

## Witt Vectors and a Question of Rudnick and Waxman

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This is Part III of the paper "Witt vectors and a question of Keating and Rudnick" [12]. We prove equidistribution results for the L-functions attached to "super-even" characters of the group of truncated "big" Witt vectors, and for the L-functions attached to the twists of these characters by the quadratic character.

### 1 Introduction: The Basic Setting

We work over a finite field  $k = \mathbb{F}_q$  of characteristic  $p$  inside a fixed algebraic closure  $\bar{k}$ , and fix an *odd* integer  $n \geq 3$ . We form the  $k$ -algebra

$$B := k[X]/(X^{n+1}).$$

Following Rudnick and Waxman, we say that a character

$$\Lambda : B^\times \rightarrow \mathbb{C}^\times$$

is "super-even" if it is trivial on the subgroup  $B_{\text{even}}^\times := (k[X^2]/(X^{n+1}))^\times$  of  $B^\times$ .

If  $\Lambda$  is nontrivial and super-even, one defines its L-function  $L(\mathbb{A}^1/k, \Lambda, T)$ , a priori a formal power series, by

$$L(\mathbb{A}^1/k, \Lambda, T) := (1 - T)^{-1} \prod_{\substack{P \text{ monic irreducible} \\ P(0) \neq 0}} (1 - \Lambda(P)T^{\deg P})^{-1},$$

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where the product is over all monic irreducible polynomials  $P \in k[X]$  other than  $X$ . In fact it is a polynomial. For  $\Lambda$  primitive (see Section 2), it is a polynomial of degree  $n - 1$ , and there is a unique conjugacy class  $\theta_{k,\Lambda}$  in the compact symplectic group  $\mathrm{USp}(n - 1)$  such that

$$\det(1 - T\theta_{k,\Lambda}) = L(\mathbb{A}^1/k, \Lambda, T/\sqrt{q}).$$

The question of the distribution of the symplectic conjugacy classes  $\theta_{k,\Lambda}$  attached to variable super-even characters arises in the work of Rudnick and Waxman on (the variance in) a function field analogue of Hecke's theorem that Gaussian primes are equidistributed in angular sectors.

We will show (Theorem 5.1) that for odd  $n \geq 7$ , in any sequence of finite fields  $k_i$  of cardinalities tending to  $\infty$ , the collections of conjugacy classes

$$\{\theta_{k_i,\Lambda}\}_{\Lambda \text{ primitive super-even}}$$

become equidistributed in the space  $\mathrm{USp}(n - 1)^\#$  of conjugacy classes of  $\mathrm{USp}(n - 1)$  for its induced Haar measure. For  $n = 3, 5$  we need to exclude certain small characteristics, see Section 5.

Our second set of results deals with equidistribution in orthogonal groups. When the field  $k$  has odd characteristic, there is a quadratic character  $\chi_2$  of  $k^\times$ , which induces a quadratic character  $\chi_2$  of  $B^\times$  given by  $f \mapsto \chi_2(f(0))$ . Given a super-even primitive character  $\Lambda \bmod X^{n+1}$  as above, we form the L-function  $L(\mathbb{G}_m/k, \chi_2\Lambda, T)$  and get an associated conjugacy class  $\theta_{k,\chi_2\Lambda}$  in the compact orthogonal group  $\mathrm{O}(n, \mathbb{R})$ . A natural question, although one which does not (yet) have applications to function field analogues of classical number-theoretic results, is whether these orthogonal conjugacy classes are suitably equidistributed in the compact orthogonal group.

We show (Theorem 7.1) that for a fixed odd integer  $n \geq 5$ , in any sequence  $k_i$  of finite fields of odd cardinalities tending to infinity, the conjugacy classes

$$\{\theta_{k_i,\chi_2\Lambda}\}_{\Lambda \text{ primitive super-even}}$$

become equidistributed in the space  $\mathrm{O}(n, \mathbb{R})^\#$  of conjugacy classes of  $\mathrm{O}(n, \mathbb{R})$ . The same result holds for  $n = 3$  if we restrict the characteristics of the finite fields to be different from 5.

With these two results about symplectic and orthogonal equidistribution established, a natural question is what one can say about the joint distribution.

We also show (Theorem 8.1) that the classes  $\theta_{k,\Lambda}$  and  $\theta_{k,\chi_2\Lambda}$  are independent, in the following sense. Fix an odd integer  $n \geq 5$ . In any sequence  $k_i$  of finite fields of odd cardinalities tending to infinity, the collections of pairs of conjugacy classes

$$\{(\theta_{k_i,\Lambda}, \theta_{k_i,\chi_2\Lambda})\}_\Lambda \text{ primitive super-even}$$

become equidistributed in the space  $\mathrm{USp}(n-1)^\# \times \mathrm{O}(n, \mathbb{R})^\#$  of conjugacy classes of the product  $\mathrm{USp}(n-1) \times \mathrm{O}(n, \mathbb{R})$ . The same result holds for  $n = 3$  if we restrict the characteristics of the finite fields to be different from 5.

This last result does not yet have applications to function field analogues of classical number-theoretic results, but is an instance of a natural question having a nice answer.

## 2 The Situation in Odd Characteristic

Throughout this section, we suppose that  $k$  has odd characteristic  $p$ . Then  $B_{\text{even}}^\times$  is the subgroup of  $B^\times$  consisting of those elements which are invariant under  $X \mapsto -X$ .

Let us denote by  $B_{\text{odd}}^\times \subset B^\times$  the subgroup of elements  $f(X) \in B^\times$  with constant term 1 which satisfy  $f(-X) = 1/f(X)$  in  $B^\times$ .

**Lemma 2.1.** ( $p$  odd) The product  $B_{\text{even}}^\times \times B_{\text{odd}}^\times$  maps isomorphically to  $B^\times$  by the map  $(f, g) \mapsto fg$ . □

**Proof.** We first note that this map is injective. For if  $g = 1/f$ , then  $g$  is both even and odd and hence  $g(-X)$  is both  $g(X)$  and  $1/g(X)$ . Thus  $g^2 = 1$  in  $B^\times$ . But the subgroup of elements of  $B^\times$  with constant term 1 is a  $p$ -group. By assumption  $p$  is odd, hence  $g = 1$ . To see that the map is surjective, note first that  $B_{\text{even}}^\times$  contains the constants  $k^\times$ . So it suffices to show that the image contains every element of  $B^\times$  with constant term 1. This last group being a  $p$ -group, it suffices that the image contains the square of every such element. This results from writing

$$h(X)^2 = [h(X)h(-X)][h(X)/h(-X)]. \quad \blacksquare$$

Recall from [12, § 2] that the quotient group  $B^\times/k^\times$  is, via the Artin–Hasse exponential, isomorphic to the product

$$\prod_{\substack{m \geq 1 \\ \text{prime to } p, m \leq n}} W_{\ell(m,n)}(A),$$

with  $\ell(m, n)$  the integer defined by

$$\ell(m, n) = 1 + \text{the largest integer } k \text{ such that } mp^k \leq n.$$

Via this isomorphism, the quotient  $B^\times/B_{\text{even}}^\times \cong B_{\text{odd}}^\times$  becomes the sub-product

$$\prod_{m \geq 1 \text{ prime to } p, m \leq n, m \text{ odd}} W_{\ell(m, n)}(A).$$

Under these isomorphisms, the map from  $\mathbb{A}^1(k)$  to  $B^\times/k^\times$ ,  $t \mapsto 1 - tX$ , becomes the map

$$1 - tX \mapsto \prod_{m \geq 1 \text{ prime to } p, m \leq n} (t^m, 0, \dots, 0) \in W_{\ell(m, n)}(A),$$

and its projection to  $B_{\text{odd}}^\times$  becomes the map

$$1 - tX \mapsto \prod_{m \geq 1 \text{ prime to } p, m \leq n, m \text{ odd}} (t^m, 0, \dots, 0) \in W_{\ell(m, n)}(A).$$

Any super-even character takes values in the subfield  $\mathbb{Q}(\mu_{p^\infty}) \subset \mathbb{C}$ . We choose a prime number  $\ell \neq p$ , and an embedding of  $\mathbb{Q}(\mu_{p^\infty}) \subset \overline{\mathbb{Q}_\ell}$ . This allows us to view  $\Lambda$  as taking values in  $\overline{\mathbb{Q}_\ell}^\times$ , and will allow us to invoke  $\ell$ -adic cohomology.

**Corollary 2.2.** (p odd) For  $\Lambda$  a super-even character of  $B^\times$ , and  $\mathcal{L}_{\Lambda(1-tX)}$  the associated lisse rank one  $\overline{\mathbb{Q}_\ell}$ -sheaf on  $\mathbb{A}^1/k$ , we have

$$\mathcal{L}_{\Lambda^2(1-tX)} \cong \mathcal{L}_{\Lambda((1-tX)/(1+tX))}. \quad \square$$

**Proof.** Indeed, we have

$$\begin{aligned} \Lambda^2(1 - tX) &= \Lambda((1 - tX)^2) \\ &= \Lambda([(1 - tX)(1 + tX)][(1 - tX)/(1 + tX)]) \\ &= \Lambda((1 - tX)/(1 + tX)), \end{aligned}$$

the last equality because  $\Lambda$  is super-even. ■

Recall that a character  $\Lambda$  of  $B^\times$  is called primitive if it is nontrivial on the subgroup  $1 + kX^n$ . The Swan conductor  $Swan(\Lambda)$  of  $\Lambda$  is the largest integer  $d \leq n$  such that  $\Lambda$  is nontrivial on the subgroup  $1 + kX^d$ . One knows [12, Lemma 1.1] that the Swan

conductor of  $\Lambda$  is equal to the Swan conductor at  $\infty$  of the lisse, rank one sheaf  $\mathcal{L}_{\Lambda(1-tX)}$  on the affine  $t$ -line.

When  $\Lambda$  is a nontrivial super-even character, its Swan conductor is an *odd* integer  $1 \leq d \leq n$ . Its  $L$ -function on  $\mathbb{A}^1/k$  is given by

$$\det(1 - TFrob_k | H_c^1(\mathbb{A}^1 \otimes_k \bar{k}, \mathcal{L}_{\Lambda(1-tX)})),$$

a polynomial of degree  $d - 1$ , which is “pure of weight one cf. [16].” In other words, it is of the form  $\prod_{i=1}^{Swan(\Lambda)-1} (1 - \beta_i T)$  with each  $\beta_i$  an algebraic integer all of whose complex absolute values are  $\sqrt{q}$ .

**Lemma 2.3.** (p odd) Suppose  $\Lambda$  is a nontrivial super-even character.

- (1) The lisse sheaf  $\mathcal{L}_{\Lambda(1-tX)}$  is isomorphic to its dual sheaf  $\mathcal{L}_{\bar{\Lambda}(1-tX)}$ ; indeed it is the pullback  $[t \mapsto -t]^*(\mathcal{L}_{\bar{\Lambda}(1-tX)})$  of its dual.
- (2) The resulting cup product pairing

$$\begin{aligned} H_c^1(\mathbb{A}^1 \otimes_k \bar{k}, \mathcal{L}_{\Lambda(1-tX)}) \times H_c^1(\mathbb{A}^1 \otimes_k \bar{k}, \mathcal{L}_{\bar{\Lambda}(1-tX)}) \\ \rightarrow H_c^2(\mathbb{A}^1 \otimes_k \bar{k}, \overline{\mathbb{Q}}_\ell) \cong \overline{\mathbb{Q}}_\ell(-1) \end{aligned}$$

given by

$$(\alpha, \beta) \mapsto \alpha \cup [t \mapsto -t]^*(\beta)$$

is a symplectic autoduality. □

**Proof.** As the group  $B_{\text{odd}}^\times$  is a  $p$ -group, its character group is a  $p$ -group, so every super-even character has a unique square root. So for [1] it suffices to treat the case of  $\Lambda^2$ , in which case the assertion is obvious from Corollary 2.2 above. For [2], we note first that both our  $\mathcal{L}$ 's are totally wildly ramified at  $\infty$ , so for each the forget supports map  $H_c^1 \rightarrow H^1$  is an isomorphism. Thus, the cup product pairing is an autoduality. Viewed inside the  $H^1$  of  $\mathcal{C}$ , the cohomology group in question is the  $\Lambda$ -isotypical component of the  $H^1$  of  $\mathcal{C}$ . The fact that the pairing is symplectic then results from the fact that cup-product is alternating on  $H^1$  of  $\mathcal{C}$ ; cf. [10, 3.10.1–2] for an argument of this type. ■

For  $\Lambda$  primitive and super-even, we define a conjugacy class  $\theta_{k,\Lambda}$  in the compact symplectic group  $USp(n - 1)$  in terms of its reversed characteristic polynomial

by the formula

$$\det(1 - T\theta_{k,\Lambda}) = L(\mathbb{A}^1 \otimes_k \bar{k}, \mathcal{L}_{\Lambda(1-tX)})(T/\sqrt{q}).$$

We next recall how to realize these conjugacy classes in an algebro-geometric way. For each integer  $r \geq 1$ , choose a faithful character  $\psi_r : W_r(\mathbb{F}_p) \cong \mathbb{Z}/p^r\mathbb{Z} \rightarrow \mu_{p^r}$ . For convenience, choose these characters so that under the maps  $x \mapsto px$  of  $\mathbb{Z}/p^r\mathbb{Z}$  to  $\mathbb{Z}/p^{r+1}\mathbb{Z}$ , we have

$$\psi_r(x) = \psi_{r+1}(px).$$

[For example, take  $\psi_r(x) := \exp(2\pi ix/p^r)$ .]

Every character of  $W_r(k)$  is of the form

$$w \mapsto \psi_r(\text{Trace}_{W_r(k)/W_r(\mathbb{F}_p)}(aw))$$

for a unique  $a \in W_r(k)$ . We denote this character  $\psi_{r,a}$ .

A super-even character  $\Lambda$  of  $B^\times$ , under the isomorphism

$$B^\times_{\text{odd}} \cong \prod_{m \geq 1 \text{ prime to } p, m \leq n, m \text{ odd}} W_{\ell(m,n)}(k),$$

becomes a character of  $\prod_{m \geq 1 \text{ prime to } p, m \leq n, m \text{ odd}} W_{\ell(m,n)}(k)$ , where it is of the form

$$(w(m))_m \mapsto \prod_m \psi_{\ell(m,n),a(m)}(w(m))$$

for uniquely defined elements  $a(m) \in W_{\ell(m,n)}(k)$ .

The lisse sheaf  $\mathcal{L}_{\Lambda(1-tX)}$  on  $\mathbb{A}^1/k$  thus becomes the tensor product

$$\mathcal{L}_{\Lambda(1-tX)} \cong \otimes_m \mathcal{L}_{\psi_{\ell(m,n)}(a(m)(t^m, 0's))},$$

over the  $m \geq 1$  prime to  $p$ ,  $m \leq n$ ,  $m$  odd.

Recall from [12, Lemma 3.2] the following lemma, which will be applied here to super-even characters  $\Lambda$ .

**Lemma 2.4.** (p odd) Write the odd integer  $n = n_0 p^{r-1}$  with  $n_0$  prime to  $p$  and  $r \geq 1$ . Then, we have the following results about a super-even character  $\Lambda$  of  $B^\times$ .

- (1) We have  $\text{Swan}_\infty(\otimes_m \mathcal{L}_{\psi_{\ell(m,n)}(a(m)(t^m, 0's))}) = n$  if and only if the Witt vector  $a(n_0) \in W_{\ell(n_0,n)}(k) = W_r(k)$  has its initial component  $a(n_0)_0 \in k^\times$ .

(2) We have  $Swan_\infty(\mathcal{L}_{\Lambda(1-tX)}) = n$  if and only if  $\Lambda$  is a primitive super-even character of  $B^\times$  □

We continue with our odd  $n \geq 3$  written as  $n = n_0 p^{r-1}$  with  $n_0$  prime to  $p$  and  $r \geq 1$ . As explained above, the sheaves  $\mathcal{L}_{\Lambda(1-tX)}$  with  $\Lambda$  primitive are exactly the sheaves

$$\otimes_m \mathcal{L}_{\psi_{\ell(m,n)}(a(m)(t^m, \theta's))}$$

for which the Witt vector  $a(n_0) \in W_{\ell(n_0,n)}(k) = W_r(k)$  has its initial component  $a(n_0)_0 \in k^\times$ . Let us denote by

$$W_r^\times \subset W_r$$

the open subscheme of  $W_r$  defined by the condition that the initial component  $a_0$  be invertible.

Let us denote by  $\mathbb{W}$  the product space  $\prod_{m \geq 1 \text{ prime to } p, m \leq n, m \text{ odd}} W_{\ell(m,n)}$ . Thus  $\mathbb{W}$  is a unipotent group over  $\mathbb{F}_p$ , with  $\mathbb{W}(k) = B_{\text{odd}}^\times$ , whose  $k$ -valued points are the super-even characters of  $B^\times$ .

On the space  $\mathbb{A}^1 \times_k \mathbb{W}$ , with coordinates  $(t, (a(m))_m)$ , we have the lisse rank one  $\overline{\mathbb{Q}}_\ell$ -sheaf

$$\mathcal{L}_{\text{univ, odd}} := \otimes_m \mathcal{L}_{\psi_{\ell(m,n)}(a(m)(t^m, \theta's))}.$$

Denoting by

$$pr_2 : \mathbb{A}^1 \times_k \mathbb{W} \rightarrow \mathbb{W}$$

the projection on the second factor, we form the sheaf

$$\mathcal{F}_{\text{univ, odd}} := R^1(pr_2)_!(\mathcal{L}_{\text{univ, odd}})$$

on  $\mathbb{W}$ . This is a sheaf of perverse origin in the sense of [8].

For  $E/k$  a finite extension, and  $\Lambda_{((a(m))_m)}$  a super-even nontrivial character of  $(E[X]/(X^{n+1}))^\times$  given by a non-zero point  $a = (a(m))_m \in \mathbb{W}(E)$ , we have

$$\begin{aligned} & \det(1 - TFrob_{E,((a(m))_m)} | \mathcal{F}_{\text{univ, odd}}) \\ &= \det(1 - TFrob_E, H_c^1(\mathbb{A}^1 \otimes_k \overline{k}, \mathcal{L}_{\Lambda_{(a(m))_m}(1-tX)})) \\ &= L(\mathbb{A}^1/E, \Lambda_{(a(m))_m})(T). \end{aligned}$$

Let us denote by

$$\text{Prim}_{n,\text{odd}} \subset \prod_{m \geq 1 \text{ prime to } p, m \leq n, m \text{ odd}} W_{\ell(m,n)}$$

the open set defined by the condition that the  $n_0$  component lie in  $W_r^\times$ . Exactly as in [12], we see that the restriction of  $\mathcal{F}_{\text{univ, odd}}$  to  $\text{Prim}_{n,\text{odd}}$  is lisse of rank  $n - 1$ , pure of weight one. By Lemma 2.3 above, it is symplectically self-dual toward  $\overline{\mathbb{Q}}_\ell(-1)$ . Moreover, the Tate-twisted sheaf  $\mathcal{F}_{\text{univ, odd}}(1/2)$ , restricted to  $\text{Prim}_{n,\text{odd}}$ , is pure of weight zero and symplectically self-dual.

We now state an equicharacteristic version of our equidistribution theorem in odd characteristic.

**Theorem 2.5.** Suppose either

- (1)  $n \geq 3$  and  $p \geq 7$   
or
- (2)  $n \geq 7$  and  $p \geq 3$   
or
- (3)  $n = 3$  and  $p = 3$   
or
- (4)  $n = 5$  and  $p = 3$  or  $p = 5$ .

The geometric and arithmetic monodromy groups of the lisse sheaf  $\mathcal{F}_{\text{univ, odd}}(1/2)|_{\text{Prim}_{n,\text{odd}}}$  are given by  $G_{\text{geom}} = G_{\text{arith}} = \text{Sp}(n - 1)$ .  $\square$

### 3 Analysis of the Situation in Characteristic 2 and a Variant Situation in Arbitrary Characteristic $p$

We work over a finite field  $k = \mathbb{F}_q$  of arbitrary characteristic  $p$  inside a fixed algebraic closure  $\overline{k}$ , and fix an integer  $n \geq 3$  which is prime to  $p$ . We choose a prime number  $\ell \neq p$ , and an embedding of  $\mathbb{Q}(\mu_{p^n}) \subset \overline{\mathbb{Q}}_\ell$ . We form the  $k$ -algebra

$$B := k[X]/(X^{n+1}).$$

Inside  $B^\times$ , we have the subgroup  $B_{p' \text{th powers}}^\times$  consisting of  $p'$ th powers of elements of  $B^\times$ . Concretely,  $B_{p' \text{th powers}}^\times$  is the image of  $k[[X^p]]^\times$  in  $B^\times$ . When  $p = 2$ ,  $B_{p' \text{th powers}}^\times$  is the subgroup  $B_{\text{even}}^\times$ .

A character

$$\Lambda : B^\times \rightarrow \mathbb{C}^\times$$

is trivial on the subgroup  $B_{p^{\text{th powers}}}^\times$  of  $B^\times$  if and only if  $\Lambda^p = 1$ .

**Lemma 3.1.** Via the Artin–Hasse exponential, the quotient group  $B^\times/B_{p^{\text{th powers}}}^\times$  is isomorphic to the additive group consisting of all polynomials  $f(X) = \sum_i a_m X^m$  in  $k[X]$  such that

$$\text{degree}(f) \leq n, a_0 = 0, a_m = 0 \text{ if } p|m. \quad \square$$

**Proof.** The Artin–Hasse is the formal series, a priori in  $1 + X\mathbb{Q}[[X]]$ , defined by

$$AH(X) := \exp\left(-\sum_{n \geq 0} X^{p^n}/p^n\right) = 1 - X + \dots .$$

The “miracle” is that in fact  $AH(X)$  has  $p$ -integral coefficients, that is, it lies in  $1 + X\mathbb{Z}_{(p)}[[X]]$ .

For  $R$  any  $\mathbb{Z}_{(p)}$  algebra, that is, any ring in which every prime number other than  $p$  is invertible, in particular for  $k$ , one knows that every element of the multiplicative group  $1 + XR[[X]]$  has a unique representation as an infinite product

$$\prod_{m \geq 1 \text{ prime to } p, a \geq 0} AH(a_{mp^a} X^{mp^a})^{1/m}$$

with coefficients  $a_{mp^a} \in R$ .

In the quotient group  $(1 + XR[[X]])/(1 + X^p R[[X^p]])$ , the factors with  $a \geq 1$  die, so every element in this quotient group is of the form

$$\prod_{m \geq 1 \text{ prime to } p} AH(a_m X^m)^{1/m}$$

for some choice of coefficients  $a_m \in R$ . The key observation is that for any two elements  $a, b \in R$ , we have

$$AH(aX)AH(bX)/AH((a + b)X) \in 1 + X^p R[[X^p]].$$

To see this, we argue as follows. The quotient lies in  $1 + XR[[X]]$ . By reduction to the universal case (when  $R$  is the polynomial ring  $\mathbb{Z}_{(p)}[a, b]$  in two variables  $a, b$ ), it suffices

to treat the case when  $R$  lies in a  $\mathbb{Q}$ -algebra, where we must show that only powers of  $X^p$  occur. It suffices to check this after extension of scalars from  $R$  to the  $\mathbb{Q}$ -algebra  $R \otimes_{\mathbb{Z}} \mathbb{Q}$ . So we reduce to the case when  $R$  is a  $\mathbb{Q}$ -algebra, in which case the assertion is obvious, as

$$AH(aX)AH(bX)/AH((a+b)X) = \exp\left(-\sum_{n \geq 1} (a^{p^n} + b^{p^n} - (a+b)^{p^n})X^{p^n}/p^n\right)$$

is visibly a series in  $X^p$ .

Thus, the map

$$\prod_{m \geq 1 \text{ prime to } p} R \rightarrow (1 + XR[[X]])/(1 + X^pR[[X^p]])$$

given by

$$(a_m)_m \mapsto \prod_{m \geq 1 \text{ prime to } p} AH(a_m X^m)^{1/m} \text{ mod } 1 + X^pR[[X^p]]$$

is a surjective group homomorphism with source the additive group  $\prod_{m \geq 1 \text{ prime to } p} R$ . Truncating mod  $X^{n+1}$ , and taking  $R = k$ , we get a surjective homomorphism from the additive group consisting of all polynomials  $f(X) = \sum_i a_m X^m$  in  $k[X]$  such that

$$\text{degree}(f) \leq n, a_0 = 0, a_m = 0 \text{ if } p|m,$$

to  $B^\times/B_{p^{\text{th}} \text{ powers}}^\times$ . This map is an isomorphism, because source and target have the same cardinality. ■

Let us denote by  $\mathbb{W}[p]$  the additive groupscheme over  $\mathbb{F}_p$  whose  $R$ -valued points are the Artin–Schreier reduced polynomials of degree  $\leq n$  over  $R$  which are strongly odd [10, 3.10.4], that is, those polynomials  $f(X) = \sum_i a_m X^m$  in  $R[X]$  such that

$$\text{degree}(f) \leq n, a_0 = 0, a_m = 0 \text{ if either } p|m \text{ or } 2|m.$$

Let us denote by  $B_{\text{even}, p^{\text{th}} \text{ powers}}^\times$  the subgroup of  $B^\times$  generated by both  $B_{\text{even}}^\times$  and  $B_{p^{\text{th}} \text{ powers}}^\times$ .

**Corollary 3.2.** The quotient  $B^\times/B_{\text{even}, p^{\text{th}} \text{ powers}}^\times$  is isomorphic to the additive group  $\mathbb{W}[p](k)$ . □

The group  $\mathbb{W}[p](k)$  is its own Pontrayagin dual, by the pairing

$$(f, g) \mapsto \psi_1(\text{constant term of } f(X)g(1/X)).$$

For  $\Lambda$  a character of  $B^\times/B_{\text{even}, p\text{'th powers}}^\times$ , the corresponding lisse, rank one sheaf  $\mathcal{L}_\Lambda(1 - tX)$  on  $\mathbb{A}^1$  is of the form  $\mathcal{L}_{\psi_1(f(t))}$  for a unique  $f(t) \in k[t]$  which is strongly odd and Artin–Schreier reduced of degree  $\leq n$ . This  $\Lambda$  is primitive if and only if  $f$  has degree  $n$ . For such  $\Lambda$ , we define a conjugacy class  $\theta_{k,\Lambda}$  in the compact symplectic group  $\text{USp}(n - 1)$  in terms of its reversed characteristic polynomial by the formula

$$\det(1 - T\theta_{k,\Lambda}) = L(\mathbb{A}^1 \otimes_k \bar{k}, \mathcal{L}_{\Lambda(1-tX)})(T/\sqrt{q}).$$

When  $p = 2$ , these are precisely the conjugacy classes attached to the super-even characters which are primitive.

On the product  $\mathbb{A}^1 \times \mathbb{W}[p]$ , with coordinates  $(t, f)$ , we have the lisse, rank one Artin–Schreier sheaf

$$\mathcal{L}_{\text{univ,odd,AS}} := \mathcal{L}_{\psi_1(f(t))},$$

and the projection

$$pr_2 : \mathbb{A}^1 \times \mathbb{W}[p] \rightarrow \mathbb{W}[p].$$

We then define the sheaf  $\mathcal{F}_{\text{univ,AS}}$  by

$$\mathcal{F}_{\text{univ,odd,AS}} := R^1(pr_2)_!(\mathcal{L}_{\text{univ,AS}}).$$

This is a sheaf of perverse origin on  $\mathbb{W}[p]$ .

On the open set  $\text{Prim}_{n,\text{odd}}[p] \subset \mathbb{W}[p]$  where the coefficient  $a_n$  of  $X^n$  is invertible,  $\mathcal{F}_{\text{univ,odd,AS}}$  is lisse of rank  $n - 1$ , pure of weight one, and symplectically self-dual.

The following theorem is essentially proven in [10, 3.10.7], cf. the remark below.

**Theorem 3.3.** Fix an odd integer  $n \geq 3$  which is prime to  $p$ . If either  $n \geq 7$  or  $p \geq 7$ , the geometric and arithmetic monodromy groups of  $\mathcal{F}_{\text{univ,odd,AS}}(1/2)|_{\text{Prim}_{n,\text{odd}}[p]}$  are given by  $G_{\text{geom}} = G_{\text{arith}} = \text{Sp}(n - 1)$ . □

**Remark 3.4.** We say “essentially” because in [10, 3.10.7], the parameter space  $\mathcal{D}(1, n, \text{odd})$  consists of all strictly odd polynomials of degree  $n$ ; the requirement of being Artin–Schreier reduced is not imposed. When  $p = 2$ , the Artin–Schreier reducedness is automatic, implied by strict oddness. When  $p$  is odd,  $\mathcal{D}(1, n, \text{odd})$  contains the image of the space of strongly odd polynomials of degree  $\leq n/p$  under the map  $g \mapsto g - g^p$ , and is the product of  $\text{Prim}_{n, \text{odd}}[p]$  with this subspace. But one knows that  $\mathcal{L}_{\psi_1(f(t)+g(t)-g(t)^p)}$  is isomorphic to  $\mathcal{L}_{\psi_1(f(t))}$ . Thus, the universal  $\mathcal{F}$  on  $\mathcal{D}(1, n, \text{odd})$  is the pullback of  $\mathcal{F}_{\text{univ, odd, AS}}|_{\text{Prim}_{n, \text{odd}}[p]}$  by the “Artin–Schreier reduction” map of  $\mathcal{D}(1, n, \text{odd})$  on to  $\text{Prim}_{n, \text{odd}}[p]$ .  $\square$

#### 4 Proof of Theorem 2.5

We have a priori inclusions  $G_{\text{geom}} \subset G_{\text{arith}} \subset \text{Sp}(n - 1)$ , so it suffices to show that  $G_{\text{geom}} = \text{Sp}(n - 1)$ .

We first treat the case (Cases (1) and (2)) when either  $n \geq 7$  or  $p \geq 7$ . In this case, we exploit the fact that if  $n$  is prime to  $p$ , then  $\text{Prim}_{n, \text{odd, AS}}$  lies in  $\text{Prim}_{n, \text{odd}}$ , and the restriction of  $\mathcal{F}_{\text{univ, odd}}|_{\text{Prim}_{n, \text{odd}}}$  to  $\text{Prim}_{n, \text{odd, AS}}$  is the sheaf  $\mathcal{F}_{\text{univ, odd, AS}}|_{\text{Prim}_{n, \text{odd, AS}}}$ .

Thus if  $n$  is prime to  $p$ , already a pullback of  $\mathcal{F}_{\text{univ, odd}}|_{\text{Prim}_{n, \text{odd}}}$  has  $G_{\text{geom}} = \text{Sp}(n - 1)$ .

We must now treat the case when  $p|n$ . Because  $n$  is odd,  $p \geq 3$ . We first apply the “low  $p$ -adic ordinal” argument of [12, Lemma 7.2.], which, when  $n$  and  $p$  are both odd, conveniently produces a super-even primitive character  $\Lambda$  whose  $\mathbb{F}_p$ -character sum has low  $p$ -adic ordinal. This insures that the Fourier Transform  $\text{NFT}(\mathcal{L}_\Lambda)$ , which is the restriction of  $\mathcal{F}_{\text{univ, odd}}|_{\text{Prim}_{n, \text{odd}}}$  to a line in  $\text{Prim}_{n, \text{odd}}$ , has a  $G_{\text{geom}}$  which is not finite. This  $\text{NFT}(\mathcal{L}_\Lambda)$  is an irreducible Airy sheaf in the sense of [15, 11.1], according to which it either has finite  $G_{\text{geom}}$ , or is Artin–Schreier induced, or is Lie irreducible. As  $\text{NFT}(\mathcal{L}_\Lambda)$  has rank  $n - 1$  prime to  $p$ , it cannot be Artin–Schreier induced. Therefore  $\text{NFT}(\mathcal{L}_\Lambda)$  is Lie-irreducible. According to [15, 11.6], its  $G_{\text{geom}}^0$  is either  $\text{Sp}(n - 1)$  or  $SL(n - 1)$ . As we have an a priori inclusion of its  $G_{\text{geom}}$  in  $\text{Sp}(n - 1)$ ,  $\text{NFT}(\mathcal{L}_\Lambda)$  has  $G_{\text{geom}} = \text{Sp}(n - 1)$ . So also in this case, already a pullback of  $\mathcal{F}_{\text{univ, odd}}|_{\text{Prim}_{n, \text{odd}}}$  has  $G_{\text{geom}} = \text{Sp}(n - 1)$ .

Suppose now that  $(n, p)$  is either  $(3, 3)$  or  $(5, 3)$  or  $(5, 5)$ . In these cases,  $n \geq p \geq 3$  and  $\ell(1, n) = 2$ , so the “low  $p$ -adic ordinal” argument of [12, Lemma 7.2.] again produces a super-even primitive character  $\Lambda$  whose  $\mathbb{F}_p$ -character sum has low  $p$ -adic ordinal. Again here  $n - 1$  is prime to  $p$ , and we conclude as in the previous paragraph.

This concludes the proof of Theorem 2.5.

### 5 The Target Theorem

Our goal is to prove the following equidistribution theorem. Endow the space  $\mathrm{USp}(n-1)^\#$  of conjugacy classes of  $\mathrm{USp}(n-1)$  with the direct image of the total mass one Haar measure on  $\mathrm{USp}(n-1)$ . Equidistribution in the theorem below is with respect to this measure.

**Theorem 5.1.** We have the following results.

- (1) Fix an odd integer  $n \geq 7$ . In any sequence of finite fields  $k_i$  of (possibly varying) characteristics  $p_i$ , whose cardinalities  $q_i$  are archimedeanly increasing to  $\infty$ , the collections of conjugacy classes

$$\{\theta_{k_i, \Lambda}\}_{\Lambda \text{ primitive super-even}}$$

become equidistributed in  $\mathrm{USp}(n-1)^\#$ .

- (2) For  $n = 3$ , we have the same result if every  $k_i$  has characteristic  $p_i = 3$  or  $p_i \geq 7$ .
- (3) For  $n = 5$ , we have the same result if every  $k_i$  has characteristic  $p_i \geq 3$ .  $\square$

**Proof.** Whenever  $p$  is an allowed characteristic, then by Theorem 3.3 for  $p = 2$  and by Theorem 2.5 for odd  $p$ , the relevant monodromy groups are  $G_{\text{geom}} = G_{\text{arith}} = \mathrm{Sp}(n-1)$ .

Fix the odd integer  $n \geq 3$ . By the Weyl criterion, it suffices show that for each irreducible nontrivial representation  $\Xi$  of  $\mathrm{USp}(n-1)$ , there exists a constant  $C(\Xi)$  such that for any allowed characteristic  $p$  and any finite field  $k$  of characteristic  $p$ , we have the estimate

$$\left| \sum_{\Lambda \text{ super-even and primitive}} \mathrm{Trace}(\Xi(\theta_{k, \Lambda})) \right| \leq \#\mathrm{Prim}_{n, \text{odd}}(k) C(\Xi) / \sqrt{\#k}.$$

For a given allowed characteristic  $p$ , Deligne’s equidistribution theorem [1, 3.5.3], as explicated in [13, 9.2.6, part (2)], we can take

$$C(\Xi, p) := \sum_i h_c^i(\mathrm{Prim}_{n, \text{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \Xi(\mathcal{F}_{\text{univ, odd}})).$$

This sum of Betti numbers is uniformly bounded as  $p$  varies. In fact, we have the following estimate.

**Lemma 5.2.** Fix an irreducible nontrivial representation  $\Xi$  of  $\mathrm{USp}(n-1)$ . Let  $M \geq 1$  be an integer such that  $\Xi$  occurs in  $std_{n-1}^{\otimes M}$ . [For example, if the highest weight of  $\Xi$  is

$\sum_i r_i \omega_i$  in Bourbaki numbering, then  $\omega_i$  occurs in  $\Lambda^i(\text{std}_{n-1}) \subset \text{std}_{n-1}^{\otimes i}$ , and so we may take  $M := \sum_i i r_i$ . In characteristic  $p > n$ , we have the estimate

$$\begin{aligned} & \sum_i h_c^i(\text{Prim}_{n,\text{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \Xi(\mathcal{F}_{\text{univ,odd}})) \\ & \leq \sum_i h_c^i(\text{Prim}_{n,\text{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \mathcal{F}_{\text{univ,odd}}^{\otimes M}) \\ & \leq 3(n+2)^{M+1+(n+3)/2} \leq 3(n+2)^{M+n+1}. \end{aligned} \quad \square$$

**Proof.** The first asserted inequality is obvious, since  $\Xi(\mathcal{F}_{\text{univ,odd}})$  is a direct summand of  $(\mathcal{F}_{\text{univ,odd}}|_{\text{Prim}_{n,\text{odd}}})^{\otimes M}$ .

When  $p > n$ , the space  $\mathbb{W}$  is the space of odd polynomials  $f$  of degree  $\leq n$ , the sheaf  $\mathcal{L}_{\text{univ,odd}}$  on  $\mathbb{A}^1 \times \mathbb{W}$  with coordinates  $(t, f)$  is  $\mathcal{L}_{\psi_1(f(t))}$ , and  $\mathcal{F}_{\text{univ,odd}}$  on  $\mathbb{W}$  is  $R^1(\text{pr}_2)_!(\mathcal{L}_{\psi_1(f(t))})$ . The space  $\text{Prim}_{n,\text{odd}} \subset \mathbb{W}$  is the space of odd polynomials of degree  $n$ , that is, the open set of  $\mathbb{W}$  where the coefficient  $a_n$  of  $f = \sum_{i \text{ odd}, i \leq n} a_i t^i$  is invertible. The key point is that over  $\text{Prim}_{n,\text{odd}}$ , the  $R^i(\text{pr}_2)_!(\mathcal{L}_{\psi_1(f(t))})$  vanish for  $i \neq 1$  (as one sees looking fiber by fiber). By the Kunnetth formula [14, Exp. XVII, 5.4.3], the  $M$ th tensor power of  $\mathcal{F}_{\text{univ,odd}}|_{\text{Prim}_{n,\text{odd}}}$  is  $R^M(\text{pr}_2)_!(\mathcal{L}_{\psi_1(f(t_1)+f(t_2)+\dots+f(t_M))})$  for the projection of  $\mathbb{A}^M \times \text{Prim}_{n,\text{odd}}$  on to  $\text{Prim}_{n,\text{odd}}$ , and the  $R^i(\text{pr}_2)_!(\mathcal{L}_{\psi_1(f(t_1)+f(t_2)+\dots+f(t_M))})$  vanish for  $i \neq M$ . [One might note that  $f(t_1) + f(t_2) + \dots + f(t_M)$  is, for each  $f$ , a Deligne polynomial [10, 3.5.8] of degree  $n$  in  $M$  variables.] So the cohomology groups which concern us are

$$\begin{aligned} & H_c^i(\text{Prim}_{n,\text{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \mathcal{F}_{\text{univ,odd}}^{\otimes M}) \\ & = H_c^{i+M}(\mathbb{A}^M \times \text{Prim}_{n,\text{odd}}, \mathcal{L}_{\psi_1(f(t_1)+f(t_2)+\dots+f(t_M))}). \end{aligned}$$

Here the space  $\mathbb{A}^M \times \text{Prim}_{n,\text{odd}}$  is the open set in  $\mathbb{A}^{M+(n+1)/2}$ , coordinates  $(t_1, \dots, t_M, a_1, a_3, \dots, a_n)$  where  $a_n$  is invertible, so defined in  $\mathbb{A}^{M+1+(n+1)/2}$ , with one new coordinate  $z$ , by one equation  $z a_n = 1$ . The function  $f(t_1) + f(t_2) + \dots + f(t_M)$  is a polynomial in the  $M + (n+1)/2$  variables the  $t_i$  and the  $a_j$  of degree  $n + 1$ . The asserted estimate is then a special case of [7, Theorem 12]. ■

Here is another method, which avoids the problem of finding good bounds for the sum of the Betti numbers in large characteristic, but which itself only applies when  $p > 2(n-1) + 1$ . As above, the primitive super-even  $\Lambda$ 's give precisely the Artin–Schreier sheaves  $\mathcal{L}_{\psi_1(f(t))}$  for  $f$  running over the strictly odd polynomials of degree  $n$ . Each of these sheaves has its Fourier Transform, call it

$$\mathcal{G}_f := \text{NFT}(\mathcal{L}_{\psi_1(f(t))}),$$

lisse of rank  $n - 1$  on  $\mathbb{A}^1$ , with all  $\infty$ -slopes equal to  $n/(n - 1)$ , and one knows [3, Theorem 19] that its  $G_{\text{geom}}$  is  $\text{Sp}(n - 1)$ . [In the reference [3, Theorem 19], the hypothesis is stated as  $p > 2n + 1$ , but what is used is that  $p > 2\text{rank}(\mathcal{G}_f) + 1$ .] This  $\mathcal{G}_f$  is just the restriction of  $\mathcal{F}_{\text{univ,odd}}$  to the line  $a \mapsto f(t) + at$ , and the restriction of  $\Xi(\mathcal{F}_{\text{univ,odd}})$  to this line is  $\Xi(\mathcal{G}_f)$ . Because  $\mathcal{G}_f$  has  $G_{\text{geom}} = \text{Sp}(n - 1)$ , and has all  $\infty$ -slopes  $\leq n/(n - 1)$ , we have the estimate

$$h_c^1(\mathbb{A}^1 \otimes \overline{\mathbb{F}}_p, \Xi(\mathcal{G}_f)) \leq \dim(\Xi)/(n - 1), \text{ other } h_c^i = 0,$$

cf. the proof of [12, 8.2]. Thus, we get

$$\left| \sum_{a \in k, \Lambda \cong f(t) + at} \text{Trace}(\Xi(\theta_{k,\Lambda})) \right| \leq (\dim(\Xi)/(n - 1))\#k/\sqrt{\#k}.$$

Summing this estimate over equivalence classes of strictly odd  $f$ 's of degree  $n$  (for the equivalence relation  $f \cong g$  if  $\deg(f - g) \leq 1$ ), we get, in characteristic  $p > 2(n - 1) + 1$ , the estimate

$$\begin{aligned} & \left| \sum_{\Lambda \text{ super-even and primitive}} \text{Trace}(\Xi(\theta_{k,\Lambda})) \right| \\ & \leq \#\text{Prim}_{n,\text{odd}}(k)(\dim(\Xi)/(n - 1))/\sqrt{\#k}. \end{aligned}$$

Thus, we may take

$$C(\Xi) := \text{Max}(\dim(\Xi)/(n - 1), \text{Max}_{p \leq 2n-1, \text{ allowed}} C(\Xi, p)). \quad \blacksquare$$

### 6 Twisting by the Quadratic Character

In this section,  $k = \mathbb{F}_q$  is a finite field of odd characteristic, and  $\chi_2 : k^\times \rightarrow \pm 1$  denotes the quadratic character, extended to  $k$  by  $\chi_2(0) := 0$ . We can view  $\chi_2$  as the character of  $B^\times$  given by  $f(X) \mapsto \chi_2(f(0))$ .

For  $\Lambda$  any nontrivial super-even character of  $B^\times$ , the  $L$ -function

$$\det(1 - TFrob_k | H_c^1(\mathbb{G}_m \otimes_k \overline{k}, \mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda(1-tX)}))$$

is a polynomial of degree  $\text{Swan}(\Lambda)$ , which is pure of weight one. For any nontrivial additive character  $\psi$  of  $k$ , with Gauss sum

$$G(\psi, \chi_2) := \sum_{t \in k^\times} \psi(t)\chi_2(t),$$

the product

$$(-1/G(\psi, \chi_2))(-\sum_{t \in k^\times} \chi_2(t)\Lambda(1-tX))$$

is easily checked to be real.

On the space  $\mathbb{G}_m \times_k \mathbb{W}$ , with coordinates  $(t, (a(m))_m)$ , we have the lisse rank one  $\overline{\mathbb{Q}_\ell}$ -sheaf

$$\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\text{univ, odd}} := \mathcal{L}_{\chi_2(t)} \otimes \otimes_m \mathcal{L}_{\psi_{\ell(m,n)}(a(m)(t^m, 0's))}.$$

Denoting by

$$pr_2 : \mathbb{G}_m \times_k \mathbb{W} \rightarrow \mathbb{W}$$

the projection on the second factor, we form the sheaf

$$\mathcal{F}_{\text{univ, odd}, \chi_2} := R^1(pr_2)_!(\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\text{univ, odd}})$$

on  $\mathbb{W}$ . This is a sheaf of perverse origin in the sense of [8].

For  $E/k$  a finite extension, and  $\Lambda_{(a(m))_m}$  a super-even nontrivial character of  $(E[X]/(X^{n+1}))^\times$  given by a non-zero point  $a = (a(m))_m \in \mathbb{W}(E)$ , we have

$$\begin{aligned} & \det(1 - TFrob_{E, ((a(m))_m)} | \mathcal{F}_{\text{univ, odd}, \chi_2}) \\ &= \det(1 - TFrob_E, H_c^1(\mathbb{G}_m \otimes_k \bar{k}, \mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda_{((a(m))_m)}(1-tX)})). \end{aligned}$$

The restriction of  $\mathcal{F}_{\text{univ, odd}, \chi_2}$  to  $\text{Prim}_{n, \text{odd}}$  is lisse of rank  $n$ , pure of weight one. It is geometrically irreducible, because for any super-even primitive  $\Lambda$ , its restriction to a suitable line is NFT( $\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda(1-tX)}$ ). The sheaf

$$\mathcal{F}_{\text{univ, odd}, \chi_2}(-G(\psi, \chi_2))^{-\text{degree}} | \text{Prim}_{n, \text{odd}}$$

is thus geometrically irreducible, and pure of weight zero. Its trace function is  $\mathbb{R}$ -valued, so this sheaf is isomorphic to its dual. Since its rank is the odd integer  $n$ , the resulting autoduality must be orthogonal. Thus, the  $G_{\text{geom}}$  and  $G_{\text{arith}}$  of  $\mathcal{F}_{\text{univ, odd}, \chi_2}(-G(\psi, \chi_2))^{-\text{degree}} | \text{Prim}_{n, \text{odd}}$  have

$$G_{\text{geom}} \subset G_{\text{arith}} \subset O(n).$$

**Lemma 6.1.**  $G_{\text{geom}} \not\subset SO(n)$ . □

**Proof.** If  $G_{\text{geom}}$  were contained in  $\text{SO}(n)$ , then  $\det(\mathcal{F}_{\text{univ, odd}, \chi_2} | \text{Prim}_{n, \text{odd}})$  would be geometrically constant. In particular, for any two primitive super-even characters  $\Lambda_0$  and  $\Lambda_1$  of  $B^\times$ , we would have

$$\begin{aligned} & \det(\text{Frob}_k | H_c^1(\mathbb{G}_m \otimes_k \bar{k}, \mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda_0(1-tX)})) \\ &= \det(\text{Frob}_k | H_c^1(\mathbb{G}_m \otimes_k \bar{k}, \mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda_1(1-tX)})). \end{aligned}$$

Fix a primitive super-even  $\Lambda_0$ . Choose a nonsquare  $a \in k^\times$ , and take

$$\Lambda_1(1 - tX) = \Lambda_0(1 - atX).$$

[Concretely, if  $\Lambda_0$  has “coordinates”  $a(m)$ , with

$$\mathcal{L}_{\Lambda_0(1-tX)} \cong \otimes_m \mathcal{L}_{\psi_{\ell(m,n)}(a(m)(t^m, 0's))},$$

then  $\Lambda_1$  has coordinates  $\text{Teich}(a^m)a(m)$ .]

We will show that the two determinants have opposite signs. The sums

$$- \sum_{t \in k^\times} \chi_2(t) \Lambda_0(1 - atX)$$

and

$$- \sum_{t \in k^\times} \chi_2(t) \Lambda_0(1 - tX)$$

have opposite signs; make the change of variable  $t \mapsto t/a$  in the first sum, and remember that  $\chi_2(a) = -1$ . These sums over odd degree extensions of  $k$  continue to have opposite signs, while these sums over even degree extensions coincide. In terms of the eigenvalues  $\alpha_i, i = 1, \dots, n$  and  $\beta_i, i = 1, \dots, n$  of  $\text{Frob}_k$  on the cohomology groups in question, this means precisely that for the Newton symmetric functions, we have

$$N_i(\alpha's) = (-1)^i N_i(\beta's)$$

for all  $i \geq 1$ . But

$$(-1)^i N_i(\beta's) = N_i(-\beta's).$$

Thus, the  $\alpha$ 's and the  $-\beta$ 's have the same Newton symmetric functions. As we are in  $\overline{\mathbb{Q}_\ell}$ , a field of characteristic zero, the  $\alpha$ 's and the  $-\beta$ 's have the same elementary symmetric

functions, hence agree as sets with multiplicity. Since  $n$  is odd,

$$\prod_{j=1}^n \alpha_j = \prod_{j=1}^n (-\beta_j) = - \prod_{j=1}^n \beta_j.$$

Thus, the two determinants in question have opposite signs. ■

**Theorem 6.2.** Suppose either

- (1)  $n \geq 5$  and  $p \geq 5$ , or
- (2)  $n \geq 3$  and  $p \geq 7$ , or
- (3)  $n = 3$  and  $p = 3$ , or
- (4)  $n \geq 5$  and  $p \geq 3$ .

In short,  $n \geq 3$  and  $p$  are odd, and  $(n, p) \neq (3, 5)$ .

Then  $\mathcal{F}_{\text{univ, odd}, \chi_2}(-G(\psi, \chi_2))^{-\text{degree}}|\text{Prim}_{n, \text{odd}}$  has

$$G_{\text{geom}} = G_{\text{arith}} = O(n). \quad \square$$

**Proof.** From the inclusions

$$G_{\text{geom}} \subset G_{\text{arith}} \subset O(n),$$

it suffices to prove that  $G_{\text{geom}} = O(n)$ .

Suppose first that  $p \geq 5$  and  $n \geq 5$ . For any super-even primitive  $\Lambda$ , we consider the lisse sheaf  $\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda(1-tX)} \otimes \mathcal{L}_{\psi_1(at+bt^3)}$  on  $\mathbb{G}_m \times \mathbb{A}^2$  (parameters  $(t, a, b)$ ), and its cohomology along the fibers

$$\mathcal{G}_\Lambda := R^1(pr_2)_!(\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda(1-tX)} \otimes \mathcal{L}_{\psi_1(at+bt^3)}).$$

This  $\mathcal{G}_\Lambda$  is the restriction of  $\mathcal{F}_{\text{univ, odd}, \chi_2}$  to an  $\mathbb{A}^2$  in  $\text{Prim}_{n, \text{odd}}$ . The moment calculation of [6, pp. 115–119] or [10, 3.11.4] shows that  $\mathcal{G}_\Lambda$  has fourth moment 3. As we have the a priori inclusion  $G_{\text{geom}, \mathcal{G}_\Lambda} \subset O(n)$ , Larsen’s Alternative [6, p. 113] shows that either  $G_{\text{geom}, \mathcal{G}_\Lambda}$  is finite, or it is  $\text{SO}(n)$  or  $O(n)$ .

The group  $G_{\text{geom}, \mathcal{G}_\Lambda}$  is a subgroup of  $G_{\text{geom}}$ . Thus if  $G_{\text{geom}, \mathcal{G}_\Lambda}$  is not finite, then  $G_{\text{geom}, \mathcal{G}_\Lambda}$  contains  $\text{SO}(n)$ , and hence  $G_{\text{geom}}$  contains  $\text{SO}(n)$ . By the previous lemma, we must have  $G_{\text{geom}} = O(n)$ .

It remains to show that there exists at least one super-even primitive  $\Lambda$  for which  $G_{\text{geom}, \mathcal{G}_\Lambda}$  is not finite. If  $G_{\text{geom}, \mathcal{G}_\Lambda}$  is always finite, then by the diophantine criterion [4, 8.14.6] for finiteness, for every finite extension  $E/k$  and for every super-even primitive

character  $\Lambda$  of  $(B \otimes_k E)^\times$ , the sum

$$- \sum_{t \in E^\times} \chi_2(t) \Lambda(1 - tX)$$

is divisible by  $\sqrt{\#E}$  as an algebraic integer. If this holds for all  $\Lambda$ , then the diophantine criterion, applied to  $\mathcal{F}_{\text{univ, odd}, \chi_2} | \text{Prim}_{n, \text{odd}}$ , shows that  $G_{\text{geom}}$  is finite. However,  $\mathcal{F}_{\text{univ, odd}, \chi_2}$  is a sheaf of perverse origin. Restricting it to the subspace of super-even characters of conductor 5, it would result from [8] that we have finite  $G_{\text{geom}}$  in the  $n = 5$  case.

For  $p \geq 7$ , one knows [9, 3.12] that  $G_{\text{geom}, n=5}$  is not finite, indeed it contains  $\text{SO}(5)$ . For  $p = 5 = n$ , we show that  $G_{\text{geom}, n=5}$  is not finite by the “low ordinal” method. Take the character of conductor 5 given by  $t \mapsto \psi_2(t, 0)$  (concretely, the character  $t \mapsto \exp(2\pi i t^p / p^2)$  of the Heilbronn sum in the case  $p = 5$ ). Then, the sum

$$- \sum_{t \in \mathbb{F}_5^\times} \chi_2(t) \psi_2(t, 0)$$

has  $\text{ord}_p = 1/10 < 1/2$ . Indeed, the Teichmüller representatives of  $1, 2, 3, 4 \pmod{25}$  are  $1, 7, -7, -1$ . Denote by  $\zeta_{25}$  the primitive 25th root of unity which is the value  $\psi_2(1, 0)$ . Then minus our sum is

$$\begin{aligned} \zeta_{25} - \zeta_{25}^7 - \zeta_{25}^{-7} + \zeta_{25}^{-1} &= \zeta_{25}(1 - \zeta_{25}^6) - \zeta_{25}^{-7}(1 - \zeta_{25}^6) \\ &= (\zeta_{25} - \zeta_{25}^{-7})(1 - \zeta_{25}^6) = -\zeta_{25}^{-7}(1 - \zeta_{25}^8)(1 - \zeta_{25}^6) \end{aligned}$$

is the product of two uniformizing parameters in  $\mathbb{Z}_p[\zeta]$ , each with  $\text{ord}_p = 1/20$ .

Suppose now  $n = 3$  and  $p \geq 7$ . In this case, it is shown in [9, 3.7] that  $G_{\text{geom}}$  contains  $\text{SO}(3)$ . In view of Lemma 6.1, we have  $G_{\text{geom}} = \text{O}(3)$ .

Suppose that  $n = 3 = p$ . It suffices to show that  $G_{\text{geom}}$  is not finite. For then the identity component  $G_{\text{geom}}^0$  is a nontrivial semisimple (because  $\mathcal{F}_{\text{univ, odd}, \chi_2} | \text{Prim}_{3, \text{odd}}$  is pure) connected subgroup of  $\text{SO}(3)$ . The only such subgroup is  $\text{SO}(3)$  itself. Indeed, such a subgroup is the image of  $SL(2)$  in a three-dimensional orthogonal representation, and the only such representation is  $\text{Sym}^2(\text{std}_2)$ , whose image is  $\text{SO}(3)$ . We show that  $G_{\text{geom}}$  is not finite by the “low ordinal” argument. For  $\zeta_9$  the primitive ninth root of unity  $\zeta_9 := \psi_2(1, 0)$ , the sum

$$- \sum_{t \in \mathbb{F}_3^\times} \chi_2(t) \psi_2(t, 0) = -(\zeta_9 - \zeta_9^{-1}) = \zeta_9^{-1}(1 - \zeta_9^2)$$

is a uniformizing parameter of  $\mathbb{Z}_3[\zeta_9]$ , and has  $\text{ord}_3 = 1/6 < 1/2$ .

It remains only to treat the case  $n \geq 5, p = 3$ . Suppose first  $n \geq 9$  and  $p = 3$ . Pick any super-even primitive  $\Lambda$ . we consider the lisse sheaf  $\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda(1-tX)} \otimes \mathcal{L}_{\psi_1(at+bt^5+ct^7)}$  on  $\mathbb{G}_m \times \mathbb{A}^3$  (parameters  $(t, a, b, c)$ ), and its cohomology along the fibers

$$\mathcal{G}_\Lambda := R^1(pr_2)_!(\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda(1-tX)} \otimes \mathcal{L}_{\psi_1(at+bt^5+ct^7)}).$$

This  $\mathcal{G}_\Lambda$  is the restriction of  $\mathcal{F}_{\text{univ,odd},\chi_2}$  to an  $\mathbb{A}^3$  in  $\text{Prim}_{n,\text{odd}}$ . The usual moment calculation, now using [10, 3.11.6A], shows that  $\mathcal{G}_\Lambda$  has fourth moment 3. As we have the a priori inclusion  $G_{\text{geom},\mathcal{G}_\Lambda} \subset O(n)$ , Larsen’s Alternative [6, p. 113] shows that either  $G_{\text{geom},\mathcal{G}_\Lambda}$  is finite, or it is  $SO(n)$  or  $O(n)$ . If  $G_{\text{geom},\mathcal{G}_\Lambda}$  is not finite, then the larger group  $G_{\text{geom}}$  contains  $SO(n)$ , so by Lemma 6.1 must be  $O(n)$ . If  $G_{\text{geom},\mathcal{G}_\Lambda}$  were finite for all super-even primitive  $\Lambda$ , then by the diophantine criterion  $G_{\text{geom}}$  would be finite. Because  $\mathcal{F}_{\text{univ,odd},\chi_2}$  is a sheaf of perverse origin, restricting to the subspace of super-even characters of conductor 3, we would find that  $G_{\text{geom}}$  is finite in the  $n = 3 = p$  case, contradiction.

If  $n = 7$  and  $p = 3$ , we repeat the above argument with one important modification. For a given choice of super-even primitive  $\Lambda$ , there is exactly one value  $c_0$  of  $c$  for which  $\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda(1-tX)} \otimes \mathcal{L}_{\psi_1(at+bt^5+ct^7)}$  has lower conductor. So we must work with this sheaf on the product of  $\mathbb{G}_m$  with the open set of  $\mathbb{A}^3$  where  $c - c_0$  is invertible, and form its  $R^1(pr_2)_!$ , which is the restriction of  $\mathcal{F}_{\text{univ,odd},\chi_2}$  to  $\mathbb{A}^3[1/(c - c_0)]$ . On the entire  $\mathbb{A}^3$ , the moment calculation would give fourth moment 3. One checks that the fact of omitting the hyperplane  $c = c_0$  only changes the calculation by lower order terms, the point being that in  $\mathbb{A}^4/\overline{\mathbb{F}_3}$  with coordinates  $(x, y, z, w)$ , the subscheme defined by the two equations

$$x^5 + y^5 = z^5 + w^5, \quad x^7 + y^7 = z^7 + w^7,$$

has codimension 2. Now repeat the argument of the previous paragraph.

Here is an alternate proof for the case  $n = 7, p = 3$ . Over  $\mathbb{F}_3$ , we first use the “low ordinal” argument. We have the character  $\Lambda := \psi_1(t^7 - t^5)\psi_2(t, 0)$ , whose sum

$$\begin{aligned} & - \sum_{t \in \mathbb{F}_3^\times} \chi_2(t)\psi_1(t^7 - t^5)\psi_2(t, 0) = -\psi_2(1, 0) + \psi_2(-1, 0) \\ & = -\zeta_9 + \zeta_9^{-1} = \zeta_9^{-1}(1 - \zeta_9^2) \end{aligned}$$

is a uniformizing parameter for  $\mathbb{Z}_3[\zeta_9]$ , whose  $\text{ord}_3 = 1/6 < 1/2$ . This shows that  $\mathcal{G} := \text{NFT}(\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda(1-tX)})$  has a  $G_{\text{geom},\mathcal{G}_\Lambda}$  which is not finite. Because the rank  $n = 7$  is prime, its  $G_{\text{geom},\mathcal{G}}$  must therefore be Lie irreducible, cf. [9, 3.5].

Now consider the three-parameter  $(a, b, c)$  family of characters  $\Lambda_{a,b,c} := \psi_1(t^7 + at^5 + bt) \otimes \psi_2(ct, 0)$ . On  $\mathbb{G}_m \times \mathbb{A}^3$  with coordinate  $(t, a, b, c)$  we have the lisse sheaf  $\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda_{a,b,c}(1-tX)}$ , its  $R^1(pr_2)_!$  is the restriction of  $\mathcal{F}_{\text{univ,odd},\chi_2}$  to an  $\mathbb{A}^3$  in  $\text{Prim}_{n,\text{odd}}$ , and its further restriction to the  $\mathbb{A}^1$  defined by  $a = -1, c = 1$  with parameter  $b$  is the sheaf  $\mathcal{G}$  above. Therefore, the larger group  $G_{\text{geom},\mathcal{H}}$  must be Lie irreducible. By Gabber's theorem [4, 1.6] on prime-dimensional representations, the only possibilities for  $G_{\text{geom},\mathcal{H}}^0$  are  $\text{SO}(7)$  itself or  $G_2$  or the image of  $SL(2)$  in  $\text{Sym}^6(\text{std}_2)$ , which we will denote  $\text{Sym}^6(SL(2))$ . If we get  $\text{SO}(7)$ , then  $G_{\text{geom}}$  contains  $\text{SO}(7)$ , and so by Lemma 6.1 must be  $\text{O}(7)$ .

We will show that  $G_{\text{geom},\mathcal{H}}^0$  is not  $\text{Sym}^6(SL(2))$  or  $G_2$ . We argue by contradiction. Our  $\mathcal{H}$  is a lisse sheaf on  $\mathbb{A}^3/\mathbb{F}_3$ , with a determinant which is geometrically of order dividing 2. Hence, its determinant is geometrically constant. Moreover, the twisted sheaf  $\mathcal{H}_{\text{arith}} := \mathcal{H} \otimes (-G(\psi, \chi_2))^{-\text{degree}}$  has its  $G_{\text{arith},\mathcal{G}}$  in  $\text{O}(7)$ , so its determinant, being geometrically constant, is either trivial or is  $(-1)^{\text{degree}}$ .

So over any even degree extension of  $\mathbb{F}_3$ , in particular over  $\mathbb{F}_9$ , our twisted sheaf  $\mathcal{H}_{\text{arith}}$  has  $G_{\text{arith},\mathcal{H}} \subset \text{SO}(7)$ . If  $G_{\text{geom},\mathcal{H}}^0$  is one of the groups  $\text{Sym}^6(SL(2))$  or  $G_2$ , then  $G_{\text{arith},\mathcal{H}}$  lies in the normalizer of  $\text{Sym}^6(SL(2))$ , respectively of  $G_2$ , in  $\text{SO}(7)$ . But each of these groups is its own normalizer in  $\text{SO}(7)$ . Therefore  $G_{\text{arith},\mathcal{H}}$  is either the group  $\text{Sym}^6(SL(2))$  or  $G_2$ . One knows that  $\text{Sym}^6(SL(2)) \subset G_2$ , so we find an inclusion  $G_{\text{arith},\mathcal{G}} \subset G_2$ . One knows that the traces of elements of the compact form  $UG_2$  of  $G_2$  lie in the interval  $[-2, 7]$ . So the traces of Frobenius on  $\mathcal{H}_{\text{arith}}$  at  $\mathbb{F}_9$ -points will all lie in the interval  $[-2, 7]$ . Concretely, these are the sums

$$(1/3) \sum_{t \in \mathbb{F}_9^\times} \chi_2(\text{Norm}_{\mathbb{F}_9/\mathbb{F}_3}(t)) \psi_1(\text{Trace}_{\mathbb{F}_9/\mathbb{F}_3}(t^7 + at^5 + bt)) \psi_2(\text{Trace}_{W_2(\mathbb{F}_9)/W_2(\mathbb{F}_3)}(ct, 0)).$$

A machine calculation shows that at the point  $(a = -1, b = 0, c = 1 + i)$ , ( $i$  being either primitive fourth root of unity in  $\mathbb{F}_9$ ), this trace is  $-6.10607/3 = -2.03536$ , contradiction. [Machine calculation also shows that at the point  $(a = i, b = -1 - i, c = 1 + i)$  this trace is  $-7.29086/3 = -2.43029$ .]

If  $n = 5$  and  $p = 3$ , the argument is quite similar. Over  $\mathbb{F}_3$ , we first use the "low ordinal" argument. We have the character  $\Lambda := \psi_1(t^5)\psi_2(t, 0)$ , whose sum

$$\begin{aligned} - \sum_{t \in \mathbb{F}_3^\times} \chi_2(t) \psi_1(t^5) \psi_2(t, 0) &= -\psi_1(1)\psi_2(1, 0) + \psi_1(-1)\psi_2(-1, 0) \\ &= -\zeta_3 \zeta_9 + \zeta_3^{-1} \zeta_9^{-1} = \zeta_9^{-4} - \zeta_9^4 = \zeta_9^{-4}(1 - \zeta_9^8) \end{aligned}$$

is a uniformizing parameter for  $\mathbb{Z}_3[\zeta_9]$ , whose  $\text{ord}_3 = 1/6 < 1/2$ . This shows that  $\mathcal{G} := \text{NFT}(\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda(1-tX)})$  has a  $G_{\text{geom}, \mathcal{G}_\Lambda}$  which is not finite. Because the rank  $n = 5$  is prime, its  $G_{\text{geom}, \mathcal{G}}$  must therefore be Lie irreducible, cf. [9, 3.5]. Thus,  $G_{\text{geom}, \mathcal{G}}^0$  is a connected semisimple group in an irreducible five-dimensional representation. By Gabber's theorem [4, 1.6] on prime-dimensional representations, the only possibilities for  $G_{\text{geom}, \mathcal{G}}^0$  are  $\text{SO}(5)$  itself or the image of  $SL(2)$  in  $\text{Sym}^4(\text{std}_2)$ , which we will denote  $\text{Sym}^4(SL(2))$ . If we get  $\text{SO}(5)$  for  $\mathcal{G}_\Lambda$ , then  $G_{\text{geom}}$  contains  $\text{SO}(5)$ , and so by Lemma 6.1 must be  $\text{O}(5)$ .

So it suffices to show that  $G_{\text{geom}, \mathcal{G}}^0$  is not  $\text{Sym}^4(SL(2))$ . We argue by contradiction. Our  $\mathcal{G}$  is a lisse sheaf on  $\mathbb{A}^1/\mathbb{F}_3$ , with a determinant which is geometrically of order dividing 2. Hence its determinant is geometrically constant. Moreover, the twisted sheaf  $\mathcal{G}_{\text{arith}} := \mathcal{G} \otimes (-G(\psi, \chi_2))^{-\text{degree}}$  has its  $G_{\text{arith}, \mathcal{G}}$  in  $\text{O}(5)$ , so its determinant, being geometrically constant, is either trivial or is  $(-1)^{\text{degree}}$ .

So over any even degree extension of  $\mathbb{F}_3$ , in particular over  $\mathbb{F}_9$ , our twisted sheaf  $\mathcal{G}_{\text{arith}}$  has  $G_{\text{arith}, \mathcal{G}} \subset \text{SO}(5)$ . Therefore,  $G_{\text{arith}, \mathcal{G}}$  lies in the normalizer of  $\text{Sym}^4(SL(2))$  in  $\text{SO}(5)$ . But this normalizer is just  $\text{Sym}^4(SL(2))$  itself, and hence  $G_{\text{arith}, \mathcal{G}}$  is the group  $\text{Sym}^4(SL(2))$ . Therefore, the traces of Frobenius on  $\mathcal{G}_{\text{arith}}$  at  $\mathbb{F}_9$ -rational points are among the traces of elements of  $SU(2)$  in  $\text{Sym}^4(\text{std}_2)$ . For an element  $\gamma$  of  $SU(2)$  with  $\text{Trace}(\gamma) = T$ , its trace in  $\text{Sym}^4(\text{std}_2)$  is  $1 - 3T^2 + T^4$ . The minimum of this polynomial on the interval  $[-2, 2]$  is  $-5/4$ .

The twisting factor over  $\mathbb{F}_9$  is  $-1/3$ , so the sums, indexed by  $a \in \mathbb{F}_9$ ,

$$(1/3) \sum_{t \in \mathbb{F}_9^\times} \chi_2(\text{Norm}_{\mathbb{F}_9/\mathbb{F}_3}(t)) \psi_1(\text{Trace}_{\mathbb{F}_9/\mathbb{F}_3}(t^5 + at)) \psi_2(\text{Trace}_{W_2(\mathbb{F}_9)/W_2(\mathbb{F}_3)}(t, 0)),$$

must all lie in the interval  $[-5/4, 5]$ . We get a contradiction, because for  $a = 1 + i$  (for  $i$  either primitive fourth root of unity in  $\mathbb{F}_9$ ), machine calculation shows that this sum is  $-4.75877048/3 = -1.58626$ . ■

### 7 Equidistribution for the Twists by the Quadratic Character

Fix an odd integer  $n \geq 3$ . For each finite field  $k$  of odd characteristic, and each primitive super-even character  $\Lambda$  of  $(k[X]/(X^{n+1}))^\times$ , the reversed characteristic polynomial

$$\det(1 - TFrob_k, H_c^1(\mathbb{G}_m \otimes_k \bar{k}, \mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\Lambda(1-tX)}) / (-G(\psi, \chi_2)))$$

is the reversed characteristic polynomial  $\det(1 - T\theta_{k, \chi_2 \Lambda})$  of a unique conjugacy class  $\theta_{k, \chi_2 \Lambda}$  of the compact orthogonal group  $\text{O}(n, \mathbb{R})$ . Because  $n$  is odd, the group  $\text{O}(n)$  is the

product  $(\pm 1) \times \mathrm{SO}(n)$ , the decomposition being

$$A = \det(A)\tilde{A}; \quad \tilde{A} := A/\det(A).$$

Conjugacy classes of  $\mathrm{O}(n, \mathbb{R})$  have the same product decomposition

$$\theta_{k, \chi_{2\Lambda}} = \det(\theta_{k, \chi_{2\Lambda}})\tilde{\theta}_{k, \chi_{2\Lambda}},$$

with  $\tilde{\theta}_{k, \chi_{2\Lambda}}$  a conjugacy class of  $\mathrm{SO}(n, \mathbb{R})$ .

Endow the space  $\mathrm{O}(n, \mathbb{R})^\#$  of conjugacy classes of  $\mathrm{O}(n, \mathbb{R})$  with the direct image of the total mass one Haar measure on  $\mathrm{O}(n, \mathbb{R})$ . Equidistribution in the theorem below is with respect to this measure.

**Theorem 7.1.** Fix an odd integer  $n \geq 5$ . In any sequence of finite fields  $k_i$  of (possibly varying) odd characteristics  $p_i$ , whose cardinalities  $q_i$  are archimedeanly increasing to  $\infty$ , the collections of conjugacy classes

$$\{\theta_{k_i, \chi_{2\Lambda}}\}_\Lambda \text{ primitive super-even}$$

become equidistributed in  $\mathrm{O}(n, \mathbb{R})^\#$ . We have the same result for  $n = 3$  if we require that no  $p_i$  is 5. □

**Proof.** Fix the odd integer  $n \geq 3$ . Whenever  $p$  is an allowed characteristic, then by Theorem 6.2 the relevant monodromy groups are  $G_{\text{geom}} = G_{\text{arith}} = \mathrm{O}(n)$ .

By the Weyl criterion, it suffices show that for each irreducible nontrivial representation  $\Xi$  of  $\mathrm{O}(n, \mathbb{R})$ , there exists a constant  $C(\Xi)$  such that for any allowed characteristic  $p$  and any finite field  $k$  of characteristic  $p$ , we have the estimate

$$\left| \sum_{\Lambda \text{ super-even and primitive}} \mathrm{Trace}(\Xi(\theta_{k, \chi_{2\Lambda}})) \right| \leq \#\mathrm{Prim}_{n, \text{odd}}(k)C(\Xi)/\sqrt{\#k}.$$

The group  $\mathrm{O}(n)$  is the product  $(\pm 1) \times \mathrm{SO}(n)$ , the decomposition being

$$A = (\det(A))(\det(A)A).$$

So the irreducible nontrivial representations  $\Xi$  are products  $\det^a \times \Xi_0$  with  $a$  being 0 or 1 and  $\Xi_0$  an irreducible representation of  $\mathrm{SO}(n)$ , such that either  $a = 1$  or  $\Xi_0$  is irreducible nontrivial. We have seen, in the proof of Lemma 6.1, that over a given finite field  $k = \mathbb{F}_q$  of odd characteristic, the  $q - 1$  pullbacks  $[t \mapsto at]^*(\Lambda(1 - tX))$  of a given super-even

primitive character will give rise to the conjugacy class  $\theta_{k,\chi_{2\Lambda}}$  exactly  $(q - 1)/2$  times, and to the conjugacy class  $-\theta_{k,\chi_{2\Lambda}}$  exactly  $(q - 1)/2$  times. This shows that when the representation  $\Xi$  is of the form  $\det \times \Xi_0$ , then the sum

$$\sum_{\Lambda \text{ super-even and primitive}} \text{Trace}(\Xi(\theta_{k,\chi_{2\Lambda}}))$$

vanishes identically. So we need only be concerned with the Weyl sums for irreducible nontrivial representations  $\Xi_0$ .

Thus, we have reduced the theorem to the following one.

**Theorem 7.2.** Fix an odd integer  $n \geq 5$ . In any sequence of finite fields  $k_i$  of (possibly varying) odd characteristics  $p_i$ , whose cardinalities  $q_i$  are archimedeanly increasing to  $\infty$ , the collections of conjugacy classes

$$\{\tilde{\theta}_{k_i,\chi_{2\Lambda}}\}_{\Lambda \text{ primitive super-even}}$$

become equidistributed in  $\text{SO}(n, \mathbb{R})^\#$ . We have the same result for  $n = 3$  if we require that no  $p_i$  is 5. □

For a given allowed characteristic  $p$ , and an irreducible nontrivial representation  $\Xi$  of  $\text{SO}(n)$ , Deligne’s equidistribution theorem [1, 3.5.3], as explicated in [13, 9.2.6, part (2)], tells us we can take

$$C(\Xi, p) := \sum_i h_c^i(\text{Prim}_{n,\text{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \Xi(\mathcal{F}_{\text{univ,odd},\chi_2})).$$

This sum of Betti numbers is uniformly bounded as  $p$  varies. Indeed, we have the following lemma.

**Lemma 7.3.** Fix an irreducible nontrivial representation  $\Xi$  of  $\text{SO}(n)$ . Choose an integer  $M \geq 1$  such that  $\Xi$  occurs in  $\text{std}_n^{\otimes M}$ . For  $p > n$ , we have the estimate

$$\begin{aligned} & \sum_i h_c^i(\text{Prim}_{n,\text{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \Xi(\mathcal{F}_{\text{univ,odd},\chi_2})) \\ & \leq \sum_i h_c^i(\text{Prim}_{n,\text{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \mathcal{F}_{\text{univ,odd},\chi_2}^{\otimes M}) \\ & \leq 3(n + 3 + M)^{(n+3)/2+M+1} \leq 3(n + 3 + M)^{n+M+1}. \end{aligned} \quad \square$$

**Proof.** The proof is similar to that of Lemma 5.2. For  $p > n$ , we again invoke the Kunneth formula and end up with isomorphisms

$$\begin{aligned} & H_c^i(\text{Prim}_{n,\text{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \mathcal{F}_{\text{univ,odd},\chi_2}^{\otimes M}) \\ &= H_c^{i+M}((\mathbb{A}^M \times \text{Prim}_{n,\text{odd}}) \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \mathcal{L}_{\chi_2(t_1 t_2 \dots t_M)} \mathcal{L}_{\psi_1(f(t_1) + \dots + f(t_m))}). \end{aligned}$$

The asserted estimate is then a special case of [7, Theorem 12]. ■

We can also use the Fourier transform method in large characteristic, for any  $n \neq 7$ . If  $p > n$ , the primitive super-even  $\Lambda$ 's give precisely the Artin–Schreier sheaves  $\mathcal{L}_{\psi_1(f(t))}$  for  $f$  running over the strictly odd polynomials of degree  $n$ . For each of these, the Fourier transform

$$\mathcal{G}_f := \text{NFT}(\mathcal{L}_{\chi_2(t)} \otimes sL_{\psi_1(f(t))})$$

is lisse of rank  $n$  and geometrically irreducible, hence Lie irreducible by [3, Proposition 5]. Its  $G_{\text{geom}}$  lies in  $\text{SO}(n)$ . Its  $\infty$ -slopes are

$$\{0, n - 1 \text{ slopes } n/(n - 1)\}.$$

By [4, 7.1.1 and 7.2.7 (2)] there are (effective) non-zero integers  $N_1(n - 1)$  and  $N_2(n - 1)$  such that if  $p$ , in addition to being  $> 2n + 1$ , does not divide the integer  $2nN_1(n - 1)N_2(n - 1)$ , then  $G_{\text{geom},\mathcal{G}_f}$  is either  $\text{SO}(n)$ , or, if  $n = 7$ , possibly  $G_2$ . [It is this ambiguity which rules out the case  $n = 7$ .]

Because  $\mathcal{G}_f$  has  $G_{\text{geom},\mathcal{G}_f} = \text{SO}(n)$ , and has all  $\infty$ -slopes  $\leq n/(n - 1)$ , we have the estimate

$$h_c^1(\mathbb{A}^1 \otimes \overline{\mathbb{F}_p}, \mathfrak{E}(\mathcal{G}_f)) \leq \dim(\mathfrak{E})/(n - 1), \text{ other } h_c^i = 0,$$

cf. the proof of [12, 8.2]. Thus, we get

$$\left| \sum_{a \in k, \Lambda \cong f(t) + at} \text{Trace}(\mathfrak{E}(\tilde{\theta}_{k,\Lambda})) \right| \leq (\dim(\mathfrak{E})/(n - 1)) \#k / \sqrt{\#k}.$$

Summing this estimate over equivalence classes of strictly odd  $f$ 's of degree  $n$  (for the equivalence relation  $f \cong g$  if  $\deg(f - g) \leq 1$ ), we get, in characteristic  $p > 2n + 1$ ,  $p$  not

dividing  $2nN_1(n-1)N_2(n-1)$ , the estimate

$$\begin{aligned} & \left| \sum_{\Lambda \text{ super-even and primitive}} \text{Trace}(\Xi(\tilde{\theta}_{k,\Lambda})) \right| \\ & \leq \#\text{Prim}_{n,\text{odd}}(k)(\dim(\Xi)/(n-1))/\sqrt{\#k}. \end{aligned}$$

Denote by  $\text{Excep}(n)$  the finite set of odd primes  $p$  either which are  $\leq 2n+1$  or which divide  $2nN_1(n-1)N_2(n-1)$ . We may take

$$C(\Xi) := \text{Max}(\dim(\Xi)/(n-1), \text{Max}_{p \in \text{Excep}(n)} C(\Xi, p)). \quad \blacksquare$$

**Remark 7.4.** In the case  $n=7$  and  $p \geq 17$ , it is proven in [4, 9.1.1] that for any  $a \neq 0$  and for  $f = ax^7$ , the sheaf  $\mathcal{G}_f$  has  $G_{\text{geom}, \mathcal{G}_f} = G_2$ . We will show elsewhere that for  $p$  sufficiently large, we also have  $G_{\text{geom}, \mathcal{G}_f} = G_2$  for any  $f$  of the form  $ax^7 + abx^5 + ab^2(25/84)x^3$ . It is plausible that these are the only such  $f$ . If that were the case, then the exceptions would be uniformly small enough (over  $\mathbb{F}_q$ ,  $q^2(q-1)$  out of  $q^3(q-1)$   $\tilde{\theta}'$ 's in all) that we would get the same result for  $n=7$  as for the other odd  $n$ , with all odd primes allowed.  $\square$

### 8 A Theorem of Joint Equidistribution

**Theorem 8.1.** Fix an odd integer  $n \geq 5$ . In any sequence of finite fields  $k_i$  of (possibly varying) odd characteristics  $p_i$ , whose cardinalities  $q_i$  are archimedeanly increasing to  $\infty$ , the collections of pairs of conjugacy classes

$$\{(\theta_{k_i, \Lambda}, \theta_{k_i, \chi_2 \Lambda})\}_{\Lambda \text{ primitive super-even}}$$

become equidistributed in the space  $\text{USp}(n-1)^\# \times \text{O}(n, \mathbb{R})^\#$  of conjugacy classes in the product group  $\text{USp}(n-1) \times \text{O}(n, \mathbb{R})$ . We have the same result for  $n=3$  if we require that no  $p_i$  is 5.  $\square$

**Proof.** We consider the direct sum sheaf

$$(\mathcal{F}_{\text{univ, odd}} \oplus \mathcal{F}_{\text{univ, odd}, \chi_2})|_{\text{Prim}_{n,\text{odd}}}.$$

The two factors have, respectively,

$$G_{\text{geom}} = G_{\text{arith}} = \text{Sp}(n-1), \quad G_{\text{geom}} = G_{\text{arith}} = \text{O}(n)$$

in any odd characteristic  $p$ . So  $G_{\text{geom}}$  (respectively  $G_{\text{arith}}$ ) for the direct sum is a subgroup of the product  $\text{Sp}(n - 1) \times \text{O}(n)$  which maps on to each factor.

Suppose first that  $n$  is neither 3 nor 5. Then, these two factors have no nontrivial quotients which are isomorphic. So by Goursat's lemma, the direct sum sheaf has

$$G_{\text{geom}} = G_{\text{arith}} = \text{Sp}(n - 1) \times \text{O}(n)$$

in any odd characteristic  $p$ .

Let us temporarily admit that for  $n = 5$ , the direct sum sheaf also has

$$G_{\text{geom}} = G_{\text{arith}} = \text{Sp}(n - 1) \times \text{O}(n)$$

in any odd characteristic  $p$ . Let us also admit that for  $n = 3$  the direct sum sheaf has

$$G_{\text{geom}} = G_{\text{arith}} = \text{Sp}(n - 1) \times \text{O}(n)$$

in any odd characteristic  $p \neq 5$ .

By the Weyl criterion, it suffices to show that for each irreducible nontrivial representation  $\Pi \otimes \Xi$  of  $\text{USp}(n - 1) \times \text{O}(n, \mathbb{R})$ , there exists a constant  $C(\Pi \otimes \Xi)$  such that for any odd characteristic  $p$  and any finite field  $k$  of characteristic  $p$ , we have the estimate

$$\begin{aligned} & \left| \sum_{\Lambda \text{ super-even and primitive}} \text{Trace}(\Pi(\theta_{k_i, \Lambda})) \text{Trace}(\Xi(\theta_{k_i, \chi_2 \Lambda})) \right| \\ & \leq \#\text{Prim}_{n, \text{odd}}(k) C(\Pi \otimes \Xi) / \sqrt{\#k}. \end{aligned}$$

For  $a \in k^\times$  a nonsquare, the effect of  $\Lambda \mapsto [t \mapsto at]^* \Lambda$  is to leave  $\theta_{k_i, \Lambda}$  unchanged, but to replace  $\theta_{k_i, \chi_2 \Lambda}$  by minus itself. So exactly as in the proof of Theorem 7.2 above, the Weyl sums vanish identically when the  $\Xi$  factor is of the form  $\det \otimes \Xi_0$  for  $\Xi_0$  a representation of  $\text{SO}(n)$ . So we need only be concerned with the Weyl sums for irreducible nontrivial representations of the form  $\Pi \otimes \Xi_0$ .

Thus, we have reduced the theorem to the following one.

**Theorem 8.2.** Fix an odd integer  $n \geq 5$ . In any sequence of finite fields  $k_i$  of (possibly varying) odd characteristics  $p_i$ , whose cardinalities  $q_i$  are archimedeanly increasing to  $\infty$ , the collections of pairs of conjugacy classes

$$\{(\theta_{k_i, \Lambda}, \tilde{\theta}_{k_i, \chi_2 \Lambda})\}_{\Lambda \text{ primitive super-even}}$$

become equidistributed in the space  $\mathrm{USp}(n-1)^\# \times \mathrm{SO}(n, \mathbb{R})^\#$  of conjugacy classes in the product group  $\mathrm{USp}(n-1) \times \mathrm{SO}(n, \mathbb{R})$ . We have the same result for  $n = 3$  if we require that no  $p_i$  is 5.  $\square$

For a given odd characteristic  $p$ , and an irreducible nontrivial representation  $\Pi \otimes \Xi$  of  $\mathrm{Sp}(n-1) \times \mathrm{SO}(n)$ , Deligne’s equidistribution theorem [1, 3.5.3], as explicated in [13, 9.2.6, part (2)], we can take

$$\mathcal{C}(\Pi \otimes \Xi, p) := \sum_i h_c^i(\mathrm{Prim}_{n,\mathrm{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \Pi(\mathcal{F}_{\mathrm{univ},\mathrm{odd}}) \otimes \Xi(\mathcal{F}_{\mathrm{univ},\mathrm{odd},\chi_2})).$$

This sum of Betti numbers is uniformly bounded as  $p$  varies. Notice that if either  $\Xi$ , respectively  $\Pi$ , is trivial, then its partner  $\Pi$ , respectively  $\Xi$ , must be nontrivial, and the result is given by Lemma 5.2, respectively Lemma 7.3. So it suffices to prove the following lemma.

**Lemma 8.3.** Fix irreducible nontrivial representations  $\Pi$  of  $\mathrm{USp}(n-1)$  and  $\Xi$  of  $\mathrm{SO}(n, \mathbb{R})$ . Choose integers  $M_1 \geq 1$  and  $M_2 \geq 1$  such that  $\Pi$  occurs in  $\mathrm{std}_{n-1}^{\otimes M_1}$  and such that  $\Xi$  occurs in  $\mathrm{std}_n^{\otimes M_2}$ . Then, we have the estimate

$$\begin{aligned} & \sum_i H_c^i(\mathrm{Prim}_{n,\mathrm{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \Pi(\mathcal{F}_{\mathrm{univ},\mathrm{odd}}) \otimes \Xi(\mathcal{F}_{\mathrm{univ},\mathrm{odd},\chi_2})) \\ & \leq \sum_i h_c^i(\mathrm{Prim}_{n,\mathrm{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \mathcal{F}_{\mathrm{univ},\mathrm{odd}}^{\otimes M_1} \otimes \mathcal{F}_{\mathrm{univ},\mathrm{odd},\chi_2}^{\otimes M_2}) \\ & \leq 3(n+3+M_2)^{(n+3)/2+1+M_1+M_2} \leq 3(n+3+M_2)^{n+1+M_1+M_2}. \end{aligned} \quad \square$$

**Proof.** The proof is similar to the proofs of Lemmas 5.2 and 7.3. For  $p > n$ , we invoke the Kunneth formula to obtain isomorphisms

$$\begin{aligned} & H_c^i(\mathrm{Prim}_{n,\mathrm{odd}} \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \mathcal{F}_{\mathrm{univ},\mathrm{odd}}^{\otimes M_1} \otimes \mathcal{F}_{\mathrm{univ},\mathrm{odd},\chi_2}^{\otimes M_2}) \\ & = H_c^{i+M_1+M_2}((\mathbb{A}^{M_1} \times \mathbb{A}^{M_2} \times \mathrm{Prim}_{n,\mathrm{odd}}) \otimes_{\mathbb{F}_p} \overline{\mathbb{F}_p}, \mathcal{H}) \end{aligned}$$

for  $\mathcal{H}$  the sheaf

$$\mathcal{L}_{\chi_2(s_1 \dots s_{M_2})} \otimes \mathcal{L}_{\psi_1(f(t_1) + \dots + f(t_{M_1}) + f(s_1) + \dots + f(s_{M_2}))}.$$

The asserted estimate is a special case of [7, Theorem 12].  $\blacksquare$

For  $n$  not 5 or 7, we can also use the Fourier transform method. For  $p > 2n + 1$  and not dividing  $2nN_1(n-1)N_2(n-1)$ , we know that for  $\Lambda$  super-even primitive,  $\mathcal{L}_{\Lambda(1-tX)}$

is precisely of the form  $\mathcal{L}_{\psi_1(f(t))}$  for an odd polynomial  $f$  of degree  $n$ . We have seen above that the Fourier transforms

$$\begin{aligned} \mathcal{G}_f &:= \text{NFT}(\mathcal{L}_{\psi_1(f(t))}) \otimes (\sqrt{q})^{-\text{degree}}, \\ \mathcal{G}_{f,\chi_2} &:= \text{NFT}(\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\psi_1(f(t))}) \otimes (-G(\psi, \chi_2))^{-\text{degree}} \otimes \det \end{aligned}$$

have

$$G_{\text{geom}, \mathbb{G}_f} = G_{\text{arith}, \mathbb{G}_f} = \text{Sp}(n - 1),$$

and

$$G_{\text{geom}, \mathcal{G}_{f,\chi_2}} = G_{\text{arith}, \mathcal{G}_{f,\chi_2}} = \text{SO}(n).$$

Their direct sum  $\mathcal{G}_f \oplus \mathcal{G}_{f,\chi_2}$  has  $G_{\text{geom}} = G_{\text{arith}} = \text{Sp}(n - 1) \times \text{SO}(n)$ . Both  $\mathcal{G}_f$  and  $\mathcal{G}_{f,\chi_2}$  have all  $\infty$ -slopes  $\leq n/(n - 1)$ , hence so does any tensor product

$$\Pi(\mathcal{G}_f) \otimes \Xi(\mathcal{G}_{f,\chi_2}).$$

So for any nontrivial irreducible representation  $\Pi \otimes \Xi$  of  $\text{Sp}(n - 1) \times \text{SO}(n)$  we have the estimate

$$h_c^1(\mathbb{A}^1 \otimes \overline{\mathbb{F}_p}, \Pi(\mathcal{G}_f) \otimes \Xi(\mathcal{G}_{f,\chi_2})) \leq \dim(\Pi) \dim(\Xi)/(n - 1), \text{ other } h_c^i = 0,$$

cf. the proof of [12, 8.2].

So we get the estimate

$$\begin{aligned} & \left| \sum_{a \in k, \Lambda \cong f(t) + at} \text{Trace}(\Pi(\tilde{\theta}_{k,\Lambda,\chi_2})) \text{Trace}(\Xi(\tilde{\theta}_{k,\Lambda,\chi_2})) \right| \\ & \leq (\dim(\Pi) \dim(\Xi)/(n - 1)) \#k / \sqrt{\#k}. \end{aligned}$$

Summing this estimate over equivalence classes of strictly odd  $f$ 's of degree  $n$  (for the equivalence relation  $f \cong g$  if  $\deg(f - g) \leq 1$ ), we get, in characteristic  $p > 2n + 1$ ,  $p$  not dividing  $2nN_1(n - 1)N_2(n - 1)$ , the estimate

$$\begin{aligned} & \left| \sum_{\Lambda \text{ super-even and primitive}} \text{Trace}(\Pi(\theta_{k,\Lambda})) \text{Trace}(\Xi(\tilde{\theta}_{k,\chi_2\Lambda})) \right| \\ & \leq \#\text{Prim}_{n,\text{odd}}(k) C(\Pi \otimes \Xi) / \sqrt{\#k}. \end{aligned}$$

Thus for  $n \geq 9$  we may take

$$C(\Pi \otimes \Xi) := \text{Max}(\dim(\Pi) \dim(\Xi)/(n - 1), \text{Max}_{p \in \text{Except}(n)} C(\Xi, p)).$$

[For  $n$  either 5 or 7, we do not know that every individual Fourier transform has the correct  $G_{\text{geom}}$ , hence their exclusion.] ■

### 9 Joint Equidistribution in the Case $n = 3$

The problem we must deal with in the  $n = 3$  case is that the quotient  $SL(2)/\pm 1$  is isomorphic to the quotient  $O(3)/\pm 1 \cong SO(3)$ , namely  $SO(3)$  is the image of the representation  $\text{Sym}^2(\text{std}_2)$  of  $SL(2)$ . We must rule out the possibility that the conjugacy classes

$$\{(\theta_{k,\Lambda}, \tilde{\theta}_{k,\chi_2\Lambda})\}_\Lambda \text{ primitive super-even}$$

are related by

$$\tilde{\theta}_{k,\chi_2\Lambda} = \text{Sym}^2(\theta_{k,\Lambda}).$$

We begin with the case of characteristic  $p = 3$ . In this case, up to tensoring with an  $\mathcal{L}_{\psi_1(tx)}$ , the super-even primitive characters of conductor 3 correspond to the Artin–Schreier–Witt sheaves  $\mathcal{L}_{\psi_2(ax,0)}$  for some invertible scalar  $a$ . By the obvious change of variable  $x \mapsto x/a$ , this reduces us to considering the Fourier transforms

$$\begin{aligned} \mathcal{F} &:= \text{NFT}(\mathcal{L}_{\psi_2(x,0)}) \otimes (\sqrt{q})^{-degree}, \\ \mathcal{G} &:= \text{NFT}(\mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi_2(x,0)}) \otimes (-G(\psi, \chi_2))^{-degree} \otimes \det. \end{aligned}$$

What we must show is that there is no geometric isomorphism between  $\text{Sym}^2(\mathcal{F})$  and  $\mathcal{G}$ . For then by Goursat’s lemma, the  $G_{\text{geom}}$  for  $\mathcal{F} \oplus \mathcal{G}$  will be the full product  $SL(2) \times SO(3)$ , and *a fortiori* the  $G_{\text{arith}}$  will also be the full product.

If there were a geometric isomorphism between  $\text{Sym}^2(\mathcal{F})$  and  $\mathcal{G}$ , then  $\text{Hom}_{\pi(\mathbb{A}^1)_{\text{geom}}}(\text{Sym}^2(\mathcal{F}), \mathcal{G})$  would be a one-dimensional (both objects are geometrically irreducible) representation of  $\pi_1^{\text{arith}}/\pi_1^{\text{geom}} = \text{Gal}(\overline{\mathbb{F}}_3/\mathbb{F}_3)$ . In other words, for some scalar  $A$ , we would have an arithmetic isomorphism

$$\text{Sym}^2(\mathcal{F}) \cong \mathcal{G} \otimes A^{\text{degree}}.$$

The scalar  $A$  would necessarily have  $|A| = 1$  for any complex embedding  $\overline{\mathbb{Q}_\ell} \subset \mathbb{C}$ , because both  $\mathcal{F}$  and  $\mathcal{G}$  are pure of weight zero. In particular, for any finite extension  $E/\mathbb{F}_3$  and any  $t \in E$ , and any complex embedding, we would have an equality of absolute values

$$|\text{Trace}(\text{Frob}_{E,t}|\text{Sym}^2(\mathcal{F}))| = |\text{Trace}(\text{Frob}_{E,t}|\mathcal{G})|.$$

But already for  $E = \mathbb{F}_3$  and  $t = 0$ , these absolute values are different. Write  $\zeta_9$  for  $e^{2\pi i/9}$ . The first is

$$|(1/3) \left( \sum_{x \in \mathbb{F}_3} \psi_2(x, 0) \right)^2 - 1| = |(1/3)(1 + \zeta_9 + \zeta_9^{-1})^2 - 1| = 1.1371\dots$$

The second, remembering that the Gauss sum has absolute value  $\sqrt{3}$ , is

$$\left| (1/\sqrt{3}) \sum_{x \in \mathbb{F}_3^\times} \chi_2(x) \psi_2(x, 0) \right| = \left| (1/\sqrt{3})(\zeta_9 - \zeta_9^{-1}) \right| = 0.74222\dots$$

Suppose now that  $p \geq 7$ . In this case, the super-even primitive  $\Lambda$ 's give precisely the Artin–Schreier sheaves  $\mathcal{L}_{\psi_1(ax^3+bx)}$  with  $(a, t) \in \mathbb{G}_m \times \mathbb{A}^1$ . What we must show is that for any  $a \neq 0$ , the two lisse sheaves on  $\mathbb{A}^1$  given by

$$\begin{aligned} &\text{Sym}^2(\text{NFT}(\mathcal{L}_{\psi_1(ax^3)}))(1), \\ &\text{NFT}(\mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi_1(ax^3)}) \otimes (-\mathcal{G}(\psi_1, \chi_2))^{-\text{degree}} \otimes \det, \end{aligned}$$

are not geometrically isomorphic.

Because the question is geometric, we may assume that  $a$  is a cube, say  $a = 1/\alpha^3$ . Making the change of variable  $x \mapsto \alpha x$ , we reduce to treating the case when  $a = 1$ . Thus, we must show that

$$\mathcal{F} := \text{Sym}^2(\text{NFT}(\mathcal{L}_{\psi_1(x^3)}))$$

and

$$\mathcal{G} := \text{NFT}(\mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi_1(x^3)})$$

are not geometrically isomorphic. For this, we will make use of information about Kloosterman sheaves and hypergeometric sheaves, especially [4, 9.3.2] and [11, 3.7].

We will denote by [3] the cubing map  $x \mapsto x^3$ . Notice that

$$\begin{aligned}\mathcal{L}_{\psi_1(x^3)} &\cong [3]^*(\mathcal{L}_{\psi_1(x)}), \\ \mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi_1(x^3)} &\cong [3]^*(\mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi_1(x)}).\end{aligned}$$

According to [4, 9.3.2], applied with  $d = 3$ , we have geometric isomorphisms

$$\begin{aligned}\text{NFT}([3]^*(\mathcal{L}_{\psi_1(x)})) &\cong [3]^*([x \mapsto -x/27]^*(\mathcal{Kl}(!, \psi_1, \chi_3, \overline{\chi_3}))), \\ \text{NFT}([3]^*(\mathcal{L}_{\chi_2(x)} \otimes \mathcal{L}_{\psi_1(x)})) &\cong [3]^*([x \mapsto -x/27]^*(\mathcal{Hyp}(!, \psi_1, \mathbb{1}, \chi_3, \overline{\chi_3}; \chi_2))).\end{aligned}$$

Here,  $\chi_3$  and  $\overline{\chi_3}$  are the two Kummer characters of order 3. Thus

$$\mathcal{F} \cong [3]^*([x \mapsto -x/27]^*(\text{Sym}^2(\mathcal{Kl}(!, \psi_1, \chi_3, \overline{\chi_3}))).$$

According to [11, 3.7], applied with  $\rho = \chi_3$  we have a geometric isomorphism

$$\text{Sym}^2(\mathcal{Kl}(!, \psi_1, \chi_3, \overline{\chi_3})) \cong [x \mapsto 4x]^*(\mathcal{Hyp}(!, \psi_1, \mathbb{1}, \chi_3, \overline{\chi_3}; \chi_2)).$$

Thus we find

$$\mathcal{F} \cong [3]^*([x \mapsto -x/27]^*([x \mapsto 4x]^*(\mathcal{Hyp}(!, \psi_1, \mathbb{1}, \chi_3, \overline{\chi_3}; \chi_2)))]),$$

that is,

$$\mathcal{F} \cong [3]^*[x \mapsto -4x/27]^*\mathcal{Hyp}(!, \psi_1, \mathbb{1}, \chi_3, \overline{\chi_3}; \chi_2),$$

whereas

$$\mathcal{G} \cong [3]^*[x \mapsto -x/27]^*\mathcal{Hyp}(!, \psi_1, \mathbb{1}, \chi_3, \overline{\chi_3}; \chi_2).$$

To show that  $\mathcal{F}$  and  $\mathcal{G}$  are not geometrically isomorphic, we argue by contradiction. If  $\mathcal{F} \cong \mathcal{G}$ , then we have a geometric isomorphism on  $\mathbb{G}_m$ ,

$$[x \mapsto -4x/27]^*\mathcal{Hyp}(!, \psi_1, \mathbb{1}, \chi_3, \overline{\chi_3}; \chi_2) \cong [x \mapsto -x/27]^*\mathcal{Hyp}(!, \psi_1, \mathbb{1}, \chi_3, \overline{\chi_3}; \chi_2).$$

Indeed, if two geometrically irreducible lisse sheaves on  $\mathbb{G}_m$  have isomorphic pullbacks by [3], then one is the tensor product of the other with either  $\overline{\mathbb{Q}_\ell}$  or  $\mathcal{L}_{\chi_3}$  or  $\mathcal{L}_{\overline{\chi_3}}$ . Of the three candidates, only tensoring with the constant sheaf preserves  $\chi_2$  as the tame part of

local monodromy at  $\infty$ , cf. [4, 8.2.5]. Thus we have the asserted geometric isomorphism, whence a geometric isomorphism

$$[x \mapsto 4x]^* \mathcal{Hyp}(\cdot, \psi_1, \mathbb{1}, \chi_3, \overline{\chi_3}; \chi_2) \cong \mathcal{Hyp}(\cdot, \psi_1, \mathbb{1}, \chi_3, \overline{\chi_3}; \chi_2).$$

By [4, 8.5.4], a hypergeometric sheaf is not isomorphic to any nontrivial multiplicative translate of itself. This is the desired contradiction.

Notice that in this  $n = 3$  case, the “Fourier transform by Fourier transform” method works in every allowed characteristic  $p \neq 5$ , giving the constant

$$C(\Pi \otimes \Xi) := \dim(\Pi) \dim(\Xi)/2.$$

### 10 Joint Equidistribution in the Case $n = 5$

Here, the problem is that  $\mathrm{Sp}(4)/\pm 1$  is isomorphic to the group  $\mathrm{SO}(5)$ . Indeed,  $\mathrm{SO}(5)$  is the image of  $\mathrm{Sp}(4)$  in its second fundamental representation  $\Lambda^2(\mathrm{std}_4)/\mathbb{1}$ . What we must show is that for  $n = 5$ ,

$$\Lambda^2(\mathcal{F}_{\mathrm{univ,odd}}(1/2))/\mathbb{1}$$

and

$$\mathcal{F}_{\mathrm{univ,odd},\chi_2} \otimes (-1/G(\psi_1, \chi_2))^{\mathrm{degree}} \otimes \det$$

are not geometrically isomorphic in any odd characteristic  $p$ . The proof goes along the same lines as did the  $n = 3$  case.

Notice first that both sides have  $G_{\mathrm{geom}} = G_{\mathrm{arith}} = \mathrm{SO}(5)$ , so if they are geometrically isomorphic then they are arithmetically isomorphic.

We first treat the case  $p = 5$ . Because  $p$  is 1 mod 4, the Gauss sum  $G(\psi_1, \chi_2)$  is some square root of 5. So it suffices to show that for the particular super-even character corresponding to  $\mathcal{L}_{\psi_2(t,0)}$ ,

$$\mathrm{Trace}(\mathrm{Frob}_{\mathbb{F}_5} | \Lambda^2(H^1(\mathbb{A}^1 \otimes_{\mathbb{F}_5} \overline{\mathbb{F}_5}, \mathcal{L}_{\psi_2(t,0)})(1/\sqrt{5}))) - 1$$

is not equal to either of

$$\pm \mathrm{Trace}(\mathrm{Frob}_{\mathbb{F}_5} | H^1(\mathbb{G}_m \otimes_{\mathbb{F}_5} \overline{\mathbb{F}_5}, \mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\psi_2(t,0)})/\sqrt{5}.$$

Computer calculation shows that the first is  $-1.123807\dots$ , while the second is  $\pm 1.033926\dots$

Suppose now that  $p$  is an odd prime other than 5. It suffices to show that the restrictions of the two sides to some subvariety of  $\text{Prim}_{n,\text{odd}}$  are not geometrically isomorphic. We will show that the two lisse sheaves

$$\Lambda^2(\text{NFT}(\mathcal{L}_{\psi_1(t^5)}))/\mathbb{1}$$

and

$$\text{NFT}(\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\psi_1(t^5)})$$

on  $\mathbb{A}^1$  are not geometrically isomorphic when restricted to  $\mathbb{G}_m$ .

By [4, 9.3.2], we have a geometric isomorphism

$$\text{NFT}(\mathcal{L}_{\psi_1(t^5)}) \cong [x \mapsto x^5]^* [x \mapsto -x/5^5]^* \text{Kl}(!, \psi_1; \rho_1, \rho_2, \rho_3, \rho_4),$$

for  $\rho_1, \rho_2, \rho_3, \rho_4$  the four nontrivial multiplicative characters of order 5.

By [11, 8.6], we have a geometric isomorphism

$$\Lambda^2(\text{Kl}(!, \psi_1; \rho_1, \rho_2, \rho_3, \rho_4))/\mathbb{1} \cong [x \mapsto -4x]^* \text{Hyp}(!, \psi_1; \mathbb{1}, \rho_1, \rho_2, \rho_3, \rho_4; \chi_2).$$

Thus,

$$\begin{aligned} & \Lambda^2(\text{NFT}(\mathcal{L}_{\psi_1(t^5)}))/\mathbb{1} \\ & \cong [x \mapsto x^5]^* [x \mapsto -x/5^5]^* [x \mapsto -4x]^* \text{Hyp}(!, \psi_1; \mathbb{1}, \rho_1, \rho_2, \rho_3, \rho_4; \chi_2). \end{aligned}$$

At the same time, by [4, 9.3.2], we have a geometric isomorphism

$$\begin{aligned} & \text{NFT}(\mathcal{L}_{\chi_2(t)} \otimes \mathcal{L}_{\psi_1(t^5)}) \\ & \cong [x \mapsto x^5]^* [x \mapsto -x/5^5]^* \text{Hyp}(!, \psi_1; \mathbb{1}, \rho_1, \rho_2, \rho_3, \rho_4; \chi_2). \end{aligned}$$

So it suffices to show that the two lisse sheaves on  $\mathbb{G}_m$  given by

$$[x \mapsto -x/5^5]^* [x \mapsto -4x]^* \text{Hyp}(!, \psi_1; \mathbb{1}, \rho_1, \rho_2, \rho_3, \rho_4; \chi_2)$$

and

$$[x \mapsto -x/5^5]^* \text{Hyp}(!, \psi_1; \mathbb{1}, \rho_1, \rho_2, \rho_3, \rho_4; \chi_2)$$

do not become isomorphic after pullback by the fifth power map  $x \mapsto x^5$ . We argue by contradiction. As the sheaves are each geometrically irreducible, if their  $x \mapsto x^5$  pullbacks are isomorphic, then one is obtained from the other by tensoring with an  $\mathcal{L}_\rho$  for some character  $\rho$  of order dividing 5. As both sides have  $\chi_2$  as the tame part of their  $I(\infty)$ -representations, this  $\rho$  must be trivial. So we would find that the hypergeometric sheaf

$$[x \mapsto -x/5^5]^* \text{Hyp}(\cdot, \psi_1; \mathbb{1}, \rho_1, \rho_2, \rho_3, \rho_4; \chi_2)$$

is geometrically isomorphic to its multiplicative translate by  $-4$ . Because  $p \neq 5$ , this is a nontrivial multiplicative translation. This contradicts [4, 8.5.4], according to which a geometrically irreducible hypergeometric sheaf is not isomorphic to any nontrivial multiplicative translate of itself.

In this  $n = 5$  case, we cannot (at present) apply the “Fourier transform by Fourier transform” method, because we have only analyzed the Fourier transform situation for the single input  $\mathcal{L}_{\psi_1(t^5)}$ , but not for other super-even primitive  $\Lambda$ 's. Nor do we know for which such  $\Lambda$ 's, if any, we will in fact have the exceptional isomorphism we ruled out for  $\mathcal{L}_{\psi_1(t^5)}$ .

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