



# On a Question of Zannier

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### ABSTRACT

We formulate and answer a question of Zannier about elliptic curves over varying prime fields. We then give some experimental findings. In a final section, we formulate some analogous questions for curves of higher genus.

### KEYWORDS

elliptic curves; Cartier operator; equidistribution; Eisenstein series

### AMS SUBJECT CLASSIFICATION

11G05; 11K99; 14G15; 14H10; 14H52

## 1. Zannier's question

We fix an integer  $N \geq 1$  and an elliptic curve  $E$  over  $\mathbb{Z}[1/6N]$ , given by an equation

$$y^2 = f(x)$$

with  $f(x)$  a cubic in  $\mathbb{Z}[1/6N][x]$  whose discriminant is invertible in  $\mathbb{Z}[1/6N]$ . On  $E$  we have the differential of the first kind  $\omega = dx/y$  and the differential of the second kind  $\eta = xdx/y$ . For each prime  $p$  not dividing  $6N$ , we look at this data mod  $p$ , and apply the Cartier operator  $C_p$ . We get quantities  $\alpha_p, \beta_p \in \mathbb{F}_p$  defined by

$$C_p(\omega) = \alpha_p \omega, \quad C_p(\eta) = \beta_p \omega.$$

Zannier asked what one can say about  $(\alpha_p, \beta_p)$  as  $p$  varies.

One knows that  $\alpha_p$  is the reduction mod  $p$  of the trace of Frobenius, or equivalently that  $\alpha_p$  is the Hasse invariant of  $E$  mod  $p$ . Is there an interpretation of  $\beta_p$ ?

It is straightforward, cf. [Achter and Howe 17, §3.1], that one has the following "formulas" for  $\alpha_p$  and  $\beta_p$ .

$$\begin{aligned} \alpha_p &\equiv \text{the coef. of } x^{p-1} \text{ in } f(x)^{(p-1)/2} \pmod{p}, \\ \beta_p &\equiv \text{the coef. of } x^{p-2} \text{ in } f(x)^{(p-1)/2} \pmod{p}. \end{aligned}$$

To draw information from these formulas, we will assume our curve is given in Weierstrass form

$$y^2 = 4x^3 - g_2x - g_3,$$

coefficients  $g_2, g_3 \in \mathbb{Z}[1/6N]$  with  $g_2^3 - 27g_3^2$  invertible in  $\mathbb{Z}[1/6N]$ .

Recall that over an  $\mathbb{Z}[1/6]$ -algebra  $R$ , a pair  $(E, \omega)$  consisting of an elliptic curve over  $R$  together with a basis  $\omega$  of  $H^0(E, \Omega_{E/R}^1)$  can be written uniquely as a Weierstrass equation

$$y^2 = 4x^3 - g_2x - g_3,$$

now with  $g_2, g_3 \in R$  and with  $g_2^3 - 27g_3^2$  invertible in  $R$ . Conversely, given  $g_2, g_3 \in R$  with  $g_2^3 - 27g_3^2$  invertible in  $R$ , the Weierstrass equation together with  $\omega := dx/y$  is such an  $(E, \omega)$ . Viewed as functions of the input data  $(E, \omega)$ ,  $g_2 = g_2(E, \omega)$  is a modular form over  $\mathbb{Z}[1/6]$  of weight 4, and  $g_3 = g_3(E, \omega)$  is a modular form over  $\mathbb{Z}[1/6]$  of weight 6. One knows [Deligne 75, 2.5] that for any  $\mathbb{Z}[1/6]$ -algebra  $R$ , the graded ring of modular forms over  $R$  is the ring  $R[g_2, g_3][1/(g_2^3 - 27g_3^2)]$ . The subring  $R[g_2, g_3]$  is the graded ring of those modular forms over  $R$  whose  $q$ -expansion (value on the Tate curve with its canonical differential) is holomorphic, cf. the next section for a "baby" proof of this last fact.

If we attribute weight 2 to  $x$ , then  $f(x) = 4x^3 - g_2x - g_3$  is isobaric of weight 6. Thus  $f(x)^{(p-1)/2}$  is isobaric of weight  $3(p-1)$ . Thus  $\alpha_p$  (respectively  $\beta_p$ ) is an  $\mathbb{F}_p$  polynomial in  $g_2, g_3$  which is isobaric of weight  $p-1$  (respectively isobaric of weight  $p+1$ ). In other words,  $\alpha_p$  is a mod  $p$  modular form of weight  $p-1$ , and  $\beta_p$  is a mod  $p$  modular form of weight  $p+1$ . There is an obvious guess, perhaps naive, as to what these forms must be, which turns out to be correct. In order to state it unambiguously, we must fix some notation, which we do in the next section.

## 2. Review of Eisenstein series

Over any  $\mathbb{Q}$ -algebra  $R$ , given an  $(E, \omega) = (y^2 = 4x^3 - g_2x - g_3, dx/y)$ , there is a unique formal parameter  $z$  along the zero section in terms of which  $\omega = dz$ . The Weierstrass  $\wp$ -function is the formal expansion of  $x$  in the parameter  $z$ , which we write as

$$x = \wp(E, \omega) = 1/z^2 + 2 \sum_{k \geq 2} G_{2k} z^{2k-2} / (2k-2)!.$$

For each  $k$ , the coefficient  $G_{2k}$  is a modular form over  $\mathbb{Q}$  of weight  $2k$ , whose  $q$ -expansion is

$$G_{2k} = -b_{2k}/4k + \sum_{n \geq 1} q^n \sum_{d|n} d^{2k-1},$$

with  $b_{2k}$  the Bernoulli number. One knows (Kummer congruences) that  $b_{2k}$  is  $p$ -integral except for those  $p$  such that  $p-1$  divides  $2k$ . If  $p-1 \nmid 2k$ , then  $pb_{2k}$  is  $p$ -integral and is  $1 \pmod p$ ; in particular,  $\text{ord}_p(1/b_{2k}) = 1$ . One also knows that if  $p-1$  does not divide  $2k$ , then  $b_{2k}/2k \pmod p$  depends only on the congruence class of  $2k \pmod{p-1}$ .

From the differential equation

$$(d\wp/dz)^2 = 4\wp^3 - g_2\wp - g_3$$

for

$$\wp = 1/z^2 + 2 \sum_{k \geq 2} G_{2k} z^{2k-2} / (2k-2)!,$$

one sees that  $G_{2k}$  is an isobaric  $\mathbb{Q}$ -polynomial in  $g_2$  and  $g_3$  of weight  $2k$ . For example, one has

$$\begin{aligned} G_4 &= \frac{g_2}{20}, \quad G_6 = \frac{3g_3}{7}, \quad G_8 = \frac{3g_2^2}{10}, \quad G_{10} = \frac{108g_2g_3}{11}, \\ G_{12} &= \frac{756g_2^3}{65} + \frac{16200g_3^2}{91}, \quad G_{14} = 1296g_2^2g_3, \\ G_{16} &= \frac{174636g_2^4}{85} + \frac{1166400g_2g_3^2}{17}, \\ G_{18} &= \frac{9471168g_2^3g_3}{19} + \frac{256608000g_3^3}{133}, \\ G_{20} &= \frac{25147584g_2^5}{25} + \frac{678844800g_2^2g_3^2}{11}, \\ G_{22} &= \frac{10671720192g_2^4g_3}{23} + \frac{103296384000g_2g_3^3}{23}, \\ G_{24} &= \frac{73581830784g_2^6}{65} + \frac{1410877440000g_2^3g_3^2}{13} \\ &\quad + \frac{15547365504000g_3^4}{91}. \end{aligned}$$

We will use the notation  $E_{2k}$  for the modular form

$$E_{2k} := (-4k/b_{2k})G_{2k},$$

whose  $q$ -expansion is

$$E_{2k} = 1 - (4k/b_{2k}) \sum_{n \geq 1} q^n \sum_{d|n} d^{2k-1}.$$

By the  $q$ -expansion principle,  $G_{2k}$  is a modular form over the ring  $\mathbb{Z}[b_{2k}/4k]$ , and  $E_{2k}$  is a modular form over the ring  $\mathbb{Z}[4k/b_{2k}]$ . In particular, for any prime  $p \geq 5$ ,  $E_{p-1}$  is a modular form over  $\mathbb{Z}_p$ , as are both  $G_{p+1}$  (whose constant term is congruent to  $-1/24 \pmod p$ ) and  $E_{p+1}$ .

In particular, we have

$$g_2 = E_4/12, \quad g_3 = -E_6/216,$$

$$\Delta := g_2^3 - 27g_3^2 = (E_4^3 - E_6^2)/1728.$$

So over any  $\mathbb{Z}[1/6]$ -algebra  $R$ , the graded ring of modular forms is the polynomial ring  $R[E_4, E_6][1/(E_4^3 - E_6^2)]$ . To show that the subring  $R[E_4, E_6]$  consists precisely of those modular forms whose  $q$ -expansion is holomorphic, it suffices to show that an isobaric element of  $R[E_4, E_6]$  whose  $q$ -expansion has vanishing constant term is divisible by  $E_4^3 - E_6^2$ . Since both  $E_4$  and  $E_6$  have  $q$ -expansions with constant term 1, the constant term of the  $q$ -expansion of an element  $g = \sum_{i,j} a_{i,j} E_4^i E_6^j$  is  $\sum_{i,j} a_{i,j}$ . If this element is isobaric of weight  $w = 2k$ , then  $2i + 3j = k$  for each monomial which occurs. Thus,  $j$  has the same parity as  $k = w/2$  for each such monomial. Suppose first  $k = w/2$  is even. Then  $j$  is even, and  $E_4^i E_6^j$  is congruent to  $E_4^{i+3j/2} = E_4^{w/4}$  modulo the ideal  $(E_4^3 - E_6^2)$ . Thus,  $g$  is congruent to  $\sum_{i,j} a_{i,j} E_4^{w/4}$  modulo this ideal. So if,  $\sum_{i,j} a_{i,j} = 0$ , then  $g$  is divisible by  $E_4^3 - E_6^2$ . If  $k = w/2$  is odd, then our element  $g$  is of the form  $g_0 E_6$ , and we apply the previous argument to  $g_0$ .

Although not modular forms, it will be convenient to introduce the  $q$ -series

$$G_2 = -b_2/4 + \sum_{n \geq 1} q^n \sum_{d|n} d = -1/24 + \sum_{n \geq 1} q^n \sum_{d|n} d$$

and

$$E_2 = 1 - 24 \sum_{n \geq 1} q^n \sum_{d|n} d = \text{Ramanujan's } P.$$

## 3. Relation of $\alpha_p$ and $\beta_p$ to $E_{p-1}$ and $E_{p+1}$

Fix a prime  $p \geq 5$ . Define  $\alpha_p, \beta_p \in \mathbb{F}_p[g_2, g_3]$  to be

$$\begin{aligned} \alpha_p &\equiv \text{the coef. of } x^{p-1} \text{ in } (4x^3 - g_2x - g_3)^{(p-1)/2} \pmod p, \\ \beta_p &\equiv \text{the coef. of } x^{p-2} \text{ in } (4x^3 - g_2x - g_3)^{(p-1)/2} \pmod p. \end{aligned}$$

**Theorem 3.1.** *For any prime  $p \geq 5$ ,  $\alpha_p$  is the reduction mod  $p$  of  $E_{p-1}$ , and  $\beta_p$  is the reduction mod  $p$  of  $E_{p+1}/12$ . Moreover,  $\alpha_p$  and  $\beta_p$  have no common zero.*

*Proof.* The first assertion is a congruence due to Deligne, cf [Katz 73, 2.1]. One knows that  $\alpha_p$ , the Hasse invariant in characteristic  $p$ , has  $q$ -expansion identically 1, as does the reduction mod  $p$ , for any  $p \geq 5$ , of  $E_{p-1}$  (because, by the Kummer congruence,  $\text{ord}_p(4(p-1)/b_{p-1}) = 1$ ). So the first assertion results from the  $q$ -expansion principle.

For the second assertion, we argue as follows. We know that  $\beta_p - E_{p+1}/12$  is a modular form over  $\mathbb{F}_p$  of weight  $p+1$ . To show that it vanishes identically, it suffices to show that

$$\frac{(\beta_p - E_{p+1}/12)^6}{(g_2^3 - 27g_3^2)^{(p+1)/2}},$$

which is an  $\mathbb{F}_p$  polynomial in  $j = 1728g_2^3/(g_2^3 - 27g_3^2)$  of degree  $(p+1)/2$ , vanishes identically. One knows that the number of supersingular  $j$  values in the algebraic closure  $\overline{\mathbb{F}_p}$  is  $(p-1)/12, 1 + (p-5)/12, 1 + (p-7)/12, 2 + (p-11)/12$  when  $p$  is respectively congruent mod 12 to 1, 5, 7, 11, cf. [Washington 03, Cor. 4.40]. After checking low  $p$  by hand, one sees that for any  $p \geq 5$ , there are strictly more than  $(p+1)/2$  **ordinary** (i.e., not supersingular)  $j$ -values in  $\mathbb{F}_p$ . So, it suffices to show that  $\beta_p$  agrees with  $E_{p+1}/12$  at every pair  $(E/\mathbb{F}_p, \omega)$  with  $E/\mathbb{F}_p$  ordinary. Since we already know that  $\alpha_p$  is  $E_{p-1}$  mod  $p$ , it suffices to show that  $\beta_p/\alpha_p$  agrees with the reduction mod  $p$  of  $E_{p+1}/12E_{p-1}$  at every pair  $(E/\mathbb{F}_p, \omega)$  with  $E/\mathbb{F}_p$  ordinary.

To see this, we must recall some facts about  $H_{DR}^1(E/\mathbb{F}_p)$  and the action of  $\text{Frob}_p$  on it, for **any**  $E/\mathbb{F}_p$ , not necessarily ordinary, cf [Katz 73, A1.2.3]. First, the inclusion of the complex

$$\mathcal{O}_E \rightarrow \Omega_{E/\mathbb{F}_p}^1$$

into the complex

$$I^{-1}(0) \rightarrow \Omega_{E/\mathbb{F}_p}^1 \otimes I^{-2}(0)$$

induces isomorphisms

$$\begin{aligned} H_{DR}^1(E/\mathbb{F}_p) &\cong \mathbb{H}^1\left(E, I^{-1}(0) \rightarrow \Omega_{E/\mathbb{F}_p}^1 \otimes I^{-2}(0)\right) \\ &\cong H^0\left(E, \Omega_{E/\mathbb{F}_p}^1 \otimes I^{-2}(0)\right) = \mathbb{F}_p dx/y \oplus \mathbb{F}_p xdx/y. \end{aligned}$$

In general cf. [Katz 70, 7.1.2, 7.2, 7.3.6], one has a short exact sequence

$$\begin{aligned} 0 \rightarrow H^1\left(E, \mathcal{H}_{DR}^0(E/\mathbb{F}_p)\right) &\rightarrow H_{DR}^1(E/\mathbb{F}_p) \\ &\rightarrow H^0\left(E, \mathcal{H}_{DR}^1(E/\mathbb{F}_p)\right) \rightarrow 0. \end{aligned}$$

The first term is  $H^1(E, \mathcal{O}_E^p)$ , which is precisely the image  $\text{Frob}_p(H_{DR}^1(E/\mathbb{F}_p))$ , and the sequence can be rewritten, via the Cartier operator, as

$$0 \rightarrow \text{Frob}_p\left(H_{DR}^1(E/\mathbb{F}_p)\right) \rightarrow H_{DR}^1(E/\mathbb{F}_p) \xrightarrow{C_p} H^0\left(E, \Omega_{E/\mathbb{F}_p}^1\right) \rightarrow 0.$$

In terms of the basis  $(dx/y, xdx/y)$  of  $H_{DR}^1(E/\mathbb{F}_p)$ , and the basis  $dx/y$  of  $H^0(E, \Omega_{E/\mathbb{F}_p}^1)$ , the map

$$C_p : H_{DR}^1(E/\mathbb{F}_p) \rightarrow H^0\left(E, \Omega_{E/\mathbb{F}_p}^1\right)$$

sends  $dx/y$  to  $\alpha_p dx/y$  and sends  $xdx/y$  to  $\beta_p dx/y$ . Because this map is surjective, at least one of  $\alpha_p$  or  $\beta_p$  must be nonzero, and the image  $\text{Frob}_p(H_{DR}^1(E/\mathbb{F}_p))$  is the subspace  $\text{Ker}(C_p)$ , spanned by  $\beta_p dx/y - \alpha_p xdx/y$ .

When  $E/\mathbb{F}_p$  is ordinary, the image  $\text{Frob}_p(H_{DR}^1(E/\mathbb{F}_p))$  is precisely the “unit root subspace  $U$ ”, spanned by

$$xdx/y - (\beta_p/\alpha_p) dx/y.$$

Its “direction,” in the coordinates  $(dx/y, xdx/y)$ , is  $\beta_p/\alpha_p$ . It is proven in [Katz 73, A2.4] that this direction is the reduction mod  $p$  of the  $p$ -adic modular form of weight 2 given by  $P/12$ . Here  $P$  is Ramanujan’s  $P$ , introduced at the end of Section 2. It is the  $p$ -adic modular form whose  $q$ -expansion

$$P(q) = 1 - 24 \sum_{n \geq 1} \sum_{d|n} dq^n$$

is that of the Eisenstein series  $E_2$ , which is not itself a modular form, but which occurs as a period of the second kind on the Tate curve, cf. [Katz 73, A1.3.9]. By the Kummer congruences,  $P$  and  $E_{p+1}/E_{p-1}$  have  $q$ -expansions which are  $p$ -integral and congruent mod  $p$ . By the  $q$ -expansion principle [Katz 73, 2.7.1], the reduction mod  $p$  of  $P$  is the reduction mod  $p$  of  $E_{p+1}/E_{p-1}$ , the latter viewed as a  $p$ -adic modular form. Thus the direction of the unit root subspace,  $\beta_p/\alpha_p$ , is the reduction mod  $p$  of  $E_{p+1}/12E_{p-1}$  at each ordinary  $(E/\mathbb{F}_p, \omega)$ .  $\square$

#### 4. Experimental findings: the CM case

Suppose we start with a CM elliptic curve  $E/\mathbb{Z}[1/6N]$ , whose endomorphism ring is an order  $\mathcal{O} = \mathbb{Z} + \delta\mathcal{O}_K$  in a quadratic imaginary field  $K$ ,  $E$  given by an equation  $y^2 = f(x)$  with  $f(x)$  a cubic in  $\mathbb{Z}[1/6N]$  whose discriminant is invertible in  $\mathbb{Z}[1/6N]$ . Then

$$\mathbb{H} := H_{DR}^1(E/\mathbb{Z}[1/6N])$$

is the free  $\mathbb{Z}[1/6N]$  of rank 2 with basis  $dx/y$  and  $xdx/y$ . For each prime  $p$  not dividing  $6N$ ,  $\mathbb{H}/p\mathbb{H} \cong H_{DR}^1(E \otimes \mathbb{F}_p/\mathbb{F}_p)$ . If we extend scalars from  $\mathbb{Z}[1/6N]$  to  $\mathcal{O}_K[1/6N]$ , the CM is defined on  $E \otimes_{\mathbb{Z}[1/6N]} \mathcal{O}_K[1/6N]$ , and so acts on  $\mathbb{H} \otimes_{\mathbb{Z}[1/6N]} \mathcal{O}_K[1/6N]$ . An element  $u \in \mathcal{O}$  maps  $dx/y$  to  $udx/y$ ; as  $u$  has eigenvalues  $u$  and  $\bar{u}$ , the matrix of  $u$  in the basis  $dx/y, xdx/y$  must be of the form

$$\begin{pmatrix} u & a \\ 0 & \bar{u} \end{pmatrix}$$

for some  $a \in \mathcal{O}_K[1/6N]$ .

**Lemma 4.1.** *Suppose the discriminant of the order  $\mathcal{O}$  is invertible in  $\mathbb{Z}[1/6N]$ . Then there exists a  $\mathbb{Z}[1/6N]$ -basis of  $\mathbb{H}$  of the form*

$$dx/y, xdx/y - Adx/y,$$

$A \in \mathbb{Z}[1/6N]$ , which diagonalizes the action of  $\mathcal{O}$ . In other words, we have a  $\mathbb{Z}[1/6N]$ -splitting  $\mathbb{H} = \mathbb{H}^{1,0} \oplus \mathbb{H}^{0,1}$  which over  $\mathcal{O}_K[1/N]$  diagonalizes the CM, and in which  $\mathbb{H}^{1,0}$  is the  $\mathbb{Z}[1/6N]$ -span of  $dx/y$ .

*Proof.* Take a  $\mathbb{Z}$ -basis  $1, u$  of  $\mathcal{O}$ . It suffices to find an  $A \in \mathbb{Z}[1/6N]$  such that the basis  $dx/y, xdx/y - Adx/y$  of  $\mathbb{H}$  diagonalizes the action of  $u$  on  $\mathbb{H} \otimes_{\mathbb{Z}[1/6N]} \mathcal{O}_K[1/6N]$ . This amounts to the requirement that

$$[u]^*(xdx/y - Adx/y) = \bar{u}(xdx/y - Adx/y),$$

that is,

$$\bar{u}xdx/y + adx/y - Audx/y = \bar{u}(xdx/y - Adx/y),$$

that is,

$$a - Au = -A\bar{u}.$$

Thus, we get

$$A = \frac{a}{u - \bar{u}}.$$

The denominator  $u - \bar{u}$  is purely imaginary. Its norm down to  $\mathbb{Q}$  is the discriminant of  $\mathcal{O}$ , which is invertible in  $\mathbb{Z}[1/6N]$ . Hence  $u - \bar{u}$  is invertible in  $\mathcal{O}_K[1/6N]$ . Thus  $A$  lies in  $\mathcal{O}_K[1/6N]$ . To show that  $A$  lies in  $\mathbb{Z}[1/6N]$ , it suffices to show that the quantity  $a$  is itself purely imaginary. For this, we argue as follows.

The matrix of  $\bar{u}$  is

$$\begin{pmatrix} \bar{u} & \bar{a} \\ 0 & u \end{pmatrix}.$$

The matrix of  $u + \bar{u}$  is then

$$\begin{pmatrix} u + \bar{u} & a + \bar{a} \\ 0 & u + \bar{u} \end{pmatrix}.$$

But  $u + \bar{u}$  lies in  $\mathbb{Z}$ , say  $u + \bar{u} = n$ , and  $n$  acts on  $\mathbb{H}$  by multiplication by  $n$ . Therefore  $a + \bar{a} = 0$ , i.e.,  $a$  is purely imaginary.  $\square$

For our CM curve  $E/\mathbb{Z}[1/6N]$ , if we take a good prime  $p$  which is ordinary for  $E$ , the unit root subspace in  $H_{DR}^1(E \otimes \mathbb{F}_p/\mathbb{F}_p) \cong \mathbb{H}/p\mathbb{H}$  is the reduction mod  $p$  of  $\mathbb{H}^{0,1}$ . In other words, for each good ordinary prime, we have  $\beta_p/\alpha_p \equiv A \pmod{p}$ .

We did computer experiments with convenient  $\mathbb{Z}[1/6N]$ -forms of elliptic curves over  $\mathbb{Q}$  with each of

the thirteen CM  $j$ -values in  $\mathbb{Q}$ , chosen using the table in Silverman's book [Silverman 94; Si-ATEC, Appendix A&3]. Experimentally, the quantity  $A$  turned out to lie in  $\mathbb{Z}$  in each case. Here is the data, giving the discriminant of  $\mathcal{O}_K$ , the conductor of the order  $\mathcal{O}$ , the equation we used, and the  $A$  we found empirically (by computing  $\beta_p/\alpha_p$  for a few thousand ordinary  $p$ ). [Of course that  $A = 0$  for  $y^2 = x^3 - 1$  and for  $y^2 = x^3 - x$  is obvious.]

Discrim. $D$	Cond. $\delta$	Equation	$A$
-3	1	$y^2 = x^3 - 1$	0
-3	2	$y^2 = x^3 - 15x + 22$	1
-3	3	$y^2 = x^3 - 30x + 63 + 1/4$	2
-4	1	$y^2 = x^3 - x$	0
-4	2	$y^2 = x^3 - 11x + 14$	1
-7	1	$y^2 = x^3 - (3/4)x^2 - 2x - 1$	0
-7	2	$y^2 = x^3 - 595x + 5586$	9
-8	1	$y^2 = x^3 + 4x^2 + 2x$	-1
-11	1	$y^2 = x^3 - x^2 - 7x + 10 + 1/4$	1
-19	1	$y^2 = x^3 - 38x + 90 + 1/4$	2
-43	1	$y^2 = x^3 - 860x + 9707 + 1/4$	12
-67	1	$y^2 = x^3 - 7370x + 243528 + 1/4$	38
-163	1	$y^2 = x^3 - 2174420x + 1234136692 + 1/4$	724

Each of these curves has good reduction over  $\mathbb{Z}[1/2D]$ , so the hypotheses of Lemma 4.1 are satisfied.

There is, of course, a transcendental way of computing the constant  $A$  in the CM case. Suppose first that our elliptic curve  $E$  is given over  $\mathbb{C}$  by a Weierstrass equation  $y^2 = 4x^3 - g_2x - g_3$ , with  $dx/y := \omega, xdx/y := \eta$ . Integrating over a positively oriented basis of  $H^1(E^{an}, \mathbb{C})$ , we denote the periods of  $\omega$  by  $\omega_1, \omega_2$ , with  $\text{Im}(\omega_2/\omega_1) > 0$ . The periods of  $\eta$  over this basis are denoted  $\eta_1, \eta_2$ . The Legendre relation is

$$\omega_1\eta_2 - \omega_2\eta_1 = 2\pi i.$$

We first solve for constants  $u, v \in \mathbb{C}$  such that  $u\eta - v\omega = \bar{\omega}$ . Then, we have  $A = v/u$ .

Concretely, we must solve the vector equation

$$u(\eta_1, \eta_2) - v(\omega_1, \omega_2) = (\overline{\omega_1}, \overline{\omega_2}).$$

Written as the matrix equation

$$\begin{pmatrix} \eta_1 & -\omega_1 \\ \eta_2 & -\omega_2 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} \overline{\omega_1} \\ \overline{\omega_2} \end{pmatrix},$$

the solution is gotten using Legendre's relation to invert the period matrix, so we get

$$\begin{aligned} \begin{pmatrix} u \\ v \end{pmatrix} &= (1/2\pi i) \begin{pmatrix} -\omega_2 & \omega_1 \\ -\eta_2 & \eta_1 \end{pmatrix} \begin{pmatrix} \overline{\omega_1} \\ \overline{\omega_2} \end{pmatrix} \\ &= (1/2\pi i) \begin{pmatrix} -\overline{\omega_1}\omega_2 + \omega_1\overline{\omega_2} \\ -\overline{\omega_1}\eta_2 + \overline{\omega_2}\eta_1 \end{pmatrix} \end{aligned}$$

and the formula

$$A = v/u = \frac{\overline{\omega_2}\eta_1 - \overline{\omega_1}\eta_2}{\omega_1\overline{\omega_2} - \overline{\omega_1}\omega_2}.$$

When our curve is given in the form  $y^2 = x^3 - ax - b$ , then the curve  $(2y)^2 = 4x^3 - 4ax - 4b$  is its Weierstrass form. The pairs of differentials  $(dx/y, xdx/y)$  and  $(dx/(2y), xdx/(2y))$  are proportional, so we may calculate  $A$  on the Weierstrass model. When our curve  $E$  is given as  $y^2 = (x-c)^3 - a(x-c) - b$ , with the pair of differentials  $(dx/y, xdx/y)$ , the differentials on the model  $E_{\text{Weir}} : y^2 = X^3 - aX - b$  are  $(dX/y = dx/y, XdX/y = (x-c)dx/y)$ . So the  $A_{E_{\text{Weir}}}$  tells us that

$$(x-c)dx/y - A_{E_{\text{Weir}}} dx/y$$

is antiholomorphic. Thus on the original  $E$  it is

$$xdx/y - (A_{E_{\text{Weir}}} + c)dx/y$$

which is antiholomorphic. In other words,

$$A_E = A_{E_{\text{Weir}}} + c.$$

For numerical calculation in the case of a Weierstrass equation

$$y^2 = 4x^3 - g_2x - g_3,$$

the Mathematica function

$$2 * \text{WeierstrassHalfPeriods}[\{g_2, g_3\}]$$

returns  $\{\omega_1, \omega_2\}$  for that curve. The periods  $\eta_1$  and  $\eta_2$  are gotten by translating the negative of the Weierstrass zeta function, cf. [Katz 76, 1.2.4], so given in Mathematica by

$$\eta_i = \text{WeierstrassZeta}[z, \{g_2, g_3\}] - \text{WeierstrassZeta}[z + \omega_i, \{g_2, g_3\}]$$

for  $i = 1, 2$ , for any fixed  $z \in \mathbb{C}$  not in the period lattice. For example, one could take  $z = \omega_1/2$ .

The transcendental calculations of  $A$ , done using Mathematica, for the thirteen CM curves listed above, agree with the experimentally found values of  $A$  up to many digits.

For each of these thirteen curves, we also looked what happened at supersingular primes  $p$ . At such a prime, we have  $\alpha_p = 0$ . We looked at the variation with supersingular  $p$  of  $\beta_p/p$ , viewed as an element of  $\mathbb{R}/\mathbb{Z}$ . Empirically, it seemed in each case that the sequence  $\{\beta_p/p\}_{\text{supersingular } p}$  was equidistributed in  $\mathbb{R}/\mathbb{Z}$  for Haar measure of total mass one.

### 5. Experimental findings: the ordinary case

We took some non-CM curves over  $\mathbb{Q}$ , and looked at the distribution, as  $p$  varies over good primes of ordinary reduction, at the two sequences in  $\mathbb{R}/\mathbb{Z}$  given by  $\{\beta_p/p\}_{\text{ordinary } p}$  and  $\{(\beta_p/\alpha_p)/p\}_{\text{ordinary } p}$ . Empirically, it

seemed that both of these sequences were equidistributed in  $\mathbb{R}/\mathbb{Z}$  for Haar measure of total mass one. [The sequence  $\{\alpha_p/p\}_{\text{ordinary } p}$  tends to 0 in  $\mathbb{R}/\mathbb{Z}$  by the Weil bound, so is “not interesting” from this point of view.]

We also looked at an equicharacteristic version of this question, again empirically. We fixed a large prime  $p$ , and for each  $\lambda \in \mathbb{F}_p \setminus \{0, 1\}$  computed  $\alpha_p = \alpha_p(\lambda)$  and  $\beta_p = \beta_p(\lambda)$  for each of the curves  $y^2 = x(x-1)(x-\lambda)$ . It seemed that both the collections  $\{\beta_p(\lambda)/p\}_{\text{ordinary } \lambda}$  and  $\{(\beta_p(\lambda)/\alpha_p(\lambda))/p\}_{\text{ordinary } \lambda}$  were approximately equidistributed in  $\mathbb{R}/\mathbb{Z}$  for Haar measure of total mass one.

### 6. How we computed $\alpha_p$ and $\beta_p$

We take a prime  $p \geq 5$ , and a cubic polynomial  $f(x) \in \mathbb{F}_p[x]$  with nonzero discriminant. Recall that  $\alpha_p$  is the coefficient of  $x^{p-1}$  in  $f(x)^{(p-1)/2}$ , and  $\beta_p$ , the coefficient of  $x^{p-2}$  in  $f(x)^{(p-1)/2}$ , is also the coefficient of  $x^{p-1}$  in  $xf(x)^{(p-1)/2}$ . The polynomial  $f(x)^{(p-1)/2}$  has degree  $3(p-1)/2 < 2p-3$ . Therefore  $x^{p-1}$  is the only term  $x^n$  with  $n \equiv 0 \pmod{p-1}$  which can occur in either  $f(x)^{(p-1)/2}$  or in  $xf(x)^{(p-1)/2}$ . For any polynomial  $g(x) = \sum_i a_i x^i \in \mathbb{F}_p[x]$ , the sum  $\sum_{t \in \mathbb{F}_p} g(t)$  is  $-\sum_{d \geq 1} a_d (p-1)^d$ . We apply this to the polynomials  $f(x)^{(p-1)/2}$  and  $xf(x)^{(p-1)/2}$ .

$$\alpha_p = -\sum_{t \in \mathbb{F}_p} f(t)^{(p-1)/2}, \quad \beta_p = -\sum_{t \in \mathbb{F}_p} tf(t)^{(p-1)/2}.$$

For  $\chi_2$  the quadratic character of  $\mathbb{F}_p^\times$ , extended to all of  $\mathbb{F}_p$  by decreeing  $\chi_2(0) = 0$ , we have  $\chi_2(f(t)) \equiv f(t)^{(p-1)/2} \pmod{p}$ . So we have

$$\alpha_p = -\sum_{t \in \mathbb{F}_p} \chi_2(f(t)), \quad \beta_p = -\sum_{t \in \mathbb{F}_p} t\chi_2(f(t)).$$

It was these formulas we used for computing in Mathematica.

### 7. Curves of higher genus: open questions

Take a hyperelliptic curve

$$C : y^2 = f(x)$$

with  $f(x) \in \mathbb{Z}[x]$  monic of degree  $2g + 1$ ,  $g \geq 2$ , with discriminant  $\Delta(f) \neq 0$ . We denote by  $\infty$  the section at infinity. The arithmetic version of the fact that removing a single point from a projective, smooth connected curve over  $\mathbb{C}$  does not change its  $H^1$  is that, over  $A := \mathbb{Z}[1/(2\Delta(f))]$ , we have an isomorphism

$$H_{DR}^1(C/A) := \mathbb{H}^1(C, \Omega_{C/A}^\bullet) \cong \mathbb{H}^1(C, \Omega_{C/A}^\bullet \log(\infty)).$$

[Recall that  $\Omega_{C/A}^\bullet \log(\infty)$  is the two term complex

$$\mathcal{O}_C \rightarrow \Omega_{C/A}^1 \otimes I(\infty)^{-1}.]$$

If we further invert  $(2g)!$ , i.e. pass to  $A := Z[1/((2g)!\Delta(f))]$ , then the inclusion

$$\Omega_{C/A}^\bullet \log(\infty) \subset \Omega_{C/A}^\bullet \log(\infty) \otimes I(\infty)^{\otimes 1-2g}$$

(the larger complex being

$$I(\infty)^{\otimes 1-2g} \rightarrow \Omega_{C/A}^1 \otimes I(\infty)^{-2g})$$

is a quasi-isomorphism, so we get

$$\begin{aligned} H_{DR}^1(C/A) &\cong \mathbb{H}^1\left(C, \Omega_{C/A}^\bullet \log(\infty) \otimes I(\infty)^{\otimes 1-2g}\right) = \\ &= H^0\left(C, \Omega_{C/A}^1 \otimes I(\infty)^{\otimes -2g}\right), \end{aligned}$$

the last equality because  $H^1(C, I(\infty)^{\otimes 1-2g})$  vanishes. The space

$$H^0\left(C, \Omega_{C/A}^1 \otimes I(\infty)^{\otimes -2g}\right)$$

is free of rank  $2g$  on  $\{x^i dx/xy\}_{i=1, \dots, 2g}$ , with  $H^0(C, \Omega_{C/A}^1)$  the span of  $\{x^i dx/xy\}_{i=1, \dots, g}$ . For each  $i = 1, \dots, g$ , we denote

$$\omega_i := x^i dx/xy, \quad \eta_i := x^g \omega_i = x^{g+i} dx/xy.$$

If we reduce mod a good prime  $p$ , we have the Cartier operator, which now maps the entire  $H^1 \otimes \mathbb{F}_p$  onto  $H^0(C, \Omega_{C/A}^1) \otimes \mathbb{F}_p$ . In terms of the basis  $\{x^i dx/xy\}_{i=1, \dots, 2g}$ , we have the usual calculation

$$C_p(x^i dx/xy) = \sum_{j=1}^g A_{jp-i}(p) x^j dx/xy,$$

with matrix entries

$$\begin{aligned} A_n(p) &:= \text{the reduction mod } p \text{ of the} \\ &\text{coefficient of } x^n \text{ in } f(x)^{(p-1)/2}. \end{aligned}$$

Just as in the elliptic case, one has [Katz 70, 7.1.2, 7.2, 7.3.6] a short exact sequence

$$\begin{aligned} 0 \rightarrow H^1\left(C/\mathbb{F}_p, \mathcal{H}_{DR}^0(C/\mathbb{F}_p)\right) &\rightarrow H_{DR}^1(C/\mathbb{F}_p) \\ &\rightarrow H^0\left(C/\mathbb{F}_p, \mathcal{H}_{DR}^1(C/\mathbb{F}_p)\right) \rightarrow 0. \end{aligned}$$

The first term is  $H^1(C/\mathbb{F}_p, \mathcal{O}_{C/\mathbb{F}_p}^p)$ , which is precisely the image  $Frob_p(H_{DR}^1(C/\mathbb{F}_p))$ , and the sequence can be rewritten, via the Cartier operator, as

$$\begin{aligned} 0 \rightarrow Frob_p\left(H_{DR}^1(C/\mathbb{F}_p)\right) \\ \rightarrow H_{DR}^1(C/\mathbb{F}_p) \xrightarrow{C_p} H^0\left(C/\mathbb{F}_p, \Omega_{C/\mathbb{F}_p}^1\right) \rightarrow 0. \end{aligned}$$

The analog of  $\alpha_p$  in the elliptic case is the  $g \times g$  Cartier-Manin matrix [Achter and Howe 17] (the matrix of  $C_p$  on  $H^0(C/\mathbb{F}_p, \Omega_{C/\mathbb{F}_p}^1)$ ), also the transpose, under the cup product duality, of the Hasse-Witt matrix (the matrix of the  $p$ 'th power operation  $Frob_{arith,p}$  on  $H^1(C/\mathbb{F}_p, \mathcal{O}_{C/\mathbb{F}_p})$ ), namely

$$\mathbb{A}_p := (A_{jp-i}(p))_{i,j=1, \dots, g} : C_p \begin{pmatrix} \omega_1 \\ \dots \\ \omega_g \end{pmatrix} = \mathbb{A}_p \begin{pmatrix} \omega_1 \\ \dots \\ \omega_g \end{pmatrix}.$$

The analog of  $\beta_p$  is the  $g \times g$  matrix giving the action of  $C_p$ , mapping  $\{x^i dx/xy\}_{i=g+1, \dots, 2g}$  to  $\{x^i dx/xy\}_{i=1, \dots, g}$ , namely

$$\mathbb{B}_p := (A_{jp-i}(p))_{i=1, \dots, g; j=g+1, \dots, 2g} : C_p \begin{pmatrix} \eta_1 \\ \dots \\ \eta_g \end{pmatrix} = \mathbb{B}_p \begin{pmatrix} \omega_1 \\ \dots \\ \omega_g \end{pmatrix}.$$

When  $\mathbb{A}_p$  is invertible, then  $\mathbb{B}_p \mathbb{A}_p^{-1}$  is the ‘‘direction’’ of the unit root subspace  $\text{Ker}(C_p)$ ; the map

$$\begin{pmatrix} \eta_1 \\ \dots \\ \eta_g \end{pmatrix} \mapsto \begin{pmatrix} \eta_1 \\ \dots \\ \eta_g \end{pmatrix} - \mathbb{B}_p \mathbb{A}_p^{-1} \begin{pmatrix} \omega_1 \\ \dots \\ \omega_g \end{pmatrix}$$

is an isomorphism of the span of the  $\eta_i$  with  $\text{Ker}(C_p)$ .

There are any number of equidistribution questions which cry out to be investigated. In genus two, Sawin [Sawin 16] has proven that if the  $\ell$ -adic galois representation on  $H^1$  has open image in  $GSp(4)$ , then  $C$  is ordinary (meaning that  $\mathbb{A}_p$  is invertible) for a set of primes of density one. Thanks to Zarhin [Zarhin 02], we know that this ‘‘open image’’ condition is satisfied when the quintic polynomial  $f$  has galois group either  $A_5$  or  $S_5$ . Known quintics with galois group  $S_5$  include  $5! \sum_{i=0}^5 x^i/i!$  (Schur, cf. [Coleman 87] for a nice exposition) and  $x^5 - x - 1$  [Osada 87, Cor. 3]. [Schur's result is that the truncation of the exponential series through degree  $n$  (for any  $n \geq 5$ ) has galois group  $S_n$  unless  $n$  is divisible by 4, in which case the galois group is  $A_n$ . Osada proves that  $x^n - x - 1$  has galois group  $S_n$  for every  $n \geq 5$ . And Zarhin's result [Zarhin 02, Thm. 2.6] is that for any  $n \geq 5$ , if  $f$  of degree  $n$  has galois group either  $S_n$  or  $A_n$ , then for the hyperelliptic curve  $y^2 = f(x)$ , the galois representation on its  $H^1$  has open image in  $GSp(2g)$ .

It is plausible that whatever the genus  $g \geq 2$ , if the galois representation on  $H^1$  has open image in  $GSp(2g)$ , then the curve is ordinary at a set of primes of density one. In any case, suppose for the rest of this section that we have a curve  $C$  of genus  $g \geq 2$  which is ordinary at a set of primes of density one.

At each ordinary prime  $p$ , we form the  $g \times g$  matrices

$$(1/p)\mathbb{A}_p \text{ and } (1/p)\mathbb{B}_p\mathbb{A}_p^{-1},$$

in which we think of the entries as lying in

$$(1/p)(\mathbb{Z}/p\mathbb{Z}) = (1/p)\mathbb{Z}/\mathbb{Z} \subset \mathbb{R}/\mathbb{Z}.$$

We also form the quantity

$$(1/p)\det(\mathbb{A}_p) \subset \mathbb{R}/\mathbb{Z}.$$

As  $p$  varies over ordinary primes, the sequence

$$\left\{ (1/p)\mathbb{B}_p\mathbb{A}_p^{-1} \right\}_{\text{ordinary } p}$$

is **not** equidistributed in  $(\mathbb{R}/\mathbb{Z})^{g^2}$  for Haar measure in general. For hyperelliptic curves of the form

$$y^2 = x^{2g+1} + (\text{polynomial of degree } \leq g),$$

the  $(1, 1)$  entry is, experimentally,  $2g-1$  times the  $(g, g)$  entry in  $\mathbb{R}/\mathbb{Z}$  (and in the mod  $p$  matrix  $B_p\mathbb{A}_p^{-1}$ , these entries are, experimentally, related this way in  $\mathbb{F}_p$ ). Is this the only obstruction to equidistribution?

For the sequence

$$\left\{ (1/p)\mathbb{A}_p \right\}_{\text{ordinary } p},$$

there is the obvious constraint that its trace is small (Weil bound); is it true that if we omit any single diagonal entry, this sequence is equidistributed in  $(\mathbb{R}/\mathbb{Z})^{g^2-1}$  for Haar measure? Numerical experiments with  $y^2 = x^5 - x - 1$ , omitting the bottom diagonal entry, are compatible with this.

Finally, is it true that the sequence

$$\left\{ (1/p)\det(\mathbb{A}_p) \right\}_{\text{ordinary } p}$$

is equidistributed in  $\mathbb{R}/\mathbb{Z}$  for Haar measure? For this last question, at least, it is easy to do experiments. The EulerFactorModChar( $J$ ) function in Magma, for  $J$  the Jacobian of the hyperelliptic curve  $C$ , returns the mod  $p$  polynomial

$$\begin{aligned} & \det\left(1 - TC_p | H^0\left(C/\mathbb{F}_p, \Omega_{C/\mathbb{F}_p}^1\right)\right) \\ &= \det\left(1 - TFrob_{\text{arith}, p} | H^1\left(C/\mathbb{F}_p, \mathcal{O}_{C/\mathbb{F}_p}\right)\right), \end{aligned}$$

whose leading coefficient is  $\det(-\mathbb{A}_p)$ , amazingly quickly. Numerical experiments with the curves  $y^2 =$

$x^n - x - 1$  for  $n = 5, 7, 9, 11, 13$  are compatible with this equidistribution. In fact, what seems plausible is that in genus  $g \geq 3$ , the points in  $(\mathbb{R}/\mathbb{Z})^{g-1}$  given by

$$(1/p)\left(\text{the coefficients of } (x^2, x^3, \dots, x^g)\right)$$

$$\text{in } \det\left(1 - TC_p | H^0\left(C/\mathbb{F}_p, \Omega_{C/\mathbb{F}_p}^1\right)\right)$$

are equidistributed in  $(\mathbb{R}/\mathbb{Z})^{g-1}$  for Haar measure.

Much remains to be done.

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