

## $G_2$ AND SOME EXCEPTIONAL WITT VECTOR IDENTITIES

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ABSTRACT. We find some new one-parameter families of exponential sums in every odd characteristic whose geometric and arithmetic monodromy groups are  $G_2$ .

### 1. THE EXCEPTIONAL IDENTITIES

Fix a prime  $p$ , and consider the  $p$ -Witt vectors of length 2 as a ring scheme over  $\mathbb{Z}$ . The addition law is given by

$$(x, a) + (y, b) := (x + y, a + b + (x^p + y^p - (x + y)^p)/p).$$

The multiplication law is given by

$$(x, a)(y, b) := (xy, x^p b + y^p a + pab).$$

For an odd prime  $p$ , we have

$$(x, 0) + (y, 0) + (-x - y, 0) = (0, (x^p + y^p - (x + y)^p)/p).$$

Let us define, for odd  $p$ , the integer polynomial

$$F_p(x, y) := (x^p + y^p - (x + y)^p)/p \in \mathbb{Z}[x, y].$$

For  $p = 2$ , we have

$$(x, 0) + (y, 0) + (-x - y, 0) = (0, x^2 + xy + y^2),$$

and we define

$$F_2(x, y) := x^2 + xy + y^2 \in \mathbb{Z}[x, y].$$

Thus

$$F_3 = -xy(x + y).$$

The exceptional identities we have in mind are

$$F_5 = F_3 F_2, F_7 = F_3 (F_2)^2.$$

### 2. BASIC FACTS ABOUT $G_2$

We work with algebraic groups over  $\mathbb{C}$ . Given a prime number  $p$ , a theorem of Gabber [Ka-ESDE, 1.6] tells us the possible connected irreducible (in the given  $p$ -dimensional representation) Zariski closed subgroups of  $SL_p$ . For  $p = 2$ , the only possibility is  $SL_2$ . For  $p$  odd and  $p \neq 7$ , the possibilities are either the image of  $SL_2$  in  $Sym^{p-1}(std_2)$ ,  $SO_p$ , or  $SL_p$ .

For  $p = 7$  there is one new possibility,  $G_2$ , which sits in

$$\text{image of } SL_2 \subset G_2 \subset SO_7 \subset SL_7.$$

This new group  $G_2$  can be determined among the four by its third and fourth moments  $M_3$  and  $M_4$ . Recall that for a group  $G$  (given inside some  $GL(V)$ ), its moments (with respect to the given representation  $V$ ) are defined by

$$M_n(G) := M_n(G, V) := \dim((V^{\otimes n})^G),$$

the dimension of the space of  $G$ -invariants in  $V^{\otimes n}$ . For our four groups,  $M_3$  is successively 1, 1, 0, 0, and  $M_4$  is successively 7, 4, 3, 2. In fact, in our application, we will only use  $M_3$ . Notice also that for our four possible choices,  $M_3 = 1$  if and only if  $M_3 > 0$ .

### 3. THE LOCAL SYSTEMS

Fix a finite field  $k$  of odd characteristic  $p$ . We have the quadratic character

$$\chi_2 : k^\times \rightarrow \pm 1,$$

which we extend to all of  $k$  by defining  $\chi_2(0) = 0$ . Fix a nontrivial additive character

$$\psi : (k, +) \rightarrow \mu_p(\mathbb{Q}(\zeta_p)).$$

Given a polynomial  $f(x) \in k[x]$  of degree  $n \geq 2$  which is prime to  $p$ , we are interested in the sum

$$- \sum_{x \in k} \chi_2(x) \psi(f(x)).$$

Now fix a prime number  $\ell \neq p$  and an embedding of  $(\mathbb{Q}(\zeta_p))$  into  $\overline{\mathbb{Q}_\ell}$ . Then this sum is the trace of  $Frob_k$  on  $H_c^1(\mathbb{A}_k^1, \mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi(f(x))})$ . Here  $\mathcal{L}_{\chi_2(x)}$  is the Kummer sheaf (extended by 0 across  $0 \in \mathbb{A}^1$ ) and  $\mathcal{L}_{\psi(f(x))}$  is the (pullback by  $f$  of) the Artin-Schreier sheaf  $\mathcal{L}_{\psi(x)}$ .

If we consider these sums as we vary  $f$  by adding to it a varying linear term,

$$t \mapsto - \sum_{x \in k} \chi_2(x) \psi(f(x) + tx),$$

then we are looking at the traces, at the  $k$ -points  $t \in \mathbb{A}^1(k)$ , of a rank  $n$  local system on the  $\mathbb{A}^1$  of  $t$ 's, the Fourier Transform

$$FT(\mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi(f(x))}).$$

For a finite extension  $K/k$ , and  $t \in \mathbb{A}^1(K)$ , the trace is the "same" sum, now over  $x \in K$ , but with  $\chi_2$  replaced by  $\chi_{2,K}$  the quadratic character of  $K^\times$  extended by zero, and with  $\psi$  replaced by the composition  $\psi \circ \text{Trace}_{K/k}$ .

This FT is pure of weight one, thanks to Weil. Its description as an FT shows that it is geometrically irreducible. One knows [Ka-ESDE, 7.3.4 (1), (2), (3)] that its  $I_\infty$ -slopes are

$$\{0, n/(n-1) \text{ repeated } n-1 \text{ times}\}.$$

**Lemma 3.1.** *Suppose  $n \geq 5$  is prime to  $p$ , and  $f(x)$  is a polynomial of degree  $n$ . Then the geometric monodromy group  $G_{geom}$  of  $FT(\mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi(f(x))})$  is not contained in the image  $Sym^{n-1}(SL_2)$  of  $SL_2$  in  $SL_n$  by its irreducible representation  $Sym^{n-1}(std_2)$  of dimension  $n$ .*

*Proof.* If  $G_{geom}$  lies in this image, then  $G_{geom}$  has a faithful representation of dimension either 2, if  $n$  is even, or 3 if  $n$  is odd (i.e.,  $Sym^{n-1}(std_2)$  is faithful if  $n$  is even, and factors through a faithful representation of  $SL_2/\pm 1 \cong SO_3$  if  $n$  is odd). In either case, the pushout of our  $FT$  by this representation has the same

highest  $\infty$  slope as does the  $FT$  itself [Ka-ESDE, 7.2.4]. The pushout has rank  $\leq 3$ , so its highest  $\infty$  slope has denominator one of 1, 2, 3, whereas the original  $FT$  has highest slope  $n/(n-1)$ , with denominator  $n-1 > 3$ .  $\square$

When  $n$  is odd and  $f$  is an odd polynomial (i.e.  $f(-x) = -f(x)$ ), then this FT is orthogonally self dual, and its  $G_{geom}$  lies in  $SO_n$ . Moreover, after we twist by an explicit Gauss sum [Ka-NG2, 1.7], our FT will be pure of weight zero, and we will have

$$G_{geom} \subset G_{arith} \subset SO_n.$$

Here is a general fact [Ka-MG, Prop. 5] about geometrically irreducible local systems  $\mathcal{F}$  on  $\mathbb{A}_k^1$ , a consequence of the Feit-Thompson theorem [F-T, ]. If  $p > 2n+1$ , then  $\mathcal{F}$  is Lie-irreducible, meaning that  $G_{geom}^0$  acts irreducibly.

#### 4. LOOKING FOR LOCAL SYSTEMS WHOSE $G_{geom}$ IS $G_2$

Some years ago, I proved [Ka-ESDE, 9.1.1] that with  $f(x) = x^7$ , in any characteristic  $p \geq 17$ , the FT had  $G_{geom} = G_2$ . A question of Rudnick and Waxman made me wonder if there were other odd, degree seven polynomials  $f(x)$  for which the FT would have  $G_{geom} = G_2$ .

Using the exceptional identities, it turned out to be a simple matter to show that  $M_3 = 1$  for the ( $G_{geom}$  of the) local system  $\mathcal{F}$  on  $\mathbb{A}^2$  with parameters  $B, t$  whose trace function is

$$(B, t) \in k^2 \mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2Bx^5/5 + B^2x^3/3 + tx),$$

$g$  being the explicit Gauss sum

$$g := g(\bar{\psi}, \chi_2) = \sum_{x \in k^\times} \psi(-x) \chi_2(x) = \chi_2(-1) \sum_{x \in k^\times} \psi(x) \chi_2(x).$$

This local system is orthogonally self dual, and [Ka-NG2, 1.7] has

$$G_{geom} \subset G_{arith} \subset SO_7.$$

**Theorem 4.1.** *Fix a prime  $p > 7$ ,  $k$  a finite field of characteristic  $p$ ,  $\psi$  a nontrivial additive character of  $k$ , a prime number  $\ell \neq p$ , and an embedding of  $\mathbb{Q}(\zeta_p)$  into  $\overline{\mathbb{Q}_\ell}$ . Consider the  $\overline{\mathbb{Q}_\ell}$  local system  $\mathcal{F}$  on  $\mathbb{A}^2/k$  with coordinates  $B, t$  whose trace function is*

$$(B, t) \mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2Bx^5/5 + B^2x^3/3 + tx)$$

for  $(B, t) \in k^2$ ,  $g$  being the above gauss sum  $g(\bar{\psi}, \chi_2)$ , with the usual variant for a finite extension  $K/k$  and  $(B, t) \in K^2$  (namely the sum is over  $x \in K$ ,  $\chi_2$  is replaced by  $\chi_{2,K}$  and  $\psi$  is replaced by  $\psi \circ \text{Trace}_{K/k}$ ). Then  $M_3 = 1$ .

*Proof.* The local system  $\mathcal{F}$  is pure of weight zero. By [De-Weil II, 3.4.1 (iii)],  $\mathcal{F}$  and all its tensor powers are completely reducible as representations of  $G_{geom}$ . Therefore we have

$$M_3 = \dim(H_c^4(\mathbb{A}^2 \otimes_k \bar{k}, \mathcal{F}^{\otimes 3})(2)).$$

As explained in [Ka-LFM, the idea behind the calculation], we recover  $M_3$  as the limsup of the archimedean absolute value of the ‘‘empirical third moment sums’’

$$(1/\#k)^2 \sum_{B, t \in k} ((1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2Bx^5/5 + B^2x^3/3 + tx))^3 =$$

$$= (1/(g^3(\#k)^2)) \sum_{B,t \in k} \sum_{x,y,z \in k} \chi_2(xyz) \times$$

$$\psi((x^7 + y^7 + z^7)/7 + 2B(x^5 + y^5 + z^5)/5 + B^2(x^3 + y^3 + z^3)/3 + t(x + y + z)),$$

with  $k$  replaced by larger and larger finite extensions of itself. When we sum over  $t$ , we get  $\#k$  times the sum over those  $x, y, z$  with  $x + y + z = 0$ . Substituting  $z = -x - y$ , the empirical sum becomes, using the exceptional identities,

$$(1/(g^3(\#k))) \sum_{B \in k} \sum_{x,y \in k} \chi_2(F_3(x,y)) \psi(F_7(x,y) + 2BF_5(x,y) + B^2F_3(x,y)) =$$

$$= (1/(g^3(\#k))) \sum_{B \in k} \sum_{x,y \in k} \chi_2(F_3(x,y)) \psi(F_3(x,y)(B + F_2(x,y))^2) =$$

(making the change of variable  $(x, y, B) \mapsto (x, y, B - F_2(x, y))$ )

$$= (1/(g^3(\#k))) \sum_{x,y,B \in k} \chi_2(F_3(x,y)) \psi(F_3(x,y)B^2) =$$

$$= (1/(g^3(\#k))) \sum_{x,y \in k} \chi_2(F_3(x,y)) \sum_{B \in k} \psi(F_3(x,y)B^2).$$

For fixed  $x, y$ , the  $\chi_2(F_3(x, y))$  factor vanishes unless  $F_3(x, y) \neq 0$ . For such  $x, y$ , the inner sum over  $B$  is just the Gauss sum  $\chi_2(F_3(x, y))g(\psi, \chi_2)$ . So the empirical sum is

$$= (1/(g^3(\#k))) \sum_{x,y \in k, F_3(x,y) \neq 0} \chi_2(F_3(x,y)) \chi_2(F_3(x,y)) g(\psi, \chi_2) =$$

$$= (1/(g^3(\#k))) \sum_{x,y \in k, F_3(x,y) \neq 0} g(\psi, \chi_2).$$

The number of zeros of  $F_3(x, y)$  in  $k^2$  is  $3\#k - 2$ , so the empirical sum is

$$\frac{(\#k - 1)(\#k - 2)g(\psi, \chi_2)}{g^3(\#k)}$$

Recall that  $g^2 = \chi_2(-1)\#k$ , hence  $g^3 = \chi_2(-1)g\#k = g(\psi, \chi_2)\#k$ , so the empirical sum is

$$\frac{(\#k - 1)(\#k - 2)g(\psi, \chi_2)}{g(\psi, \chi_2)(\#k)^2} = \frac{(\#k - 1)(\#k - 2)}{(\#k)^2},$$

whose limit, as  $\#k$  grows, is visibly 1.  $\square$

**Theorem 4.2.** *In any characteristic  $p > 7$ , the local system  $\mathcal{F}$  on  $\mathbb{A}^2/k$  of the previous theorem has  $G_{geom} = G_{arith} = G_2$ .*

*Proof.* We will show that  $\mathcal{F}$  is Lie-irreducible. Admitting this temporarily, we argue as follows. We know that

$$G_{geom} \subset G_{arith} \subset SO_7.$$

We have already shown that  $G_{geom}$  has  $M_3 = 1$ . Therefore its identity component has a larger  $M_3 \geq 1$ . But as already observed, among connected irreducible subgroups of  $SL_7$ ,  $M_3 \geq 1$  implies  $M_3 = 1$ . Therefore  $G_{geom}^0$  has  $M_3 = 1$ , so by Gabber's theorem  $G_{geom}^0$  is either  $G_2$  or the image of  $SL_2$  in  $SO_7$ . Both of these groups are their own normalizers in  $SO_7$ , so we either have

$$G_{geom} = G_{arith} = \text{the image in } SO_7 \text{ of } SL_2$$

or we have

$$G_{geom} = G_{arith} = G_2.$$

The  $SL_2$  case is ruled out by Lemma 3.1.

It remains to show that  $\mathcal{F}$  is Lie-irreducible. Consider a pullback  $\mathcal{F}_{B=b_0}$  to a line  $B = b_0$  in  $\mathbb{A}^2$ . Its  $G_{geom}$  is a subgroup of the  $G_{geom}$  for  $\mathcal{F}$ , so it suffices to exhibit such a pullback which is Lie-irreducible. If  $p \geq 17$ , then any such pullback will be Lie-irreducible. This follows from the fact that a geometrically irreducible local system on  $\mathbb{A}^1/\overline{\mathbb{F}_p}$  of rank  $n$  is Lie-irreducible if  $p > 2n + 1$ , cf. [Ka-MG, Prop. 5], applied to our rank 7 pullback.

For  $p = 11$  or  $p = 13$ , we first reduce to the case when  $k = \mathbb{F}_p$ . Fix a nontrivial additive character  $\psi_{\mathbb{F}_p}$  of  $\mathbb{F}_p$ , and denote by  $\psi_{k/\mathbb{F}_p} := \psi_{\mathbb{F}_p} \circ \text{Trace}_{k/\mathbb{F}_p}$ . Then  $\psi(x)$  is of the form  $\psi_{k/\mathbb{F}_p}(A_0x)$  for some  $A_0 \in k^\times$ . Extending scalars from  $k$  to a finite extension, we may assume  $A_0$  is a seventh power, say  $A_0 = A^7$ . Our sums, for fixed  $b_0$ , are then

$$(1/g(\overline{\psi_{k/\mathbb{F}_p} A^7}, \chi_2)) \sum_{x \in k} \chi_2(x) \psi_{k/\mathbb{F}_p}(A^7(x^7/7 + 2b_0x^5/5 + b_0^2x^3/3 + tx)).$$

Making the change of variable  $x \mapsto x/A$ , our sums becomes

$$(1/g(\overline{\psi_{k/\mathbb{F}_p}}, \chi_2)) \sum_{x \in k} \chi_2(x) \psi_{k/\mathbb{F}_p}(x^7/7 + A^2b_0x^5/5 + A^4b_0^2x^3/3 + A^6tx)).$$

Now make the choice  $b_0 = 1/A^2$ . Then our sums become

$$(1/g(\overline{\psi_{k/\mathbb{F}_p}}, \chi_2)) \sum_{x \in k} \chi_2(x) \psi_{k/\mathbb{F}_p}(x^7/7 + 2x^5/5 + x^3/3 + A^6tx)).$$

So we are looking at the multiplicative translate (by  $t \mapsto A^6t$ ) of the pullback from  $\mathbb{A}^1/\mathbb{F}_p$  to  $\mathbb{A}^1/k$  of the of the Fourier Transform of  $(1/g)^{deg} \mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi_{\mathbb{F}_p}(x^7/7+2x^5/5+x^3/3)}$  on  $\mathbb{A}^1/\mathbb{F}_p$ . So we are reduced to proving that this Fourier Transform is Lie-irreducible.

we apply [Ka-NG2, Lemma 3.5] to know that our Fourier Transform is either Lie-irreducible or has **finite**  $G_{geom}$ . We then apply the ‘‘low ordinal’’ criterion, [Ka-WVQKR, text before Lemma 7.2] and [Ka-ESDE, 8.14.3], according to which its  $G_{geom}$  cannot be finite if the single sum (the value at  $t = 0$ )

$$\sum_{x \in \mathbb{F}_p^\times} \chi_2(x) \psi(x^7/7 + 2x^5/5 + x^3/3)$$

has  $ord_p < 1/2$ . In fact, for  $p = 13$ , this sum has  $ord_p = 2/(p - 1)$ , and for  $p = 11$  this sum has  $ord_p = 1/(p - 1)$ .

To see this, we calculate in the ring  $\mathbb{Z}[\zeta_p]$ . Define  $\pi \in \mathbb{Z}[\zeta_p]$  by

$$1 + \pi = \zeta_p.$$

Then  $ord_p(\pi) = 1/(p - 1)$ , and modulo  $p\mathbb{Z}[\zeta_p]$  this sum is congruent to

$$\sum_{x \in \mathbb{F}_p^\times} x^{(p-1)/2} (1 + \pi)^{x^7/7+2x^5/5+x^3/3}.$$

Expanding by the binomial theorem, this sum is congruent mod  $\pi^3$  to

$$\sum_{x \in \mathbb{F}_p^\times} x^{(p-1)/2} (1 + (x^7/7 + 2x^5/5 + x^3/3)\pi + \text{Binom}(x^7/7 + 2x^5/5 + x^3/3, 2)\pi^2).$$

The sum  $\sum_{x \in \mathbb{F}_p^\times} x^{(p-1)/2}$  vanishes in  $\mathbb{F}_p$ .

If  $p = 13$  the coefficient of  $\pi$  is

$$\begin{aligned} & \sum_{x \in \mathbb{F}_p^\times} x^{(p-1)/2} (x^7/7 + 2x^5/5 + x^3/3) = \\ & = \sum_{x \in \mathbb{F}_{13}^\times} x^6 (x^7/7 + 2x^5/5 + x^3/3) = \sum_{x \in \mathbb{F}_{13}^\times} (x^{13}/7 + x^{11}/5 + x^9/3) \end{aligned}$$

vanishes in  $\mathbb{F}_p$ , since each of the exponents 13, 11, 9 is nonzero mod  $p - 1 = 12$ . So mod  $\pi^3$ , our sum is

$$\begin{aligned} & \pi^2 \sum_{x \in \mathbb{F}_p^\times} x^{(p-1)/2} (x^7/7 + 2x^5/5 + x^3/3)^2/2 = \\ & = \pi^2 \sum_{x \in \mathbb{F}_p^\times} (x^{12}/18 + 2x^{14}/15 + 67x^{16}/525 + 2x^{18}/35 + x^{20}/98). \end{aligned}$$

Of the exponents 12, 14, 16, 18, 20, only 12 is zero mod  $p - 1 = 12$ , so mod  $\pi^3$  our sum is

$$\pi^2 \sum_{x \in \mathbb{F}_p^\times} (1/18) = 5\pi^2.$$

Thus for  $p = 13$ , our sum has  $\text{ord}_p = 2/(p - 1) = 1/6$ .

If  $p = 11$ , already the coefficient of  $\pi$  is

$$\begin{aligned} & \sum_{x \in \mathbb{F}_p^\times} x^{(p-1)/2} (x^7/7 + 2x^5/5 + x^3/3) = \\ & = \sum_{x \in \mathbb{F}_{11}^\times} x^5 (x^7/7 + 2x^5/5 + x^3/3) = \sum_{x \in \mathbb{F}_{11}^\times} (x^{12}/7 + x^{10}/5 + x^8/3), \end{aligned}$$

and here, of the exponents 12, 10, 8 only 10 is zero mod  $p - 1 = 10$ , so mod  $\pi^2$  our sum is

$$\pi \sum_{x \in \mathbb{F}_{11}^\times} (1/5) = 2\pi.$$

Thus for  $p = 11$ , our sum has  $\text{ord}_p = 1/(p - 1) = 1/10$ .

This concludes the proof that  $\mathcal{F}$  is Lie-irreducible.  $\square$

**Theorem 4.3.** *Suppose that either  $p \geq 17$  or  $p = 11$ . Then for any finite field  $k$  of characteristic  $p$ , any nontrivial additive character  $\psi$  of  $k$ , and any  $b \in k$ , the local system  $FT((1/g)^{\text{deg}} \mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi(x^7/7 + 2bx^5/5 + b^2x^3/3)})$ , whose trace function is*

$$t \in k \mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2bx^5/5 + b^2x^3/3 + tx),$$

has  $G_{\text{geom}} = G_{\text{arith}} = G_2$ .

*Proof.* For  $p \geq 17$ , our  $FT$  is Lie-irreducible (by the “ $p > 2n + 1$ ” argument) and, as a pullback of  $\mathcal{F}$ , has  $G_{\text{geom}} \subset G_{\text{arith}} \subset G_2$ . Then  $G_{\text{geom}}^0$  is a connected irreducible subgroup of  $G_2$ . By Gabber’s theorem, it is either  $G_2$  or it is the image  $SO_3$  of  $SL_2$  in  $G_2$  by  $\text{Sym}^6(\text{std}_2)$ . As both these candidates are their own normalizers in  $G_2$ ,  $G_{\text{geom}}^0$  is either  $G_2$  or the image of  $SL_2$ . The  $SL_2$  case is ruled out by Lemma 3.1.

For  $p = 11$ , our pullback is either Lie-irreducible or has finite  $G_{\text{geom}}$  [Ka-NG2, 3.5], which is then a finite irreducible (in the ambient seven-dimensional representation) subgroup of  $G_2$ . Moreover it is a primitive subgroup, simply because in

characteristic  $11 > 7$ ,  $\mathbb{A}^1/\overline{\mathbb{F}_p}$  has no connected finite etale coverings of degree 7. Because our pullback has some strictly positive  $I_\infty$ -slopes, the wild inertia group  $P_\infty$  acts nontrivially, and hence

$$11 \mid \#G_{geom}.$$

But the primitive finite irreducible subgroups of  $G_2$  have been classified by Cohen-Wales [C-W, Theorem page 449], and none of them has order divisible by 11.  $\square$

### 5. SAWIN'S ANALYSIS OF THE SITUATION IN CHARACTERISTIC 13

The situation in characteristic  $p = 13$  is more subtle, because we know that when  $b = 0$ , the FT in question has finite  $G_{geom} = PSL(2, \mathbb{F}_{13})$ , [Ka-NG2, 4.13]. However Will Sawin has proven the following theorem.

**Theorem 5.1. (Sawin)** *For any finite field  $k$  of characteristic 13, any nontrivial additive character  $\psi$  of  $k$ , and any **nonzero**  $b \in k^\times$ , the local system*

$$FT((1/g)^{deg} \mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi(x^7/7 + 2bx^5/5 + b^2x^3/3)}),$$

whose trace function is

$$t \in k \mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2bx^5/5 + b^2x^3/3 + tx),$$

has  $G_{geom} = G_{arith} = G_2$ .

*Proof.* Fix  $b \in k^\times$ . Exactly as in the proof of Theorem 4.3 above, it suffices to show that our FT is Lie-irreducible. If not, then its  $G_{geom}$  is a finite primitive (because  $13 > 7$ ) irreducible subgroup, call it  $\Gamma$ , of  $G_2$ . Because our pullback has some strictly positive  $I_\infty$ -slopes, the wild inertia group  $P_\infty$  acts nontrivially, and hence

$$13 \mid \#G_{geom}.$$

By the classification of Cohen-Wales, the only possibility for  $\Gamma$  is  $PSL(2, \mathbb{F}_{13})$ . The key point is that the order of  $PSL(2, \mathbb{F}_{13})$  is not divisible by  $13^2$ . Sawin shows that, because  $b \neq 0$ , the order of the image of  $P_\infty$  is divisible by  $13^2$ . This is a special case of the following theorem, applied with  $n = 7$  and  $p = 13$ .  $\square$

**Theorem 5.2. (Sawin)** *Let  $n$  be an integer  $n \geq 3$ ,  $k$  a finite field of characteristic  $p > n$ , and  $\psi$  a nontrivial additive character of  $k$ . Let  $f(x) \in k[x]$  be a polynomial of degree  $n$  with  $f(0) = 0$  which is not of the form  $\alpha x^n + \beta x$ . Let  $\chi$  be a (possibly trivial) multiplicative character of  $k^\times$ . Then the image of  $P_\infty$  in the  $I_\infty$  representation of  $FT(\mathcal{L}_{\psi(f(x))} \otimes \mathcal{L}_{\chi(x)})$  has order divisible by  $p^2$ .*

*Proof.* At the expense of replacing  $f$  by a  $k^\times$  multiple of itself, we may assume  $\psi$  comes from (by composition with the trace) a nontrivial additive character of  $\mathbb{F}_p$ . Let us write

$$f(x) = a_n x^n + a_{n-t} x^{n-t} + \text{lower terms},$$

with  $1 \leq t \leq n - 2$  and  $a_{n-t} \neq 0$ . Passing to a finite extension of  $k$ , we may take the  $n$ 'th root of  $-na_n$ , say

$$-na_n = \lambda^n.$$

Making the change of variable  $x \mapsto x/\lambda$ , we are reduced to the case when  $f$  has the form

$$f(x) = -x^n/n - a_{n-t} x^{n-t} + \text{lower terms},$$

with some new nonzero  $a_{n-t}$ . We then apply a result of Lei Fu, [Fu, part (ii) of Theorem 0.1] (his  $\alpha(t)$  is our  $f(x)$  and his  $(s, r)$  are our  $(n, 1)$ ) according to which the wild part of the  $I_\infty$ -representation of this  $FT$  is an explicit direct image by  $-\frac{d}{dx}(f(x))$ , namely it is

$$\left[-\frac{d}{dx}(f(x))\right]_* (\mathcal{L}_{\psi(f(x)-x\frac{d}{dx}(f(x)))} \otimes \mathcal{L}_{\chi(x)} \otimes \mathcal{L}_{\chi(n(n-1)a_n x^n/2)}).$$

Now we try to write  $-\frac{d}{dx}(f(x))$  as a  $n-1$ 'st power. We have

$$\begin{aligned} -\frac{d}{dx}(f(x)) &= x^{n-1} + (n-t)a_{n-t}x^{n-1-t} + \text{lower terms} = \\ &= x^{n-1} \left(1 + \frac{(n-t)a_{n-t}}{x^t} + \text{higher terms in } 1/x\right). \end{aligned}$$

We wish to find a new formal parameter  $1/w$  at  $\infty$ , with

$$w^{n-1} = \frac{d}{dx}(f(x)) = x^{n-1} \left(1 + \frac{(n-t)a_{n-t}}{x^t} + \text{higher terms in } 1/x\right).$$

We simply take the  $n-1$ 'st root:

$$w := x \left(1 + \frac{(n-t)a_{n-t}/(n-1)}{x^t} + \text{higher terms in } 1/x\right).$$

In terms of  $w$ , we have

$$x = w \left(1 - \frac{(n-t)a_{n-t}/(n-1)}{w^t} + \text{higher terms in } 1/w\right).$$

We now write  $f(x) - x\frac{d}{dx}(f(x))$  in terms of  $w$ . We have

$$f(x) - x\frac{d}{dx}(f(x)) = \frac{(n-1)x^n}{n} + (n-t-1)a_{n-t}x^{n-t} + \text{lower terms},$$

which in terms of  $w$  is

$$\begin{aligned} \frac{(n-1)w^n}{n} \left(1 - \frac{n(n-t)a_{n-t}/(n-1)}{w^t} + \text{higher terms in } 1/w\right) + (n-t-1)a_{n-t}w^{n-t} + \dots \\ = \frac{(n-1)w^n}{n} - a_{n-t}w^{n-t} + \text{less polar at } \infty. \end{aligned}$$

The key point is that this is of the form

$$\alpha x^n + \beta x^{n-t} + \text{less polar at } \infty$$

with both  $\alpha, \beta$  nonzero.

In terms of  $w$ , then, the wild part of the  $I_\infty$ -representation is (denoting by  $[n-1]$  the  $n-1$ 'st power map),

$$[n-1]_* (\mathcal{L}_{\psi(\alpha w^n + \beta w^{n-t} + \text{less polar at } \infty)} \otimes (\text{rank one and tame at } \infty))$$

with both  $\alpha, \beta$  nonzero. The image of  $P_\infty$  does not change if we pass to the  $[n-1]$  pullback, which, restricted to  $P_\infty$ , is the direct sum

$$\bigoplus_{\zeta \in \mu_{n-1}(\bar{k})} \mathcal{L}_{\psi(\alpha(\zeta w)^n + \beta(\zeta w)^{n-t} + \text{less polar at } \infty)}.$$

For the image of  $P_\infty$  to have order  $p$ , the polynomials  $\alpha(\zeta w)^n + \beta(\zeta w)^{n-t}$ , indexed by  $\zeta \in \mu_{n-1}(\bar{k})$ , would each need to be  $\mathbb{F}_p$  multiples of  $\alpha w^n + \beta w^{n-t}$ . But as  $1 \leq t \leq n-2$ , if we take for  $\zeta$  a primitive  $n-1$ 'st root of unity, the two polynomials

$$\alpha w^n + \beta w^{n-t} \quad \text{and} \quad \zeta^n \alpha w^n + \zeta^{n-t} \beta w^{n-t}$$



are not  $\bar{k}$ -proportional (simply because  $\zeta^t \neq 1$ ).  $\square$

6. THE SITUATION IN CHARACTERISTIC  $p = 7, 5, 3$

For  $p$  one of 7, 5, 3, denote by  $W_2$  the ring scheme of  $p$ -Witt vectors of length 2. Let  $k$  be a finite field of characteristic  $p$ , and  $\psi_2$

$$\psi_2 : W_2(k) \rightarrow \mu_{p^2}(\mathbb{Z}[\zeta_{p^2}]).$$

a character  $\psi_2$  of order  $p^2$  of the additive group of  $W_2(k)$ . Then

$$x \in k \mapsto \psi_2(0, x) := \psi(x)$$

is a nontrivial additive character of  $k$  (and every nontrivial additive character of  $k$  is of this form). This system is pure of weight zero, geometrically irreducible and self dual (its trace is  $\mathbb{R}$ -valued). As its rank, 7, is odd, the autoduality is orthogonal, and hence

$$G_{geom} \subset G_{arith} \subset O_7.$$

For  $p = 7$ , we have the local system  $\mathcal{F}$  on  $\mathbb{A}^2/k$  with coordinates  $B, t$  whose trace function is

$$(B, t) \mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi_2(x, 0) \psi(2Bx^5/5 + B^2x^3/3 + tx)$$

for  $(B, t) \in k^2$ ,  $g$  being the above gauss sum  $g(\bar{\psi}, \chi_2)$ , with the usual variant for a finite extension  $K/k$  and  $(B, t) \in K^2$  (namely the sum is over  $x \in K$ ,  $\chi_2$  is replaced by  $\chi_{2,K}$  and  $\psi_2$ , respectively  $\psi$  are replaced by their compositions with  $\text{Trace}_{K/k}$  from  $W_2(K)$  to  $W_2(k)$ , respectively from  $K$  to  $k$ ).

**Theorem 6.1.** *In characteristic 7, the local system  $\mathcal{F}$  has  $M_3 = 1$ , and  $\text{Frob}_k$  acts on  $H_c^4(\mathbb{A}^2 \otimes_k \bar{k}, \mathcal{F}^{\otimes 3})(2)$  as 1.*

*Proof.* The proof that  $M_3 = 1$  is identical to the proof of Theorem (the first one), using the exceptional identities. Once  $M_3 = 1$ , then the  $H^4$  has dimension one, so  $\text{Frob}_k$  acts on it as a unitary scalar. This scalar lies in  $\mathbb{Q}(\zeta_{p^2})$  (Galois invariance of the  $L$ -function, and isolation of its highest weight part) and is an  $\lambda$ -adic unit for all places  $\lambda$  of  $\mathbb{Q}(\zeta_{p^2})$  not over  $p$ . So by the product formula for  $\mathbb{Q}(\zeta_{p^2})$ , it is be a unit in  $\mathbb{Z}[\zeta_{p^2}]$  all of whose archimedean absolute values are 1, hence is a root of unity of order dividing  $2p^2$ . So we can recover it as the archimedean limit of the empirical  $M_3$  calculated over those extensions of  $k$  whose degrees over  $k$  are congruent to 1 modulo  $2p^2$ . The calculation of the empirical  $M_3$  shows that this limit is 1.  $\square$

**Theorem 6.2.** *In characteristic 7, the local system  $\mathcal{F}$  has*

$$G_{geom} = G_{arith} = G_2.$$

*Proof.* Suppose first that  $\mathcal{F}$  is Lie-irreducible. Then (as in the proof of Theorem earlier) by Gabber's theorem,  $G_{geom}^0$  is either  $G_2$  or  $\text{Sym}^6(SL_2)$ : = the image of  $SL_2$  in  $SO_7$ . The normalizer of either of these groups  $G$  in  $O_7$  is  $\pm G$ . So  $G_{geom}$  is either  $G_2$  or  $\pm G_2$ , or  $\text{Sym}^6(SL_2)$  or  $\pm \text{Sym}^6(SL_2)$ . Of these four groups, only  $G_2$  and  $\text{Sym}^6(SL_2)$  have  $M_3 = 1$ , the other two have  $M_3 = 0$ . Since  $M_3 = 1$  for  $G_{arith}$ , the same argument shows that  $G_{arith}$  is either  $G_2$  or  $\text{Sym}^6(SL_2)$ . Because  $G_{geom}$  is a normal subgroup of  $G_{arith}$ , we have the same dichotomy as in Theorem earlier, either

$$G_{geom} = G_{arith} = \text{the image in } SO_7 \text{ of } SL_2$$

or we have

$$G_{geom} = G_{arith} = G_2.$$

We rule out the  $SL_2$  case by Lemma 3.1.

It remains to show that  $\mathcal{F}$  is Lie-irreducible. For this it suffices to find a pullback  $\mathcal{F}_{B=b_0}$  which is Lie-irreducible. We will use the “low ordinal” method to show that  $\mathcal{F}_{B=0}$  is Lie-irreducible. For this we first reduce to the case when  $k$  is  $\mathbb{F}_p$ . Fix a character  $\psi_{2,\mathbb{F}_p}$  of  $W_2(\mathbb{F}_p) \cong \mathbb{Z}/p^2\mathbb{Z}$  of order  $p^2$ , so of the form

$$x \in \mathbb{Z}/p^2\mathbb{Z} \mapsto \zeta_{p^2}^x$$

for a fixed primitive  $p^2$ 'th root of unity  $\zeta_{p^2}$ . We denote by  $\psi_{\mathbb{F}_p}$  the attached additive character of  $\mathbb{F}_p$ ,

$$\psi_{\mathbb{F}_p}(x) := \psi_{2,\mathbb{F}_p}(0, x)$$

which is just  $x \mapsto \zeta_p^x$  for  $\zeta_p := \zeta_{p^2}^p$ . We denote by  $\psi_{k,\mathbb{F}_p}$  the character  $\psi_{\mathbb{F}_p} \circ \text{Trace}_{k/\mathbb{F}_p}$  of  $k$ .

We denote by  $\psi_{2,k,\mathbb{F}_p}$  the character of  $W_2(k)$  which is  $\psi_{2,\mathbb{F}_p} \circ \text{Trace}_{k/\mathbb{F}_p}$ . For a unique element  $(\alpha, \beta) \in W_2(k)^\times$ , the character  $\psi_2$  is of the form

$$(x, y) \mapsto \psi_{2,k,\mathbb{F}_p}((\alpha, \beta)(x, y)).$$

In Witt vector multiplication, we have

$$(\alpha, \beta)(x, y) = (\alpha x, \beta x^p + \alpha^p y).$$

The trace function of the pullback sheaf  $\mathcal{F}_{B=0}$  is

$$\begin{aligned} t \in k &\mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi_2(x, 0) \psi(tx) = (1/g) \sum_{x \in k} \chi_2(x) \psi_2(x, tx) = \\ &= (1/g) \sum_{x \in k} \chi_2(x) \psi_{2,k,\mathbb{F}_p}((\alpha, \beta)(x, tx)) = (1/g) \sum_{x \in k} \chi_2(x) \psi_{2,k,\mathbb{F}_p}(\alpha x, \beta x^p + \alpha^p tx). \end{aligned}$$

After the change of variable  $x \mapsto x/\alpha$ , the trace function becomes

$$\begin{aligned} (1/(g\chi_2(a))) \sum_{x \in k} \chi_2(ax) \psi_{2,k,\mathbb{F}_p}(x, (\beta/\alpha^p)x^p + \alpha^{p-1}tx) = \\ = (1/g) \sum_{x \in k} \chi_2(x) \psi_{2,k,\mathbb{F}_p}(x, 0) \psi_{2,k,\mathbb{F}_p}(0, (\beta^{1/p}/\alpha) + \alpha^{p-1}tx), \end{aligned}$$

which is the the pullback by an affine transformation on the  $t$ -line of

$$t \mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi_{2,k,\mathbb{F}_p}(x, 0) \psi_{2,k,\mathbb{F}_p}(0, tx).$$

This is the trace function of the pullback to  $\mathbb{A}^1/k$  of the corresponding Fourier Transform on  $\mathbb{A}^1/\mathbb{F}_p$ .

When  $k$  is  $\mathbb{F}_p$ , we use the “low ordinal” method. It suffices to show that the sum

$$\sum_{x \in \mathbb{F}_p} \chi_2(x) \psi_{2,\mathbb{F}_p}(x, 0)$$

has  $\text{ord}_p < 1/2$ . This sum, the “Gauss-Heilbron sum”, is

$$\sum_{x=1}^{p-1} \chi_2(x) \zeta_{p^2}^{x^p}.$$

If we write

$$\zeta_{p^2} = 1 + \pi_{p^2}, \quad \zeta_{p^2}^p = 1 + \pi_p,$$

then our sum is congruent, modulo  $\pi_p \mathbb{Z}[\zeta_{p^2}]$ , to

$$\sum_{x=1}^{p-1} x^{(p-1)/2} (1 + \pi_{p^2})^x.$$

Expanding  $(1 + \pi_{p^2})^x$  by the binomial theorem, we see that this last sum, modulo  $p\mathbb{Z}_p[\zeta_{p^2}]$ , starts in degree  $(p-1)/2$  as a series in  $\pi_{p^2}$ , so has  $\text{ord}_p = 1/(2p) < 1/2$ . This concludes the proof that  $\mathcal{F}$  is Lie-irreducible in characteristic  $p = 7$ .  $\square$

**Theorem 6.3.** *Let  $k$  be a finite field of characteristic  $p = 7$ , and  $\psi_2$  an additive character of  $W_2(k)$  of order  $p^2$ . For any  $b \in k$ , the pullback local system  $\mathcal{F}_{B=b}$  on  $\mathbb{A}^1/k$ , whose trace function is*

$$t \in k \mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi_2(x, 0) \psi(2bx^5/5 + b^2x^3/3 + tx),$$

has

$$G_{\text{geom}} = G_{\text{arith}} = G_2.$$

*Proof.* This pullback is geometrically irreducible, its  $\infty$ -slopes are  $\{0, 7/6 \text{ repeated } 6 \text{ times}\}$ , and, being a pullback of  $\mathcal{F}$ , has

$$G_{\text{geom}} \subset G_{\text{arith}} \subset G_2.$$

Admit for the moment that this pullback is Lie-irreducible. Then by Gabber's theorem,  $G_{\text{geom}}$  is either  $G_2$  or it is  $\text{Sym}^6(SL_2)$ . The second possibility is ruled out by Lemma 3.1.

It remains to show that our pullback is Lie-irreducible. If not, its  $G_{\text{geom}}$  is a finite irreducible subgroup of  $G_2$ , whose order must be divisible by 7 (because it has some  $\infty$ -slopes which are  $> 0$ ). From the Cohen-Wales classification, we see that there are no finite irreducible subgroup of  $G_2$  whose order is divisible by  $7^2$ . So it suffices to show that the image of the wild inertia group  $P_\infty$  has order divisible by  $7^2$ . To see this, denote by  $M$  the wild part of the  $I_\infty$ -representation of our pullback. We apply [Ka-GKM, 1.14] with its  $(a, n) = (7, 6)$  in characteristic 7 to conclude that

$$M = [n]_* V$$

for a one-dimensional representation  $V$  of  $I_\infty$  whose Swan conductor is  $p = 7$ . In characteristic  $p$ , for any one-dimensional representation of  $I_\infty$  of Swan conductor  $p$ , its restriction to  $P_\infty$  has order  $p^2$  (and, more generally, if the Swan conductor is strictly positive and has  $\text{ord}_p(\text{Swan}) = r$ , then its restriction to  $P_\infty$  has order  $p^{r+1}$ ). Therefore  $V$  is a direct summand of

$$[n]^* M = [n]^* [n]_* V = \bigoplus_{\zeta \in \mu_n(\bar{k})} [x \mapsto \zeta x]^* V.$$

But the image of  $P_\infty$  on  $M$  is the same as its image on  $[n]^* M$ . This last image has order divisible by  $p^2$ , this already being true for the direct factor  $V$ .  $\square$

We now turn to the situation in characteristic  $p = 5$ . We fix a finite field  $k$  of characteristic  $p = 5$ , and a character  $\psi_2$  of order  $p^2$  of the additive group of  $W_2(k)$ . We denote by  $\mathcal{F}$  the local system on  $\mathbb{A}^2/k$  with coordinates  $(B, t)$  whose trace function is given by

$$(B, t) \in \mathbb{A}^2(k) \mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + B^{2p}x^3/3 + tx) \psi_2(2Bx, 0).$$

**Theorem 6.4.** *In characteristic  $p = 5$ , the local system  $\mathcal{F}$  has*

$$G_{geom} = G_{arith} = G_2.$$

*Proof.* We first observe that we have  $G_{arith} \subset SO_7$ . Indeed,  $\mathcal{F}$  is geometrically irreducible (because any pullback  $\mathcal{F}_{B=b_0}$  is, being a Fourier Transform), orthogonally self dual (real trace, odd rank), so its determinant, being lisse on  $\mathbb{A}^2/k$  of order two, must be geometrically constant. So it suffices to check for the pullback  $\mathcal{F}_{B=0}$ , and here we invoke [Ka-NG2, 1.7]. We then show that  $M_3 = 1$ . This results from the exceptional identities, with the slight difference that what previously had been the term  $(B + F_2(x, y))^2$  here becomes  $(B^p + F_2(x, y))^2$ . In the sum over  $(B, x, y)$ , we can replace  $B^p$  by  $B$ , and proceed as in the proof of Theorem 4.1.

It then remains only to show that  $\mathcal{F}$  is Lie-irreducible. For this, it suffices to show that the pullback  $\mathcal{F}_{B=0}$  is Lie-irreducible. This is shown in [Ka-NG2, 4.12].  $\square$

**Theorem 6.5.** *In characteristic  $p = 5$ , for any  $b \in k$ , the pullback local system  $\mathcal{F}_{B=b}$  on  $\mathbb{A}^1/k$ , whose trace function is*

$$t \in k \mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + b^{2p}x^3/3 + tx) \psi_2(2bx, 0).$$

has

$$G_{geom} = G_{arith} = G_2.$$

*Proof.* Exactly as in the proof of Theorem 6.3 (the  $p=7$  case), we need only rule out the possibility that  $G_{geom}$  is a finite irreducible subgroup of  $G_2$ . From the wild inertia at  $\infty$ , this finite irreducible subgroup of  $G_2$  would have order divisible by  $p = 5$ . The Cohen-Wales classification shows there are no such subgroups.  $\square$

We now turn to the situation in characteristic  $p = 3$ . We fix a finite field  $k$  of characteristic  $p = 3$ , and a character  $\psi_2$  of order  $p^2$  of the additive group of  $W_2(k)$ . We denote by  $\mathcal{F}$  the local system on  $\mathbb{A}^2/k$  with coordinates  $(B, t)$  whose trace function is given by

$$(B, t) \in \mathbb{A}^2(k) \mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2B^p x^5/5 + tx) \psi_2(B^2 x, 0).$$

Just as in the proof of Theorem 6.4, we see that  $G_{arith} \subset SO_7$ , and, using the exceptional identities, that  $M_3 = 1$ .

**Theorem 6.6.** *For  $p = 3$ , the local system  $\mathcal{F}$  on  $\mathbb{A}^2/k$  has*

$$G_{geom} = G_{arith} = G_2.$$

*Proof.* As we have seen above, it suffices to show that  $\mathcal{F}$  is Lie-irreducible. For this, it suffices to exhibit a pullback which is Lie-irreducible. For this, we first reduce to the case when  $k$  is the prime field  $\mathbb{F}_3$ . Just as in the proof of Theorem 6.2, we choose a character  $\psi_{2, \mathbb{F}_p}$  of order  $p^2$  of the additive group of  $W_2(\mathbb{F}_p) \cong \mathbb{Z}/9\mathbb{Z}$ . We denote by  $\psi_{2, k, \mathbb{F}_3}$  the character of  $W_2(k)$  obtained by composition with the trace. Similarly, we denote by  $\psi_{k, \mathbb{F}_p}$  the additive character of  $k$  obtained from  $x \mapsto \psi_{2, \mathbb{F}_p}(0, x)$  by composition with the trace.

For a unique element  $(\alpha_0, \beta) \in W_2(k)^\times$ , the given character  $\psi_2$  is of form

$$\psi_2(x, y) = \psi_{2, k, \mathbb{F}_p}((\alpha_0, \beta)(x, y)) = \psi_{2, k, \mathbb{F}_p}(\alpha_0 x, \alpha_0^p y + \beta x^p).$$

At the expense of replacing  $k$  by a finite extension, we may assume that  $\alpha_0$  is itself a seventh power, say

$$\alpha_0 = \alpha^7.$$

Then our local system has trace function

$$\begin{aligned} (B, t) &\mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi_{2, \mathbb{F}_p, k}((\alpha^7, \beta)(B^2 x, x^7/7 + 2B^p x^5/5 + tx)) = \\ &= (\chi_2(a)/g) \sum_{x \in k} \chi_2(x) \psi_{2, \mathbb{F}_p, k}(\alpha^7 B^2 x, 0) \psi_{2, k, \mathbb{F}_p}(0, \alpha^{7p}(x^7/7 + 2B^p x^5/5 + tx) + \beta B^{2p} x^p). \end{aligned}$$

After the change of variable  $x \mapsto x/\alpha^p$ , this sum becomes

$$(1/g) \sum_{x \in k} \chi_2(x) \psi_{2, \mathbb{F}_p, k}(\alpha^4 B^2 x, 0) \psi_{k, \mathbb{F}_p}(x^7/7 + 2\alpha^6 B^3 x^5/5 + ((\alpha^{6p} t + \beta^{1/p} B^2 x)x)).$$

Now choose  $B = 1/\alpha^2$ . Then we have the pullback by an affine linear transformation of  $t$  of the Fourier Transform of the pullback from  $\mathbb{A}^1/\mathbb{F}_3$  to  $\mathbb{A}^1/k$  of

$$(1/g)^{\text{deg}} \mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi_{2, \mathbb{F}_3}(x, 0)} \otimes \mathcal{L}_{\psi_{\mathbb{F}_3}(x^7/7 + 2x^5/5)}.$$

To see that this is Lie-irreducible, we use the ‘‘low ordinal’’ method. It suffices to show that at  $t = 0$ , our sum

$$\sum_{x \in \mathbb{F}_3} \chi_2(x) \psi(x^7/7 + 2x^5/5) \psi_2(x, 0)$$

has  $\text{ord}_p < 1/2$ . This sum has only two terms: it is

$$\begin{aligned} \chi_2(1) \psi(1/7 + 2/5) \psi_2(1, 0) + \chi_2(-1) \psi(-1/7 - 2/5) \psi_2(-1, 0) &= \\ &= \zeta_3^2 \zeta_9 - \zeta_3^{-2} \zeta_9^{-1} = \\ &= \zeta_9^7 - \zeta_9^{-7} = \zeta_9^7 - \zeta_9^2 = -\zeta_9^2(1 - \zeta_9^5), \end{aligned}$$

which has  $\text{ord}_3 = 1/6 < 1/2$ .  $\square$

It is proven in [Ka-NG2, 4.15] that for  $b = 0$ , the pullback  $\mathcal{F}_{B=0}$  on  $\mathbb{A}^1/k$  has finite  $G_{\text{geom}} = U_3(3)$  in Atlas [CCNPW-Atlas] notation.

**Theorem 6.7.** *For any finite field  $k$  of characteristic  $p = 3$ , any additive character  $\psi_2$  of  $W_2(k)$ , and any **nonzero**  $b \neq 0$  in  $k^\times$ , the pullback sheaf  $\mathcal{F}_{B=b}$ , whose trace function is*

$$t \in k \mapsto (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2b^3 x^5/5 + tx) \psi_2(b^2 x, 0),$$

has

$$G_{\text{geom}} = G_{\text{arith}} = G_2.$$

*Proof.* As above, it suffices to prove that such a pullback is Lie-irreducible. If not, its  $G_{\text{geom}}$  is a finite irreducible subgroup of  $G_2$ . The wild part of its  $I_\infty$ -representation has rank six, with all six slopes =  $7/6$ . Because  $p = 3$  divides the rank 6, the restriction to the wild inertia group  $P_\infty$  is the direct sum of two three-dimensional irreducible representations of  $P_\infty$ , cf. [Ka-GKM, 1.14]. The image of  $P_\infty$  in either of these representations is a  $p$ -group, whose order must be at least  $p^3$ , simply because groups of order  $p$  or  $p^2$  are abelian. Therefore if  $G_{\text{geom}}$  is finite, its order is divisible by  $p^3 = 3^3$ . In the Cohen-Wales classification of finite irreducible subgroups of  $G_2$ , only  $U_3(3)$  and  $G_2(2)$  have orders divisible by  $3^3$ . The group  $G_2(2)$  cannot occur, because it contains  $U_3(3)$  as a normal subgroup of index 2, so admits

a surjective homomorphism to  $\mathbb{Z}/2\mathbb{Z}$ . By pre-composing with the surjection of  $\pi_1(\mathbb{A}^1/\overline{\mathbb{F}}_3)$  onto  $G_{geom}$ , we would obtain  $\mathbb{Z}/2\mathbb{Z}$  as a quotient of  $\pi_1(\mathbb{A}^1/\overline{\mathbb{F}}_3)$ , which is nonsense. Thus if  $G_{geom}$  is finite, it is  $U_3(3)$ . Moreover, the normalizer of  $U_3(3)$  in  $G_2$  is  $G_2(2)$ , so if  $G_{geom}$  is finite, then  $G_{arith}$  is either  $U_3(3)$  or it is  $G_2(2)$ .

The unique orthogonal seven-dimensional irreducible representation of  $U_3(3)$  has integer traces, as do both orthogonal seven-dimensional irreducible representations of  $G_2(2)$ . So if  $G_{geom}$  is finite, then all the traces of our pullback are integers. In particular, they all lie in  $\mathbb{Q}(\zeta_3)$  (rather than in the larger field  $\mathbb{Q}(\zeta_9)$  which obviously contains them). The galois group of  $\mathbb{Q}(\zeta_9)/\mathbb{Q}(\zeta_3)$  is the cyclic group of order three generated by  $\zeta_9 \mapsto \zeta_9^4$ . In  $W_2(\mathbb{F}_3) \cong \mathbb{Z}/9\mathbb{Z}$ , the element  $4 \in \mathbb{Z}/9\mathbb{Z}$  is the Witt vector  $(1, 1)$ . So the image of the trace at time  $t \in k$ ,

$$(1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2b^3x^5/5 + tx) \psi_2(b^2x, 0),$$

under the automorphism  $\zeta_9 \mapsto \zeta_9^4$  is

$$\begin{aligned} & (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2b^3x^5/5 + tx) \psi_2((1, 1)(b^2x, 0)) = \\ & = (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2b^3x^5/5 + tx) \psi_2(b^2x, b^6x^2) = \\ & = (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2b^3x^5/5 + tx + b^6x^2) \psi_2(b^2x, 0) = \\ & = (1/g) \sum_{x \in k} \chi_2(x) \psi(x^7/7 + 2b^3x^5/5 + (t + b^2)x) \psi_2(b^2x, 0). \end{aligned}$$

This is the trace function of the additive translation  $t \mapsto t + b^2$  of our pullback. By Chebotarev, this pullback, being arithmetically irreducible, is isomorphic to it additive translate by  $t \mapsto t + b^2$ . In particular, this pullback is geometrically isomorphic to its additive translate by  $t \mapsto t + b^2$ . On the Fourier Transform side, this says that

$$\mathcal{K} := \mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi(x^7/7 + 2b^3x^5/5)} \otimes \mathcal{L}_{\psi_2(b^2x, 0)}$$

is geometrically isomorphic on  $\mathbb{G}_m/\overline{\mathbb{F}}_3$  to

$$\mathcal{K} \otimes \mathcal{L}_{\psi(b^2x)} = \mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi(x^7/7 + 2b^3x^5/5 + b^2x)} \otimes \mathcal{L}_{\psi_2(b^2x, 0)}.$$

This says that  $\mathcal{L}_{\psi(b^2x)}$  is geometrically constant on  $\mathbb{G}_m/\overline{\mathbb{F}}_3$ , which is nonsense, as it has Swan conductor one at  $\infty$ .  $\square$

## 7. AN OPEN QUESTION

In characteristic  $p \geq 17$ , suppose  $f_{B,C}(x) := x^7/7 + 2Bx^5/5 + Cx^3/3$  is a polynomial such that the  $G_{geom}$  of the Fourier Transform of  $\mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi(f_{B,C}(x))}$  is  $G_2$ . Is it true that  $C = B^2$ ?

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