).$$

Note  $PG_p = \delta_p \in H^{-2}$ , hence  $G_p \in H^2(M)$ .

Assume  $Y(g) > 0$ ,

$$H(p, q) = -\frac{G_L(p, q)^{-1}}{256\pi^2},$$

$$\Gamma_1(p, q) = \frac{G_L(p, q)^{-1}}{256\pi^2} \left| \text{Rc}_{G_{L,p}^4} \right|_g^2(q).$$

Then

$$\Gamma_1(p, q) = O(\overline{pq}^{-1})$$

and

$$P_q H(p, q) = \delta_p(q) - \Gamma_1(p, q).$$

[Hang-Yang 2015] Assume  $Y(g) > 0$ , then the following statements are equivalent

1. there exists a  $\tilde{g} \in [g]$  with  $\tilde{Q} > 0$ .
2.  $\ker P = 0$  and the Green's function  $G_P(p, q) < 0$  for  $p \neq q$ .
3.  $\ker P = 0$  and there exists  $p \in M$  such that  $G_P(p, q) < 0$  for  $q \neq p$ .
4.  $r_\sigma(T_{\Gamma_1}) < 1$ .

Moreover if  $r_\sigma (T_{\Gamma_1}) < 1$ , then

$$G_P = H + \sum_{k=1}^{\infty} \Gamma_k * H,$$

In particular,  $G_P \leq -\frac{G_L^{-1}}{256\pi^2}$ ; if equality holds somewhere, then  $(M, g)$  is conformal equivalent to the standard  $S^3$ .

**Example 13** *If  $Y(g) > 0$ ,  $r_\sigma (T_{\Gamma_1}) < 1$  and  $(M, g)$  is not conformal equivalent to  $S^3$ , then the set  $\{\tilde{g} \in [g] : \tilde{Q} = 1\}$  is nonempty and compact.*

Indeed,  $G = G_P < 0$ . Let  $\tilde{g} = u^{-4}g$ , then

$$Pu = -\frac{1}{2}u^{-7}, \quad u > 0.$$

In another way,

$$\begin{aligned} u(p) &= \int_M \left( -\frac{G(p, q)}{2} \right) u(q)^{-7} d\mu(q) \\ &\sim \int_M u^{-7} d\mu, \end{aligned}$$

hence  $0 < c_1 \leq u \leq c_2$ . This gives compactness. Degree theory gives existence.

**Problem 14** Find a conformal invariant condition which is equivalent to the existence of a  $\tilde{g} \in [g]$  with  $\tilde{R} > 0$  and  $\tilde{Q} > 0$ .