

Combinatorics in 3d



$\Lambda \subset (S^3, \xi_{std})$  Legendrian knot

$X =$  Legendrian surgery along  $\Lambda$ , filling contact mfd  $Y$ .

$S^3_\Lambda = Y = \partial X$

What is ~~CH(X)~~ (depends on the filling  $X$ )  
 $\hookrightarrow$   $LH(X)$  and  $SH(X)$ ?

To what extent does this depend on  $X$ ? It depends on the augmentation you get.

There should be a spectral sequence

$Sym^*(CH(X)) \Rightarrow$  full contact homology (of  $Y$ )

$\uparrow$  doesn't necessarily converge

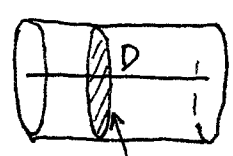
How many augmentations can there be? We just know there can be more than 1.

~~What is  $LH(X)$  (linearised contact homology of  $Y$  w.r.t. filling  $X$ ),~~

~~and  $SH(X)$  (symplectic homology of  $X$ )?~~

More examples (enter Yasha stage right) to show how  $LH(X)$  depends on the filling  $X$  of  $Y$ . (McDuff)

$L(4,1)$  has 2 fillings, or  $CP^2 - Q = \text{quadric} = E = T^*RP^2$



one filling is  $CP^1 \times CP^1 \setminus \{Q\}$   
 $[Q] = (2,1) \in H_2(CP^1 \times CP^1)$

$\Rightarrow SH = H^*(\mathbb{Z}RP^2)$

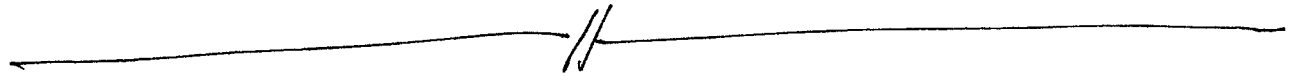
take  $CP^1$  tangent to  $Q$ .  
 $\Rightarrow$  get 1 disc.

augmentation comes from disc at minimum (Morse-Bott)

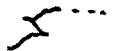
Get 2 discs

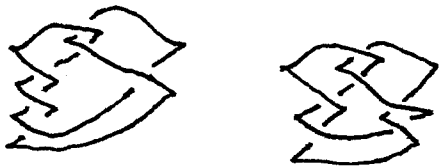
$SH = 0$

Or (enter Luis): cheaply,  $S^1$  can be filled by  $D^2$ , in which the Reeb orbit bounds 1 disc, or by a higher-genus surface, in which case it bounds 0 discs  $\Rightarrow$  get different augmentations.



Computations: SH  $\Lambda =$   unknot

SH  $\Lambda =$   stabilisations

LH  Chekanov

Setup:  $\Lambda \subset \mathbb{R}^3$  Reeb chords  $c_1, \dots, c_k$

LH( $\Lambda$ ) = free noncomm. unital algebra/ $\mathbb{Q}$  gen. by  $c_1, \dots, c_k$

$$d_{LH} : LH \rightarrow LH \quad d_{LH}(c) = \sum_{\dim M/\mathbb{R} = 0} \# M(c; c_{j_1}, \dots, c_{j_k}) / \mathbb{R} \quad c_{j_1}, \dots, c_{j_k}$$

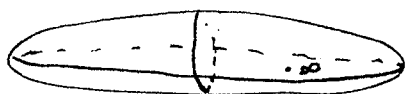
$$H(LH, d_{LH}) = LH(\Lambda).$$

This can be computed combinatorially in the x-y plane (Chekanov)

E.g.   $\Rightarrow d(c) = 1 - 1 = 0$

Why can we pass from  $S^3$  to  $\mathbb{R}^3$ ?

$$\text{Draw } S^3 \text{ as } \frac{|z_1|^2}{a_1} + \frac{|z_2|^2}{a_2} = 1$$



our knot

Put  $\Lambda$  near the long Reeb orbit.

$\rightarrow$  by index argument nothing goes near  $\infty$ .

(Reeb chords escaping a Darboux chart must

be very long, hence have large index by monotonicity, so we can discard them).

$$LH(\Lambda) = \mathcal{A}$$

Consider  $\mathcal{A} / \langle [A, A], \langle 1 \rangle \rangle \leftarrow$  not the ideal generated by this  $\Rightarrow$  cyclic words.

$$c_1 (c_2 \dots c_k) = (c_2 \dots c_k) c_1$$

$d_{LH}$  descends to  $d_{cyc}: LH^{cyc} \rightarrow LH^{cyc}$

$$LH^{cyc}(\Lambda) = H(LH^{cyc}(\Lambda), d_{cyc})$$

If you do  $R_\Lambda^3$ , one term in the exact sequence is  $\mathbb{Z}CH(R^3) = 0 \Rightarrow$  you get an isomorphism.


$$\begin{array}{ccc} CH(R_\Lambda^3) & \longrightarrow & CH(R^3) = 0 \\ & \nwarrow \uparrow & \swarrow \searrow \\ & LH^{cyc}(\Lambda) & \end{array}$$

If you do it for  $S^3$ ,  $CH(S^3)$  need not be 0.

You need to compute the map  $\beta_2$ :

$$\begin{array}{ccc} CH(S_\Lambda^3) & \longrightarrow & CH(S^3) \\ & \nwarrow \uparrow & \swarrow \searrow \\ & LH^{cyc}(\Lambda) & \end{array} \quad \begin{array}{l} \left( \begin{array}{l} \text{for the example below} \\ \text{lives in degrees } 3, 5, 7, 9, \dots \end{array} \right) \\ \left( \begin{array}{l} \text{lives in degrees } 1, 3, 5, 7, \dots \text{ for the example below} \end{array} \right) \end{array}$$

$\beta$   $\swarrow$   $\deg = -1$

E.g.   $\mathbb{Z}LH(\Lambda)$  generated by  $c, c^3, c^5, \dots$  because  $c^2 = -c^2 \Rightarrow c^2 = 0$   
(and  $d_{LH} = 0 \Rightarrow$  all these survive!)

$\Rightarrow$  by index reasons,  $\beta$  must be 0.

~~Project ACS<sup>3</sup> to S<sup>2</sup> via Hopf fibration~~

Symplectic homology:

Surgery of B<sup>4</sup> along trefoil gives a torus bundle - could <sup>one</sup> compute CH or SH?

Symplectic homology:

$$LH^{\widehat{Hops}}(\Lambda) = \cancel{H^{\widehat{Hops}}} \oplus \begin{matrix} \widehat{\text{Words}} \\ \uparrow \\ \text{words of Reeb chords} \\ \hat{c}_1 c_2 \dots c_m \\ \text{degree} = |c_1| + \dots + |c_m| + 1 \end{matrix} \oplus \begin{matrix} \check{\text{Words}} \oplus \langle \tau \rangle \\ \uparrow \\ \check{c}_1 c_2 \dots c_m \\ |c_1| + \dots + |c_m| \end{matrix}$$

← empty word with  $\checkmark$   
deg = 0

$$\widehat{Hops} d = \begin{pmatrix} \hat{d} & 0 \\ d_{Morse} & \check{d} \end{pmatrix}$$

$$\check{d}(\check{c}_1 \dots c_m) = \check{\tau} \hat{d}(c_1 \dots c_m) \quad (\text{i.e. put check on first word})$$

$\check{d}\tau = 0$       ( $\tau = \text{empty word}$ )

One way to think of it is as a cyclic word with a placeholder

$$\hat{d}(\hat{c}_1 \dots c_m) = \widehat{(\hat{d}c_1)} c_2 \dots c_m + (-1)^{|\hat{c}_1|} \hat{c}_1 \hat{d}(c_2 \dots c_m)$$

where we define  $\widehat{b_1 \dots b_s} = \hat{b}_1 b_2 \dots b_s + b_1 \hat{b}_2 \dots b_s + \dots + b_1 \dots \hat{b}_s$ .

$$d_{Morse}(\hat{c}_1 \dots c_m) = \check{c}_1 \dots c_m - c_1 \check{c}_2 \dots \check{c}_m$$

You should think of  $\wedge$  as maximum,  $\checkmark$  as minimum in Morse Bott.

Thm:  $(d^{\widetilde{\text{Hoch}}})^2 = 0$ , and its homology is  $\text{SH}(X)$ .

$$\text{SH}(X_0) \oplus \text{LH}^{\widetilde{\text{Hoch}}}(1)$$



$$\parallel \{$$

$$\text{SH}(X)$$

$(\tau \mapsto \text{crit. point in handle})$

$= 0$  if  $X_0$  is subcritical.

E.g. Unknot

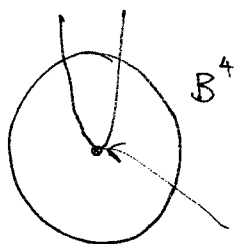


	$\tau$	0
	$\check{a}$	1
	$\hat{a}$	2
	$\check{a}^2$	3
	$\hat{a}^2$	
	$\vdots$	
	$\check{a}^k$	k
	$\hat{a}^k$	k+1

$\times 2$

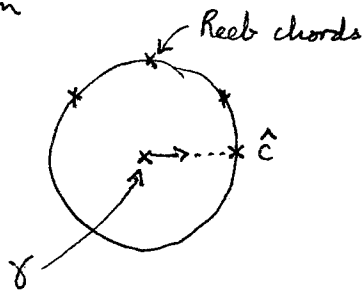
$d_{\text{Morse}}$   
(all other 0)

We should be able to identify the unit in the ring structure of  $\text{SH}(X)$ . In this case it is easy for degree reasons. unit of  $\text{SH}(X)$  (in degree 2). unit =  $\hat{a}$ .

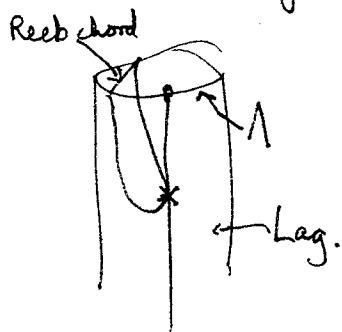


unit in  $\text{SH}(X) = \text{minimum}$

The map is given by counting



The unit is given (morally) by counting



It corresponds to the fundamental class of  $\Lambda$ . (conjecturally).

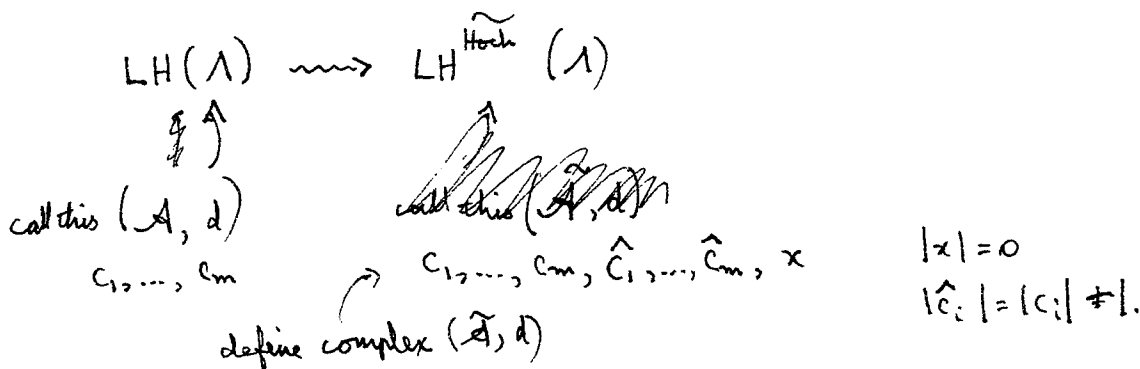
Comment (Paul): count polygons in Chekanov homology with a power of  $\epsilon$  when it hits a marked point on  $\Lambda$   $\Rightarrow$  get a deformation of  $LCH \Rightarrow$  an element of  $HH^*(LCH(\Lambda)) \Rightarrow \dots?$

Reformulation: suppose  $\Lambda$  bounds  $L \subset B$ . Then you can use the augmentation.

Claim: If  $\Lambda$  is stabilised then  $SH(X) = 0$ .

~~version~~ (i.e. if each component is stabilised. If you just stabilise one, then it's as if you erased it).

$X = 4$ -ball with handle attached along  $\Lambda$ .



$|x| = 0$   
 $|\hat{c}_i| = |c_i| \neq 1$

$dx = 0$   
 $d(c_i) = \text{as before}$   
 $d(\hat{c}_i) = \underbrace{(dc_i)}_{d_{\text{Morse}}} + x c_i - c_i x$

$\rightsquigarrow$  complex  $(\tilde{C}, d) =$  cyclic words in  $\tilde{A}$  of total order = 1 in  $\Lambda + x$ .

$\tau \leftrightarrow x$        $\check{c}_1 \dots \check{c}_k \leftrightarrow c_1 x c_2 \dots c_k$

Legendrian isotopy of  $\Lambda$  changes  $(A, d)$  by stable tame isomorphism  
 $\Rightarrow$  changes  $(\hat{A}, d)$  " " " "  
 $\Rightarrow$  changes  $(\tilde{E}, d)$  by quasi-isomorphism.

We postpone losing the algebra structure to as late as possible.

If we stabilise  $\Lambda$ ,  $(A, d)$  for  $LH(\Lambda)$  is tamely isomorphic to

$$\partial(c_1) = 1$$

$$\partial(c_2) = 0$$

$$\vdots$$

$$\partial(c_m) = 0$$

$\Rightarrow$  if you calculate  $(\tilde{E}, d)$  you get something trivial.

$$\left( d(c, a) = a + c, \underbrace{da}_{=0} \text{ but only after quotienting by cyclic permutation } \Rightarrow \text{ be careful, but works} \right)$$

### 3d combinatorics II

Plan:  $\Lambda \subset S^3$  or  $\mathbb{R}^3$

$\rightsquigarrow$   $LH(\Lambda)$  combinatorially

$\rightsquigarrow$   $SH(X)$

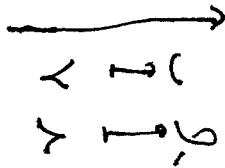
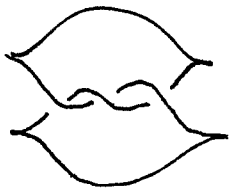
Now:

$\Lambda \subset \#(S^1 \times S^2)'s$


$\rightsquigarrow$   $LH(\Lambda)$  - how to do this combinatorially?

$\rightsquigarrow$   $SH(X)$

In  $\mathbb{R}^3$ :  $\alpha = dz - y dx$

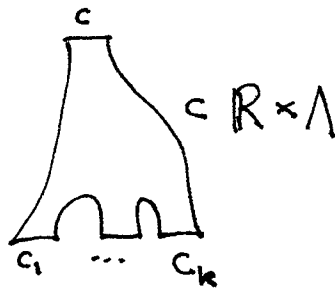


x-y projection of  $\Lambda$   
 $\pi_{xy}(\Lambda)$

decorate crossings 

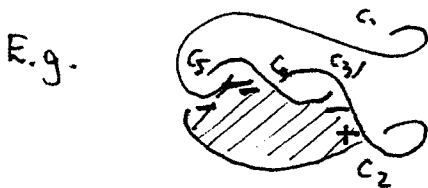
$\mathcal{M}(c; \underbrace{c_1, \dots, c_k}_{-})$   
↑  
+

=



$\subset \mathbb{R} \times \Lambda$

= immersed discs with  $\partial$  on  $\pi_{xy}(\Lambda)$  and convex corners + at  $c$   
- at  $c_1, \dots, c_k$

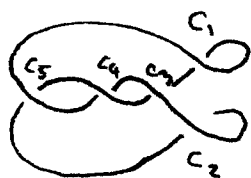


contributes a term  $c_3 c_4 c_5$  to  $\partial c_2$ .

$$\partial c = \sum \# \mathcal{M}(c; c_1, \dots, c_k) / \mathbb{R} \quad c_1, \dots, c_k$$



In our example



$$dc_1 = 1 + c_3 + c_5 + c_5 c_4 c_3$$

$$dc_2 = 1 + c_3 + c_5 + c_3 c_4 c_5$$

$$dc_3 = dc_4 = dc_5 = 0$$

(Morse differential kills all bad orbits)

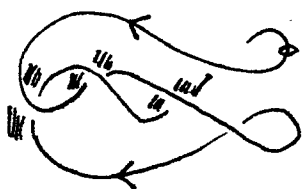
Signs:



(orient knot)

no shading  
( $\frac{1}{2}$  crossing)

So in our case



(tb = 1, r = 0)

Sign =  $(-1)^{\# \text{ coloured corners in your polygon}}$

$$\Rightarrow dc_1 = t - c_3 - c_5 - c_5 c_4 c_3$$

$$|c_3| = |c_4| = |c_5| = 0$$

$$dc_2 = 1 + c_3 + c_5 + c_3 c_4 c_5$$

$$|c_1| = |c_2| = 1$$

$$dc_3 = dc_4 = dc_5 = 0$$

$\mathbb{Z}[t, t^{-1}]$  coefficients, count polygons with  $t$  when they go through marked point then set  $t = -1$ .  
When you do surgery you need to take a spin structure coming from the filling.

Going from  $\mathbb{R}^3 \hookrightarrow S^3$ : you need to keep track of twisted coefficients (or assume  $c_1 = 0$ ).

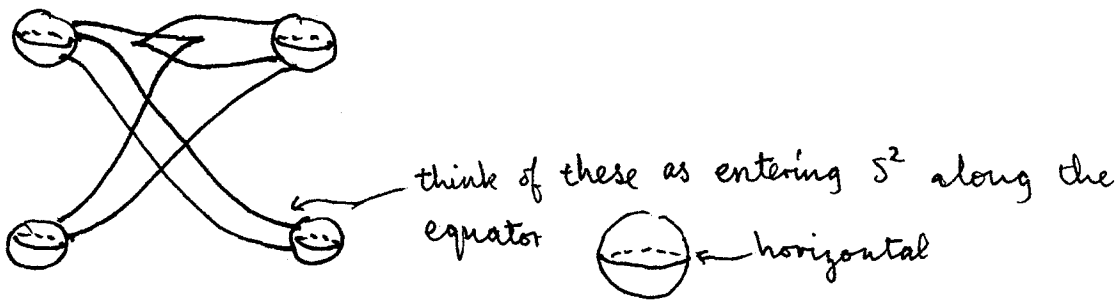
~~Handwritten scribble~~

$$LH_0(\lambda) = \mathbb{Q}\langle C_3, C_4, C_5 \rangle / \left( 1 + C_3 + C_5 + C_3 C_4 C_5, 1 + C_3 + C_5 + C_5 C_4 C_3 \right)$$

Chekanov knots ~~are~~ have diff't LH by degree reasons  $\Rightarrow$  surgery is non-contactomorphic (distinguished by set of linearisations).

Knots in  $\#^2(S^1 \times S^2)$ 's

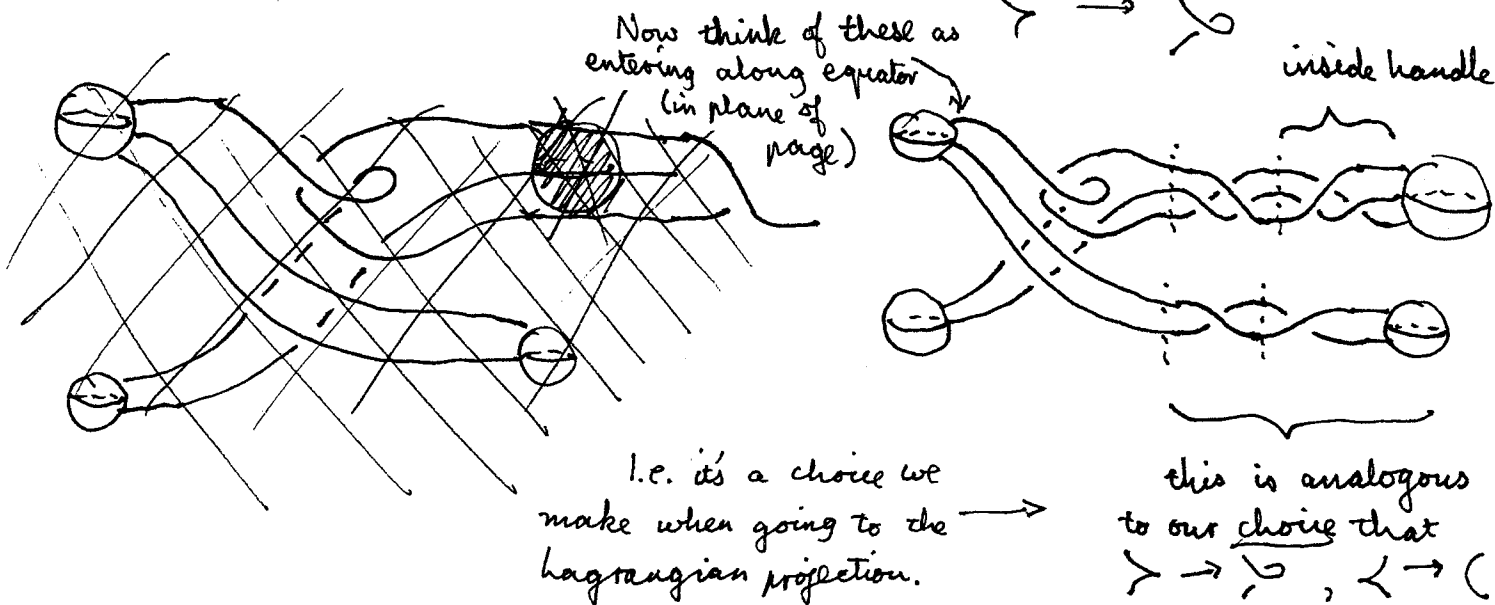
E.g.



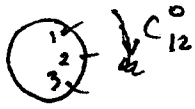
$\#^2(S^1 \times S^2)$  (remove 4 balls and ~~and~~ glue in  $S^2 \times \mathbb{I}$  twice)

Any knot/link can be represented like this and there ~~is~~ is a nice set of moves

Go to Lag. projection:  $X \mapsto X \quad \langle \rightarrow \langle$



Reeb chords inside the handle: (I think) (there is one Reeb orbit, along the equator of the  $S^2$  that is the core of the handle  $\mathbb{R}^3$ , which is like the Reeb chords from Sheel & May's talk),  $C_{ij}^k$  runs from  $i$  to  $j$  with 'multiplicity'  $k$



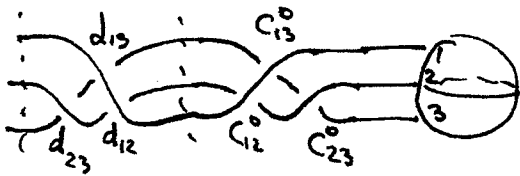
$$C_{ij}^k \quad \begin{cases} \text{(if } i < j \text{ } k \geq 0) \\ \text{(if } i \geq j \text{ } k > 0) \end{cases} \quad \#$$

→ all link components hit

$$dC_{ij}^k = \begin{cases} \sum C_{il}^m C_{lj}^{k-m} \\ (\sum) + 1 \text{ if } k=1 \text{ and } i=j \end{cases}$$

E.g.  $dC_{11}^1 = C_{12}^0 C_{21}^1 + C_{13}^0 C_{31}^1 + 1$

Picture of  $C_{ij}^0$ : (see previous diagram)



For all the other crossings (i.e. not near handles) you do the same as before.

The sense in which this is invariant under Legendrian isotopy is no longer stable tame isomorphism - you need to allow  $\infty$  stabilisations as long as their degrees go to  $\infty$ .  
 (of the generators you add)



2 discs: one in middle, one going through handle;



$$\begin{aligned} d(d_{12}) &= 0 \\ d(C_{12}^0) &= 0 \\ d(C_{11}^1) &= 1 + C_{12}^0 C_{21}^1 \\ d(C_{12}^1) &= C_{11}^1 C_{12}^0 + C_{12}^0 C_{22}^1 \\ d(C_{21}^1) &= 0 \quad d(C_{22}^1) = 1 + C_{21}^1 C_{12}^0 \end{aligned}$$

Grading as usual for  $d_{ij}$  and ~~crossings~~ <sup>crossings</sup> outside handles

$$|C_{ij}^k| = 2k - 1 + m(i) - m(j)$$

↑ Maslov number

$$\left\{ \begin{array}{l} m = i+1 \\ m = i \end{array} \right.$$

In our example,

$$|C_{12}^0| = |C_{21}^1| = 0$$

$$|C_{22}^k| = |C_{11}^k| = 2k - 1$$

$$|C_{12}^k| = 2k$$

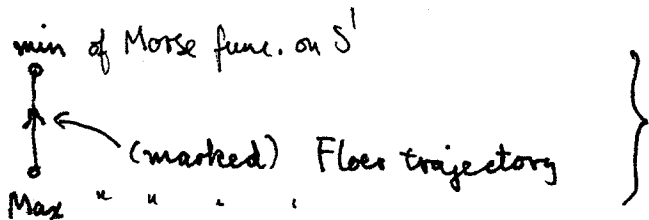
$$|C_{21}^k| = 2k - 2.$$

Product on  $SH(X)$ : First review  $d_{SH}$ :

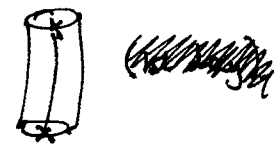
$$SH(X) = \hat{C}H(X) \oplus \check{C}H(X) \oplus \text{Morse}(-H) [-n]$$

This comes from Morse-Bott picture of  $SH$  (Bourgeois).

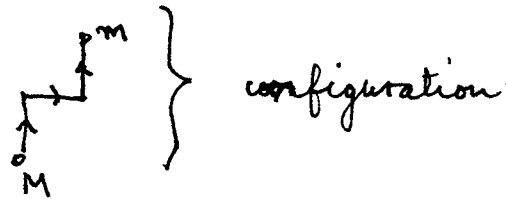
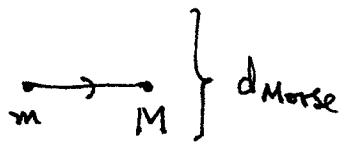
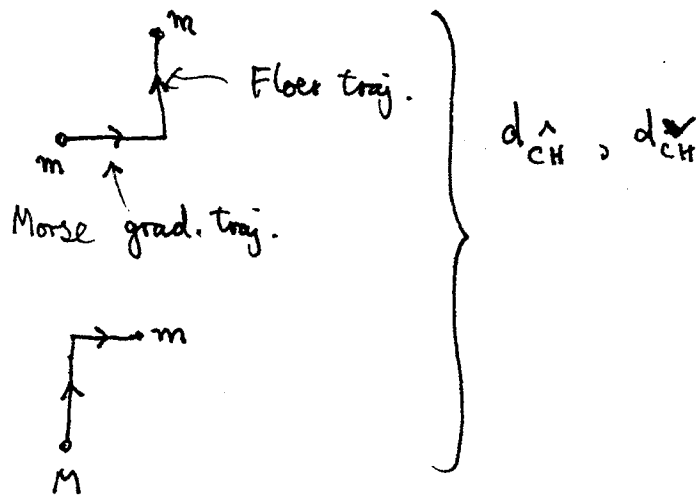
Rigid configurations ~~is~~ contributing to  $d_{SH}$  (explanation of formula from day 1)



configuration



(We imagine making  $m$  and  $M$  very close to each other)



we require the correct cyclic ordering so there is a gradient flow line in the correct direction.

SH = 0

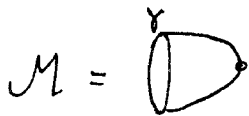
$SH^*(W) = 0 \quad (\Rightarrow SH^{*,S^1}(W) = 0).$

$\Rightarrow CIH(W) \xrightarrow{\cong} \text{XXXXXXXXXXXX} H_{n-*}^{S^1}(W, \partial W)$  (trivial  $S^1$ -action)  
 linearised contact homology (has Lie bracket) trivial bracket

Q: is the bracket on  $CIH(W)$  trivial?

Spectral sequence from  $S^1(CIH)$  to .....  
 bracket gives first differential.

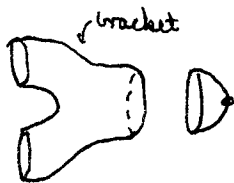
$CIH(W) \xrightarrow{\phi = (\phi_k)} H_{n-*}^{S^1}(W, \partial W)$



$M = \tilde{M}/S^1$   
 $\uparrow$   
 $\tilde{M}$

$\phi_k = \int_M c_1(\tilde{M})^k \cdot ev^*(\dots)$   
 descendant.

Now what happens if



$\phi([x, y]) \stackrel{?}{=} 0$



$\partial \left( \text{U-shaped surface} \right) = \text{Cylinder on U} + \text{Cylinder on U} + \text{Y-shaped surface}$

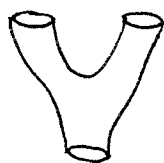
Thus if  $SH^*(W) = 0$ ,  $\Phi$  gives an  $L_\infty$  quasi-isomorphism to the trivial  $L_\infty$  algebra  $H_{n-*}^S(W, \partial W)$ . ~~(perturbation lemma)~~

Consider GW potential

$$\sum \underline{f_i(t)} p + f_2(t) p_i p_j + \dots$$

↓  
vanishing of  $SH^*$   
tells us something  
about this but not higher  $f_i$ .

Can we get information out of moduli spaces



without markers?

Kenji: in this situation the  $L_\infty$  structure can be pushed into the homology (involutive Lie algebra?)

$\Rightarrow$  operations like



which might be defined if



(i.e. empty moduli space)  
gives  $0_n$  do not give new information.

Can we get information from torsion?

Potential invariant:

Weinstein mfd with homology = 0 ( $n$  handles,  $n-1$  handles cancel etc.)

Write intersection matrix of the stable lagrangians, then try to do handle slides to make it diagonal, the obstruction is Whitehead torsion (to diagonalisability)

What is the lagrangian intersection Floer theory analogue of this?

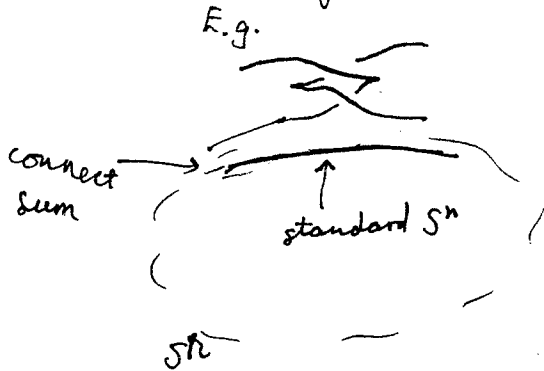
Some sort of K-theory comes in.

Q: What is the effect of handle slides (of vanishing cycles) on the Fukaya category.

Kenji: should be an exact triangle.

E.g. Legendrian in  $D^*S^n$  hitting fibre some number of times

E.g. Legendrian in  $J^1S^n$  which projects to  $S^n$  as a ~~multiple~~ cover of degree 1, but ~~it~~ intersects fibre ~~at~~  $> 1$  time.



In  $S^1$ -case, it is topologically knotted, but in higher dimension it may not be, can it be Legendrian non-isotopic to the standard  $S^n$ ?

Other invariants (Latscher + Wendell, explained by Michael)

$$T^3, \lambda = \cos(2\theta) dx + \sin(2\theta) dy$$

$$\Rightarrow t_h = 0 \text{ in algebra (or } t_h^n = 0 \text{ in general).}$$

Idea: a filling gives an  $\mathbb{R}[[t_h]]$ -module map

$$\text{SFT}(\partial X) \rightarrow \mathbb{R}[[t_h]]$$

Thus if  $t_h^n = 0$  in  $\text{SFT}(\partial X)$ , this is an obstruction to filling because it has to survive the filling map.



Idea (Paul): Take standard ball, forget it's the standard ball, boundary is  $S^1$ -bundle over  $\mathbb{C}P^n$ .  
 Use Fuk( $\mathbb{C}P^n$ ), GW invariants of  $\mathbb{C}P^n$  over  $\mathbb{Z}$ .

~~Q (Ioan): Does the time~~

Q: If  $SH$  (affine algebraic surface which is topologically contractible) = 0  
 need the surface to be  $D^2 \times$  (pure Riem. surf.)?

Thm:  $X =$  contractible affine alg. surface

Either  $X \cong \mathbb{C}^2$  or there are at most 2 different  $\mathbb{C} \xrightarrow{\text{alg.}} X$ ,  
 nonconstant.

So, in this class, does  $SH^* = 0$  characterise  $\mathbb{C}^2$ ? (Not true in dim 3 - Ioan)

There are many Stein mfd's homeomorphic to  $\mathbb{R}^4$ , can get  $SH = 0$ ,  
 then cross with  $T^2 - pt$  (not  $D^2$  as subcritical).

Stein structures on  $\mathbb{R}^{16}$ :  
 $\mathbb{R}^{16}$   $SH^* = 0$   
 affine alg. ones,  $SH^* \neq 0$   
 Stein ones,  $\Gamma = \infty$   
 $\infty$  handle ones } Mclean

(Paul)  
 Q:  $X$  finite type, Weinstein, Stein,  $X \not\cong \mathbb{R}^{2n}$ , is it possible that  
 $X \not\underset{\text{end}}{\cong} X \cong X$

Yasha: "virtually overtwisted" structures (covered by overtwisted ones).

E.g.  $p-1$  tight contact structures on  $L(p,1)$ , ~~but~~ all fillable,  
 but only 1 lifts to filling of  $S^3$ .

Q: do covers of boundary say something about symplectic topology of filling?

How does CH of covers behave?

What about ~~lifts of ST~~ SH of lifts? Lifting contractible loops gives similar to normal homology, what about lifting non-contractible loops to contractible ones?

$$\tilde{M} \rightarrow M \rightarrow K(\pi, 1)$$

$$\mathcal{L}\tilde{M} \rightarrow \mathcal{L}M \rightarrow \mathcal{L}K(\pi, 1)$$

need to compute local coefficient systems on components of  $\mathcal{L}M$ .